	FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 31ST JANUARY 2014					
	ACTIVE AND SUBMITTED					
<b>S/</b> 1	FIRMS	Year End	Status	Due date		
1	PAC Securities Limited	April	Active	17-Mar-14		
2	Finmal Finance Service Limited	July	Active	17-Mar-14		

	INACTIVE AND NOT SUBMITTED					
S/N	S/N FIRM Year End Status Due date					
1	Zuma Securities Limited	July	Inactive	17-Mar-14		

	FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 28TH FEBRUARY 2014					
	ACTIVE AND SUBMITTED					
S/N	FIRMS	Year End	Status	Due date		
1	ARM Securities Limited	FEBRUARY	Active	14-Apr-14		
2	Lambeth Trust & Investment Co. Ltd	FEBRUARY	Active	14-Apr-14		
3	Chartwell Securities Limited	MAY	Active	14-Apr-14		
4	The Bridge Securities Limited	AUGUST	Active	14-Apr-14		
5	Equity Capital Solutions Limited	AUGUST	Active	14-Apr-14		
6	Waila Securities & Funds Limited	February	Active	14-Apr-14		

### FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 31ST MARCH, 2014

### ACTIVE AND SUBMITTED

S/N	FIRMS	LVOOF LOO	
	Dilat Cassattian Liveliand	Year End	Due date
	Pilot Securities Limited	DECEMBER	15-May-14
	Royal Crest Finance Limited	DECEMBER	15-May-14
	Excel Securities Limited	DECEMBER	15-May-14
4 9	Shalom Investment & Financial services limited	DECEMBER	15-May-14
5	Transworld Investment & Securities Ltd	SEPTEMBER	15-May-14
6	Magnartis Finace & Investment Limited	DECEMBER	15-May-14
<b>7</b>	Partnership Securities Limited	DECEMBER	15-May-14
8	Mercov Securities Limited	DECEMBER	15-May-14
9 F	Readings Investment Limited	DECEMBER	15-May-14
10	Tiddo Securities Limited	DECEMBER	15-May-14
11	Anchorage Securities & Finance Limited	DECEMBER	15-May-14
12	Trade Link Securities Ltd	DECEMBER	15-May-14
13	Springboard Trust & Investment Limited	DECEMBER	15-May-14
14	Imperial Assets Managers Limited	MARCH	15-May-14
<b>15</b> 9	Supra Commercial Trust Co. Ltd	DECEMBER	15-May-14
16	Traders Trust and Investment Company Ltd	DECEMBER	15-May-14
<b>17</b> /	Atlass Portfolio Limited	DECEMBER	15-May-14
	BGL Securities Limited	DECEMBER	15-May-14
	Meristem Securities Ltd	DECEMBER	15-May-14
	Kedari Securities Limited	DECEMBER	15-May-14
<b>21</b>	Perfecta Investment & Trust Limited	DECEMBER	15-May-14
	Century Securities Limited	JUNE	15-May-14
23	TFS Securities & Investment Co. Ltd	DECEMBER	15-May-14
<b>24</b> F	Fidelity Finance Company Limited	DECEMBER	15-May-14
<b>25</b>	FBC Trust & Securities Limited	DECEMBER	15-May-14
<b>26</b> 9	Stanwal Securities Limited	DECEMBER	15-May-14
	Arthur Steven Asset Management Ltd	DECEMBER	15-May-14
	Yuderb Investment & Securities Ltd	DECEMBER	15-May-14
_	Emi Capital Resources Ltd	DECEMBER	15-May-14
	Century Securities Limited	JUNE	15-May-14
	Maven Asset Mgt Ltd	DECEMBER	15-May-14
32	Securities and Capital Management Company Ltd	DECEMBER	15-May-14
33	Milestone Capital Management Ltd	DECEMBER	15-May-14

34	FCSL Assets Mgt Coy Ltd	DECEMBER	15-May-14
	DBSL Securities Limited	MARCH	15-May-14
36	Global View Consult & Investment Limited	DECEMBER	15-May-14
37	Cashcraft Asset Management Limited	DECEMBER	15-May-14
38	Deep Trust & Investment Limited	DECEMBER	15-May-14
39	Fidelity Securities Limited	DECEMBER	15-May-14
40	FSDH Securities Limited	DECEMBER	15-May-14
41	Santrust Securities Limited	MARCH	15-May-14
42	Tower Assets Management Ltd	DECEMBER	15-May-14
43	Belfry Investment & Securities Ltd	JUNE	15-May-14
44	UNEX Capital Ltd	DECEMBER	15-May-14
45	Summa Guaranty & Trust Company Ltd	DECEMBER	15-May-14
46	Networth Securities & Finance Ltd	DECEMBER	15-May-14
48	Standard Union Securities Ltd	DECEMBER	15-May-14
49	Delords Securities Limited	DECEMBER	15-May-14
50	Rainbow Securities Limited	DECEMBER	15-May-14
51	Fortress Capital Limited	DECEMBER	15-May-14
52	Vision Trust & Investment Limited	JUNE	15-May-14
53	Falcon Securities Limited	DECEMBER	15-May-14
54	Stanbic IBTC Stockbrokers	DECEMBER	15-May-14
55	Reward Investment & Services Ltd	DECEMBER	15-May-14
56	Kinley Finance Service Limited	DECEMBER	15-May-14
57	Gidauniya Investment & Sec Ltd	DECEMBER	15-May-14
58	Apel Asset & Trust Limited	DECEMBER	15-May-14
59	MC Finerco Investment	DECEMBER	15-May-14
60	Mainland Trust Limitd	DECEMBER	15-May-14
61	Kofana Securities Limited	DECEMBER	15-May-14
62	Capital Trust Brokers Limited	DECEMBER	15-May-14
63	Rostrum Investment and Securities Ltd	DECEMBER	15-May-14
64	Transafrica Securities Limited	DECEMBER	15-May-14
65	Kapital Care Trust & Securities Limited	MARCH	15-May-14
66	First Integrated Capital management Ltd	DECEMBER	15-May-14
67	Future view Securities Limited	DECEMBER	15-May-14
68	International Standard Securities Limited	DECEMBER	15-May-14
69	Securities Africa Financials Ltd	DECEMBER	15-May-14
70	Golden Securities Limited	DECEMBER	15-May-14
71	GMT Securities & Asset Mgt Limited	DECEMBER	15-May-14

	Capital Express Securities Limited	DECEMBER	15-May-14
73	Global Asset Management (Nig) Ltd	DECEMBER	15-May-14
74	Pivot Trust & Investment Co. Limited	DECEMBER	15-May-14
75	Investors & Trust Company Limited	DECEMBER	15-May-14
76	Union Capital Markets Limited	DECEMBER	15-May-14
77	Nigerian International Securities Ltd	DECEMBER	15-May-14
78	City Code Trust & Invest Company Ltd	DECEMBER	15-May-14
79	Morgan Capital Securities Ltd	DECEMBER	15-May-14
80	Mountain Investment & Securities Ltd	DECEMBER	15-May-14
81	Adonai Stockbrokers Limited	DECEMBER	15-May-14
82	Regency Assets Management Ltd	MARCH	15-May-14
83	Royal Guaranty & Trust Limited	DECEMBER	15-May-14
84	Express Portfolio Services Limited	DECEMBER	15-May-14
85	UIDC Securities Limited	DECEMBER	15-May-14
86	Trust Yields Securities Limited	DECEMBER	15-May-14
87	Molten Trust Limited	MARCH	15-May-14
88	Cradle Trust Finance & Securities Ltd	DECEMBER	15-May-14
89	Resano Securities Limited	DECEMBER	15-May-14
90	Icon Stockbrokers Limited	DECEMBER	15-May-14
91	Pinefields Investment Services Limited	MARCH	15-May-14
92	Strategy And Arbitrage Limited	DECEMBER	15-May-14
93	Network capital Limited	DECEMBER	15-May-14
94	Emerging Capital Limited	DECEMBER	15-May-14
95	Horizon Stockbrokers Ltd	SEPTEMBER	15-May-14
96	Zenith Securities Limited	DECEMBER	15-May-14
97	Signet Investment & Securities Ltd	DECEMBER	15-May-14
98	Dominion Trust Limited	DECEMBER	15-May-14
99	Nova Finance & Securities Limited	DECEMBER	15-May-14
100	Cashville Investment & Securities Ltd	DECEMBER	15-May-14
101	Crossworld Securities Ltd	DECEMBER	15-May-14
102	Newdevco Invests & Sec. Co. Ltd	DECEMBER	15-May-14
103	African Alliance Stockbrokers Ltd	DECEMBER	15-May-14
104	Aims Asset Management Limited	DECEMBER	15-May-14
105	Alangrange Securities Ltd	DECEMBER	15-May-14
	Altrade Securities Limited	DECEMBER	15-May-14
-	Amyn Investment Limited	DECEMBER	15-May-14
108	Anchoria Investment & Securities Limited	DECEMBER	15-May-14

109	FBNQuest Securities Limited	DECEMBER	15-May-14
	Associated Asset Managers Limited	DECEMBER	15-May-14
	Forte Financial Limited	DECEMBER	15-May-14
_	MBC Securities Limited	DECEMBER	15-May-14
113	Mega Equities Ltd	DECEMBER	15-May-14
	Bestlink Investment Limited	DECEMBER	15-May-14
	Bestworth Assets & Trust Limited	DECEMBER	15-May-14
116	Bytofel Trust & Securities Limited	DECEMBER	15-May-14
117	Cadington Securities Limited	DECEMBER	15-May-14
118	Calyx Securities Limited	DECEMBER	15-May-14
119	Camry Securities Limited	DECEMBER	15-May-14
120	Capital Bancorp Limited	DECEMBER	15-May-14
121	CDL Capital Markets Ltd	DECEMBER	15-May-14
122	Chapel Hill Denham Securities Ltd	DECEMBER	15-May-14
123	Compass Investments & Sec. Ltd	DECEMBER	15-May-14
	Cordros Capital Limited	DECEMBER	15-May-14
125	Core Trust & Investment Limited	DECEMBER	15-May-14
126	Covenant Securities & Investment Management	DECEMBER	15-May-14
127	Centre Point Investment Limited	DECEMBER	15-May-14
128	Cowry Asset management	DECEMBER	15-May-14
129	Crane Securities Limited	DECEMBER	15-May-14
	Crown Capital Limited	DECEMBER	15-May-14
131	CSL Stockbrokers Ltd	DECEMBER	15-May-14
132	Dependable Securities Limited	DECEMBER	15-May-14
	Diamond Securities Limited	DECEMBER	15-May-14
	DSU Brokerage Services Ltimited	DECEMBER	15-May-14
	Dunbell Securities Limited	DECEMBER	15-May-14
	Dunn Loren Merrifield Securities Ltd	DECEMBER	15-May-14
	ECL Asset Management Limited	DECEMBER	15-May-14
	EDC Securities Limited	DECEMBER	15-May-14
	Enterprise Stockbrokers Limited	DECEMBER	15-May-14
	Eurocomm Securities Limited	DECEMBER	15-May-14
	Express Discount Asset Mgt Ltd	DECEMBER	15-May-14
	FBN Securities limited	DECEMBER	15-May-14
	Financial Trust Company Limited	DECEMBER	15-May-14
	Finbank Securities & Assets Management Limited	DECEMBER	15-May-14
_	First Stockbrokers Limited	DECEMBER	15-May-14
	FIS Securities Limited	DECEMBER	15-May-14
	Gem Assets Management Ltd	DECEMBER	15-May-14
	Greenwich Securities Limited	DECEMBER	15-May-14
149	GTI Capital Limited	DECEMBER	15-May-14

150	Harmony Investment & Securities Limited	DECEMBER	15-May-14
_	Heartbeat Investment Limited	DECEMBER	15-May-14
	Hedge Securities & Investment Ltd	DECEMBER	15-May-14
	Heritage Capital Markets Limited	DECEMBER	15-May-14
	IMTL Securities Ltd	DECEMBER	15-May-14
_	Independent Securities Limited	DECEMBER	15-May-14
	Integrated Trust & Investments Limited	DECEMBER	15-May-14
	Interstate Securities Limited	DECEMBER	15-May-14
158	Investment Centre Limited	DECEMBER	15-May-14
159	Investment One Stockbrokers Int'l Ltd	DECEMBER	15-May-14
160	Investment Shark & Asset Management Limited	DECEMBER	15-May-14
161	Kundila Finance Services Limited	DECEMBER	15-May-14
162	Lead Securities & Invests Ltd	DECEMBER	15-May-14
163	Lighthouse Asset Management Limited	DECEMBER	15-May-14
164	Longterm Global Capital Ltd.	DECEMBER	15-May-14
165	Marina Securities Stockbroking Services Limited	DECEMBER	15-May-14
166	Marriot Securities Limited	DECEMBER	15-May-14
167	Maxifund Investment & Securities Plc	DECEMBER	15-May-14
168	MBL Financial Services Limited	DECEMBER	15-May-14
169	Merit Securities Ltd	DECEMBER	15-May-14
170	Midas Stockbrokers Limited	DECEMBER	15-May-14
171	Mutual Alliance Invest & Securities Ltd	DECEMBER	15-May-14
172	Nigerian Stockbrokers Limited	DECEMBER	15-May-14
_	Peace Capital Market Limited	DECEMBER	15-May-14
174	Phronesis Securities Limited	DECEMBER	15-May-14
	PIPC Securities Limited	DECEMBER	15-May-14
176	Portfolio Advisers Limited	DECEMBER	15-May-14
177	Primera Africa Securities Limited	DECEMBER	15-May-14
_	Primewealth Capital Limited	DECEMBER	15-May-14
	Prominent Securities Limited	DECEMBER	15-May-14
_	PSI Securities Limited	DECEMBER	15-May-14
	Pyramid Securities Limited	DECEMBER	15-May-14
_	Quantum Securities Limited	DECEMBER	15-May-14
	Redasel Investment Ltd	DECEMBER	15-May-14
	Rencap Securities (Nig) Limited	DECEMBER	15-May-14
	Rowet Capital Mgt Limited	DECEMBER	15-May-14
	Royal Trust Securities Limited	DECEMBER	15-May-14
	SFC Securities Limited	DECEMBER	15-May-14
	Sigma Securities Ltd	DECEMBER	15-May-14
_	Skyview Capital	DECEMBER	15-May-14
190	Spring Trust & Securities Limited	DECEMBER	15-May-14

191	Standard Alliance Capital & Asset Limited	DECEMBER	15-May-14
192	Topmost Sec Ltd	DECEMBER	15-May-14
193	Tower Securities & Invest Co. Ltd	DECEMBER	15-May-14
194	Trusthouse Investment Limited	DECEMBER	15-May-14
195	TRW Stockbrokers Limited	DECEMBER	15-May-14
196	UBA Stockbrokers Limited	DECEMBER	15-May-14
197	Valmon Securities Limited	DECEMBER	15-May-14
198	Valueline Securities & Investments Limited	DECEMBER	15-May-14
199	Woodland Capital Markets Plc	DECEMBER	15-May-14
200	WSTC Financial Services Limited	DECEMBER	15-May-14
201	Yobe Investment & Securities Limited	DECEMBER	15-May-14
202	Allbond Investment Limited	DECEMBER	15-May-14
203	Capital Assets Limited	DECEMBER	15-May-14
204	Foresight Securities Limited	DECEMBER	15-May-14
205	Securities SWAPS Limited	DECEMBER	15-May-14
206	Afrinvest West Africa Limited	DECEMBER	15-May-14
207	Citi Investment Capital Ltd	DECEMBER	15-May-14
208	Cardinal Stone Securities Limited	DECEMBER	15-May-14
209	Dynamic Portfolio Limited	DECEMBER	15-May-14
210	Forthright Securities & Investment Limited	DECEMBER	15-May-14
211	ICMG Securities Limited	DECEMBER	15-May-14
212	Mission Securities Limited	DECEMBER	15-May-14
213	Solid Rock Securities & Investment Plc	DECEMBER	15-May-14
214	Tomil Trust Limited	DECEMBER	15-May-14
215	Vetiva Securities Limited	DECEMBER	15-May-14
216	Clearview Investment & Securities Ltd	DECEMBER	15-May-14
217	PML Securities Company Ltd	DECEMBER	15-May-14
218	Resort Securities Ltd	DECEMBER	15-May-14
219	Adamawa Securities Limited	DECEMBER	15-May-14

220	Funds Matrix & Assets management ltd	DECEMBER	15-May-14
221	Shelong Investment Limited	DECEMBER	15-May-14
222	Mayfield Investment Limited	DECEMBER	15-May-14
223	Options Securities Limited	DECEMBER	15-May-14
224	Marimpex Finance & Investment Company Limited	DECEMBER	15-May-14
225	Dakal Services Limited	DECEMBER	15-May-14

S/N	FIRMS	Year End	Due date
1	Mainstreet Securities Limited	DECEMBER	15-May-14
2	ITIS Securities Limited	DECEMBER	15-May-14
3	Northbridge Invest & Stockbrokers Ltd	DECEMBER	15-May-14
4	LB Securities Limited	DECEMBER	15-May-14

	INACTIVE AND NOT SUBMITTED			
S/N	FIRM	Year End	Due date	
1	Arian Capital Management Limited	DECEMBER	15-May-14	
2	Davandy Finance Limited	DECEMBER	15-May-14	
3	Empire Securities Limited	DECEMBER	15-May-14	
4	First Alstate Securities Limited	DECEMBER	15-May-14	
5	Fittco Securities Limited	DECEMBER	15-May-14	
6	Mact Securities Limited	DECEMBER	15-May-14	
7	Midpoint Capital Limited	DECEMBER	15-May-14	
8	Omas Investment & Trust Co.Ltd	DECEMBER	15-May-14	
9	Peninsula Asset Mgt & Invest Co. Ltd	DECEMBER	15-May-14	
10	Platinum Capital Limited	DECEMBER	15-May-14	
11	Profund Securities Limited	DECEMBER	15-May-14	
12	Securities Trading & Invest Co. Ltd	DECEMBER	15-May-14	
13	Surport Services Limited	DECEMBER	15-May-14	
14	Wizetrade Capital & Assets Mgt Ltd	DECEMBER	15-May-14	
15	WT Securities Limited	DECEMBER	15-May-14	
16	Consolidated Investments Limited	JUNE	15-May-14	
17	Lion Stockbrokers Limited	JUNE	15-May-14	
18	Professional Stockbrokers Ltd	JUNE	15-May-14	
19	Decanon Investment Limited	MARCH	15-May-14	
20	Maninvest Asset Management Plc	MARCH	15-May-14	
21	Monument Sec & Finance Ltd	MARCH	15-May-14	
22	Prudential Securities Limited	MARCH	15-May-14	
23	Securities Solutions Limited	MARCH	15-May-14	
24	LMB Stockbrokers Limited	SEPTEMBER	15-May-14	

	FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 30TH APRIL, 2014					
	ACTIVE AND SUBMITTED					
S/N	N Year End Due date					
1	1 Finmal Finance Services Limited JULY 16-Jun-1					
2	PAC Securities Limited	APRIL	16-Jun-14			

	INACTIVE AND NOT SUBMITTED					
S/N	N Year End Due date					
1	Zuma Securities Limited	JULY	16-Jun-14			

	FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 31ST MAY, 2014				
	ACTIVE AND SUBMITTED				
S/N	FIRMS	Year End	Due date		
1	Chartwell Securities Limited	JULY	15-Jul-14		
2	ARM Securities Limited	FEBRUARY	15-Jul-14		
3	Lambeth Trust & Investment Co. Ltd	FEBRUARY	15-Jul-14		
4	Equity Capital Solutions Limited	AUGUST	15-Jul-14		
5	The Bridge Securities Limited	AUGUST	15-Jul-14		

INACTIVE AND NOT SUBMITTED					
S/N	FIRMS Year End Due date				
1	Waila Securities & Funds Limited	FEBRUARY	15-Jul-14		

FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 30TH JUNE, 2014
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	ACTIVE AND SUBMITTED			
S/N	FIRMS	Year End	Due date	
1	Royal Crest Finance Limited	DECEMBER	14-Aug-14	
2	Springboard Trust & Investment Limited	DECEMBER	14-Aug-14	
3	Shalom Investment & Financial services limited	DECEMBER	14-Aug-14	
4	Imperial Assets Managers Limited	MARCH	14-Aug-14	
5	Chapel Hill Denham Securities Ltd	DECEMBER	14-Aug-14	
6	Calyx Securities Limited	DECEMBER	14-Aug-14	
7	Hedge Securities & Investment Ltd	DECEMBER	14-Aug-14	
8	Rowet Capital Mgt Limited	DECEMBER	14-Aug-14	
9	Adonai Stockbrokers Limited	DECEMBER	14-Aug-14	
10	Signet Investment & Securities Ltd	DECEMBER	14-Aug-14	
11	Covenant Securities & Investment Management	DECEMBER	14-Aug-14	
12	Amyn Investment Limited	DECEMBER	14-Aug-14	
13	Perfecta Investment & Trust Limited	DECEMBER	14-Aug-14	
14	Crane Securities Limited	DECEMBER	14-Aug-14	
15	Trusthouse Investment Limited	DECEMBER	14-Aug-14	
16	Interstate Securities Limited	DECEMBER	14-Aug-14	
17	Cradle Trust Finance & Securities Ltd	DECEMBER	14-Aug-14	
18	Dominion Trust Limited	DECEMBER	14-Aug-14	
19	Yuderb Investment & Securities Ltd	DECEMBER	14-Aug-14	
20	SFC Securities Limited	DECEMBER	14-Aug-14	
21	Pilot Securities Limited	DECEMBER	14-Aug-14	
22	Dependable Securities Limited	DECEMBER	14-Aug-14	
23	Arthur Steven Asset Management Ltd	DECEMBER	14-Aug-14	
24	Smadac Securities Limited	DECEMBER	14-Aug-14	
25	Networth Securities & Finance Ltd	DECEMBER	14-Aug-14	
26	Transafrica Securities Limited	DECEMBER	14-Aug-14	
27	FBC Trust & Securities Limited	DECEMBER	14-Aug-14	
28	Lighthouse Asset Management Limited	DECEMBER	14-Aug-14	
29	Valmon Securities Limited	DECEMBER	14-Aug-14	
30	Dependable Securities Limited	DECEMBER	14-Aug-14	
31	FSDH Securities Limited	DECEMBER	14-Aug-14	
32	Global View Consult & Investment Limited	DECEMBER	14-Aug-14	
33	Milestone Capital Management Ltd	DECEMBER	14-Aug-14	
34	IMTL Securities Ltd	DECEMBER	14-Aug-14	
35	TFS Securities & Investment Co. Ltd	DECEMBER	14-Aug-14	
36	, , ,	DECEMBER	14-Aug-14	
37	Cashcraft Asset Management Limited	DECEMBER	14-Aug-14	
38	DBSL Securities Limited	MARCH	14-Aug-14	
39	Strategy And Arbitrage Limited	DECEMBER	14-Aug-14	
40	Maven Asset Management Limited	DECEMBER	14-Aug-14	
41	City Code Trust & Invest Company Ltd	DECEMBER	14-Aug-14	
42	Capital Trust Brokers Limited	DECEMBER	14-Aug-14	
43	Cadington Securities Limited	DECEMBER	14-Aug-14	
44	Golden Securities Limited	DECEMBER	14-Aug-14	
45	Century Securities Limited	DECEMBER	14-Aug-14	
46	UNEX Capital Ltd	DECEMBER	14-Aug-14	
48	Nova Finance & Securities Limited	DECEMBER	14-Aug-14	
49	Kundila Finance Services Limited	DECEMBER	14-Aug-14	
50	Falcon Securities Limited	DECEMBER	14-Aug-14	
51	Partnership Securities Limited	DECEMBER	14-Aug-14	

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	Anchorage Securities & Finance Limited	DECEMBER	14-Aug-14
-	Apel Asset & Trust Limited	DECEMBER	14-Aug-14
-	Readings Investment Limited	DECEMBER	14-Aug-14
-	Diamond Securities Limited	DECEMBER	14-Aug-14
-	Capital Express Securities Limited	DECEMBER	14-Aug-14
	Kapital Care Trust & Securities Limited	MARCH	14-Aug-14
-	Rencap Securities (Nig) Limited	DECEMBER	14-Aug-14
-	Zenith Securities Limited	DECEMBER	14-Aug-14
-	Securities Africa Financials Ltd	DECEMBER	14-Aug-14
61	Royal Guaranty & Trust Limited	DECEMBER	14-Aug-14
-	Resano Securities Limited	DECEMBER	14-Aug-14
	Longterm Global Capital Ltd.	DECEMBER	14-Aug-14
64	Capital Bancorp Limited	DECEMBER	14-Aug-14
-	FBN Securities limited	DECEMBER	14-Aug-14
-	Cordros Capital Limited	DECEMBER	14-Aug-14
67	Valueline Securities & Investments Limited	DECEMBER	14-Aug-14
-	WSTC Financial Services Limited	DECEMBER	14-Aug-14
-	Crossworld Securities Ltd	DECEMBER	14-Aug-14
	Meristem Securities Ltd	JUNE	14-Aug-14
	Finbank Securities & Assets Management Limited	DECEMBER	14-Aug-14
72	Mission Securities Limited	MARCH	14-Aug-14
73	FCSL Assets Mgt Coy Ltd	DECEMBER	14-Aug-14
74	International Standard Securities Limited	JUNE	14-Aug-14
75	Belfry Investment & Securities Ltd	JUNE	14-Aug-14
76	UBA Stockbrokers Limited	DECEMBER	14-Aug-14
77	Heartbeat Investment Limited	DECEMBER	14-Aug-14
78	DSU Brokerage Services Ltimited	DECEMBER	14-Aug-14
79	Securities and Capital Management Company Limited	DECEMBER	14-Aug-14
80	Woodland Capital Markets Plc	DECEMBER	14-Aug-14
81	De-Lords Securities Limited	DECEMBER	14-Aug-14
82	Reward Investment & Services Limited	DECEMBER	14-Aug-14
83	Fidelity Securities Limited	DECEMBER	14-Aug-14
84	GMT Securities & Asset Mgt Limited	DECEMBER	14-Aug-14
85	Network capital Limited	DECEMBER	14-Aug-14
86	PSI Securities Limited	DECEMBER	14-Aug-14
87	Adamawa Securities Limited	DECEMBER	14-Aug-14
88	African Alliance Stockbrokers Ltd	DECEMBER	14-Aug-14
89	Deep Trust & Investment Limited	DECEMBER	14-Aug-14
90	Express Discount Asset Mgt Ltd	DECEMBER	14-Aug-14
-	Express Portfolio Services Limited	DECEMBER	14-Aug-14
92	Cowry Securities Limited	DECEMBER	14-Aug-14
	MC-Finerco Investment	DECEMBER	14-Aug-14
94	GTI Securities Limited	DECEMBER	14-Aug-14
95	Sigma Securities Limited	DECEMBER	14-Aug-14
96	CDL Capital Markets Ltd	DECEMBER	14-Aug-14
97	Global Asset Management (Nig) Ltd	DECEMBER	14-Aug-14
98	Greenwich Securities Limited	DECEMBER	14-Aug-14
99	Alangrange Securities Ltd	DECEMBER	14-Aug-14
100	Altrade Securities Limited	DECEMBER	14-Aug-14
101	Anchoria Investment & Securities Limited	DECEMBER	14-Aug-14
102	APT Securities & Funds Limited	DECEMBER	14-Aug-14
103	Associated Asset Managers Limited	DECEMBER	14-Aug-14
104	Atlass Portfolio Limited	DECEMBER	14-Aug-14
-	Bestlink Investment Limited	DECEMBER	14-Aug-14

106	Bestworth Assets & Trust Limited	DECEMBER	14-Aug-14
107	BGL Securities Limited	DECEMBER	14-Aug-14
108	Camry Securities Limited	DECEMBER	14-Aug-14
109	FBNQuest Securities Limited	DECEMBER	14-Aug-14
110	Compass Investments & Sec. Ltd	DECEMBER	14-Aug-14
111	Marimpex Finance & Investment Company Limited	DECEMBER	14-Aug-14
112	Marina Securities Stockbroking Services Limited	DECEMBER	14-Aug-14
113	Marriot Securities Limited	DECEMBER	14-Aug-14
114	Crown Capital Limited	DECEMBER	14-Aug-14
115	CSL Stockbrokers Ltd	DECEMBER	14-Aug-14
116	Dunbell Securities Limited	DECEMBER	14-Aug-14
117	Dunn Loren Merrifield Securities Ltd	DECEMBER	14-Aug-14
_	ECL Asset Management Limited	DECEMBER	14-Aug-14
119	EDC Securities Limited	DECEMBER	14-Aug-14
120	Elixir Securities Ltd	DECEMBER	14-Aug-14
121	Emerging Capital Limited	DECEMBER	14-Aug-14
122	Enterprise Stockbrokers Limited	DECEMBER	14-Aug-14
123	Eurocomm Securities Limited	DECEMBER	14-Aug-14
124	Excel Securities Limited	DECEMBER	14-Aug-14
125	Financial Trust Company Limited	DECEMBER	14-Aug-14
126	First Integrated Capital management Ltd	DECEMBER	14-Aug-14
127	Future view Securities Limited	DECEMBER	14-Aug-14
128	First Stockbrokers Limited	DECEMBER	14-Aug-14
129	FIS Securities Limited	DECEMBER	14-Aug-14
	Fortress Capital Limited	DECEMBER	14-Aug-14
131	Gem Assets Management Ltd	DECEMBER	14-Aug-14
132	Gidauniya Investment & Sec Ltd	DECEMBER	14-Aug-14
133	Harmony Investment & Securities Limited	DECEMBER	14-Aug-14
134	Heritage Capital Markets Limited	DECEMBER	14-Aug-14
135	Icon Stockbrokers Limited	DECEMBER	14-Aug-14
	Independent Securities Limited	DECEMBER	14-Aug-14
137	Primewealth Capital Limited	DECEMBER	14-Aug-14
138	Prominent Securities Limited	DECEMBER	14-Aug-14
139	Pyramid Securities Limited	DECEMBER	14-Aug-14
140	Quantum Securities Limited	DECEMBER	14-Aug-14
141	Rainbow Securities Limited	DECEMBER	14-Aug-14
142	Redasel Investment Ltd	DECEMBER	14-Aug-14
143	Rostrum Investment and Securities Limited	DECEMBER	14-Aug-14
144	Royal Trust Securities Limited	DECEMBER	14-Aug-14
145	Cardinal Stone Securities Limited	MARCH	14-Aug-14
	Centre Point Investment Limited	MARCH	14-Aug-14
147	Citi Investment Capital Limited	MARCH	14-Aug-14
148	Dynamic Portfolio Limited	MARCH	14-Aug-14
	Forte Financial Limited	MARCH	14-Aug-14
	Forthright Securities & Investment Limited	MARCH	14-Aug-14
151	ICMG Securities Limited	MARCH	14-Aug-14
152	MBC Securities Limited	MARCH	14-Aug-14
153	Mega Equities Limited	MARCH	14-Aug-14
154	Molten Trust Limited	MARCH	14-Aug-14
155	Pinefields Investment Services Limited	MARCH	14-Aug-14
	Pivot Trust & Investment Co. Limited	MARCH	14-Aug-14 14-Aug-14
157 158	Regency Assets Management Ltd Santrust Securities Limited	MARCH MARCH	14-Aug-14 14-Aug-14
_			,
159	Solid Rock Securities & Investment Plc	MARCH	14-Aug-14

160	Tomil Trust Limited	MARCH	14-Aug-14
161	Vetiva Securities Limited	MARCH	14-Aug-14
162	Clearview Investment & Securities Limited	SEPTEMBER	14-Aug-14
163	Horizon Stockbrokers Limited	DECEMBER	14-Aug-14
164	PML Securities Company Limited	SEPTEMBER	14-Aug-14
165	Resort Securities Limited	SEPTEMBER	14-Aug-14
166	Transworld Investment & Securities Limited	SEPTEMBER	14-Aug-14
167	Morgan Capital Securities Limited	DECEMBER	14-Aug-14
168	Mountain Investment & Securities Limited	DECEMBER	14-Aug-14
169	Mutual Alliance Invest & Securities Limited	DECEMBER	14-Aug-14
170	Aims Asset Management Limited	DECEMBER	14-Aug-14
171	Newdevco Invests & Sec. Co. Ltd	DECEMBER	14-Aug-14
172	Nigerian International Securities Ltd	DECEMBER	14-Aug-14
173	Nigerian Stockbrokers Limited	DECEMBER	14-Aug-14
174	Peace Capital Market Limited	DECEMBER	14-Aug-14
175	Phronesis Securities Limited	DECEMBER	14-Aug-14
176	Portfolio Advisers Limited	DECEMBER	14-Aug-14
177	Skyview Capital Limited	DECEMBER	14-Aug-14
178	Spring Trust & Securities Limited	DECEMBER	14-Aug-14
179	Stanbic IBTC Stockbrokers Limited	DECEMBER	14-Aug-14
180	Standard Alliance Capital & Asset Limited	DECEMBER	14-Aug-14
181	Standard Union Securities Limited	DECEMBER	14-Aug-14
182	Stanwal Securities Limited	DECEMBER	14-Aug-14
183	MBL Financial Services Limited	DECEMBER	14-Aug-14
184	Mercov Securities Limited	DECEMBER	14-Aug-14
185	Midas Stockbrokers Limited	DECEMBER	14-Aug-14
186	Tiddo Securities Limited	DECEMBER	14-Aug-14

		I	
	Topmost Securities Limited	DECEMBER	14-Aug-14
	Tower Assets Management Limited	DECEMBER	14-Aug-14
	Tower Securities & Investment Company Limited	DECEMBER	14-Aug-14
	Trade Link Securities Ltd	DECEMBER	14-Aug-14
191	Traders Trust and Investment Company Ltd	DECEMBER	14-Aug-14
192	Trust Yields Securities Limited	DECEMBER	14-Aug-14
193	TRW Stockbrokers Limited	DECEMBER	14-Aug-14
194	Integrated Trust & Investments Limited	DECEMBER	14-Aug-14
195	Investment Centre Limited	DECEMBER	14-Aug-14
196	Investment One Stockbrokers Int'l Ltd	DECEMBER	14-Aug-14
197	Investment Shark & Asset Management Limited	DECEMBER	14-Aug-14
198	Investors & Trust Company Limited	DECEMBER	14-Aug-14
199	Kedari Securities Limited	DECEMBER	14-Aug-14
200	Kinley Finance Service Limited	DECEMBER	14-Aug-14
201	Lead Securities & Invests Ltd	DECEMBER	14-Aug-14
202	Magnartis Finace & Investment Limited	DECEMBER	14-Aug-14
	Mainland Trust Limitd	DECEMBER	14-Aug-14
204	Union Capital Markets Limited	DECEMBER	14-Aug-14
205	Yobe Investment & Securities Limited	DECEMBER	14-Aug-14
206	Belfry Investment & Securities Ltd	JUNE	14-Aug-14
207	Capital Assets Limited	JUNE	14-Aug-14
208	Maxifund Investment & Securities Plc	DECEMBER	14-Aug-14
209	Security Swaps Limited	JUNE	14-Aug-14
210	Afrinvest Securities Limited	MARCH	14-Aug-14
211	Emi Capital Resources Ltd	JUNE	14-Aug-14
212	Primera Africa Securities Limited	DECEMBER	14-Aug-14
213	Kofana Securities Limited	DECEMBER	14-Aug-14
214	FundsMatrix & Assets management Itd	DECEMBER	14-Aug-14
215	PIPC Securities Limited	DECEMBER	14-Aug-14
216	Shelong Investment Limited	DECEMBER	14-Aug-14
217	Foresight Securities Limited	JUNE	14-Aug-14
218	Mayfield Investment Limited	DECEMBER	14-Aug-14
219	Options Securities Limited	DECEMBER	14-Aug-14
220	UIDC Securities Limited	DECEMBER	14-Aug-14

	INACTIVE AND SUBMITTED			
S/N	FIRMS	Year End	Due date	
1	Northbridge Invest & Stockbrokers Ltd	DECEMBER	14-Aug-14	
	Mainstreet Securities Limited	DECEMBER	14-Aug-14	
	INACTIVE AND NOT	SUBMITTED		
S/N	FIRMS	Year End	Due date	
1	Arian Capital Management Limited	DECEMBER	14-Aug-14	
2	ITIS Securities Limited	DECEMBER	14-Aug-14	
3	Bytofel Trust & Securities Limited	DECEMBER	14-Aug-14	
4	Vision Trust & Investment Limited	JUNE	14-Aug-14	
5	Summa Guaranty & Trust Company Ltd	DECEMBER	14-Aug-14	
	LB Securities Limited	DECEMBER	14-Aug-14	
7	Dakal Services Limited	DECEMBER	14-Aug-14	
8	Davandy Finance Limited	DECEMBER	14-Aug-14	
	Empire Securities Limited	DECEMBER	14-Aug-14	
10	First Alstate Securities Limited	DECEMBER	14-Aug-14	
11	Fittco Securities Limited	DECEMBER	14-Aug-14	
12	Mact Securities Limited	DECEMBER	14-Aug-14	
13	Midpoint Capital Limited	DECEMBER	14-Aug-14	
14	Omas Investment & Trust Co.Ltd	DECEMBER	14-Aug-14	
15	Peninsula Asset Mgt & Invest Co. Ltd	DECEMBER	14-Aug-14	
16	Platinum Capital Limited	DECEMBER	14-Aug-14	
17	Profund Securities Limited	DECEMBER	14-Aug-14	
18	Securities Trading & Invest Co. Ltd	DECEMBER	14-Aug-14	
19	Supra Commercial Trust Co. Ltd	DECEMBER	14-Aug-14	
20	Surport Services Limited	DECEMBER	14-Aug-14	
21	Wizetrade Capital & Assets Mgt Ltd	DECEMBER	14-Aug-14	
22	WT Securities Limited	DECEMBER	14-Aug-14	
23	Allbond Investment Limited	JUNE	14-Aug-14	
24	Consolidated Investments Limited	JUNE	14-Aug-14	
25	Lion Stockbrokers Limited	JUNE	14-Aug-14	
26	Professional Stockbrokers Ltd	JUNE	14-Aug-14	
27	Decanon Investment Limited	MARCH	14-Aug-14	
	Maninvest Asset Management Plc	MARCH	14-Aug-14	
	Monument Sec & Finance Ltd	MARCH	14-Aug-14	
30	Prudential Securities Limited	MARCH	14-Aug-14	
	Securities Solutions Limited	MARCH	14-Aug-14	
32	LMB Stockbrokers Limited	SEPTEMBER	14-Aug-14	

	ACTIVE AND NOT SUBMITTED			
S/N	FIRMS	Year End	Due date	
1	Edgefield Capital Management Limited	DECEMBER	14-Aug-14	

	FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 31ST JULY, 2014					
	ACTIVE AND SUBMITTED					
S/N	FIRMS	Year End	Due date			
1	Finmal Finance Services Limited	JULY	15-Sep-14			
2	PAC Securities Limited	APRIL	15-Sep-14			

	INACTIVE AND NOT SUBMITTED					
S/N	FIRM	Year End	Due date			
1	Zuma Securities Limited	JULY	15-Sep-14			

	FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 31ST AUGUST 2014					
	ACTIVE AND SUBMITTED					
S/N	FIRMS	Year End	DUE DATE			
1	Chartwell Securities Limited	May	15-Oct-14			
2	ARM Securities Limited	FEBRUARY	15-Oct-14			
3	Lambeth Trust & Investment Co. Ltd	FEBRUARY	15-Oct-14			
4	The Bridge Securities Limited	AUGUST	15-Oct-14			
5	Equity Capital Solutions Limited	AUGUST	15-Oct-14			

INACTIVE AND NOT SUBMITTED				
S/N	FIRMS	Year End	Due Date	
1	Midpoint Capital Limited	February	15-Oct-14	

### **Broker TraX**



**FSDH Securities Limited** 

### **Quarterly Returns**

#### FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 30TH SEPTEMBER, 2014

#### **ACTIVE AND SUBMITTED** S/N FIRMS Year End Due date Royal Crest Finance Limited DECEMBER 14-Nov-14 Imperial Assets Managers Limited DECEMBER 14-Nov-14 Arthur Steven Asset Management Ltd DECEMBER 14-Nov-14 DECEMBER Belfry Investment & Securities Ltd 14-Nov-14 Marimpex Finance & Investment Company Limited **DECEMBER** 14-Nov-14 Afrinvest Securities Limited DECEMBER 14-Nov-14 Fidelity Securities Limited DECEMBER 14-Nov-14 Nova Finance & Securities Limited DECEMBER 14-Nov-14 Chapel Hill Denham Securities Ltd DECEMBER 14-Nov-14 UIDC Securities Limited DECEMBER 14-Nov-14 GTI Securities Limited **DECEMBER** 11 14-Nov-14 MBC Securities Limited **DECEMBER** 14-Nov-14 13 Dominion Trust Limited **DECEMBER** 14-Nov-14 IMTL Securities Ltd DECEMBER 14-Nov-14 15 Primewealth Capital Limited **DECEMBER** 14-Nov-14 Pyramid Securities Limited DECEMBER 14-Nov-14 Adonai Stockbrokers Limited **DECEMBER** 14-Nov-14 Altrade Securities Limited DECEMBER 14-Nov-14 Springboard Trust & Investment Limited **DECEMBER** 14-Nov-14 Horizon Stockbrokers Limited DECEMBER 14-Nov-14 Perfecta Investment & Trust Limited DECEMBER 14-Nov-14 DECEMBER Global View Consult & Investment Limited 14-Nov-14 Pilot Securities Limited DECEMBER 23 14-Nov-14 Smadac Securities Limited DECEMBER 14-Nov-14 Networth Securities & Finance Ltd **DECEMBER** 14-Nov-14 PIPC Securities Limited **DECEMBER** 26 14-Nov-14 27 Transafrica Securities Limited **DECEMBER** 14-Nov-14 Securities and Capital Management Company Limited DECEMBER 14-Nov-14 Signet Investment & Securities Ltd DECEMBER 14-Nov-14 DECEMBER Global View Consult & Investment Limited 14-Nov-14 DECEMBER Deep Trust & Investment Limited 14-Nov-14 DECEMBER Cashcraft Asset Management Limited 14-Nov-14

**DECEMBER** 

14-Nov-14

34	Excel Securities Limited	DECEMBER	14-Nov-14
35	Fidelity Finance Company Limited	DECEMBER	14-Nov-14
36	Zenith Securities Limited	DECEMBER	14-Nov-14
37	Milestone Capital Management Ltd	DECEMBER	14-Nov-14
38	Global Asset Management (Nig) Ltd	DECEMBER	14-Nov-14
39	Mayen Asset Management Limited	DECEMBER	14-Nov-14
40	Crane Securities Limited	DECEMBER	14-Nov-14
41	City Code Trust & Invest Company Ltd	DECEMBER	14-Nov-14
42	FBC Trust & Securities Limited	DECEMBER	14-Nov-14
43	UBA Stockbrokers Limited	DECEMBER	14-Nov-14
44	Funds Matrix & Assets management ltd	DECEMBER	14-Nov-14
45	Maxifund Investment & Securities Plc	DECEMBER	14-Nov-14
46	First Integrated Capital management Ltd	DECEMBER	14-Nov-14
48	Resano Securities Limited	DECEMBER	14-Nov-14
49	FCSL Assets Mgt Coy Ltd	DECEMBER	14-Nov-14
50	Cradle Trust Finance & Securities Ltd	DECEMBER	14-Nov-14
51	Mutual Alliance Invest & Securities Limited	DECEMBER	14-Nov-14
52	Dependable Securities Limited	DECEMBER	14-Nov-14
53	Santrust Securities Limited	DECEMBER	14-Nov-14
54	Golden Securities Limited	DECEMBER	14-Nov-14
55	Rostrum Investment and Securities Limited	DECEMBER	14-Nov-14
56	Mercov Securities Limited	DECEMBER	14-Nov-14
57	Longterm Global Capital Ltd.	DECEMBER	14-Nov-14
58	Standard Alliance Capital & Asset Limited	DECEMBER	14-Nov-14
59	UNEX Capital Ltd	DECEMBER	14-Nov-14
60	Core Trust & Investment Limited	DECEMBER	14-Nov-14
61	Magnartis Finace & Investment Limited	DECEMBER	14-Nov-14
62	DSU Brokerage Services Ltimited	DECEMBER	14-Nov-14
63	Diamond Securities Limited	DECEMBER	14-Nov-14
64	Icon Stockbrokers Limited	DECEMBER	14-Nov-14
65	Capital Express Securities Limited	DECEMBER	14-Nov-14
66	Rainbow Securities Limited	DECEMBER	14-Nov-14
67	Skyview Capital Limited	DECEMBER	14-Nov-14
68	Solid Rock Securities & Investment Plc	DECEMBER	14-Nov-14
69	Express Portfolio Services Limited	DECEMBER	14-Nov-14
70	Century Securities Limited	DECEMBER	14-Nov-14
71	Securities Africa Financials Ltd	DECEMBER	14-Nov-14
72	Vetiva Securities Limited	DECEMBER	14-Nov-14
73	Royal Guaranty & Trust Limited	DECEMBER	14-Nov-14
74	Union Capital Markets Limited	DECEMBER	14-Nov-14
75	De-Lords Securities Limited	DECEMBER	14-Nov-14
76	Nigerian International Securities Ltd	DECEMBER	14-Nov-14
77	Kapital Care Trust & Securities Limited	DECEMBER	14-Nov-14

Morgan Capital Securities Limited	78	Molten Trust Limited	MARCH	14-Nov-14
FBN Securities limited  PEN Securities Limited  DECEMBER  14-Nov-1  Primera Africa Securities Limited  DECEMBER  14-Nov-1  TRW Stockbrokers Limited  DECEMBER  14-Nov-1  TRW Stockbrokers Limited  DECEMBER  14-Nov-1  TRW Stockbrokers Limited  DECEMBER  14-Nov-1  DECEM				
Valmon Securities Limited   DECEMBER   14-Nov-1				
82         Primera Africa Securities Limited         DECEMBER         14-Nov-1           83         TRW Stockbrokers Limited         DECEMBER         14-Nov-1           46         Forthright Securities & Investment Limited         DECEMBER         14-Nov-1           85         Transword Investment & Securities Limited         DECEMBER         14-Nov-1           86         Interstate Securities Limited         DECEMBER         14-Nov-1           87         Investment Shark & Asset Management Limited         DECEMBER         14-Nov-1           89         Compass Investment & Sec. Ltd         DECEMBER         14-Nov-1           90         TFS Securities & Investment & Sec. Ltd         DECEMBER         14-Nov-1           91         Cowny Securities Limited         DECEMBER         14-Nov-1           92         Cashville Investment & Sec. Ltd         DECEMBER         14-Nov-1           93         Kuncilla Finance Services Limited         DECEMBER         14-Nov-1           94         Gidauniya Investment & Sec. Ltd         DECEMBER         14-Nov-1           95         Tower Assets Management Limited         DECEMBER         14-Nov-1           96         Centre Point Investment Limited         MARCH         14-Nov-1           96         Centre Point Investm				
83 TRW Stockbrokers Limited				
Forthright Securities & Investment Limited DECEMBER 14-Nov-1 Transworld Investment & Securities Limited DECEMBER 14-Nov-1 Transworld Investment & Securities Limited DECEMBER 14-Nov-1 Transworld Investment Shark & Asset Management Limited DECEMBER 14-Nov-1 Transworld Investment Shark & Asset Management Limited DECEMBER 14-Nov-1 Transworld Investment Shark & Asset Management Limited DECEMBER 14-Nov-1 Transworld Investment & Sec. Ltd MARCH 14-Nov-1 Transworld MARCH 14-Nov-1 T			DECEMBER	
85 Transworld Investment & Securities Limited DECEMBER 14-Nov-1 86 Interstate Securities Limited DECEMBER 14-Nov-1 87 Investment Shark & Asset Management Limited DECEMBER 14-Nov-1 88 Regency Assets Management Ltd MARCH 14-Nov-1 89 Compass Investments & Sec. Ltd DECEMBER 14-Nov-1 90 TFS Securities & Investment Co. Ltd DECEMBER 14-Nov-1 91 Cowry Securities Limited DECEMBER 14-Nov-1 92 Cashville Investment & Securities Ltd DECEMBER 14-Nov-1 93 Kundita Finance Services Limited DECEMBER 14-Nov-1 94 Gidauniya Investment & Sec Ltd DECEMBER 14-Nov-1 95 Tower Assets Management Limited DECEMBER 14-Nov-1 96 Centre Point Investment Limited DECEMBER 14-Nov-1 97 Citi Investment Capital Limited MARCH 14-Nov-1 98 DBSL Securities Limited MARCH 14-Nov-1 100 ICMG Securities Limited MARCH 14-Nov-1 101 Mega Equities Limited MARCH 14-Nov-1 102 Investment Capital Limited MARCH 14-Nov-1 103 Pivot Trust & Investment Co. Limited MARCH 14-Nov-1 104 Investment Capital Limited MARCH 14-Nov-1 105 Emi Capital Resources Ltd MARCH 14-Nov-1 106 Form Capital Resources Ltd MARCH 14-Nov-1 107 Trust & Investment Co. Limited MARCH 14-Nov-1 108 Pivot Trust & Investment Co. Limited MARCH 14-Nov-1 109 Forte Financial Limited MARCH 14-Nov-1 100 Form Capital Resources Ltd JUNE 14-Nov-1 101 Maga Equities Limited MARCH 14-Nov-1 102 Form Capital Resources Ltd JUNE 14-Nov-1 103 Pivot Trust & Investment & Securities Limited SEPTEMBER 14-Nov-1 104 Admaraw Securities Limited SEPTEMBER 14-Nov-1 105 Investment & Securities Limited DECEMBER 14-Nov-1 107 Clearview Investment & Securities Limited DECEMBER 14-Nov-1 108 PML Securities Limited DECEMBER 14-Nov-1 109 FINANCE Limited DECEMBER 14-Nov-1 110 Admaraw Securities Limited DECEMBER 14-Nov-1 111 Alangmange Securities Limited DECEMBER 14-Nov-1 112 Strategy And Arbitrage Limited DECEMBER 14-Nov-1 113 Tiddo Securities Limited DECEMBER 14-Nov-1 114 Andrian Investment & Securities Limited DECEMBER 14-Nov-1 115 Alangmange Securities Limited DECEMBER 14-Nov-1 116 Amyn Investment & Securities Limited DECEMBER 14-Nov-1 117	84	Forthright Securities & Investment Limited	DECEMBER	
86 Interstate Securities Limited DECEMBER 14-Nov-1 87 Investment Shark & Asset Management Limited DECEMBER 14-Nov-1 88 Regency Assets Management Ltd MARCH 14-Nov-1 90 Compass Investments & Sec. Ltd DECEMBER 14-Nov-1 91 Cowry Securities & Investment Co. Ltd DECEMBER 14-Nov-1 92 Cashville Investment & Securities Limited DECEMBER 14-Nov-1 93 Kundila Finance Services Limited DECEMBER 14-Nov-1 94 Gidauniya Investment & Securities Ltd DECEMBER 14-Nov-1 95 Tower Assets Management Limited DECEMBER 14-Nov-1 96 Centre Point Investment Limited DECEMBER 14-Nov-1 97 Citi Investment Capital Limited MARCH 14-Nov-1 98 DBSL Securities Limited MARCH 14-Nov-1 100 ICMG Securities Limited MARCH 14-Nov-1 101 ICMG Securities Limited MARCH 14-Nov-1 102 Mission Securities Limited MARCH 14-Nov-1 103 PivoT Trust & Investment Co. Limited MARCH 14-Nov-1 104 Tomil Trust Limited MARCH 14-Nov-1 105 Emi Capital Resources Ltd MARCH 14-Nov-1 106 Tower Securities Limited MARCH 14-Nov-1 107 Tower Securities Limited MARCH 14-Nov-1 108 PML Securities Limited MARCH 14-Nov-1 109 FORE Securities Limited MARCH 14-Nov-1 100 Tower Securities Limited MARCH 14-Nov-1 101 Tower Securities Limited MARCH 14-Nov-1 102 Mission Securities Limited MARCH 14-Nov-1 103 PivoT Trust & Investment Co. Limited MARCH 14-Nov-1 104 Tomil Trust Limited MARCH 14-Nov-1 105 Emi Capital Resources Ltd JUNE 14-Nov-1 106 Fini Capital Resources Ltd JUNE 14-Nov-1 107 Clearview Investment & Securities Limited SEPTEMBER 14-Nov-1 108 PML Securities Company Limited SEPTEMBER 14-Nov-1 109 FBNQuest Securities Limited DECEMBER 14-Nov-1 110 Adamawa Securities Limited DECEMBER 14-Nov-1 111 Adamawa Securities Limited DECEMBER 14-Nov-1 112 Alangrange Securities Limited DECEMBER 14-Nov-1 113 Androiral Investment & Securities Limited DECEMBER 14-Nov-1 114 African Alliance Stockbrokers Ltd DECEMBER 14-Nov-1 115 Alangrange Securities Limited DECEMBER 14-Nov-1 116 Amyn Investment Limited DECEMBER 14-Nov-1 117 Alangrange Securities Limited DECEMBER 14-Nov-1 118 Appl Asset & Trust Limited DECEMBER 14-No	85		DECEMBER	
87         Investment Shark & Asset Management Limited         DECEMBER         14-Nov-1           88         Regency Assets Management Lid         MARCH         14-Nov-1           89         Compass Investment & Sec. Ltd         DECEMBER         14-Nov-1           90         TFS Securities & Investment Co. Ltd         DECEMBER         14-Nov-1           91         Cowry Securities Limited         DECEMBER         14-Nov-1           92         Cashville Investment & Securities Ltd         DECEMBER         14-Nov-1           93         Kundila Finance Services Limited         DECEMBER         14-Nov-1           94         Gidauniya Investment & Sec Ltd         DECEMBER         14-Nov-1           95         Tower Assets Management Limited         DECEMBER         14-Nov-1           96         Centre Point Investment Limited         MARCH         14-Nov-1           97         Citt Investment Capital Limited         MARCH         14-Nov-1           98         DESL Securities Limited         MARCH         14-Nov-1           100         ICMG Securities Limited         MARCH         14-Nov-1           101         Mega Equities Limited         MARCH         14-Nov-1           102         Mission Securities Limited         MARCH         14-Nov	86		DECEMBER	
88       Regency Assets Management Ltd       MARCH       14-Nov-1         89       Compass Investments & Sec. Ltd       DECEMBER       14-Nov-1         90       TFS Securities & Investment Co. Ltd       DECEMBER       14-Nov-1         91       Cowny Securities Limited       DECEMBER       14-Nov-1         92       Cashville Investment & Securities Ltd       DECEMBER       14-Nov-1         94       Giduaniya Investment & Sec Ltd       DECEMBER       14-Nov-1         95       Tower Assets Management Limited       DECEMBER       14-Nov-1         96       Centre Point Investment & Sec Ltd       DECEMBER       14-Nov-1         97       Citi Investment Capital Limited       MARCH       14-Nov-1         98       DBSL Securities Limited       MARCH       14-Nov-1         99       Forte Financial Limited       DECEMBER       14-Nov-1         10       ICMG Securities Limited       MARCH       14-Nov-1         10       IMGG Securities Limited       MARCH       14-Nov-1         101       Mega Equities Limited       MARCH       14-Nov-1         102       Mission Securities Limited       MARCH       14-Nov-1         103       Pivot Trust & Investment Co. Limited       MARCH       14-Nov-1	87	Investment Shark & Asset Management Limited	DECEMBER	
89 Compass Investments & Sec. Ltd 90 TFS Securities & Investment Co. Ltd 91 TFS Securities & Investment Co. Ltd 92 Cashville Investment & Securities Ltd 93 Cashville Investment & Securities Ltd 94 Cidauniya Investment & Sec Ltd 95 Tower Assets Management Limited 96 Centre Point Investment Limited 97 Citi Investment Capital Limited 98 DBSL Securities Limited 99 DBSL Securities Limited 99 Forte Financial Limited 90 DECEMBER 14-Nov-1 100 CMG Securities Limited 10 DECEMBER 14-Nov-1 101 Mega Equities Limited 10 DECEMBER 14-Nov-1 101 March 102 Mission Securities Limited 10 MARCH 14-Nov-1 101 Trust & Investment Co. Limited 10 DECEMBER 14-Nov-1 101 Trust Limited 10 DECEMBER 14-Nov-1 101 Trust Limited 14-Nov-1 102 March 14-Nov-1 103 Privot Trust & Investment Co. Limited 14-Nov-1 105 Emi Capital Resources Ltd 14-Nov-1 106 Securities Company Limited 17 December 18 PML Securities Limited 19 PML Securities Limited 19 PML Securities Limited 10 DECEMBER 11-Nov-1 10 Securities Company Limited 10 DECEMBER 11-Nov-1 10 Securities Company Limited 10 DECEMBER 11-Nov-1 10 Clearview Investment & Securities Limited 10 DECEMBER 11-Nov-1 10 Adamawa Securities Limited 10 DECEMBER 11-Nov-1 11 Stanwal Securities Limited 11 DECEMBER 11-Nov-1 11 Adamawa Securities Limited 11 DECEMBER 11-Nov-1 11 Arican Alliance Stockbrokers Ltd 12 DECEMBER 11-Nov-1 11 Alangrange Securities Limited 12 DECEMBER 11-Nov-1 11 Alangrange Securities Limited 13 DECEMBER 11-Nov-1 11 Alangrange Securities Limited 14 DECEMBER 11-Nov-1 11 Alangrange Securities Limited 15 DECEMBER 11-Nov-1 11 Alangrange Securities Limited 16 DECEMBER 11-Nov-1 11 Alangrange Securities Limited 17 DECEMBER 11-Nov-1 11 Alangrange Securities Limited 18 DECEMBER 11-Nov-1 11 Alangrange Securities Limited 19 DECEMBER 11-Nov-1 11 Alangrange Securities Limited 19 DECEMBER 11-Nov-1 11 Alangrange Se	88	Regency Assets Management Ltd	MARCH	
Tes Securities & Investment Co. Ltd  DECEMBER  14-Nov-1  Cowry Securities Limited  DECEMBER  14-Nov-1  Cashville Investment & Securities Ltd  DECEMBER  14-Nov-1  DECE	89	Compass Investments & Sec. Ltd	DECEMBER	14-Nov-14
91 Cowry Securities Limited DECEMBER 14-Nov-1 92 Cashville Investment & Securities Ltd DECEMBER 14-Nov-1 93 Kundila Finance Services Limited DECEMBER 14-Nov-1 94 Gidauniya Investment & Sec Ltd DECEMBER 14-Nov-1 95 Tower Assets Management Limited DECEMBER 14-Nov-1 96 Centre Point Investment Limited MARCH 14-Nov-1 97 Citi Investment Capital Limited MARCH 14-Nov-1 98 DBSL Securities Limited MARCH 14-Nov-1 100 ICMG Securities Limited DECEMBER 14-Nov-1 101 Mega Equities Limited MARCH 14-Nov-1 102 Mission Securities Limited MARCH 14-Nov-1 103 Pivot Trust & Investment Co. Limited MARCH 14-Nov-1 104 Tomil Trust Limited MARCH 14-Nov-1 105 Emi Capital Resources Ltd JUNE 14-Nov-1 106 Security Swaps Limited JUNE 14-Nov-1 107 Geavriew Investment & Securities Limited SEPTEMBER 14-Nov-1 108 PML Securities Company Limited SEPTEMBER 14-Nov-1 109 FBNQuest Securities Limited SEPTEMBER 14-Nov-1 109 FBNQuest Securities Limited SEPTEMBER 14-Nov-1 100 Adamawa Securities Limited DECEMBER 14-Nov-1 101 Atamawa Securities Limited DECEMBER 14-Nov-1 102 Atamawa Securities Limited DECEMBER 14-Nov-1 103 Flore Securities Limited DECEMBER 14-Nov-1 104 African Alliance Stockbrokers Ltd DECEMBER 14-Nov-1 105 Alangrange Securities Limited DECEMBER 14-Nov-1 107 Alangrange Securities Limited DECEMBER 14-Nov-1 108 Appl Asset & Trust Limited DECEMBER 14-Nov-1 109 APP Securities Limited DECEMBER 14-Nov-1 110 And Securities Limited DECEMBER 14-Nov-1 111 Annoria Investment & Securities Limited DECEMBER 14-Nov-1 111 Annoria Investment Limited DECEMBER 14-Nov-1 112 CECMBER 14-Nov-1 113 Appl Asset & Trust Limited DECEMBER 14-Nov-1 114 Appl Asset & Trust Limited DECEMBER 14-Nov-1 115 Appl Asset & Trust Limited DECEMBER 14-Nov-1 116 Appl Asset & Trust Limited DECEMBER 14-Nov-1 117 Anchora Investment & Securities Limited DECEMBER 14-Nov-1 118 Appl Asset & Trust Limited DECEMBER 14-Nov-1 119 APP Securities & Funds Limited DECEMBER 14-Nov-1	90	TFS Securities & Investment Co. Ltd	DECEMBER	
92     Cashville Investment & Securities Ltid     DECEMBER     14-Nov-1       93     Kundila Finance Services Limited     DECEMBER     14-Nov-1       94     Gidauniya Investment & Sec Ltd     DECEMBER     14-Nov-1       95     Tower Assets Management Limited     DECEMBER     14-Nov-1       96     Centre Point Investment Limited     MARCH     14-Nov-1       97     Citi Investment Capital Limited     MARCH     14-Nov-1       98     DBSL Securities Limited     MARCH     14-Nov-1       99     Forte Financial Limited     DECEMBER     14-Nov-1       102     Mission Securities Limited     MARCH     14-Nov-1       103     Mascin Securities Limited     MARCH     14-Nov-1       104     Mission Securities Limited     MARCH     14-Nov-1       103     Pivot Trust & Investment Co. Limited     MARCH     14-Nov-1       104     Tomil Trust Limited     MARCH     14-Nov-1       105     Emi Capital Resources Ltd     JUNE     14-Nov-1       106     Security Swaps Limited     JUNE     14-Nov-1       107     Clearview Investment & Securities Limited     SEPTEMBER     14-Nov-1       108     PML Securities Company Limited     SEPTEMBER     14-Nov-1       109     FBNQuest Securities Limited <th>91</th> <th>Cowry Securities Limited</th> <th>DECEMBER</th> <th></th>	91	Cowry Securities Limited	DECEMBER	
93 Kundila Finance Services Limited 94 Gidauniya Investment & Sec Ltd 95 Tower Assets Management Limited 96 Centre Point Investment Limited 97 Cit investment Capital Limited 98 DSSL Securities Limited 99 Forte Financial Limited 90 ICMG Securities Limited 90 ICMG Securities Limited 90 ICMG Securities Limited 91 MARCH 92 MARCH 93 MARCH 94 MARCH 95 Investment Co. Limited 96 MARCH 97 MARCH 98 MARCH 99 Forte Financial Limited 97 Investment Co. Limited 98 MARCH 99 Forte Financial Limited 99 Forte Financial Limited 90 ICMG Securities Limited 90 ICMG Securities Limited 90 MARCH 91 MARCH 91 MARCH 91 MARCH 92 MARCH 93 MARCH 94 MARCH 95 MARCH 96 MARCH 97 MARCH 97 Investment Co. Limited 97 Investment Co. Limited 98 MARCH 99 MARCH 99 MARCH 99 Forte Financial Limited 99 Forte Financial Limited 90 MARCH 90 M	92	Cashville Investment & Securities Ltd	DECEMBER	14-Nov-14
94 Gidauniya Investment & Sec Ltd 95 Tower Assets Management Limited 96 Centre Point Investment Limited 97 Cit Investment Capital Limited 98 MARCH 99 Forte Financial Limited 99 Forte Financial Limited 99 Forte Financial Limited 90 BSL Securities Limited 90 BSL Securities Limited 90 BSL Securities Limited 91 MARCH 93 MARCH 94 ANACH 95 Forte Financial Limited 96 ECEMBER 97 MARCH 98 MARCH 98 MARCH 98 MARCH 99 Forte Financial Limited 90 BCEMBER 90 MARCH 91 ANOV-1 90 ICMG Securities Limited 91 MARCH 94 MARCH 95 MARCH 96 MARCH 97 MARCH 97 MARCH 98 MARC	93	Kundila Finance Services Limited	DECEMBER	14-Nov-14
95       Tower Assets Management Limited       DECEMBER       14-Nov-1         96       Centre Point Investment Limited       MARCH       14-Nov-1         97       Citi Investment Capital Limited       MARCH       14-Nov-1         98       DBSL Securities Limited       MARCH       14-Nov-1         100       ICMG Securities Limited       DECEMBER       14-Nov-1         101       IMega Equities Limited       MARCH       14-Nov-1         102       Mission Securities Limited       MARCH       14-Nov-1         103       Pivot Trust & Investment Co. Limited       MARCH       14-Nov-1         104       Tomil Trust Limited       MARCH       14-Nov-1         105       Emi Capital Resources Ltd       JUNE       14-Nov-1         106       Security Swaps Limited       JUNE       14-Nov-1         107       Clearview Investment & Securities Limited       SEPTEMBER       14-Nov-1         107       Clearview Investment & Securities Limited       SEPTEMBER       14-Nov-1         108       PML Securities Company Limited       SEPTEMBER       14-Nov-1         109       FBNQuest Securities Limited       SEPTEMBER       14-Nov-1         110       Adamawa Securities Limited       DECEMBER       1	94	Gidauniya Investment & Sec Ltd	DECEMBER	14-Nov-14
96 Centre Point Investment Limited MARCH 14-Nov-1 97 Citi Investment Capital Limited MARCH 14-Nov-1 98 DBSL Securities Limited MARCH 14-Nov-1 99 Forte Financial Limited DECEMBER 14-Nov-1 100 ICMG Securities Limited MARCH 14-Nov-1 101 Mega Equities Limited MARCH 14-Nov-1 102 Mission Securities Limited MARCH 14-Nov-1 103 Pivot Trust & Investment Co. Limited MARCH 14-Nov-1 104 Tomil Trust Limited MARCH 14-Nov-1 105 Emi Capital Resources Ltd JUNE 14-Nov-1 106 Security Swaps Limited JUNE 14-Nov-1 107 Clearview Investment & Securities Limited SEPTEMBER 14-Nov-1 108 PML Securities Company Limited SEPTEMBER 14-Nov-1 109 PML Securities Company Limited SEPTEMBER 14-Nov-1 100 FBNQuest Securities Limited DECEMBER 14-Nov-1 110 Stanwal Securities Limited DECEMBER 14-Nov-1 111 Stanwal Securities Limited DECEMBER 14-Nov-1 112 Strategy And Arbitrage Limited DECEMBER 14-Nov-1 113 Tiddo Securities Limited DECEMBER 14-Nov-1 114 African Alliance Stockbrokers Ltd DECEMBER 14-Nov-1 115 Alangrange Securities Limited DECEMBER 14-Nov-1 116 Arnyn Investment & Securities Limited DECEMBER 14-Nov-1 117 Anchoria Investment & Securities Limited DECEMBER 14-Nov-1 118 Apel Asset & Trust Limited DECEMBER 14-Nov-1 119 APT Securities & Funds Limited DECEMBER 14-Nov-1 110 APT Securities & Funds Limited DECEMBER 14-Nov-1 1110 APT Securities & Funds Limited DECEMBER 14-Nov-1 112 APT Securities & Funds Limited DECEMBER 14-Nov-1 113 Apel Asset & Trust Limited DECEMBER 14-Nov-1 114 APT Securities & Funds Limited DECEMBER 14-Nov-1 115 APT Securities & Funds Limited DECEMBER 14-Nov-1 116 APT Securities & Funds Limited DECEMBER 14-Nov-1 117 Anchoria Investment & Securities Limited DECEMBER 14-Nov-1 118 Apel Asset & Trust Limited DECEMBER 14-Nov-1 119 APT Securities & Funds Limited DECEMBER 14-Nov-1 110 APT Securities & Funds Limited DECEMBER 14-Nov-1	95	Tower Assets Management Limited	DECEMBER	14-Nov-14
97 Citi Investment Capital Limited MARCH 14-Nov-1 98 DBSL Securities Limited MARCH 14-Nov-1 99 Forte Financial Limited DECEMBER 14-Nov-1 100 ICMG Securities Limited MARCH 14-Nov-1 101 Mega Equities Limited MARCH 14-Nov-1 102 Mission Securities Limited MARCH 14-Nov-1 103 Pivot Trust & Investment Co. Limited MARCH 14-Nov-1 104 Tomil Trust Limited MARCH 14-Nov-1 105 Emi Capital Resources Ltd JUNE 14-Nov-1 106 Security Swaps Limited JUNE 14-Nov-1 107 Clearview Investment & Securities Limited SEPTEMBER 14-Nov-1 108 PML Securities Company Limited SEPTEMBER 14-Nov-1 109 FBNQuest Securities Limited SEPTEMBER 14-Nov-1 100 Adamawa Securities Limited SEPTEMBER 14-Nov-1 110 Stanwal Securities Limited DECEMBER 14-Nov-1 111 Stanwal Securities Limited DECEMBER 14-Nov-1 112 Strategy And Arbitrage Limited DECEMBER 14-Nov-1 113 Tiddo Securities Limited DECEMBER 14-Nov-1 114 African Alliance Stockbrokers Ltd DECEMBER 14-Nov-1 115 Alangrange Securities Limited DECEMBER 14-Nov-1 116 Amyn Investment & Securities Limited DECEMBER 14-Nov-1 117 Anchoria Investment & Securities Limited DECEMBER 14-Nov-1 118 Apel Asset & Trust Limited DECEMBER 14-Nov-1 119 APT Securities & Funds Limited DECEMBER 14-Nov-1 110 APT Securities & Funds Limited DECEMBER 14-Nov-1 111 Appl Asset & Trust Limited DECEMBER 14-Nov-1 112 APT Securities & Funds Limited DECEMBER 14-Nov-1 113 Apel Asset & Trust Limited DECEMBER 14-Nov-1 114 APT Securities & Funds Limited DECEMBER 14-Nov-1 115 Apel Asset & Trust Limited DECEMBER 14-Nov-1 116 APT Securities & Funds Limited DECEMBER 14-Nov-1 117 Anchoria Investment & Securities Limited DECEMBER 14-Nov-1 118 Apel Asset & Trust Limited DECEMBER 14-Nov-1 119 APT Securities & Funds Limited DECEMBER 14-Nov-1 110 APT Securities & Funds Limited DECEMBER 14-Nov-1	96	Centre Point Investment Limited	MARCH	14-Nov-14
99         Forte Financial Limited         DECEMBER         14-Nov-1           100         ICMG Securities Limited         MARCH         14-Nov-1           101         Mega Equities Limited         MARCH         14-Nov-1           102         Mission Securities Limited         MARCH         14-Nov-1           103         Pivot Trust & Investment Co. Limited         MARCH         14-Nov-1           104         Tomil Trust Limited         MARCH         14-Nov-1           105         Emi Capital Resources Ltd         JUNE         14-Nov-1           106         Security Swaps Limited         JUNE         14-Nov-1           107         Clearview Investment & Securities Limited         SEPTEMBER         14-Nov-1           108         PML Securities Company Limited         SEPTEMBER         14-Nov-1           109         FBNQuest Securities Limited         SEPTEMBER         14-Nov-1           101         Adamawa Securities Limited         DECEMBER         14-Nov-1           110         Adamawa Securities Limited         DECEMBER         14-Nov-1           112         Strategy And Arbitrage Limited         DECEMBER         14-Nov-1           113         Tiddo Securities Limited         DECEMBER         14-Nov-1	97	Citi Investment Capital Limited	MARCH	14-Nov-14
99         Forte Financial Limited         DECEMBER         14-Nov-1           100         ICMG Securities Limited         MARCH         14-Nov-1           101         Mega Equities Limited         MARCH         14-Nov-1           102         Mission Securities Limited         MARCH         14-Nov-1           103         Pivot Trust & Investment Co. Limited         MARCH         14-Nov-1           104         Tomil Trust Limited         MARCH         14-Nov-1           105         Emi Capital Resources Ltd         JUNE         14-Nov-1           106         Security Swaps Limited         JUNE         14-Nov-1           107         Clearview Investment & Securities Limited         SEPTEMBER         14-Nov-1           108         PML Securities Company Limited         SEPTEMBER         14-Nov-1           109         FBNQuest Securities Limited         SEPTEMBER         14-Nov-1           109         FBNQuest Securities Limited         DECEMBER         14-Nov-1           110         Adamawa Securities Limited         DECEMBER         14-Nov-1           111         Stanwal Securities Limited         DECEMBER         14-Nov-1           112         Strategy And Arbitrage Limited         DECEMBER         14-Nov-1	98	DBSL Securities Limited	MARCH	14-Nov-14
Mega Equities Limited MARCH 14-Nov-1  102 Mission Securities Limited MARCH 14-Nov-1  103 Pivot Trust & Investment Co. Limited MARCH 14-Nov-1  104 Tomil Trust Limited MARCH 14-Nov-1  105 Emi Capital Resources Ltd JUNE 14-Nov-1  106 Security Swaps Limited JUNE 14-Nov-1  107 Clearview Investment & Securities Limited SEPTEMBER 14-Nov-1  108 PML Securities Company Limited SEPTEMBER 14-Nov-1  109 FBNQuest Securities Limited SEPTEMBER 14-Nov-1  110 Adamawa Securities Limited SEPTEMBER 14-Nov-1  120 Strategy And Arbitrage Limited DECEMBER 14-Nov-1  121 Strategy And Arbitrage Limited DECEMBER 14-Nov-1  122 African Alliance Stockbrokers Ltd DECEMBER 14-Nov-1  123 Alangrange Securities Limited DECEMBER 14-Nov-1  124 African Alliance Stockbrokers Ltd DECEMBER 14-Nov-1  125 Alangrange Securities Limited DECEMBER 14-Nov-1  126 Amyn Investment Limited DECEMBER 14-Nov-1  127 Anchoria Investment & Securities Limited DECEMBER 14-Nov-1  128 Apel Asset & Trust Limited DECEMBER 14-Nov-1  129 APT Securities & Funds Limited DECEMBER 14-Nov-1  130 APT Securities & Funds Limited DECEMBER 14-Nov-1  140 APT Securities & Funds Limited DECEMBER 14-Nov-1  151 APP Securities & Funds Limited DECEMBER 14-Nov-1  152 APT Securities & Funds Limited DECEMBER 14-Nov-1  153 APP Securities & Funds Limited DECEMBER 14-Nov-1  154 APP Securities & Funds Limited DECEMBER 14-Nov-1  155 APP Securities & Funds Limited DECEMBER 14-Nov-1  156 APP Securities & Funds Limited DECEMBER 14-Nov-1  157 APP Securities & Funds Limited DECEMBER 14-Nov-1  157 APP Securities & Funds Limited DECEMBER 14-Nov-1	99	Forte Financial Limited	DECEMBER	14-Nov-14
101Mega Equities LimitedMARCH14-Nov-1102Mission Securities LimitedMARCH14-Nov-1103Pivot Trust & Investment Co. LimitedMARCH14-Nov-1104Tomil Trust LimitedMARCH14-Nov-1105Emi Capital Resources LtdJUNE14-Nov-1106Security Swaps LimitedJUNE14-Nov-1107Clearview Investment & Securities LimitedSEPTEMBER14-Nov-1108PML Securities Company LimitedSEPTEMBER14-Nov-1109FBNQuest Securities LimitedSEPTEMBER14-Nov-1110Adamawa Securities LimitedDECEMBER14-Nov-1111Stanwal Securities LimitedDECEMBER14-Nov-1112Strategy And Arbitrage LimitedDECEMBER14-Nov-1113Tiddo Securities LimitedDECEMBER14-Nov-1114African Alliance Stockbrokers LtdDECEMBER14-Nov-1115Alangrange Securities LtdDECEMBER14-Nov-1116Amyn Investment LimitedDECEMBER14-Nov-1117Anchoria Investment & Securities LimitedDECEMBER14-Nov-1118Apel Asset & Trust LimitedDECEMBER14-Nov-1119APT Securities & Funds LimitedDECEMBER14-Nov-1119APT Securities & Funds LimitedDECEMBER14-Nov-1	100	ICMG Securities Limited	MARCH	14-Nov-14
103 Pivot Trust & Investment Co. Limited  104 Tomil Trust Limited  105 Emi Capital Resources Ltd  106 Security Swaps Limited  107 Clearview Investment & Securities Limited  108 PML Securities Company Limited  109 FBNQuest Securities Limited  109 FBNQuest Securities Limited  100 DECEMBER  100 DEC	101	Mega Equities Limited	MARCH	14-Nov-14
Tomil Trust Limited  MARCH  14-Nov-1  105 Emi Capital Resources Ltd  JUNE  14-Nov-1  106 Security Swaps Limited  JUNE  14-Nov-1  107 Clearview Investment & Securities Limited  SEPTEMBER  14-Nov-1  108 PML Securities Company Limited  SEPTEMBER  14-Nov-1  109 FBNQuest Securities Limited  SEPTEMBER  14-Nov-1  110 Adamawa Securities Limited  DECEMBER  14-Nov-1  111 Stanwal Securities Limited  DECEMBER  14-Nov-1  112 Strategy And Arbitrage Limited  DECEMBER  14-Nov-1  113 Tiddo Securities Limited  DECEMBER  14-Nov-1  114 African Alliance Stockbrokers Ltd  DECEMBER  14-Nov-1  115 Alangrange Securities Ltd  DECEMBER  14-Nov-1  116 Amyn Investment Limited  DECEMBER  14-Nov-1  117 Anchoria Investment & Securities Limited  DECEMBER  14-Nov-1  118 Apel Asset & Trust Limited  DECEMBER  14-Nov-1  119 APT Securities & Funds Limited  DECEMBER  14-Nov-1  110 DECEMBER  14-Nov-1  111 DECEMBER  14-Nov-1  112 DECEMBER  14-Nov-1  113 DECEMBER  14-Nov-1  114 Anchoria Investment & Securities Limited  DECEMBER  14-Nov-1  115 Apel Asset & Trust Limited  DECEMBER  14-Nov-1  116 DECEMBER  14-Nov-1  117 Anchoria Investment & Securities Limited  DECEMBER  14-Nov-1  118 Apel Asset & Trust Limited  DECEMBER  14-Nov-1  119 APT Securities & Funds Limited	102	Mission Securities Limited	MARCH	14-Nov-14
105 Emi Capital Resources Ltd 106 Security Swaps Limited 107 Clearview Investment & Securities Limited 108 PML Securities Company Limited 109 FBNQuest Securities Limited 109 FBNQuest Securities Limited 100 DECEMBER 100 DECEMBE	103	Pivot Trust & Investment Co. Limited	MARCH	14-Nov-14
106 Security Swaps Limited 107 Clearview Investment & Securities Limited 108 PML Securities Company Limited 109 FBNQuest Securities Limited 109 FBNQuest Securities Limited 100 Adamawa Securities Limited 100 DECEMBER 100 DECEMB	104	Tomil Trust Limited	MARCH	14-Nov-14
107 Clearview Investment & Securities Limited  108 PML Securities Company Limited  109 FBNQuest Securities Limited  109 FBNQuest Securities Limited  109 Clearview Investment & September  100 Adamawa Securities Limited  100 DECEMBER  100 DEC	105	Emi Capital Resources Ltd	JUNE	14-Nov-14
108 PML Securities Company Limited 109 FBNQuest Securities Limited 110 Adamawa Securities Limited 111 Stanwal Securities Limited 112 Strategy And Arbitrage Limited 113 Tiddo Securities Limited 114 African Alliance Stockbrokers Ltd 115 Alangrange Securities Ltd 116 Amyn Investment Limited 117 Anchoria Investment & Securities Limited 118 Apel Asset & Trust Limited 119 APT Securities & Funds Limited 110 SEPTEMBER 114-Nov-1 114 African Alliance Stockbrokers Ltd 115 Alangrange Securities Ltd 116 DECEMBER 117 Anchoria Investment & Securities Limited 118 Apel Asset & Trust Limited 119 APT Securities & Funds Limited 110 SEPTEMBER 114-Nov-1 115 Alangrange Securities Ltd 116 DECEMBER 117 Anchoria Investment & Securities Limited 118 Apel Asset & Trust Limited 119 APT Securities & Funds Limited 110 SEPTEMBER 114-Nov-1 117 Anchoria Investment & Securities Limited 118 Apel Asset & Trust Limited 119 APT Securities & Funds Limited 110 SEPTEMBER 114-Nov-1 111 Stanwal Securities Company 115 Alangrange Securities Ltd 116 DECEMBER 117 Anchoria Investment & Securities Limited 117 Anchoria Investment & Securities Limited 118 Apel Asset & Trust Limited 119 APT Securities & Funds Limited 110 SEPTEMBER 110 SEPTEMBER 114-Nov-1	106	Security Swaps Limited	JUNE	14-Nov-14
109 FBNQuest Securities Limited SEPTEMBER 14-Nov-1 110 Adamawa Securities Limited DECEMBER 14-Nov-1 111 Stanwal Securities Limited DECEMBER 14-Nov-1 112 Strategy And Arbitrage Limited DECEMBER 14-Nov-1 113 Tiddo Securities Limited DECEMBER 14-Nov-1 114 African Alliance Stockbrokers Ltd DECEMBER 14-Nov-1 115 Alangrange Securities Ltd DECEMBER 14-Nov-1 116 Amyn Investment Limited DECEMBER 14-Nov-1 117 Anchoria Investment & Securities Limited DECEMBER 14-Nov-1 118 Apel Asset & Trust Limited DECEMBER 14-Nov-1 119 APT Securities & Funds Limited DECEMBER 14-Nov-1 119 APT Securities & Funds Limited DECEMBER 14-Nov-1	107	Clearview Investment & Securities Limited	SEPTEMBER	14-Nov-14
110 Adamawa Securities Limited 111 Stanwal Securities Limited 112 Strategy And Arbitrage Limited 113 Tiddo Securities Limited 114 African Alliance Stockbrokers Ltd 115 Alangrange Securities Ltd 116 Amyn Investment Limited 117 Anchoria Investment & Securities Limited 118 Apel Asset & Trust Limited 119 APT Securities & Funds Limited 110 DECEMBER 110 DECEMBER 1110 DECEMBER 1111 DE	108	PML Securities Company Limited	SEPTEMBER	14-Nov-14
111 Stanwal Securities Limited DECEMBER 14-Nov-1 112 Strategy And Arbitrage Limited DECEMBER 14-Nov-1 113 Tiddo Securities Limited DECEMBER 14-Nov-1 114 African Alliance Stockbrokers Ltd DECEMBER 14-Nov-1 115 Alangrange Securities Ltd DECEMBER 14-Nov-1 116 Amyn Investment Limited DECEMBER 14-Nov-1 117 Anchoria Investment & Securities Limited DECEMBER 14-Nov-1 118 Apel Asset & Trust Limited DECEMBER 14-Nov-1 119 APT Securities & Funds Limited DECEMBER 14-Nov-1 119 APT Securities & Funds Limited DECEMBER 14-Nov-1	109	FBNQuest Securities Limited	SEPTEMBER	14-Nov-14
112 Strategy And Arbitrage Limited DECEMBER 14-Nov-1  113 Tiddo Securities Limited DECEMBER 14-Nov-1  114 African Alliance Stockbrokers Ltd DECEMBER 14-Nov-1  115 Alangrange Securities Ltd DECEMBER 14-Nov-1  116 Amyn Investment Limited DECEMBER 14-Nov-1  117 Anchoria Investment & Securities Limited DECEMBER 14-Nov-1  118 Apel Asset & Trust Limited DECEMBER 14-Nov-1  119 APT Securities & Funds Limited DECEMBER 14-Nov-1	110	Adamawa Securities Limited	DECEMBER	14-Nov-14
113Tiddo Securities LimitedDECEMBER14-Nov-1114African Alliance Stockbrokers LtdDECEMBER14-Nov-1115Alangrange Securities LtdDECEMBER14-Nov-1116Amyn Investment LimitedDECEMBER14-Nov-1117Anchoria Investment & Securities LimitedDECEMBER14-Nov-1118Apel Asset & Trust LimitedDECEMBER14-Nov-1119APT Securities & Funds LimitedDECEMBER14-Nov-1	111	Stanwal Securities Limited	DECEMBER	14-Nov-14
114 African Alliance Stockbrokers Ltd DECEMBER 14-Nov-1 115 Alangrange Securities Ltd DECEMBER 14-Nov-1 116 Amyn Investment Limited DECEMBER 14-Nov-1 117 Anchoria Investment & Securities Limited DECEMBER 14-Nov-1 118 Apel Asset & Trust Limited DECEMBER 14-Nov-1 119 APT Securities & Funds Limited DECEMBER 14-Nov-1	112	Strategy And Arbitrage Limited	DECEMBER	14-Nov-14
115 Alangrange Securities Ltd DECEMBER 14-Nov-1 116 Amyn Investment Limited DECEMBER 14-Nov-1 117 Anchoria Investment & Securities Limited DECEMBER 14-Nov-1 118 Apel Asset & Trust Limited DECEMBER 14-Nov-1 119 APT Securities & Funds Limited DECEMBER 14-Nov-1	113		DECEMBER	14-Nov-14
116Amyn Investment LimitedDECEMBER14-Nov-1117Anchoria Investment & Securities LimitedDECEMBER14-Nov-1118Apel Asset & Trust LimitedDECEMBER14-Nov-1119APT Securities & Funds LimitedDECEMBER14-Nov-1	114	African Alliance Stockbrokers Ltd	DECEMBER	14-Nov-14
117     Anchoria Investment & Securities Limited     DECEMBER     14-Nov-1       118     Apel Asset & Trust Limited     DECEMBER     14-Nov-1       119     APT Securities & Funds Limited     DECEMBER     14-Nov-1	115	Alangrange Securities Ltd	DECEMBER	14-Nov-14
118Apel Asset & Trust LimitedDECEMBER14-Nov-1119APT Securities & Funds LimitedDECEMBER14-Nov-1	116	•	DECEMBER	14-Nov-14
119 APT Securities & Funds Limited DECEMBER 14-Nov-1	117	Anchoria Investment & Securities Limited	DECEMBER	14-Nov-14
14100-1	118	•	DECEMBER	14-Nov-14
120 Associated Asset Managers Limited   DECEMBER     14-Nov-1	119	APT Securities & Funds Limited	DECEMBER	14-Nov-14
	120	Associated Asset Managers Limited	DECEMBER	14-Nov-14

121	Atlass Portfolio Limited	DECEMBER	14-Nov-14
122	Bestlink Investment Limited	DECEMBER	14-Nov-14
123	Bestworth Assets & Trust Limited	DECEMBER	14-Nov-14 14-Nov-14
_	BGL Securities Limited	DECEMBER	
	Cadington Securities Limited	DECEMBER	14-Nov-14
126	Calvx Securities Limited	DECEMBER	14-Nov-14
127	Tower Securities & Investment Company Limited	DECEMBER	14-Nov-14
127	Camry Securities Limited	DECEMBER	14-Nov-14
	Capital Assets Limited	DECEMBER	14-Nov-14
	•		14-Nov-14
	Capital Bancorp Limited	DECEMBER	14-Nov-14
131	Capital Trust Brokers Limited	DECEMBER	14-Nov-14
132	Cardinal Stone Securities Limited	DECEMBER	14-Nov-14
133	CDL Capital Markets Ltd	DECEMBER	14-Nov-14
134	Cordros Capital Limited	DECEMBER	14-Nov-14
135	Covenant Securities & Investment Management	DECEMBER	14-Nov-14
136	Crossworld Securities Ltd	DECEMBER	14-Nov-14
137	Crown Capital Limited	DECEMBER	14-Nov-14
138	CSL Stockbrokers Ltd	DECEMBER	14-Nov-14
139	Dunbell Securities Limited	DECEMBER	14-Nov-14
140	Dunn Loren Merrifield Securities Ltd	DECEMBER	14-Nov-14
141	Dynamic Portfolio Limited	DECEMBER	14-Nov-14
142	ECL Asset Management Limited	DECEMBER	14-Nov-14
143	EDC Securities Limited	DECEMBER	14-Nov-14
144	Edgefield Capital Management Limited	DECEMBER	14-Nov-14
145	Elixir Securities Ltd	DECEMBER	14-Nov-14
146	Emerging Capital Limited	DECEMBER	14-Nov-14
147	Enterprise Stockbrokers Limited	DECEMBER	14-Nov-14
148	Eurocomm Securities Limited	DECEMBER	14-Nov-14
149	Express Discount Asset Mgt Ltd	DECEMBER	14-Nov-14
150	Financial Trust Company Limited	DECEMBER	14-Nov-14
151	Finbank Securities & Assets Management Limited	DECEMBER	14-Nov-14
152	First Stockbrokers Limited	DECEMBER	14-Nov-14
153	FIS Securities Limited	DECEMBER	14-Nov-14
154	Foresight Securities & Investment Limited	DECEMBER	14-Nov-14
155	Fortress Capital Limited	DECEMBER	14-Nov-14
156	Future view Securities Limited	DECEMBER	14-Nov-14
157	Gem Assets Management Ltd	DECEMBER	14-Nov-14
158	GMT Securities & Asset Mgt Limited	DECEMBER	14-Nov-14
159	Greenwich Securities Limited	DECEMBER	14-Nov-14
160	Harmony Investment & Securities Limited	DECEMBER	14-Nov-14
161	Heartbeat Investment Limited	DECEMBER	14-Nov-14
			14 1004-14

460	Hedre Committee 9 Increases to the	DECEMBER	
	Hedge Securities & Investment Ltd	-	14-Nov-14
	Heritage Capital Markets Limited	DECEMBER	14-Nov-14
	Independent Securities Limited	DECEMBER	14-Nov-14
	Integrated Trust & Investments Limited	DECEMBER	14-Nov-14
	International Standard Securities Limited	DECEMBER	14-Nov-14
167	Investment Centre Limited	DECEMBER	14-Nov-14
	Investment One Stockbrokers Int'l Ltd	DECEMBER	14-Nov-14
	Investors & Trust Company Limited	DECEMBER	14-Nov-14
	Falcon Securities Limited	DECEMBER	14-Nov-14
171	Kedari Securities Limited	DECEMBER	14-Nov-14
172	Kinley Finance Service Limited	DECEMBER	14-Nov-14
173	Kofana Securities Limited	DECEMBER	14-Nov-14
174	Lead Securities & Invests Ltd	DECEMBER	14-Nov-14
175	Lighthouse Asset Management Limited	DECEMBER	14-Nov-14
176	Magnartis Finace & Investment Limited	DECEMBER	14-Nov-14
177	Mainland Trust Limitd	DECEMBER	14-Nov-14
178	Marina Securities Stockbroking Services Limited	DECEMBER	14-Nov-14
179	Marriot Securities Limited	DECEMBER	14-Nov-14
180	Mayfield Investment Limited	DECEMBER	14-Nov-14
181	MBL Financial Services Limited	DECEMBER	14-Nov-14
182	MC-Finerco Investment	DECEMBER	14-Nov-14
183	Meristem Securities Ltd	DECEMBER	14-Nov-14
184	Midas Stockbrokers Limited	DECEMBER	14-Nov-14
185	Mountain Investment & Securities Limited	DECEMBER	14-Nov-14
186	Newdevco Invests & Sec. Co. Ltd	DECEMBER	14-Nov-14
187	Nigerian Stockbrokers Limited	DECEMBER	14-Nov-14
188	Partnership Securities Limited	DECEMBER	14-Nov-14
189	Peace Capital Market Limited	DECEMBER	14-Nov-14
190	Phronesis Securities Limited	DECEMBER	14-Nov-14
191	Pinefields Investment Services Limited	DECEMBER	14-Nov-14
192	Portfolio Advisers Limited	DECEMBER	14-Nov-14
193	Prominent Securities Limited	DECEMBER	14-Nov-14
194	PSI Securities Limited	DECEMBER	14-Nov-14
195	Quantum Securities Limited	DECEMBER	14-Nov-14
196	Readings Investment Limited	DECEMBER	14-Nov-14
197	Redasel Investment Ltd	DECEMBER	14-Nov-14
198	Rencap Securities (Nig) Limited	DECEMBER	14-Nov-14
199	Reward Investment & Services Limited	DECEMBER	14-Nov-14
200	Rowet Capital Mgt Limited	DECEMBER	14-Nov-14
201	Royal Trust Securities Limited	DECEMBER	14-Nov-14
202	SFC Securities Limited	DECEMBER	14-Nov-14

203	Shelong Investment Limited	DECEMBER	14-Nov-14
204	Sigma Securities Limited	DECEMBER	14-Nov-14
205	Spring Trust & Securities Limited	DECEMBER	14-Nov-14
206	Stanbic IBTC Stockbrokers Limited	DECEMBER	14-Nov-14
207	Standard Union Securities Limited	DECEMBER	14-Nov-14
208	Topmost Securities Limited	DECEMBER	14-Nov-14
209	Trade Link Securities Ltd	DECEMBER	14-Nov-14
210	Traders Trust and Investment Company Ltd	DECEMBER	14-Nov-14
211	Trust Yields Securities Limited	DECEMBER	14-Nov-14
212	Trusthouse Investment Limited	DECEMBER	14-Nov-14
213	Valueline Securities & Investments Limited	DECEMBER	14-Nov-14
214	Woodland Capital Markets Plc	DECEMBER	14-Nov-14
215	WSTC Financial Services Limited	DECEMBER	14-Nov-14
216	Yobe Investment & Securities Limited	DECEMBER	14-Nov-14
217	Yuderb Investment & Securities Ltd	DECEMBER	14-Nov-14
218	Options Securities Limited	DECEMBER	14-Nov-14

	INACTIVE AND SUBMITTED		
S/N	FIRMS	Year End	Due date
1	Shalom Investment & Financial services limited	DECEMBER	14-Nov-14
2	Bytofel Trust & Securities Limited	DECEMBER	14-Nov-14
3	Mainstreet Securities Limited	DECEMBER	14-Nov-14
4	Decanon Investment Limited	MARCH	14-Nov-14
5	Arian Capital Management Limited	DECEMBER	14-Nov-14
6	Summa Guaranty & Trust Company Ltd	DECEMBER	14-Nov-14
7	Vision Trust & Investment Limited	JUNE	14-Aug-14
8	ITIS Securities Limited	DECEMBER	14-Nov-14
9	Anchorage Securities & Finance Limited	DECEMBER	14-Nov-14
10	Aims Asset Management Limited	DECEMBER	14-Nov-14
11	Northbridge Invest & Stockbrokers Ltd	DECEMBER	14-Nov-14
12	LB Securities Limited	DECEMBER	14-Nov-14

2 Monument Sec & Finance Ltd 3 Prudential Securities Limited 4 Securities Solutions Limited 4 Securities Solutions Limited 5 Allbond Investment Limited 6 Consolidated Investments Limited 7 Lion Stockbrokers Limited 8 Midland Capital Markets Limited 9 Professional Stockbrokers Ltd 10 LMB Stockbrokers Limited 11 Dakal Services Limited 12 Davandy Finance Limited 13 Empire Securities Limited 14 First Alstate Securities Limited 15 Fitto Securities Limited 16 DECEMBER 16 Nov-14 17 Midpoint Capital Limited 18 DECEMBER 19 Professional Stockbrokers Ltd 19 Professional Stockbrokers Ltd 10 LMB Stockbrokers Limited 11 Dakal Services Limited 12 Davandy Finance Limited 13 Empire Securities Limited 14 First Alstate Securities Limited 15 Fitto Securities Limited 16 Mact Securities Limited 17 Midpoint Capital Limited 18 Omas Investment & Trust Co.Ltd 19 Peninsula Asset Mgt & Invest Co. Ltd 20 Planum Capital Limited 21 DECEMBER 22 Securities Limited 23 Supra Commercial Trust Co. Ltd 24 Surport Services Limited 25 Wizetrade Capital & Assets Mgt Ltd 26 Wizetrade Capital & Assets Mgt Ltd 27 Wizetrade Capital & Assets Mgt Ltd 28 Wizetrade Capital & Assets Mgt Ltd 29 Vizetrade Capital & Assets Mgt Ltd 20 DECEMBER 21 Profund Securities Limited 20 DECEMBER 21 Profund Securities Limited 21 DECEMBER 22 Securities Trading & Invest Co. Ltd 23 Supra Commercial Trust Co. Ltd 24 Surport Services Limited 25 Wizetrade Capital & Assets Mgt Ltd	INACTIVE AND NOT SUBMITTED				
2 Monument Sec & Finance Ltd 3 Prudential Securities Limited 4 Securities Solutions Limited 5 Allbond Investment Limited 6 Consolidated Investments Limited 7 Lion Stockbrokers Limited 9 JUNE 14-Nov-14 15 Midland Capital Markets Limited 10 JUNE 14-Nov-14 17 Lion Stockbrokers Limited 11 JUNE 14-Nov-14 19 Professional Stockbrokers Ltd 10 JUNE 14-Nov-14 10 LMB Stockbrokers Limited 11 Dakal Services Limited 12 Davandy Finance Limited 13 Empire Securities Limited 14 First Alstate Securities Limited 15 Fitto Securities Limited 16 Mact Securities Limited 17 Midpoint Capital Limited 18 Omas Investment & Trust Co.Ltd 19 Peninsula Asset Mgt & Invest Co. Ltd 19 Peninsula Asset Mgt & Invest Co. Ltd 20 Securities Limited 20 PeceMBER 21 -Nov-14 22 Securities Limited 21 DECEMBER 22 Securities Limited 23 Supra Commercial Trust Co. Ltd 24 Surport Services Limited 25 Wizetrade Capital & Assets Mgt Ltd 26 Vizetrade Capital & Assets Mgt Ltd 27 Surject Securities Limited 38 DECEMBER 39 Peninsula Asset Mgt & Invest Co. Ltd 39 DECEMBER 30 Peninsula Asset Mgt & Invest Co. Ltd 30 DECEMBER 31 Peninsula Asset Mgt & Invest Co. Ltd 31 DECEMBER 32 Surport Services Limited 33 Surpa Commercial Trust Co. Ltd 34 DECEMBER 35 Peninsula Asset Mgt & Invest Co. Ltd 36 DECEMBER 36 Peninsula Asset Mgt & Invest Co. Ltd 37 DECEMBER 38 Peninsula Asset Mgt & Invest Co. Ltd 39 DECEMBER 30 DECEMBER 31 Peninsula Asset Mgt & Invest Co. Ltd 40 DECEMBER 41 Pov-14 42 Surport Services Limited 41 DECEMBER 41 Pov-14 42 Surport Services Limited 42 Securities Trading & Invest Co. Ltd 41 DECEMBER 41 Pov-14 42 Surport Services Limited 42 DECEMBER 41 Pov-14 43 Surport Services Limited 44 PECEMBER 44 Pov-14 45 Surport Services Limited 45 DECEMBER 46 Peninsula Asset Mgt Ltd 47 Peninsula Asset Mgt Ltd	S/N	FIRMS	Year End	Due date	
3 Prudential Securities Limited MARCH 14-Nov-14 4 Securities Solutions Limited MARCH 14-Nov-14 5 Allbond Investment Limited JUNE 14-Nov-14 6 Consolidated Investments Limited JUNE 14-Nov-14 7 Lion Stockbrokers Limited JUNE 14-Nov-14 8 Midland Capital Markets Limited JUNE 14-Nov-14 19 Professional Stockbrokers Ltd JUNE 14-Nov-14 10 LMB Stockbrokers Limited JUNE 14-Nov-14 11 Dakal Services Limited SEPTEMBER 14-Nov-14 12 Davandy Finance Limited DECEMBER 14-Nov-14 13 Empire Securities Limited DECEMBER 14-Nov-14 14 First Alstate Securities Limited DECEMBER 14-Nov-14 15 Fittoo Securities Limited DECEMBER 14-Nov-14 16 Mact Securities Limited DECEMBER 14-Nov-14 17 Midpoint Capital Limited DECEMBER 14-Nov-14 18 Omas Investment & Trust Co.Ltd DECEMBER 14-Nov-14 19 Peninsula Asset Mgt & Invest Co. Ltd DECEMBER 14-Nov-14 20 Platinum Capital Limited DECEMBER 14-Nov-14 21 Profund Securities Limited DECEMBER 14-Nov-14 22 Securities Trading & Invest Co. Ltd DECEMBER 14-Nov-14 23 Supra Commercial Trust Co. Ltd DECEMBER 14-Nov-14 24 Surport Services Limited DECEMBER 14-Nov-14 25 Wizetrade Capital & Assets Mgt Ltd DECEMBER 14-Nov-14 26 Wizetrade Capital & Assets Mgt Ltd DECEMBER 14-Nov-14 27 Wizetrade Capital & Assets Mgt Ltd DECEMBER 14-Nov-14 28 Wizetrade Capital & Assets Mgt Ltd DECEMBER 14-Nov-14 29 Wizetrade Capital & Assets Mgt Ltd DECEMBER 14-Nov-14 20 Wizetrade Capital & Assets Mgt Ltd DECEMBER 14-Nov-14 21 Wizetrade Capital & Assets Mgt Ltd DECEMBER 14-Nov-14 22 Wizetrade Capital & Assets Mgt Ltd DECEMBER 14-Nov-14 25 Wizetrade Capital & Assets Mgt Ltd	1	Maninvest Asset Management Plc	MARCH	14-Nov-14	
4 Securities Solutions Limited 5 Allbond Investment Limited 6 Consolidated Investments Limited 7 Lion Stockbrokers Limited 8 Midland Capital Markets Limited 9 Professional Stockbrokers Ltd 10 LMB Stockbrokers Limited 11 Dakal Services Limited 12 Davandy Finance Limited 13 Empire Securities Limited 14 First Alstate Securities Limited 15 Fittoo Securities Limited 16 Mact Securities Limited 17 Midpoint Capital Limited 18 Omas Investment & Trust Co. Ltd 19 Peninsula Asset Mgt & Invest Co. Ltd 20 EVEMBER 21 Lanov-14 22 Securities Trading & Invest Co. Ltd 24 Surport Services Limited 25 DECEMBER 26 Lanov-14 27 Surport Services Limited 26 DECEMBER 27 Lanov-14 28 Wizetrade Capital & Assets Mgt Ltd 27 Midport Capital Limited 28 DECEMBER 29 Lanov-14 20 Platinum Capital Limited 29 DECEMBER 20 Lanov-14 21 Profund Securities Limited 29 DECEMBER 20 Lanov-14 21 Profund Securities Limited 20 DECEMBER 21 Lanov-14 22 Securities Trading & Invest Co. Ltd 29 DECEMBER 20 DECEMBER 21 Lanov-14 21 Surport Services Limited 29 DECEMBER 20 Lanov-14 21 Surport Services Limited 20 DECEMBER 21 Lanov-14 22 Surport Services Limited 21 DECEMBER 22 Surport Services Limited 23 Surport Services Limited 34 DECEMBER 34 Nov-14 35 Wizetrade Capital & Assets Mgt Ltd	2	Monument Sec & Finance Ltd	MARCH	14-Nov-14	
5 Allbond Investment Limited  6 Consolidated Investments Limited  7 Lion Stockbrokers Limited  8 Midland Capital Markets Limited  9 Professional Stockbrokers Ltd  10 LMB Stockbrokers Limited  11 Dakal Services Limited  12 Davandy Finance Limited  13 Empire Securities Limited  14 First Alstate Securities Limited  15 Fittoo Securities Limited  16 Mact Securities Limited  17 Midpoint Capital Limited  18 Omas Investment & Trust Co. Ltd  19 Perofessional Stockbrokers Ltd  20 DECEMBER  21 A-Nov-14  21 Davandy Finance Limited  21 December  22 Securities Limited  23 Supra Commercial Trust Co. Ltd  24 Surport Services Limited  25 DECEMBER  26 Limited  27 DECEMBER  28 Limited  29 DECEMBER  20 DECEMBER  21 Limited  20 DECEMBER  21 Limited  20 DECEMBER  21 Limited  20 DECEMBER  21 Limited  21 DECEMBER  22 DECEMBER  23 Limited  24 Nov-14  25 Securities Trading & Invest Co. Ltd  26 DECEMBER  27 Limited  28 Surport Services Limited  29 DECEMBER  20 DECEMBER  21 Limited  20 DECEMBER  21 Limited  21 DECEMBER  22 Securities Trading & Invest Co. Ltd  23 Supra Commercial Trust Co. Ltd  24 Surport Services Limited  25 Wizetrade Capital & Assets Mgt Ltd  26 DECEMBER  27 Limited  28 DECEMBER  29 Limited  19 DECEMBER  10 Limited  10 DECEMBER  10 Limited  10 DECEMBER  11 Limited  12 DECEMBER  13 Limited  14 Limited  15 DECEMBER  16 Limited  17 Limited  18 DECEMBER  18 Limited  19 DECEMBER  19 Limited  10 DECEMBER  10 Limited  10 DECEMBER  11 Limited  11 Limited  12 DECEMBER  13 Limited  14 Limited  15 DECEMBER  16 Limited  17 Limited  18 DECEMBER  18 Limited  19 DECEMBER  10 Limited  10 DECEMBER  10 Limited  10 DECEMBER  11 Limited  12 DECEMBER  13 Limited  14 Limited  15 Limited  16 Limited  17 Limited  18 Limited  19 DECEMBER  10 Limited  11 Limited  12 Limited  13 Limited  14 Limited  14 Limited  15 Limited  16 Limited  17 Limited  18 Limited  19 Limited  10 Limited  10 Limited  10 Limited  10 Limited	3	Prudential Securities Limited	MARCH	14-Nov-14	
6 Consolidated Investments Limited  7 Lion Stockbrokers Limited  8 Midland Capital Markets Limited  9 Professional Stockbrokers Ltd  10 LMB Stockbrokers Limited  11 Dakal Services Limited  12 Davandy Finance Limited  13 Empire Securities Limited  14 First Alstate Securities Limited  15 Fitto Securities Limited  16 Mact Securities Limited  17 Midpoint Capital Limited  18 Omas Investment & Trust Co. Ltd  19 Peninsula Asset Mgt & Invest Co. Ltd  20 September  21 JUNE  22 June  23 Supra Commercial Trust Co. Ltd  24 Surport Services Limited  25 June  26 June  27 June  28 June  29 June  14-Nov-14  15 June  16 June  17 June  18 June  19 June  19 June  19 June  10 June	4	Securities Solutions Limited	MARCH	14-Nov-14	
7 Lion Stockbrokers Limited  8 Midland Capital Markets Limited  9 Professional Stockbrokers Ltd  10 LMB Stockbrokers Limited  11 Dakal Services Limited  12 Davandy Finance Limited  13 Empire Securities Limited  14 Nov-14  15 Fitto Securities Limited  16 Mact Securities Limited  17 Midpoint Capital Limited  18 Omas Investment & Trust Co.Ltd  19 Peninsula Asset Mgt & Invest Co. Ltd  20 Platinum Capital Limited  21 DECEMBER  22 Securities Limited  23 Supra Commercial Trust Co. Ltd  24 Surport Services Limited  25 Wizetrade Capital & Assets Mgt Ltd  26 LMB Stockbrokers Limited  27 JUNE  14 14-Nov-14  15 Profund Securities Limited  16 JUNE  17 JUNE  18 JUN	5	Allbond Investment Limited	JUNE	14-Nov-14	
Midland Capital Markets Limited  Professional Stockbrokers Ltd  JUNE  14-Nov-14  LMB Stockbrokers Limited  DECEMBER  14-Nov-14  Davandy Finance Limited  DECEMBER  DECEMBER  14-Nov-14  First Alstate Securities Limited  DECEMBER  DECEMBER  14-Nov-14  First Alstate Securities Limited  DECEMBER  DECEMBER  14-Nov-14  Mact Securities Limited  DECEMBER  DECEMBER  14-Nov-14  Midpoint Capital Limited  DECEMBER  DECEMBER  14-Nov-14  DECEMBER  DECEMBER  14-Nov-14  DECEMBER  DECEMBER  DECEMBER  14-Nov-14  DECEMBER  DECEMBE	6	Consolidated Investments Limited	JUNE	14-Nov-14	
9 Professional Stockbrokers Ltd JUNE 14-Nov-14 10 LMB Stockbrokers Limited SEPTEMBER 14-Nov-14 11 Dakal Services Limited DECEMBER 14-Nov-14 12 Davandy Finance Limited DECEMBER 14-Nov-14 13 Empire Securities Limited DECEMBER 14-Nov-14 14 First Alstate Securities Limited DECEMBER 14-Nov-14 15 Fittco Securities Limited DECEMBER 14-Nov-14 16 Mact Securities Limited DECEMBER 14-Nov-14 17 Midpoint Capital Limited DECEMBER 14-Nov-14 18 Omas Investment & Trust Co.Ltd DECEMBER 14-Nov-14 19 Peninsula Asset Mgt & Invest Co. Ltd DECEMBER 14-Nov-14 20 Platinum Capital Limited DECEMBER 14-Nov-14 21 Profund Securities Limited DECEMBER 14-Nov-14 22 Securities Trading & Invest Co. Ltd DECEMBER 14-Nov-14 23 Supra Commercial Trust Co. Ltd DECEMBER 14-Nov-14 24 Surport Services Limited DECEMBER 14-Nov-14 25 Wizetrade Capital & Assets Mgt Ltd DECEMBER 14-Nov-14 26 Wizetrade Capital & Assets Mgt Ltd DECEMBER 14-Nov-14 27 Wizetrade Capital & Assets Mgt Ltd DECEMBER 14-Nov-14 28 Wizetrade Capital & Assets Mgt Ltd DECEMBER 14-Nov-14 29 Wizetrade Capital & Assets Mgt Ltd DECEMBER 14-Nov-14 20 Wizetrade Capital & Assets Mgt Ltd DECEMBER 14-Nov-14 21 Wizetrade Capital & Assets Mgt Ltd DECEMBER 14-Nov-14 22 Wizetrade Capital & Assets Mgt Ltd DECEMBER 14-Nov-14	7	Lion Stockbrokers Limited	JUNE	14-Nov-14	
10 LMB Stockbrokers Limited SEPTEMBER 14-Nov-14 11 Dakal Services Limited DECEMBER 14-Nov-14 12 Davandy Finance Limited DECEMBER 14-Nov-14 13 Empire Securities Limited DECEMBER 14-Nov-14 14 First Alstate Securities Limited DECEMBER 14-Nov-14 15 Fitto Securities Limited DECEMBER 14-Nov-14 16 Mact Securities Limited DECEMBER 14-Nov-14 17 Midpoint Capital Limited DECEMBER 14-Nov-14 18 Omas Investment & Trust Co.Ltd DECEMBER 14-Nov-14 19 Peninsula Asset Mgt & Invest Co. Ltd DECEMBER 14-Nov-14 20 Platinum Capital Limited DECEMBER 14-Nov-14 21 Profund Securities Limited DECEMBER 14-Nov-14 22 Securities Trading & Invest Co. Ltd DECEMBER 14-Nov-14 23 Supra Commercial Trust Co. Ltd DECEMBER 14-Nov-14 24 Surport Services Limited DECEMBER 14-Nov-14 25 Wizetrade Capital & Assets Mgt Ltd DECEMBER 14-Nov-14	8	Midland Capital Markets Limited	JUNE	14-Nov-14	
11 Dakal Services Limited 12 Davandy Finance Limited 13 Empire Securities Limited 14 First Alstate Securities Limited 15 Fittoo Securities Limited 16 Mact Securities Limited 17 Midpoint Capital Limited 18 Omas Investment & Trust Co.Ltd 19 Peninsula Asset Mgt & Invest Co. Ltd 20 Platinum Capital Limited 21 Profund Securities Limited 22 Securities Limited 23 Supra Commercial Trust Co. Ltd 25 Wizetrade Capital & Assets Mgt Ltd 26 DECEMBER 27 14-Nov-14 28 Urgort Services Limited 29 DECEMBER 20 14-Nov-14 20 DECEMBER 21 14-Nov-14 22 DECEMBER 23 Supra Commercial Trust Co. Ltd 26 DECEMBER 27 14-Nov-14 28 Urgort Services Limited 29 DECEMBER 20 14-Nov-14 21 DECEMBER 23 Supra Commercial Trust Co. Ltd 26 DECEMBER 27 14-Nov-14 28 Urgort Services Limited 30 DECEMBER 31 14-Nov-14 32 Supra Commercial & Assets Mgt Ltd 31 DECEMBER 32 14-Nov-14 33 DECEMBER 34 14-Nov-14 35 Wizetrade Capital & Assets Mgt Ltd 31 DECEMBER 34 14-Nov-14 35 Wizetrade Capital & Assets Mgt Ltd	9	Professional Stockbrokers Ltd	JUNE	14-Nov-14	
December 14-Nov-14  December 14-Nov-14  December 14-Nov-14  First Alstate Securities Limited December 14-Nov-14  Fittoo Securities Limited December 14-Nov-14  Mact Securities Limited December 14-Nov-14  Midpoint Capital Limited December 14-Nov-14  Moras Investment & Trust Co.Ltd December 14-Nov-14  Peninsula Asset Mgt & Invest Co. Ltd December 14-Nov-14  Profund Securities Limited December 14-Nov-14  Profund Securities Limited December 14-Nov-14	10	LMB Stockbrokers Limited	SEPTEMBER	14-Nov-14	
Empire Securities Limited  DECEMBER  14-Nov-14  First Alstate Securities Limited  DECEMBER  14-Nov-14  15 Fittco Securities Limited  DECEMBER  14-Nov-14  16 Mact Securities Limited  DECEMBER  14-Nov-14  17 Midpoint Capital Limited  DECEMBER  14-Nov-14  18 Omas Investment & Trust Co.Ltd  DECEMBER  14-Nov-14  19 Peninsula Asset Mgt & Invest Co. Ltd  DECEMBER  14-Nov-14  20 Platinum Capital Limited  DECEMBER  14-Nov-14  21 Profund Securities Limited  DECEMBER  14-Nov-14  22 Securities Trading & Invest Co. Ltd  DECEMBER  14-Nov-14  23 Supra Commercial Trust Co. Ltd  DECEMBER  14-Nov-14  24 Surport Services Limited  DECEMBER  14-Nov-14  25 Wizetrade Capital & Assets Mgt Ltd  DECEMBER  14-Nov-14	11	Dakal Services Limited	DECEMBER	14-Nov-14	
First Alstate Securities Limited  DECEMBER  14-Nov-14  15 Fittoo Securities Limited  DECEMBER  14-Nov-14  16 Mact Securities Limited  DECEMBER  14-Nov-14  17 Midpoint Capital Limited  DECEMBER  14-Nov-14  18 Omas Investment & Trust Co.Ltd  DECEMBER  14-Nov-14  19 Peninsula Asset Mgt & Invest Co. Ltd  DECEMBER  14-Nov-14  20 Platinum Capital Limited  DECEMBER  14-Nov-14  21 Profund Securities Limited  DECEMBER  14-Nov-14  22 Securities Trading & Invest Co. Ltd  DECEMBER  14-Nov-14  23 Supra Commercial Trust Co. Ltd  DECEMBER  14-Nov-14  24 Surport Services Limited  DECEMBER  14-Nov-14  25 Wizetrade Capital & Assets Mgt Ltd  DECEMBER  14-Nov-14	12	Davandy Finance Limited	DECEMBER	14-Nov-14	
Fittoo Securities Limited  DECEMBER  14-Nov-14  Mact Securities Limited  DECEMBER  14-Nov-14  Midpoint Capital Limited  DECEMBER  14-Nov-14	13	Empire Securities Limited	DECEMBER	14-Nov-14	
16 Mact Securities Limited 17 Midpoint Capital Limited 18 Omas Investment & Trust Co.Ltd 19 Peninsula Asset Mgt & Invest Co. Ltd 20 Platinum Capital Limited 21 Profund Securities Limited 22 Securities Trading & Invest Co. Ltd 23 Supra Commercial Trust Co. Ltd 26 DECEMBER 27 DECEMBER 28 DECEMBER 29 DECEMBER 29 DECEMBER 20 DECEMBER 20 DECEMBER 21 DECEMBER 22 Securities Trading & Invest Co. Ltd 23 Supra Commercial Trust Co. Ltd 24 Surport Services Limited 25 Wizetrade Capital & Assets Mgt Ltd 26 DECEMBER 27 DECEMBER 28 DECEMBER 29 DECEMBER 20 DECEMBER 20 DECEMBER 21 DECEMBER 21 DECEMBER 22 Surport Services Limited 23 DECEMBER 24 DECEMBER 25 Wizetrade Capital & Assets Mgt Ltd	14	First Alstate Securities Limited	DECEMBER	14-Nov-14	
17 Midpoint Capital Limited 18 Omas Investment & Trust Co.Ltd 19 Peninsula Asset Mgt & Invest Co. Ltd 20 Platinum Capital Limited 21 Profund Securities Limited 22 Securities Trading & Invest Co. Ltd 23 Supra Commercial Trust Co. Ltd 26 Surport Services Limited 27 DECEMBER 28 14-Nov-14 29 Supra Commercial Trust Co. Ltd 29 DECEMBER 20 DECEMBER 21 14-Nov-14 22 Supra Commercial Trust Co. Ltd 23 Supra Commercial Trust Co. Ltd 24 Surport Services Limited 25 Wizetrade Capital & Assets Mgt Ltd 26 DECEMBER 27 14-Nov-14 28 DECEMBER 29 14-Nov-14	15	Fittco Securities Limited	DECEMBER	14-Nov-14	
18 Omas Investment & Trust Co.Ltd DECEMBER 14-Nov-14 19 Peninsula Asset Mgt & Invest Co. Ltd DECEMBER 14-Nov-14 20 Platinum Capital Limited DECEMBER 14-Nov-14 21 Profund Securities Limited DECEMBER 14-Nov-14 22 Securities Trading & Invest Co. Ltd DECEMBER 14-Nov-14 23 Supra Commercial Trust Co. Ltd DECEMBER 14-Nov-14 24 Surport Services Limited DECEMBER 14-Nov-14 25 Wizetrade Capital & Assets Mgt Ltd DECEMBER 14-Nov-14	16	Mact Securities Limited	DECEMBER	14-Nov-14	
19 Peninsula Asset Mgt & Invest Co. Ltd DECEMBER 14-Nov-14 20 Platinum Capital Limited DECEMBER 14-Nov-14 21 Profund Securities Limited DECEMBER 14-Nov-14 22 Securities Trading & Invest Co. Ltd DECEMBER 14-Nov-14 23 Supra Commercial Trust Co. Ltd DECEMBER 14-Nov-14 24 Surport Services Limited DECEMBER 14-Nov-14 25 Wizetrade Capital & Assets Mgt Ltd DECEMBER 14-Nov-14	17	Midpoint Capital Limited	DECEMBER	14-Nov-14	
20 Platinum Capital Limited DECEMBER 14-Nov-14 21 Profund Securities Limited DECEMBER 14-Nov-14 22 Securities Trading & Invest Co. Ltd DECEMBER 14-Nov-14 23 Supra Commercial Trust Co. Ltd DECEMBER 14-Nov-14 24 Surport Services Limited DECEMBER 14-Nov-14 25 Wizetrade Capital & Assets Mgt Ltd DECEMBER 14-Nov-14	18	Omas Investment & Trust Co.Ltd	DECEMBER	14-Nov-14	
21     Profund Securities Limited     DECEMBER     14-Nov-14       22     Securities Trading & Invest Co. Ltd     DECEMBER     14-Nov-14       23     Supra Commercial Trust Co. Ltd     DECEMBER     14-Nov-14       24     Surport Services Limited     DECEMBER     14-Nov-14       25     Wizetrade Capital & Assets Mgt Ltd     DECEMBER     14-Nov-14	19	Peninsula Asset Mgt & Invest Co. Ltd	DECEMBER	14-Nov-14	
22 Securities Trading & Invest Co. Ltd DECEMBER 14-Nov-14 23 Supra Commercial Trust Co. Ltd DECEMBER 14-Nov-14 24 Surport Services Limited DECEMBER 14-Nov-14 25 Wizetrade Capital & Assets Mgt Ltd DECEMBER 14-Nov-14	20	Platinum Capital Limited	DECEMBER	14-Nov-14	
23 Supra Commercial Trust Co. Ltd DECEMBER 14-Nov-14 24 Surport Services Limited DECEMBER 14-Nov-14 25 Wizetrade Capital & Assets Mgt Ltd DECEMBER 14-Nov-14	21	Profund Securities Limited	DECEMBER	14-Nov-14	
24 Surport Services Limited DECEMBER 14-Nov-14 25 Wizetrade Capital & Assets Mgt Ltd DECEMBER 14-Nov-14	22	Securities Trading & Invest Co. Ltd	DECEMBER	14-Nov-14	
25 Wizetrade Capital & Assets Mgt Ltd DECEMBER 14-Nov-14	23	Supra Commercial Trust Co. Ltd	DECEMBER	14-Nov-14	
14-100-14	24	Surport Services Limited	DECEMBER	14-Nov-14	
26 WT Securities Limited DECEMBER 14-Nov-14	25	Wizetrade Capital & Assets Mgt Ltd	DECEMBER	14-Nov-14	
	26	WT Securities Limited	DECEMBER	14-Nov-14	



### **Broker TraX**

## **Quarterly Returns**

### FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 31 DECEMBER 2014

### **ACTIVE AND SUBMITTED**

S/N	FIRMS	Year End	Due date
1	Adamawa Securities Limited	DECEMBER	16-Feb-15
2	Adonai Stockbrokers Limited	DECEMBER	16-Feb-15
3	African Alliance Stockbrokers Ltd	DECEMBER	16-Feb-15
4	Afrinvest Securities Limited	DECEMBER	16-Feb-15
5	DBSL Securities Limited	DECEMBER	16-Feb-15
6	Cradle Trust Finance & Securities Ltd	DECEMBER	16-Feb-15
7	Emi Capital Resources Ltd	DECEMBER	16-Feb-15
8	FBC Trust & Securities Limited	DECEMBER	16-Feb-15
9	Finbank Securities & Assets Management Limited	DECEMBER	16-Feb-15
10	De-Lords Securities Limited	DECEMBER	16-Feb-15
11	First Stockbrokers Limited	DECEMBER	16-Feb-15
12	Global View Consult & Investment Limited	DECEMBER	16-Feb-15
13	Alangrange Securities Ltd	DECEMBER	16-Feb-15
14	Altrade Securities Limited	DECEMBER	16-Feb-15
	Security Swaps Limited	DECEMBER	16-Feb-15
16	Shelong Investment Limited	DECEMBER	16-Feb-15
17	ICMG Securities Limited	DECEMBER	16-Feb-15
18	IMTL Securities Ltd	DECEMBER	16-Feb-15
19	Topmost Securities Limited	DECEMBER	16-Feb-15
20	Amyn Investment Limited	DECEMBER	16-Feb-15
21	Anchoria Investment & Securities Limited	DECEMBER	16-Feb-15
22	Associated Asset Managers Limited	DECEMBER	16-Feb-15
23	Apel Asset Limited	DECEMBER	16-Feb-15
24	APT Securities & Funds Limited	DECEMBER	16-Feb-15
25	ARM Securities Limited	DECEMBER	16-Feb-15
26	Securities Africa Financials Ltd	DECEMBER	16-Feb-15
27	Arthur Steven Asset Management Ltd	DECEMBER	16-Feb-15

29 TFS Securities & Investment Co. Ltd  30 Associated Asset Managers Limited  31 Atlass Portfolio Limited  32 Belfry Investment & Securities Ltd  33 Bestlink Investment & Securities Ltd  34 Bestworth Assets & Trust Limited  35 Bestlink Investment Limited  36 Cadington Securities Limited  37 Calyx Securities Limited  38 Cadington Securities Limited  39 Capital Assets & Trust Limited  39 Capital Assets & Investment & DECEMBER  30 Camry Securities Limited  31 DECEMBER  32 DECEMBER  33 Capital Assets Limited  34 DECEMBER  35 Camry Securities Limited  36 Cadington Securities Limited  37 Calyx Securities Limited  38 Camry Securities Limited  39 Capital Assets Limited  40 Capital Bancorp Limited  40 Capital Bancorp Limited  40 DECEMBER  41 Capital Express Securities Limited  41 Capital Express Securities Limited  42 Capital Trust Brokers Limited  43 Cardinal Stone Securities Limited  44 Cashcraft Asset Management Limited  45 Cashville Investment & Securities Ltd  46 CDL Capital Markets Ltd  47 DECEMBER  48 Century Securities Limited  49 Chapel Hill Denham Securities Ltd  40 DECEMBER  416-Feb-11  42 Capital Markets Ltd  43 DECEMBER  44 Century Securities Limited  45 Century Securities Limited  46 DECEMBER  47 Chapel Hill Denham Securities Ltd  48 Century Securities Limited  49 Chapel Hill Denham Securities Ltd  40 DECEMBER  416-Feb-11  42 Capital Markets Ltd  43 Cardinal Markets Ltd  44 DECEMBER  45 Centember  46 Cordon Capital Limited  47 Capital Markets Ltd  48 Century Securities Limited  49 DECEMBER  40 Capital Hill Denham Securities Ltd  40 DECEMBER  416-Feb-11  42 Capital Markets Ltd  43 Cardinal Markets Ltd  44 DECEMBER  46-Feb-11  47 Chapel Hill Denham Securities Ltd  48 Century Securities Limited  49 Chapel Hill Denham Securities Ltd  40 DECEMBER  416-Feb-11  416-Feb-11  417 Compass Investment Securities Limited  418 Century Securities Limited  419 DECEMBER  416-Feb-11  420 Capital Markets Ltd  440 DECEMBER  416-Feb-11  417 Capital Markets Ltd  440 DECEMBER  416-Feb-11  417 Capital Markets Ltd  418 Century Secu	28	Stanbic IBTC Stockbrokers Limited	DECEMBER	16-Feb-15
Associated Asset Managers Limited  DECEMBER  16-Feb-11  Atlass Portfolio Limited  DECEMBER  16-Feb-12  Belfry Investment & Securities Ltd  DECEMBER  16-Feb-13  Bestink Investment Limited  DECEMBER  16-Feb-13  Bestworth Assets & Trust Limited  DECEMBER  16-Feb-13  Bestworth Assets & Trust Limited  DECEMBER  16-Feb-14  Cadington Securities Limited  DECEMBER  16-Feb-15  Cadington Securities Limited  DECEMBER  16-Feb-16  DECEMBER  16-Feb-17  Calyx Securities Limited  DECEMBER  16-Feb-18  Camy Securities Limited  DECEMBER  16-Feb-19  Capital Assets Limited  DECEMBER  16-Feb-19  Capital Bancorp Limited  DECEMBER  16-Feb-19  Capital Trust Brokers Limited  DECEMBER  16-Feb-19  Capital Trust Brokers Limited  DECEMBER  16-Feb-19  Capital Trust Brokers Limited  DECEMBER  16-Feb-19  Cashcraft Asset Management Limited  DECEMBER  16-Feb-19  Cashcraft Asset Management Limited  DECEMBER  16-Feb-19  Capital Investment & Securities Ltd  DECEMBER  16-Feb-19  Capital Markets Ltd  DECEMBER  16-Feb-19				
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71	Dunn Loren Merrifield Securities Ltd	DECEMBER	16-Feb-15
72	Dynamic Portfolio Limited	DECEMBER	16-Feb-15
73	ECL Asset Management Limited	DECEMBER	16-Feb-15
74	EDC Securities Limited	DECEMBER	16-Feb-15
75	Elixir Securities Ltd	DECEMBER	16-Feb-15
76	Enterprise Stockbrokers Limited	DECEMBER	16-Feb-15
77	Equity Capital Solutions Limited	DECEMBER	16-Feb-15
78	Eurocomm Securities Limited	DECEMBER	16-Feb-15
79	Excel Securities Limited	DECEMBER	16-Feb-15
80	Express Discount Asset Mgt Ltd	DECEMBER	16-Feb-15
81	Express Portfolio Services Limited	DECEMBER	16-Feb-15
82	FBN Securities limited	DECEMBER	16-Feb-15
83	FCSL Assets Mgt Coy Ltd	DECEMBER	16-Feb-15
84	Fidelity Finance Company Limited	DECEMBER	16-Feb-15
	Fidelity Securities Limited	DECEMBER	16-Feb-15
	Financial Trust Company Limited	DECEMBER	16-Feb-15
87	Finmal Finance Services Limited	DECEMBER	16-Feb-15
	First Integrated Capital management Ltd	DECEMBER	16-Feb-15
	FIS Securities Limited	DECEMBER	16-Feb-15
	Foresight Securities & Investment Limited	DECEMBER	16-Feb-15
	Forte Financial Limited	DECEMBER	16-Feb-15
	Forthright Securities & Investment Limited	DECEMBER	16-Feb-15
	Fortress Capital Limited	DECEMBER	16-Feb-15
	FSDH Securities Limited	DECEMBER	16-Feb-15
	Funds Matrix & Assets management ltd	DECEMBER	16-Feb-15
	Future view Securities Limited	DECEMBER	16-Feb-15
	Gem Assets Management Ltd	DECEMBER	16-Feb-15
	Gidauniya Investment & Sec Ltd	DECEMBER	16-Feb-15
	Global Asset Management (Nig) Ltd	DECEMBER	16-Feb-15
	GMT Securities & Asset Mgt Limited	DECEMBER	16-Feb-15
	Golden Securities Limited	DECEMBER	16-Feb-15
102	Greenwich Securities Limited	DECEMBER	16-Feb-15

103	Gruene Capital Limnited	DECEMBER	16-Feb-15
104	GTI Securities Limited	DECEMBER	16-Feb-15
105	Harmony Investment & Securities Limited	DECEMBER	16-Feb-15
106	Heartbeat Investment Limited	DECEMBER	16-Feb-15
107	Hedge Securities & Investment Ltd	DECEMBER	16-Feb-15
108	FBNQuest Securities Limited	DECEMBER	16-Feb-15
109	Horizon Stockbrokers Limited	DECEMBER	16-Feb-15
110	Icon Stockbrokers Limited	DECEMBER	16-Feb-15
111	Traders Trust and Investment Company Ltd	DECEMBER	16-Feb-15
112	Transafrica Securities Limited	DECEMBER	16-Feb-15
113	Transworld Investment & Securities Limited	DECEMBER	16-Feb-15
114	Imperial Assets Managers Limited	DECEMBER	16-Feb-15
115	Independent Securities Limited	DECEMBER	16-Feb-15
116	Integrated Trust & Investments Limited	DECEMBER	16-Feb-15
117	Interstate Securities Limited	DECEMBER	16-Feb-15
118	Investment Centre Limited	DECEMBER	16-Feb-15
119	Investment One Stockbrokers Int'l Ltd	DECEMBER	16-Feb-15
120	Investment Shark & Asset Management Limited	DECEMBER	16-Feb-15
121	Investors & Trust Company Limited	DECEMBER	16-Feb-15
122	Kapital Care Trust & Securities Limited	DECEMBER	16-Feb-15
123	Kedari Securities Limited	DECEMBER	16-Feb-15
	Kinley Securities Limited	DECEMBER	16-Feb-15
	Kofana Securities & Investments Limited	DECEMBER	16-Feb-15
126	Kundila Finance Services Limited	DECEMBER	16-Feb-15
	Trusthouse Investment Limited	DECEMBER	16-Feb-15
128	Lambeth Trust & Investment Company Limited	DECEMBER	16-Feb-15
_	Lead Securities & Invests Ltd	DECEMBER	16-Feb-15
	Lighthouse Asset Management Limited	DECEMBER	16-Feb-15
	Longterm Global Capital Ltd.	DECEMBER	16-Feb-15
	Magnartis Finace & Investment Limited	DECEMBER	16-Feb-15
133	Mainland Trust Limitd	DECEMBER	16-Feb-15
	Mainstreet Securities Limited	DECEMBER	16-Feb-15
	Marimpex Finance & Investment Company Limited	DECEMBER	16-Feb-15
136	Marina Securities Stockbroking Services Limited	DECEMBER	16-Feb-15
_	Marriot Securities Limited	DECEMBER	16-Feb-15
	Maxifund Investment & Securities Plc	DECEMBER	16-Feb-15
139	Mayfield Investment Limited	DECEMBER	16-Feb-15

140	MBC Securities Limited	DECEMBER	16-Feb-15
141	MBL Financial Services Limited	DECEMBER	16-Feb-15
142	Mega Equities Limited	DECEMBER	16-Feb-15
143	Mercov Securities Limited	DECEMBER	16-Feb-15
144	Meristem Securities Ltd	DECEMBER	16-Feb-15
145	Midas Stockbrokers Limited	DECEMBER	16-Feb-15
146	Milestone Capital Management Ltd	DECEMBER	16-Feb-15
147	Mission Securities Limited	DECEMBER	16-Feb-15
148	Molten Trust Limited	DECEMBER	16-Feb-15
149	Morgan Capital Securities Limited	DECEMBER	16-Feb-15
150	Mountain Investment & Securities Limited	DECEMBER	16-Feb-15
151	Mutual Alliance Invest & Securities Limited	DECEMBER	16-Feb-15
152	Network capital Limited	DECEMBER	16-Feb-15
153	Networth Securities & Finance Ltd	DECEMBER	16-Feb-15
154	Newdevco Invests & Sec. Co. Ltd	DECEMBER	16-Feb-15
155	Nigerian International Securities Ltd	DECEMBER	16-Feb-15
156	Nigerian Stockbrokers Limited	DECEMBER	16-Feb-15
157	Nova Finance & Securities Limited	DECEMBER	16-Feb-15
158	Options Securities Limited	DECEMBER	16-Feb-15
159	PAC Securities Limited	DECEMBER	16-Feb-15
160	Partnership Securities Limited	DECEMBER	16-Feb-15
161	Peace Capital Market Limited	DECEMBER	16-Feb-15
162	Perfecta Investment & Trust Limited	DECEMBER	16-Feb-15
163	Phronesis Securities Limited	DECEMBER	16-Feb-15
164	Pilot Securities Limited	DECEMBER	16-Feb-15
165	Pinefields Investment Services Limited	DECEMBER	16-Feb-15
	PIPC Securities Limited	DECEMBER	16-Feb-15
	Pivot Trust & Investment Co. Limited	DECEMBER	16-Feb-15
168	Planet Capital Limited	DECEMBER	16-Feb-15
169	Bauchi Investment Corp. Sec Limited	DECEMBER	16-Feb-15
	Portfolio Advisers Limited	DECEMBER	16-Feb-15
171	Primera Africa Securities Limited	DECEMBER	16-Feb-15
	Primewealth Capital Limited	DECEMBER	16-Feb-15
173	Prominent Securities Limited	DECEMBER	16-Feb-15
	PSI Securities Limited	DECEMBER	16-Feb-15
	Pyramid Securities Limited	DECEMBER	16-Feb-15
176	Quantum Securities Limited	DECEMBER	16-Feb-15

177	Rainbow Securities Limited	DECEMBER	16-Feb-15
178	Readings Investment Limited	DECEMBER	16-Feb-15
179	Redasel Investment Ltd	DECEMBER	16-Feb-15
180	Regency Assets Management Ltd	DECEMBER	16-Feb-15
181	Rencap Securities (Nig) Limited	DECEMBER	16-Feb-15
182	Resano Securities Limited	DECEMBER	16-Feb-15
183	Resort Securities Limited	DECEMBER	16-Feb-15
184	Reward Investment & Services Limited	DECEMBER	16-Feb-15
185	Rostrum Investment and Securities Limited	DECEMBER	16-Feb-15
186	Rowet Capital Mgt Limited	DECEMBER	16-Feb-15
187	Royal Crest Finance Limited	DECEMBER	16-Feb-15
188	Royal Guaranty & Trust Limited	DECEMBER	16-Feb-15
	Royal Trust Securities Limited	DECEMBER	16-Feb-15
190	Santrust Securities Limited	DECEMBER	16-Feb-15
191	Securities and Capital Management Company Limited	DECEMBER	16-Feb-15
	SFC Securities Limited	DECEMBER	16-Feb-15
	Shalom Investment & Financial services limited	DECEMBER	16-Feb-15
	Sigma Securities Limited	DECEMBER	16-Feb-15
195	Signet Investment & Securities Ltd	DECEMBER	16-Feb-15
196	Skyview Capital Limited	DECEMBER	16-Feb-15
197	Smadac Securities Limited	DECEMBER	16-Feb-15
	Solid Rock Securities & Investment Plc	DECEMBER	16-Feb-15
	Spring Trust & Securities Limited	DECEMBER	16-Feb-15
200	Springboard Trust & Investment Limited	DECEMBER	16-Feb-15
	Standard Alliance Capital & Asset Limited	DECEMBER	16-Feb-15
202	Standard Union Securities Limited	DECEMBER	16-Feb-15
203	Summa Guaranty & Trust Company Ltd	DECEMBER	16-Feb-15
	The Bridge Securities Limited	DECEMBER	16-Feb-15
205	Tower Assets Management Limited	DECEMBER	16-Feb-15
206	Tower Securities & Investment Company Limited	DECEMBER	16-Feb-15
	Trade Link Securities Ltd	DECEMBER	16-Feb-15
208	Trust Yields Securities Limited	DECEMBER	16-Feb-15
209	TRW Stockbrokers Limited	DECEMBER	16-Feb-15
210	UBA Stockbrokers Limited	DECEMBER	16-Feb-15
	UIDC Securities Limited	DECEMBER	16-Feb-15
	UNEX Capital Ltd	DECEMBER	16-Feb-15
213	Union Capital Markets Limited	DECEMBER	16-Feb-15

214	Valmon Securities Limited	DECEMBER	16-Feb-15
215	Valueline Securities & Investments Limited	DECEMBER	16-Feb-15
216	Vetiva Securities Limited	DECEMBER	16-Feb-15
217	Woodland Capital Markets Plc	DECEMBER	16-Feb-15
218	WSTC Financial Services Limited	DECEMBER	16-Feb-15
219	Yobe Investment & Securities Limited	DECEMBER	16-Feb-15
220	Yuderb Investment & Securities Ltd	DECEMBER	16-Feb-15
221	Zenith Securities Limited	DECEMBER	16-Feb-15
222	Stanwal Securities Limited	DECEMBER	16-Feb-15

	NEWLY LICENSED FIRMS NOT REQUIRED TO SUBMIT RETURNS			
1	Edgefield Capital Management Limited	DECEMBER	16-Feb-15	
2	EFCP Limited	DECEMBER	16-Feb-15	

	INACTIVE AND SUBMITTED	
S/N	FIRMS Year End	Due date
1	Aims Asset Management Limited DECEMBER	16-Feb-15
2	Anchorage Securities & Finance Limited DECEMBER	16-Feb-15
3	Arian Capital Management Limited DECEMBER	16-Feb-15
4	Falcon Securities Limited DECEMBER	16-Feb-15
5	International Standard Securities Limited DECEMBER	16-Feb-15
6	LB Securities Limited DECEMBER	16-Feb-15
7	Maven Asset Management Limited DECEMBER	16-Feb-15
8	Northbridge Invest & Stockbrokers Ltd DECEMBER	16-Feb-15
9	PML Securities Company Limited DECEMBER	16-Feb-15
10	Supra Commercial Trust Co. Ltd DECEMBER	16-Feb-15
11	Vision Trust & Investment Limited DECEMBER	16-Feb-15

	INACTIVE AND NOT SUBMITTED		
S/N	FIRMS	Year End	Due date
1	Allbond Investment Limited	DECEMBER	16-Feb-15
2	Bytofel Trust & Securities Limited	DECEMBER	16-Feb-15
3	CEB Securities Limited	DECEMBER	16-Feb-15
4	Consolidated Investment Limited	DECEMBER	16-Feb-15
5	Dakal Services Limited	DECEMBER	16-Feb-15
6	Davandy Finance & Securities Limited	DECEMBER	16-Feb-15
7	Decanon Investment Limited	DECEMBER	16-Feb-15
8	Empire Securities Limited	DECEMBER	16-Feb-15
9	First Alstate Securities Limited	DECEMBER	16-Feb-15
10	Fittco Securities Limited	DECEMBER	16-Feb-15
11	Gombe Securities Limited	DECEMBER	16-Feb-15
12	HIP Asset Management Limited (Formerly Kakawa Asset Mgt Ltd)	DECEMBER	16-Feb-15
13	ITIS Securities Limited	DECEMBER	16-Feb-15
14	Lion Stockbrokers Limited	DECEMBER	16-Feb-15
	LMB Stockbrokers Limited	DECEMBER	16-Feb-15
16	Mact Securities Limited	DECEMBER	16-Feb-15
17	Maninvest Asset Management Plc	DECEMBER	16-Feb-15
18	Metropolitan Trust Nigeria Limited	DECEMBER	16-Feb-15
	Midpoint Capital Limited	DECEMBER	16-Feb-15
20	ML Securities Limited	DECEMBER	16-Feb-15
21	Monument Sec & Finance Ltd	DECEMBER	16-Feb-15
22	Omas Investment & Trust Co.Ltd	DECEMBER	16-Feb-15
	Peninsula Asset Mgt & Invest Co. Ltd	DECEMBER	16-Feb-15
	Platinum Capital Limited	DECEMBER	16-Feb-15
	Professional Stockbrokers Ltd	DECEMBER	16-Feb-15
26	Profund Securities Limited	DECEMBER	16-Feb-15
27	Prudential Securities Limited	DECEMBER	16-Feb-15
	Regency Finance Limited	DECEMBER	16-Feb-15
29	Securities Solutions Limited	DECEMBER	16-Feb-15
30	Securities Trading & Invest Co. Ltd	DECEMBER	16-Feb-15
31	Surport Services Limited	DECEMBER	16-Feb-15
32	Waila Securities and Funds Limited	DECEMBER	16-Feb-15
33	Wizetrade Capital & Assets Mgt Ltd	DECEMBER	16-Feb-15
34	WT Securities Limited	DECEMBER	16-Feb-15

35Zuma Securities LimitedDECEMBER16-Feb-15



## **Broker TraX**

# **Quarterly Returns**

### FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 31 MARCH 2015

### **ACTIVE AND SUBMITTED**

S/N	FIRMS	Year End	Due date
1	Adamawa Securities Limited	DECEMBER	15-May-15
2	Adonai Stockbrokers Limited	DECEMBER	15-May-15
3	African Alliance Stockbrokers Ltd	DECEMBER	15-May-15
4	Afrinvest Securities Limited	DECEMBER	15-May-15
5	DBSL Securities Limited	DECEMBER	15-May-15
6	Cradle Trust Finance & Securities Ltd	DECEMBER	15-May-15
7	EFCP Limited	DECEMBER	15-May-15
8	Express Portfolio Services Limited	DECEMBER	15-May-15
9	Financial Trust Company Limited	DECEMBER	15-May-15
10	De-Lords Securities Limited	DECEMBER	15-May-15
11	First Integrated Capital management Ltd	DECEMBER	15-May-15
12	Global Asset Management (Nig) Ltd	DECEMBER	15-May-15
13	Alangrange Securities Ltd	DECEMBER	15-May-15
14	Altrade Securities Limited	DECEMBER	15-May-15
15	Securities Africa Financials Ltd	DECEMBER	15-May-15
16	SFC Securities Limited	DECEMBER	15-May-15
17	Horizon Stockbrokers Limited	DECEMBER	15-May-15
18	Imperial Assets Managers Limited	DECEMBER	15-May-15
19	The Bridge Securities Limited	DECEMBER	15-May-15
20	Amyn Investment Limited	DECEMBER	15-May-15
21	Anchorage Securities & Finance Limited	DECEMBER	15-May-15
22	Arthur Steven Asset Management Ltd	DECEMBER	15-May-15
23	Anchoria Investment & Securities Limited	DECEMBER	15-May-15
24	Apel Asset Limited	DECEMBER	15-May-15
25	APT Securities & Funds Limited	DECEMBER	15-May-15

26	Santrust Securities Limited	DECEMBER	15-May-15
27	ARM Securities Limited	DECEMBER	15-May-15
28	Springboard Trust & Investment Limited	DECEMBER	15-May-15
29	Stanwal Securities Limited	DECEMBER	15-May-15
30	Arthur Steven Asset Management Ltd	DECEMBER	15-May-15
31	Associated Asset Managers Limited	DECEMBER	15-May-15
32	Atlass Portfolio Limited	DECEMBER	15-May-15
33	Belfry Investment & Securities Ltd	DECEMBER	15-May-15
34	Bestlink Investment Limited	DECEMBER	15-May-15
35	Bestworth Assets & Trust Limited	DECEMBER	15-May-15
36	BGL Securities Limited	DECEMBER	15-May-15
37	Calyx Securities Limited	DECEMBER	15-May-15
38	Camry Securities Limited	DECEMBER	15-May-15
39	Capital Assets Limited	DECEMBER	15-May-15
40	Capital Bancorp Limited	DECEMBER	15-May-15
41	Capital Express Securities Limited	DECEMBER	15-May-15
42	Capital Trust Brokers Limited	DECEMBER	15-May-15
43	Cardinal Stone Securities Limited	DECEMBER	15-May-15
44	Cashcraft Securities Limited	DECEMBER	15-May-15
45	Cashville Investment & Securities Ltd	DECEMBER	15-May-15
46	CDL Capital Markets Ltd	DECEMBER	15-May-15
47	Century Securities Limited	DECEMBER	15-May-15
48	Chapel Hill Denham Securities Ltd	DECEMBER	15-May-15
49	Chartwell Securities Limited	DECEMBER	15-May-15
50	Citi Investment Capital Limited	DECEMBER	15-May-15
51	City Code Trust & Invest Company Ltd	DECEMBER	15-May-15
52	Clearview Investment & Securities Limited	DECEMBER	15-May-15
53	Compass Investments & Sec. Ltd	DECEMBER	15-May-15
54	Cordros Capital Limited	DECEMBER	15-May-15
55	Core Trust & Investment Limited	DECEMBER	15-May-15
56	Covenant Securities & Investment Management	DECEMBER	15-May-15
57	Cowry Securities Limited	DECEMBER	15-May-15
58	Crane Securities Limited	DECEMBER	15-May-15
59	Crossworld Securities Ltd	DECEMBER	15-May-15

60	Crown Capital Limited	DECEMBER	15-May-15
61	CSL Stockbrokers Ltd	DECEMBER	15-May-15
62	Deep Trust & Investment Limited	DECEMBER	15-May-15
63	Dependable Securities Limited	DECEMBER	15-May-15
64	Diamond Securities Limited	DECEMBER	15-May-15
65	Dominion Trust Limited	DECEMBER	15-May-15
66	TFS Securities & Investment Co. Ltd	DECEMBER	15-May-15
67	Tiddo Securities Limited	DECEMBER	15-May-15
68	DSU Brokerage Services Ltimited	DECEMBER	15-May-15
69	Dunbell Securities Limited	DECEMBER	15-May-15
70	Dunn Loren Merrifield Securities Limited	DECEMBER	15-May-15
71	Dynamic Portfolio Limited	DECEMBER	15-May-15
72	ECL Asset Management Limited	DECEMBER	15-May-15
73	EDC Securities Limited	DECEMBER	15-May-15
74	Edgefield Capital Management Limited	DECEMBER	15-May-15
75	Elixir Securities Ltd	DECEMBER	15-May-15
76	Enterprise Stockbrokers Limited	DECEMBER	15-May-15
77	Equity Capital Solutions Limited	DECEMBER	15-May-15
78	Eurocomm Securities Limited	DECEMBER	15-May-15
79	Excel Securities Limited	DECEMBER	15-May-15
80	Express Discount Asset Mgt Ltd	DECEMBER	15-May-15
81	FBC Trust & Securities Limited	DECEMBER	15-May-15
82	FBN Securities limited	DECEMBER	15-May-15
83	FCSL Assets Mgt Coy Ltd	DECEMBER	15-May-15
84	Fidelity Finance Company Limited	DECEMBER	15-May-15
85	Fidelity Securities Limited	DECEMBER	15-May-15
86	Finbank Securities & Assets Management Limited	DECEMBER	15-May-15
87	Finmal Finance Services Limited	DECEMBER	15-May-15
88	First Stockbrokers Limited	DECEMBER	15-May-15
89	FIS Securities Limited	DECEMBER	15-May-15
90	Foresight Securities & Investment Limited	DECEMBER	15-May-15
91	Forte Financial Limited	DECEMBER	15-May-15
92	Forthright Securities & Investment Limited	DECEMBER	15-May-15
93	Fortress Capital Limited	DECEMBER	15-May-15

94	FSDH Securities Limited	DECEMBER	15-May-15
95	Funds Matrix & Assets management ltd	DECEMBER	15-May-15
96	Future view Securities Limited	DECEMBER	15-May-15
97	Gem Assets Management Ltd	DECEMBER	15-May-15
98	Gidauniya Investment & Sec Ltd	DECEMBER	15-May-15
99	Global View Consult & Investment Limited	DECEMBER	15-May-15
100	GMT Securities & Asset Mgt Limited	DECEMBER	15-May-15
101	Golden Securities Limited	DECEMBER	15-May-15
102	Greenwich Securities Limited	DECEMBER	15-May-15
103	Gruene Capital Limnited	DECEMBER	15-May-15
104	GTI Securities Limited	DECEMBER	15-May-15
105	Harmony Investment & Securities Limited	DECEMBER	15-May-15
106	Heartbeat Investment Limited	DECEMBER	15-May-15
107	FBNQuest Securities Limited	DECEMBER	15-May-15
108	Heritage Capital Markets Limited	DECEMBER	15-May-15
109	ICMG Securities Limited	DECEMBER	15-May-15
110	Tower Securities & Investment Company Limited	DECEMBER	15-May-15
111	Trade Link Securities Ltd	DECEMBER	15-May-15
112	Traders Trust and Investment Company Ltd	DECEMBER	15-May-15
113	Icon Stockbrokers Limited	DECEMBER	15-May-15
114	Independent Securities Limited	DECEMBER	15-May-15
115	Integrated Trust & Investments Limited	DECEMBER	15-May-15
116	International Standard Securities Limited	DECEMBER	15-May-15
117	Interstate Securities Limited	DECEMBER	15-May-15
118	Investment Centre Limited	DECEMBER	15-May-15
119	Investment One Stockbrokers Int'l Ltd	DECEMBER	15-May-15
120	Investment Shark & Asset Management Limited	DECEMBER	15-May-15
121	Investors & Trust Company Limited	DECEMBER	15-May-15
122	Kapital Care Trust & Securities Limited	DECEMBER	15-May-15
123	Kedari Securities Limited	DECEMBER	15-May-15
124	Kinley Securities Limited	DECEMBER	15-May-15
125	Kofana Securities & Investments Limited	DECEMBER	15-May-15
126	Transworld Investment & Securities Limited	DECEMBER	15-May-15
127	Kundila Finance Services Limited	DECEMBER	15-May-15

128	Lambeth Trust & Investment Company Limited	DECEMBER	15-May-15
129	Lead Securities & Invests Ltd	DECEMBER	15-May-15
130	Lighthouse Asset Management Limited	DECEMBER	15-May-15
131	Longterm Global Capital Ltd.	DECEMBER	15-May-15
132	Magnartis Finace & Investment Limited	DECEMBER	15-May-15
133	Mainland Trust Limitd	DECEMBER	15-May-15
134	Mainstreet Securities Limited	DECEMBER	15-May-15
135	Marimpex Finance & Investment Company Limited	DECEMBER	15-May-15
136	Marina Securities Stockbroking Services Limited	DECEMBER	15-May-15
137	Marriot Securities Limited	DECEMBER	15-May-15
138	Maven Asset Management Limited	DECEMBER	15-May-15
139	Maxifund Investment & Securities Plc	DECEMBER	15-May-15
140	Mayfield Investment Limited	DECEMBER	15-May-15
141	MBC Securities Limited	DECEMBER	15-May-15
142	MBL Financial Services Limited	DECEMBER	15-May-15
143	Mega Equities Limited	DECEMBER	15-May-15
144	Mercov Securities Limited	DECEMBER	15-May-15
145	Meristem Securities Ltd	DECEMBER	15-May-15
146	Midas Stockbrokers Limited	DECEMBER	15-May-15
147	Milestone Capital Management Ltd	DECEMBER	15-May-15
148	Mission Securities Limited	DECEMBER	15-May-15
149	Molten Trust Limited	DECEMBER	15-May-15
150	Morgan Capital Securities Limited	DECEMBER	15-May-15
151	Mountain Investment & Securities Limited	DECEMBER	15-May-15
152	Mutual Alliance Invest & Securities Limited	DECEMBER	15-May-15
153	Network Capital Limited	DECEMBER	15-May-15
154	Networth Securities & Finance Ltd	DECEMBER	15-May-15
155	Newdevco Invests & Sec. Co. Ltd	DECEMBER	15-May-15
156	Nigerian International Securities Ltd	DECEMBER	15-May-15
157	Nigerian Stockbrokers Limited	DECEMBER	15-May-15
158	Nova Finance & Securities Limited	DECEMBER	15-May-15
159	Options Securities Limited	DECEMBER	15-May-15
160	PAC Securities Limited	DECEMBER	15-May-15
161	Partnership Securities Limited	DECEMBER	15-May-15

162	Peace Capital Market Limited	DECEMBER	15-May-15
163	Perfecta Investment & Trust Limited	DECEMBER	15-May-15
164	Phronesis Securities Limited	DECEMBER	15-May-15
165	Pilot Securities Limited	DECEMBER	15-May-15
166	Pinefields Investment Services Limited	DECEMBER	15-May-15
167	PIPC Securities Limited	DECEMBER	15-May-15
168	Pivot Trust & Investment Co. Limited	DECEMBER	15-May-15
169	Planet Capital Limited	DECEMBER	15-May-15
170	Portfolio Advisers Limited	DECEMBER	15-May-15
171	Primera Africa Securities Limited	DECEMBER	15-May-15
172	Primewealth Capital Limited	DECEMBER	15-May-15
173	Prominent Securities Limited	DECEMBER	15-May-15
174	PSI Securities Limited	DECEMBER	15-May-15
175	Pyramid Securities Limited	DECEMBER	15-May-15
176	Quantum Securities Limited	DECEMBER	15-May-15
177	Rainbow Securities Limited	DECEMBER	15-May-15
178	Readings Investment Limited	DECEMBER	15-May-15
179	Redasel Investment Ltd	DECEMBER	15-May-15
180	Regency Assets Management Ltd	DECEMBER	15-May-15
181	Rencap Securities (Nig) Limited	DECEMBER	15-May-15
182	Resano Securities Limited	DECEMBER	15-May-15
183	Resort Securities Limited	DECEMBER	15-May-15
184	Reward Investment & Services Limited	DECEMBER	15-May-15
185	Rostrum Investment and Securities Limited	DECEMBER	15-May-15
186	Rowet Capital Mgt Limited	DECEMBER	15-May-15
187	Royal Crest Finance Limited	DECEMBER	15-May-15
188	Royal Guaranty & Trust Limited	DECEMBER	15-May-15
189	Royal Trust Securities Limited	DECEMBER	15-May-15
190	Securities and Capital Management Company Limited	DECEMBER	15-May-15
191	Security Swaps Limited	DECEMBER	15-May-15
192	Shalom Investment & Financial services limited	DECEMBER	15-May-15
193	Shelong Investment Limited	DECEMBER	15-May-15
194	Sigma Securities Limited	DECEMBER	15-May-15
195	Signet Investment & Securities Ltd	DECEMBER	15-May-15

196	Skyview Capital Limited	DECEMBER	15-May-15
197	Smadac Securities Limited	DECEMBER	15-May-15
198	Solid Rock Securities & Investment Plc	DECEMBER	15-May-15
199	Spring Trust & Securities Limited	DECEMBER	15-May-15
200	Stanbic IBTC Stockbrokers Limited	DECEMBER	15-May-15
201	Standard Alliance Capital & Asset Limited	DECEMBER	15-May-15
202	Standard Union Securities Limited	DECEMBER	15-May-15
203	Summa Guaranty & Trust Company Ltd	DECEMBER	15-May-15
204	Tomil Trust Limited	DECEMBER	15-May-15
205	Topmost Securities Limited	DECEMBER	15-May-15
206	Tower Assets Management Limited	DECEMBER	15-May-15
207	Transafrica Securities Limited	DECEMBER	15-May-15
208	Trust Yields Securities Limited	DECEMBER	15-May-15
209	TrustBanc Capital Management Limited	DECEMBER	15-May-15
210	Trusthouse Investment Limited	DECEMBER	15-May-15
211	TRW Stockbrokers Limited	DECEMBER	15-May-15
212	UIDC Securities Limited	DECEMBER	15-May-15
213	UNEX Capital Ltd	DECEMBER	15-May-15
214	Union Capital Markets Limited	DECEMBER	15-May-15
215	United Capital Securities Limited	DECEMBER	15-May-15
216	Valmon Securities Limited	DECEMBER	15-May-15
217	Valueline Securities & Investments Limited	DECEMBER	15-May-15
218	Vetiva Securities Limited	DECEMBER	15-May-15
219	Woodland Capital Markets Plc	DECEMBER	15-May-15
220	WSTC Financial Services Limited	DECEMBER	15-May-15
221	Yobe Investment & Securities Limited	DECEMBER	15-May-15
222	Yuderb Investment & Securities Ltd	DECEMBER	15-May-15
223	Zenith Securities Limited	DECEMBER	15-May-15
224	LB Securities Limited	DECEMBER	15-May-15

	INACTIVE AND SUBMITTED			
S/N	FIRMS	Year End	Due date	
1	Aims Asset Management Limited	DECEMBER	15-May-15	
2	Arian Capital Management Limited	DECEMBER	15-May-15	
3	Emi Capital Resources Ltd	DECEMBER	15-May-15	
4	Falcon Securities Limited	DECEMBER	15-May-15	
5	ITIS Securities Limited	DECEMBER	15-May-15	
6	Northbridge Invest & Stockbrokers Ltd	DECEMBER	15-May-15	
7	PML Securities Company Limited	DECEMBER	15-May-15	
8	Summa Guaranty & Trust Company Ltd	DECEMBER	15-May-15	
9	Supra Commercial Trust Co. Ltd	DECEMBER	15-May-15	
10	Vision Trust & Investment Limited	DECEMBER	15-May-15	

	INACTIVE AND NOT SUBMITTED			
S/N	FIRMS	Year End	Due date	
1	Allbond Investment Limited	DECEMBER	15-May-15	
2	Bauchi Investment Corp. Sec Limited	DECEMBER	15-May-15	
3	Bytofel Trust & Securities Limited	DECEMBER	15-May-15	
4	Cadington Securities Limited	DECEMBER	15-May-15	
5	CEB Securities Limited	DECEMBER	15-May-15	
6	Consolidated Investment Limited	DECEMBER	15-May-15	
7	Dakal Services Limited	DECEMBER	15-May-15	
8	Davandy Finance & Securities Limited	DECEMBER	15-May-15	
9	Decanon Investment Limited	DECEMBER	15-May-15	
10	Empire Securities Limited	DECEMBER	15-May-15	
11	First Alstate Securities Limited	DECEMBER	15-May-15	
12	Fittco Securities Limited	DECEMBER	15-May-15	
13	Gombe Securities Limited	DECEMBER	15-May-15	
14	HIP Asset Management Limited (Formerly Kakawa Asset Mgt Ltd)	DECEMBER	15-May-15	
15	Lion Stockbrokers Limited	DECEMBER	15-May-15	
16	LMB Stockbrokers Limited	DECEMBER	15-May-15	
17	Mact Securities Limited	DECEMBER	15-May-15	

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18	Maninvest Asset Management Plc	DECEMBER	15-May-15
19	Metropolitan Trust Nigeria Limited	DECEMBER	15-May-15
20	Midpoint Capital Limited	DECEMBER	15-May-15
21	ML Securities Limited	DECEMBER	15-May-15
22	Monument Sec & Finance Ltd	DECEMBER	15-May-15
23	Omas Investment & Trust Co.Ltd	DECEMBER	15-May-15
24	Peninsula Asset Mgt & Invest Co. Ltd	DECEMBER	15-May-15
25	Platinum Capital Limited	DECEMBER	15-May-15
26	Professional Stockbrokers Ltd	DECEMBER	15-May-15
27	Profund Securities Limited	DECEMBER	15-May-15
28	Prudential Securities Limited	DECEMBER	15-May-15
29	Regency Finance Limited	DECEMBER	15-May-15
30	Securities Solutions Limited	DECEMBER	15-May-15
31	Securities Trading & Invest Co. Ltd	DECEMBER	15-May-15
32	Surport Services Limited	DECEMBER	15-May-15
33	Waila Securities and Funds Limited	DECEMBER	15-May-15
34	Wizetrade Capital & Assets Mgt Ltd	DECEMBER	15-May-15
35	WT Securities Limited	DECEMBER	15-May-15
36	Zuma Securities Limited	DECEMBER	15-May-15



## **Broker TraX**

# **Quarterly Returns**

### FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 30 JUNE 201

FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 30 JUNE 2015				
ACTIVE AND SUBMITTED				
S/N	FIRMS	Year End	Due date	
1	Adamawa Securities Limited	DECEMBER	17-Aug-15	
2	Adonai Stockbrokers Limited	DECEMBER	17-Aug-15	
3	African Alliance Stockbrokers Limited	DECEMBER	17-Aug-15	
4	Afrinvest Securities Limited	DECEMBER	17-Aug-15	
5	DBSL Securities Limited	DECEMBER	17-Aug-15	
6	Cradle Trust Finance & Securities Limited	DECEMBER	17-Aug-15	
7	EFCP Limited	DECEMBER	17-Aug-15	
8	Express Portfolio Services Limited	DECEMBER	17-Aug-15	
9	Finbank Securities & Assets Management Limited	DECEMBER	17-Aug-15	
10	Delords Securities Limited	DECEMBER	17-Aug-15	
11	First Stockbrokers Limited	DECEMBER	17-Aug-15	
12	Global View Consult & Investment Limited	DECEMBER	17-Aug-15	
13	Alangrange Securities Limited	DECEMBER	17-Aug-15	
14	Altrade Securities Limited	DECEMBER	17-Aug-15	
15	SFC Securities Limited	DECEMBER	17-Aug-15	
16	Sigma Securities Limited	DECEMBER	17-Aug-15	
17	ICMG securities Limited	DECEMBER	17-Aug-15	
18	Independent Securities Limited	DECEMBER	17-Aug-15	
19	Topmost Securities Limited	DECEMBER	17-Aug-15	
20	Amyn Investment Limited	DECEMBER	17-Aug-15	
21	Anchorage Securities & Finance Limited	DECEMBER	17-Aug-15	
22	Arthur Stevens Asset Management Limited	DECEMBER	17-Aug-15	
23	Anchoria Investment & Securities Limited	DECEMBER	17-Aug-15	
24	Apel Asset Limited	DECEMBER	17-Aug-15	
25	Apt Securities & Funds Limited	DECEMBER	17-Aug-15	
26	Security Swaps Limited	DECEMBER	17-Aug-15	
27	ARM Securities Limited	DECEMBER	17-Aug-15	
28	Standard Union Securities Limited	DECEMBER	17-Aug-15	
29	The Bridge Securities Limited	DECEMBER	17-Aug-15	
30	Arthur Stevens Asset Management Limited	DECEMBER	17-Aug-15	
31	Associated Asset Managers Limited	DECEMBER	17-Aug-15	
32	Atlass Portfolio Limited	DECEMBER	17-Aug-15	
33	Belfry Investment & Securities Ltd	DECEMBER	17-Aug-15	
34	Bestlink Investment Limited	DECEMBER	17-Aug-15	
35 36	Bestworth Assets & Trust Limited BGL Securities Limited	DECEMBER DECEMBER	17-Aug-15	
36	Calyx Securities Limited	DECEMBER	17-Aug-15	
38	Carry Securities Limited  Camry Securities Limited	DECEMBER	17-Aug-15 17-Aug-15	
38	Capital Asset Limited	DECEMBER	17-Aug-15 17-Aug-15	
40	Capital Bancorp Plc	DECEMBER	17-Aug-15 17-Aug-15	
40	Capital Express Securities Limited	DECEMBER	17-Aug-15 17-Aug-15	
41	Capital Trust Brokers Limited	DECEMBER	17-Aug-15 17-Aug-15	
43	Cardinal Stone Securities Ltd	DECEMBER	17-Aug-15 17-Aug-15	
44	Cashcraft Securities Limited	DECEMBER	17-Aug-15 17-Aug-15	
45	Cashville Investment & Securities Limited	DECEMBER	17-Aug-15 17-Aug-15	
46	CDL Capital Markets Limited	DECEMBER	17-Aug-15 17-Aug-15	
48	Century Securities Limited	DECEMBER	17-Aug-15 17-Aug-15	
48	Century Securities Limiteu	DECLIVIDER	17-Aug-15	

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49	Chapel Hill Denham Securities Limited	DECEMBER	17-Aug-15
50	Chartwell Securities Limited	DECEMBER	17-Aug-15
51	Citi Investment Capital Limited	DECEMBER	17-Aug-15
52	City Code Trust & Investment Company Limited	DECEMBER	17-Aug-15
53	Clearview Investment & Securities Limited	DECEMBER	17-Aug-15
54	Compass Investment & Securities Limited	DECEMBER	17-Aug-15
55	Cordros Capital Limited	DECEMBER	17-Aug-15
56	Core Trust & Investment Limited	DECEMBER	17-Aug-15
57	Covenant Securities & Asset Management Limited	DECEMBER	17-Aug-15
58	Cowry Securities Limited	DECEMBER	17-Aug-15
59	Crane Securities Limited	DECEMBER	17-Aug-15
60	Crossworld Securities Limited	DECEMBER	17-Aug-15
61	Crown Capital Limited	DECEMBER	17-Aug-15
62	CSL Stockbrokers Limited	DECEMBER	17-Aug-15
63	Deep Trust & Investment Limited	DECEMBER	17-Aug-15
64	Dependable Securities Limited	DECEMBER	17-Aug-15
65	Diamond Securities Limited	DECEMBER DECEMBER	17-Aug-15
66 67	Dominion Trust Limited	DECEMBER	17-Aug-15
68	Tomil Trust Limited Tower Asset Management Limited	DECEMBER	17-Aug-15 17-Aug-15
69	-	DECEMBER	
70	DSU Brokerage Services Limited  Dunbell Securities Limited	DECEMBER	17-Aug-15
71	Dunn Loren Merrifield Securities Limited	DECEMBER	17-Aug-15 17-Aug-15
72	Dynamic Portfolio Limited	DECEMBER	17-Aug-15
73	ECL Asset Management Limited	DECEMBER	17-Aug-15
74	EDC Securities Limited	DECEMBER	17-Aug-15
75	Edgefield Capital Management Limited	DECEMBER	17-Aug-15
76	Elixir Securities Limited	DECEMBER	17-Aug-15
77	Enterprise Stockbrokers Limited	DECEMBER	17-Aug-15
78	Equity Capital Solutions Limited	DECEMBER	17-Aug-15
79	Eurocomm Securities Limited	DECEMBER	17-Aug-15
80	Excel Securities Limited	DECEMBER	17-Aug-15
81	Express Discount Asset Management Limited	DECEMBER	17-Aug-15
82	FBC Trust & Securities Limited	DECEMBER	17-Aug-15
83	FBN Securities Limited	DECEMBER	17-Aug-15
84	FCSL Asset Management Company Limited	DECEMBER	17-Aug-15
85	Fidelity Finance Company Limited	DECEMBER	17-Aug-15
86	Fidelity Securities Limited	DECEMBER	17-Aug-15
87	Finmal Finance Services Limited	DECEMBER	17-Aug-15
88	First Integrated Capital Manangement Limited	DECEMBER	17-Aug-15
89	FIS Securities Limited	DECEMBER	17-Aug-15
90	Foresight Securities & Investment Limited	DECEMBER	17-Aug-15
91	Forte Financial Limited	DECEMBER	17-Aug-15
92	Forthright Securities & Investments Limited	DECEMBER	17-Aug-15
93	Fortress Capital Limited	DECEMBER	17-Aug-15
94	FSDH Securities Limited	DECEMBER	17-Aug-15
95	Funds Matrix & Assets Mgt Limited	DECEMBER	17-Aug-15
96	Futureview Securities Limited	DECEMBER DECEMBER	17-Aug-15
97 98	Gem Assets Management Limited	DECEMBER	17-Aug-15
98	Gidauniya Investment & Sec Ltd Global Asset Management (Nig) Limited	DECEMBER	17-Aug-15 17-Aug-15
100	GMT Securities & Asset Mangement Limited	DECEMBER	17-Aug-15 17-Aug-15
101	Golden Securities & Asset Mangement Limited  Golden Securities Limited	DECEMBER	17-Aug-15 17-Aug-15
101	Greenwich Securities Limited	DECEMBER	17-Aug-15
102	Gruene Capital Limited	DECEMBER	17-Aug-15
103	GTI Securities Limited	DECEMBER	17-Aug-15
104	OTI Securities Limited	DECLIVIDEN	17-Aug-15

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105	Harmony Securities Limited	DECEMBER	17-Aug-15
106	Heartbeat Investment Limited	DECEMBER	17-Aug-15
107	Hedge Securities & Investment Limited	DECEMBER	17-Aug-15
108	FBNQuest Securities Limited	DECEMBER	17-Aug-15
109	Horizon Stockbrokers Limited	DECEMBER	17-Aug-15
110	Icon Stockbrokers Limited	DECEMBER	17-Aug-15
111	Transafrica Financial Services Limited	DECEMBER	17-Aug-15
112	Transworld Investment Limited	DECEMBER	17-Aug-15
113	Trust Yield Securities Limited	DECEMBER	17-Aug-15
114	Imperial Assets Managers Limited	DECEMBER	17-Aug-15
115	Integrated Trust & Investment Limited	DECEMBER	17-Aug-15
116	Interstate Securities Limited	DECEMBER	17-Aug-15
117	Investment Centre Limited	DECEMBER	17-Aug-15
118	Investment One Stockbrokers International Limited	DECEMBER	17-Aug-15
119	Investment Shark & Asset Mgt Ltd	DECEMBER	17-Aug-15
120	Investors & Trust Company Limited	DECEMBER	17-Aug-15
121	Kapital Care Trust & Securities Limited	DECEMBER	17-Aug-15
122	Kedari Capital Limited	DECEMBER	17-Aug-15
123	Kinley Securities Limited	DECEMBER	17-Aug-15
124	Kofana Securities & Investment Limited	DECEMBER	17-Aug-15
125	Kundila Finance Services Limited	DECEMBER	17-Aug-15
126	Lambeth Trust & Investment Company Limited	DECEMBER	17-Aug-15
127	Trusthouse Investment Limited	DECEMBER	17-Aug-15
128	Lead Securities & Investment Limited	DECEMBER	17-Aug-15
129	Lighthouse Asset Management Limited	DECEMBER	17-Aug-15
130	Long Term Global Capital Limited	DECEMBER	17-Aug-15
131	Magnartis Finance & Investment Limited	DECEMBER	17-Aug-15
132	Mainland Trust Limited	DECEMBER	17-Aug-15
133	Mainstreet Securities Limited	DECEMBER	17-Aug-15
134	Marimpex Finance & Investment Company Limited	DECEMBER	17-Aug-15
135	Marina Securities Stockbroking Services Limited	DECEMBER	17-Aug-15
136	Marriot Securities Limited	DECEMBER	17-Aug-15
137	Maven Asset Management Limited	DECEMBER	17-Aug-15
138	Maxifund Investment & Securities Plc	DECEMBER	17-Aug-15
139	Mayfield Investment Limited	DECEMBER	17-Aug-15
140	MBC Securities Limited	DECEMBER	17-Aug-15
141	Mega Equities Limited	DECEMBER	17-Aug-15
142	Mercov Securities Limited	DECEMBER	17-Aug-15
143	Meristem Stockbrokers Limited	DECEMBER	17-Aug-15
144	Midas Stockbrokers Limited	DECEMBER	17-Aug-15
145	Milestone Capital Management Limited	DECEMBER	17-Aug-15
146	Mission Securities Limited	DECEMBER	17-Aug-15
147	Molten Trust Limited	DECEMBER	17-Aug-15
148	Morgan Capital Securities Limited	DECEMBER	17-Aug-15
149	Mountain Investment & Securities Limited	DECEMBER	17-Aug-15
150	Mutual Alliance Investment & Securities Limited	DECEMBER	17-Aug-15
151	Network Capital Limited	DECEMBER	17-Aug-15
152	Networth Securities & Finance Limited	DECEMBER	17-Aug-15
153	Newdevco Investments & Securities Co. Limited	DECEMBER	17-Aug-15
154	Nigerian International Securities Ltd	DECEMBER	17-Aug-15
155	Nigerian Stockbrokers Limited	DECEMBER	17-Aug-15
156	Nova Finance & Securities Limited	DECEMBER	17-Aug-15
157	Options Securities Limited	DECEMBER	17-Aug-15
158	PAC Securities Limited	DECEMBER	17-Aug-15
159	Partnership Securities Limited	DECEMBER	17-Aug-15
160	Peace Capital Markets Ltd	DECEMBER	17-Aug-15

161	Perfecta Investment & Trust Limited	DECEMBER	17-Aug-15
162	Phronesis Securities Limited	DECEMBER	17-Aug-15
163	Pilot Securities Limited	DECEMBER	17-Aug-15
164	Pinefields Investment Services Limited	DECEMBER	17-Aug-15
165	PIPC Securities Limited	DECEMBER	17-Aug-15
166	Pivot Trust & Investment Co. Limited	DECEMBER	17-Aug-15
167	Planet Capital Limited	DECEMBER	17-Aug-15
168	Portfolio Advisers Limited	DECEMBER	17-Aug-15
169	Primera Africa Securities Limited	DECEMBER	17-Aug-15
170	Primewealth Capital Limited	DECEMBER	17-Aug-15
171	Prominent Securities Limited	DECEMBER	17-Aug-15
172	PSI Securities Limited	DECEMBER	17-Aug-15
173	Pyramid Securities Limited	DECEMBER	17-Aug-15
174	Quantum Securities Limited	DECEMBER	17-Aug-15
175	Rainbow Securities Limited	DECEMBER	17-Aug-15
176	Readings Investment Limited	DECEMBER	17-Aug-15
177	Redasel Investments Limited	DECEMBER	17-Aug-15
178	Regency Asset Management Limited	DECEMBER	17-Aug-15
179	Rencap Securities (Nig) Limited	DECEMBER	17-Aug-15
180	Resano Securities Limited	DECEMBER	17-Aug-15
181	Resort Securities Limited	DECEMBER	17-Aug-15
182	Reward Investment & Service Limited	DECEMBER	17-Aug-15
183	Rostrum Investment & Sec Limited	DECEMBER	17-Aug-15
184	Rowet Capital Mgt Limited	DECEMBER	17-Aug-15
185	Royal Crest Finance Limited	DECEMBER	17-Aug-15
186	Royal Guaranty & Trust Limited	DECEMBER	17-Aug-15
187	Royal Trust Securities Limited	DECEMBER	17-Aug-15
188	Santrust Securities Limited	DECEMBER	17-Aug-15
189	Securities Africa Financial Limited	DECEMBER	17-Aug-15
190	Securities and Capital Management Company Limited	DECEMBER	17-Aug-15
191	Shalom Investment & Financial services limited	DECEMBER	17-Aug-15
192	Shelong Investments Limited	DECEMBER	17-Aug-15
193	Signet Investment & Securities Limited	DECEMBER	17-Aug-15

194 Skyview Capital Ltd 195 Smadac Securities Limited 196 Solid Rock Securities & Investment Plc 197 Spring Trust & Securities Limited 198 Springboard Trust & Investment Limited 199 Stanbic IBTC Stockbrokers Limited 199 Stanbic IBTC Stockbrokers Limited 200 Standard Alliance Capital & Asset Limited 201 Stanwal Securities Limited 202 Summa Guaranty & Trust Company Limited 203 TFS Securities & Investment Company Limited 204 Tiddo Securities & Investment Company Limited 205 Tower Securities & Investment Company Limited 206 Trade Link Securities Limited 207 Traders Trust & Investment Company Limited 208 TrustBanc Capital Management Limited 209 TRW Stockbrokers Limited 209 TRW Stockbrokers Limited 210 UIDC Securities Limited 211 Unex Capital Limited 212 Union Capital Imited 213 United Capital Securities Limited 214 Valmon Securities Limited 215 Valueline Securities Limited 216 Vetiva Securities A Investment Limited 217 DECEMBER 218 United Capital Securities Limited 219 DECEMBER 210 DECEMBER 211 Union Capital Markets Limited 212 Union Capital Securities Limited 213 United Capital Securities Limited 214 Valmon Securities Limited 215 Valueline Securities Limited 216 Vetiva Securities Limited 217 DECEMBER 218 DECEMBER 219 DECEMBER 210 DECEMBER	17-Aug-15 17-Aug-15 17-Aug-15
196 Solid Rock Securities & Investment Plc 197 Spring Trust & Securities Limited 198 Springboard Trust & Investment Limited 199 Stanbic IBTC Stockbrokers Limited 200 Standard Alliance Capital & Asset Limited 201 Stanwal Securities Limited 202 Summa Guaranty & Trust Company Limited 203 TFS Securities & Investment Company Limited 204 Tiddo Securities Limited 205 Tower Securities & Investment Company Limited 206 Trade Link Securities Limited 207 Traders Trust & Investment Company Limited 208 TrustBanc Capital Management Limited 209 TRW Stockbrokers Limited 209 TRW Stockbrokers Limited 209 TRW Stockbrokers Limited 209 TRW Stockbrokers Limited 200 DECEMBER 210 UIDC Securities Limited 211 Unex Capital Imited 212 Union Capital Markets Limited 213 United Capital Securities Limited 214 Valmon Securities Limited 215 Valueline Securities Limited 216 DECEMBER 217 DECEMBER 218 DECEMBER 219 DECEMBER 210 DECEMBER 210 DECEMBER 211 Unex Capital Limited 212 DECEMBER 213 United Capital Securities Limited 214 DECEMBER 215 Valueline Securities & Investment Limited 216 DECEMBER 217 DECEMBER 218 DECEMBER 219 DECEMBER 219 DECEMBER 210 DECEMBER	,
197 Spring Trust & Securities Limited DECEMBER 198 Springboard Trust & Investment Limited DECEMBER 199 Stanbic IBTC Stockbrokers Limited DECEMBER 200 Standard Alliance Capital & Asset Limited DECEMBER 201 Stanwal Securities Limited DECEMBER 202 Summa Guaranty & Trust Company Limited DECEMBER 203 TFS Securities & Investment Company Limited DECEMBER 204 Tiddo Securities Limited DECEMBER 205 Tower Securities Limited DECEMBER 206 Trade Link Securities Limited DECEMBER 207 Traders Trust & Investment Co. Limited DECEMBER 208 TrustBanc Capital Management Limited DECEMBER 209 TRW Stockbrokers Limited DECEMBER 210 UIDC Securities Limited DECEMBER 211 Unex Capital Limited DECEMBER 212 Union Capital Markets Limited DECEMBER 213 United Capital Securities Limited DECEMBER 214 Valmon Securities Limited DECEMBER 215 Valueline Securities & Investment Limited DECEMBER	17-Aug-15
198         Springboard Trust & Investment Limited         DECEMBER           199         Stanbic IBTC Stockbrokers Limited         DECEMBER           200         Standard Alliance Capital & Asset Limited         DECEMBER           201         Stanwal Securities Limited         DECEMBER           202         Summa Guaranty & Trust Company Limited         DECEMBER           203         TFS Securities & Investment Company Limited         DECEMBER           204         Tiddo Securities Limited         DECEMBER           205         Tower Securities & Investment Company Limited         DECEMBER           206         Trade Link Securities Limited         DECEMBER           207         Traders Trust & Investment Co. Limited         DECEMBER           208         TrustBanc Capital Management Limited         DECEMBER           209         TRW Stockbrokers Limited         DECEMBER           210         UIDC Securities Limited         DECEMBER           211         Unex Capital Limited         DECEMBER           212         Union Capital Markets Limited         DECEMBER           213         United Capital Securities Limited         DECEMBER           214         Valmon Securities Limited         DECEMBER           215         Valueline Securities & Invest	17-Aug-13
199 Stanbic IBTC Stockbrokers Limited DECEMBER 200 Standard Alliance Capital & Asset Limited DECEMBER 201 Stanwal Securities Limited DECEMBER 202 Summa Guaranty & Trust Company Limited DECEMBER 203 TFS Securities & Investment Company Limited DECEMBER 204 Tiddo Securities Limited DECEMBER 205 Tower Securities & Investment Company Limited DECEMBER 206 Trade Link Securities Limited DECEMBER 207 Traders Trust & Investment Co. Limited DECEMBER 208 TrustBanc Capital Management Limited DECEMBER 209 TRW Stockbrokers Limited DECEMBER 210 UIDC Securities Limited DECEMBER 211 Unex Capital Limited DECEMBER 212 Union Capital Markets Limited DECEMBER 213 United Capital Securities Limited DECEMBER 214 Valmon Securities Limited DECEMBER 215 Valueline Securities & Investment Limited DECEMBER	17-Aug-15
200 Standard Alliance Capital & Asset Limited DECEMBER 201 Stanwal Securities Limited DECEMBER 202 Summa Guaranty & Trust Company Limited DECEMBER 203 TFS Securities & Investment Company Limited DECEMBER 204 Tiddo Securities & Investment Company Limited DECEMBER 205 Tower Securities & Investment Company Limited DECEMBER 206 Trade Link Securities Limited DECEMBER 207 Traders Trust & Investment Co. Limited DECEMBER 208 TrustBanc Capital Management Limited DECEMBER 209 TRW Stockbrokers Limited DECEMBER 210 UIDC Securities Limited DECEMBER 211 Unex Capital Limited DECEMBER 212 Union Capital Markets Limited DECEMBER 213 United Capital Securities Limited DECEMBER 214 Valmon Securities Limited DECEMBER 215 Valueline Securities & Investment Limited DECEMBER	17-Aug-15
201 Stanwal Securities Limited DECEMBER 202 Summa Guaranty & Trust Company Limited DECEMBER 203 TFS Securities & Investment Company Limited DECEMBER 204 Tiddo Securities Limited DECEMBER 205 Tower Securities & Investment Company Limited DECEMBER 206 Trade Link Securities Limited DECEMBER 207 Traders Trust & Investment Co. Limited DECEMBER 208 TrustBanc Capital Management Limited DECEMBER 209 TRW Stockbrokers Limited DECEMBER 210 UIDC Securities Limited DECEMBER 211 Unex Capital Limited DECEMBER 212 Union Capital Markets Limited DECEMBER 213 United Capital Securities Limited DECEMBER 214 Valmon Securities Limited DECEMBER 215 Valueline Securities & Investment Limited DECEMBER	17-Aug-15
202 Summa Guaranty & Trust Company Limited 203 TFS Securities & Investment Company Limited 204 Tiddo Securities Limited 205 Tower Securities & Investment Company Limited 206 Trade Link Securities Limited 207 Traders Trust & Investment Co. Limited 208 TrustBanc Capital Management Limited 209 TRW Stockbrokers Limited 210 UIDC Securities Limited 211 Unex Capital Limited 212 Union Capital Management Limited 213 United Capital Securities Limited 214 Union Capital Management Limited 215 Union Capital Management Limited 216 DECEMBER 217 Union Capital Markets Limited 218 DECEMBER 219 Union Capital Markets Limited 210 UIDC Securities Limited 211 Union Capital Markets Limited 212 Union Capital Markets Limited 213 United Capital Securities Limited 214 Valmon Securities Limited 215 Valueline Securities & Investment Limited 216 DECEMBER 217 DECEMBER 218 DECEMBER 219 DECEMBER	17-Aug-15
203 TFS Securities & Investment Company Limited DECEMBER 204 Tiddo Securities Limited DECEMBER 205 Tower Securities & Investment Company Limited DECEMBER 206 Trade Link Securities Limited DECEMBER 207 Traders Trust & Investment Co. Limited DECEMBER 208 TrustBanc Capital Management Limited DECEMBER 209 TRW Stockbrokers Limited DECEMBER 210 UIDC Securities Limited DECEMBER 211 Unex Capital Limited DECEMBER 212 Union Capital Markets Limited DECEMBER 213 United Capital Securities Limited DECEMBER 214 Valmon Securities Limited DECEMBER 215 Valueline Securities & Investment Limited DECEMBER	17-Aug-15
204         Tiddo Securities Limited         DECEMBER           205         Tower Securities & Investment Company Limited         DECEMBER           206         Trade Link Securities Limited         DECEMBER           207         Traders Trust & Investment Co. Limited         DECEMBER           208         TrustBanc Capital Management Limited         DECEMBER           209         TRW Stockbrokers Limited         DECEMBER           210         UIDC Securities Limited         DECEMBER           211         Unex Capital Limited         DECEMBER           212         Union Capital Markets Limited         DECEMBER           213         United Capital Securities Limited         DECEMBER           214         Valmon Securities Limited         DECEMBER           215         Valueline Securities & Investment Limited         DECEMBER	17-Aug-15
205 Tower Securities & Investment Company Limited DECEMBER 206 Trade Link Securities Limited DECEMBER 207 Traders Trust & Investment Co. Limited DECEMBER 208 TrustBanc Capital Management Limited DECEMBER 209 TRW Stockbrokers Limited DECEMBER 210 UIDC Securities Limited DECEMBER 211 Unex Capital Limited DECEMBER 212 Union Capital Markets Limited DECEMBER 213 United Capital Securities Limited DECEMBER 214 Valmon Securities Limited DECEMBER 215 Valueline Securities & Investment Limited DECEMBER	17-Aug-15
206 Trade Link Securities Limited DECEMBER 207 Traders Trust & Investment Co. Limited DECEMBER 208 TrustBanc Capital Management Limited DECEMBER 209 TRW Stockbrokers Limited DECEMBER 210 UIDC Securities Limited DECEMBER 211 Unex Capital Limited DECEMBER 212 Union Capital Markets Limited DECEMBER 213 United Capital Securities Limited DECEMBER 214 Valmon Securities Limited DECEMBER 215 Valueline Securities & Investment Limited DECEMBER	17-Aug-15
207 Traders Trust & Investment Co. Limited DECEMBER 208 TrustBanc Capital Management Limited DECEMBER 209 TRW Stockbrokers Limited DECEMBER 210 UIDC Securities Limited DECEMBER 211 Unex Capital Limited DECEMBER 212 Union Capital Markets Limited DECEMBER 213 United Capital Securities Limited DECEMBER 214 Valmon Securities Limited DECEMBER 215 Valueline Securities & Investment Limited DECEMBER	17-Aug-15
208 TrustBanc Capital Management Limited DECEMBER 209 TRW Stockbrokers Limited DECEMBER 210 UIDC Securities Limited DECEMBER 211 Unex Capital Limited DECEMBER 212 Union Capital Markets Limited DECEMBER 213 United Capital Securities Limited DECEMBER 214 Valmon Securities Limited DECEMBER 215 Valueline Securities & Investment Limited DECEMBER	17-Aug-15
209 TRW Stockbrokers Limited DECEMBER 210 UIDC Securities Limited DECEMBER 211 Unex Capital Limited DECEMBER 212 Union Capital Markets Limited DECEMBER 213 United Capital Securities Limited DECEMBER 214 Valmon Securities Limited DECEMBER 215 Valueline Securities & Investment Limited DECEMBER	17-Aug-15
210     UIDC Securities Limited     DECEMBER       211     Unex Capital Limited     DECEMBER       212     Union Capital Markets Limited     DECEMBER       213     United Capital Securities Limited     DECEMBER       214     Valmon Securities Limited     DECEMBER       215     Valueline Securities & Investment Limited     DECEMBER	17-Aug-15
211     Unex Capital Limited     DECEMBER       212     Union Capital Markets Limited     DECEMBER       213     United Capital Securities Limited     DECEMBER       214     Valmon Securities Limited     DECEMBER       215     Valueline Securities & Investment Limited     DECEMBER	17-Aug-15
212     Union Capital Markets Limited     DECEMBER       213     United Capital Securities Limited     DECEMBER       214     Valmon Securities Limited     DECEMBER       215     Valueline Securities & Investment Limited     DECEMBER	17-Aug-15
213     United Capital Securities Limited     DECEMBER       214     Valmon Securities Limited     DECEMBER       215     Valueline Securities & Investment Limited     DECEMBER	17-Aug-15
214     Valmon Securities Limited     DECEMBER       215     Valueline Securities & Investment Limited     DECEMBER	17-Aug-15
215 Valueline Securities & Investment Limited DECEMBER	17-Aug-15
	17-Aug-15
216 Vetiva Securities Limited DECEMBER	17-Aug-15
	17-Aug-15
217 Woodland Capital Markets Plc DECEMBER	17-Aug-15
218 WSTC Financial Services Limited DECEMBER	17-Aug-15
219 Yobe Investment & Securities Limited DECEMBER	17-Aug-15
220 Yuderb Investment & Securities Limited DECEMBER	17-Aug-15
221 Zenith Securities Limited DECEMBER	17-Aug-15

I	NEWLY LICENSED FIRMS NOT REQUIRED TO SUBMIT RETURNS				
S/N FIRMS Year End Due date				Due date	
I	1	Financial & Analytics Capital Limited	DECEMBER	17-Aug-15	

	INACTIVE AND SUBMITTED		
S/N	FIRMS	Year End	Due date
1	Arian Capital Management Limited	DECEMBER	17-Aug-15
2	Cadington Securities Limited	DECEMBER	17-Aug-15
3	Falcon Securities Limited	DECEMBER	17-Aug-15
4	Financial Trust Company Limited	DECEMBER	17-Aug-15
5	International standard Securities Limited	DECEMBER	17-Aug-15
6	ITIS Securities Limited	DECEMBER	17-Aug-15
7	LB Securities Limited	DECEMBER	6-Jan-00
8	MBL Financial Services Limited	DECEMBER	17-Aug-15
9	Northbridge Invest & Stockbrokers Ltd	DECEMBER	17-Aug-15
10	PML Securities Company Limited	DECEMBER	17-Aug-15
11	Supra Commercial Trust Co. Ltd	DECEMBER	17-Aug-15
12	Vision Trust & Investment Limited	DECEMBER	17-Aug-15

	INACTIVE AND NOT SUBMITTED		
S/N	FIRMS	Year End	Due date
1	Aims Asset Management Limited	DECEMBER	17-Aug-15
2	Allbond Investment Limited	DECEMBER	17-Aug-15
3	Bauchi Investment Corp. Sec Limited	DECEMBER	17-Aug-15
4	Bytofel Trust & Securities Limited	DECEMBER	17-Aug-15
5	CEB Securities Limited	DECEMBER	17-Aug-15
6	Consolidated Investment Limited	DECEMBER	17-Aug-15
7	Dakal Services Limited	DECEMBER	17-Aug-15
8	Davandy Finance & Securities Limited	DECEMBER	17-Aug-15
9	Decanon Investment Limited	DECEMBER	17-Aug-15
10	Emi Capital Resources Ltd	DECEMBER	17-Aug-15
11	Empire Securities Limited	DECEMBER	17-Aug-15
12	First Alstate Securities Limited	DECEMBER	17-Aug-15
13	Fittco Securities Limited	DECEMBER	17-Aug-15
14	Gombe Securities Limited	DECEMBER	17-Aug-15
15	HIP Asset Management Limited (Formerly Kakawa Asset	DECEMBER	17-Aug 15
13	Mgt Ltd)	DECEIVIDEN	17-Aug-13
16	Lion Stockbrokers Limited	DECEMBER	17-Aug-15
17	LMB Stockbrokers Limited	DECEMBER	17-Aug-15
18	Mact Securities Limited	DECEMBER	17-Aug-15
19	Maninvest Asset Management Plc	DECEMBER	17-Aug-15
20	Metropolitan Trust Nigeria Limited	DECEMBER	17-Aug-15
21	Midpoint Capital Limited	DECEMBER	17-Aug-15
22	ML Securities Limited	DECEMBER	17-Aug-15
23	Monument Sec & Finance Ltd	DECEMBER	17-Aug-15
24	Omas Investment & Trust Co.Ltd	DECEMBER	17-Aug-15
25	Peninsula Asset Mgt & Invest Co. Ltd	DECEMBER	17-Aug-15
26	Platinum Capital Limited	DECEMBER	17-Aug-15
27	Professional Stockbrokers Ltd	DECEMBER	17-Aug-15
28	Profund Securities Limited	DECEMBER	17-Aug-15
29	Prudential Securities Limited	DECEMBER	17-Aug-15
30	Regency Finance Limited	DECEMBER	17-Aug-15
31	Securities Solutions Limited	DECEMBER	17-Aug-15
32	Securities Trading & Invest Co. Ltd	DECEMBER	17-Aug-15
33	Surport Services Limited	DECEMBER	17-Aug-15
34	Waila Securities and Funds Limited	DECEMBER	17-Aug-15
35	Wema Asset Management Limited	DECEMBER	17-Aug-15
36	Wizetrade Capital & Assets Mgt Ltd	DECEMBER	17-Aug-15
37	WT Securities Limited	DECEMBER	17-Aug-15
38	Zuma Securities Limited	DECEMBER	17-Aug-15

# **Broker TraX**

# **Quarterly Returns**

### FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 30 SEPT 2015 ACTIVE AND SUBMITTED

S/N	FIRMS	Year End	Due date
1	Adamawa Securities Limited	DECEMBER	15-Nov-15
2	Adonai Stockbrokers Limited	DECEMBER	15-Nov-15
3	African Alliance Stockbrokers Limited	DECEMBER	15-Nov-15
4	Afrinvest Securities Limited	DECEMBER	15-Nov-15
5	CSL Stockbrokers Limited	DECEMBER	15-Nov-15
6	Cowry Securities Limited	DECEMBER	15-Nov-15
7	EFCP Limited	DECEMBER	15-Nov-15
8	Express Portfolio Services Limited	DECEMBER	15-Nov-15
9	Financial & Analytics Capital Limited	DECEMBER	15-Nov-15
10	Delords Securities Limited	DECEMBER	15-Nov-15
11	Finmal Finance Services Limited	DECEMBER	15-Nov-15
12	Gidauniya Investment & Securities Limited	DECEMBER	15-Nov-15
13	Alangrange Securities Limited	DECEMBER	15-Nov-15
14	Altrade Securities Limited	DECEMBER	15-Nov-15
15	Shelong Investments Limited	DECEMBER	15-Nov-15
16	Skyview Capital Ltd	DECEMBER	15-Nov-15
17	Horizon Stockbrokers Limited	DECEMBER	15-Nov-15
18	Imperial Assets Managers Limited	DECEMBER	15-Nov-15
19	Trade Link Securities Limited	DECEMBER	15-Nov-15
20	Amyn Investment Limited	DECEMBER	15-Nov-15
21	Anchoria Investment & Securities Limited	DECEMBER	15-Nov-15
22	Arthur Stevens Asset Management Limited	DECEMBER	15-Nov-15
23	Apel Asset Limited	DECEMBER	15-Nov-15
24	Apt Securities & Funds Limited	DECEMBER	15-Nov-15
25	Arian Capital Management Limited	DECEMBER	15-Nov-15
26	Shalom Investment & Financial Services Limited	DECEMBER	15-Nov-15
27	ARM Securities Limited	DECEMBER	15-Nov-15
28	TFS Securities & Investment Company Limited	DECEMBER	15-Nov-15
29	Topmost Securities Limited	DECEMBER	15-Nov-15
30	Arthur Stevens Asset Management Limited	DECEMBER	15-Nov-15
31	Associated Asset Managers Limited	DECEMBER	15-Nov-15
32	Atlass Portfolio Limited	DECEMBER	15-Nov-15
33	Belfry Investment & Securities Limited	DECEMBER	15-Nov-15
34	Bestlink Investment Limited	DECEMBER	15-Nov-15
35	Bestworth Assets & Trust Limited	DECEMBER	15-Nov-15
36	BGL Securities Limited	DECEMBER	15-Nov-15
37	Calyx Securities Limited	DECEMBER	15-Nov-15
38	Camry Securities Limited	DECEMBER	15-Nov-15
39	Capital Asset Limited	DECEMBER	15-Nov-15
40	Capital Bancorp Plc	DECEMBER	15-Nov-15
41	Capital Express Securities Limited	DECEMBER	15-Nov-15
42	Capital Trust Brokers Limited	DECEMBER	15-Nov-15

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43	Cardinal Stone Securities Ltd	DECEMBER	15-Nov-15
44	Cashcraft Securities Limited	DECEMBER	15-Nov-15
45	Cashville Investment & Securities Limited	DECEMBER	15-Nov-15
46	CDL Capital Markets Limited	DECEMBER	15-Nov-15
47	Century Securities Limited	DECEMBER	15-Nov-15
48	Chapel Hill Denham Securities Limited	DECEMBER	15-Nov-15
49	Chartwell Securities Limited	DECEMBER	15-Nov-15
50	Citi Investment Capital Limited	DECEMBER	15-Nov-15
51	City Code Trust & Investment Company Limited	DECEMBER	15-Nov-15
52	Clearview Investments Company Limited	DECEMBER	15-Nov-15
53	Compass Investment & Securities Limited	DECEMBER	15-Nov-15
54	Cordros Capital Limited	DECEMBER	15-Nov-15
55	Core Trust & Investment Limited	DECEMBER	15-Nov-15
56	Coronation Securities Limited	DECEMBER	15-Nov-15
57	Covenant Securities & Asset Management Limited	DECEMBER	15-Nov-15
58	Cradle Trust Finance & Securities Limited	DECEMBER	15-Nov-15
59	Crane Securities Limited	DECEMBER	15-Nov-15
60	Crossworld Securities Limited	DECEMBER	15-Nov-15
61	Crown Capital Limited	DECEMBER	15-Nov-15
62	Deep Trust & Investment Limited	DECEMBER	15-Nov-15
63	Dependable Securities Limited	DECEMBER	15-Nov-15
64	Diamond Securities Limited	DECEMBER	15-Nov-15
65	Dominion Trust Limited	DECEMBER	15-Nov-15
66	Tower Securities & Investment Company Limited	DECEMBER	15-Nov-15
67	Traders Trust & Investment Co. Limited	DECEMBER	15-Nov-15
68	DSU Brokerage Services Limited	DECEMBER	15-Nov-15
69	Dunbell Securities Limited	DECEMBER	15-Nov-15
70	Dunn Loren Merrifield Securities Limited	DECEMBER	15-Nov-15
71	Dynamic Portfolio Limited	DECEMBER	15-Nov-15
72	ECL Asset Management Limited	DECEMBER	15-Nov-15
73	EDC Securities Limited	DECEMBER	15-Nov-15
74	Edgefield Capital Management Limited	DECEMBER	15-Nov-15
75	Elixir Securities Limited	DECEMBER	15-Nov-15
76	Enterprise Stockbrokers Limited	DECEMBER	15-Nov-15
77	Equity Capital Solutions Limited	DECEMBER	15-Nov-15
78	Eurocomm Securities Limited	DECEMBER	15-Nov-15
79	Excel Securities Limited	DECEMBER	15-Nov-15
80	Express Discount Asset Management Limited	DECEMBER	15-Nov-15
81	FBC Trust & Securities Limited	DECEMBER	15-Nov-15
82	FBN Securities Limited	DECEMBER	15-Nov-15
83	FCSL Asset Management Company Limited	DECEMBER	15-Nov-15
84	Fidelity Finance Company Limited	DECEMBER	15-Nov-15
85	Fidelity Securities Limited	DECEMBER	15-Nov-15
86	Financial Trust Company Limited	DECEMBER	15-Nov-15
87	Finbank Securities & Assets Management Limited	DECEMBER	15-Nov-15
88	First Integrated Capital Manangement Limited	DECEMBER	15-Nov-15
89	First Stockbrokers Limited	DECEMBER	15-Nov-15
90	FIS Securities Limited	DECEMBER	15-Nov-15
91	Foresight Securities & Investment Limited	DECEMBER	15-Nov-15
92	Forte Financial Limited	DECEMBER	15-Nov-15
93	Forthright Securities & Investments Limited	DECEMBER	15-Nov-15
94	Fortress Capital Limited	DECEMBER	15-Nov-15

96 Funds Matrix & Assets Mgt Limited  97 Futureview Securities Limited  98 Gem Assets Management Limited  99 Giobal Asset Management (Mig) Limited  99 Giobal Asset Management (Mig) Limited  90 Giobal View Consult & Investment Limited  90 DECEMBER  15-Nov-11  90 Giobal View Consult & Investment Limited  90 DECEMBER  15-Nov-11  910 Giobal Asset Management (Mig) Limited  90 DECEMBER  15-Nov-11  910 Giobal Asset Management (Mig) Limited  90 DECEMBER  15-Nov-11  910 Greenwich Securities Limited  90 DECEMBER  15-Nov-11  103 Green Capital Limited  90 DECEMBER  15-Nov-11  104 GTI Securities Limited  90 DECEMBER  15-Nov-11  105 Harmony Securities Limited  90 DECEMBER  15-Nov-11  106 Heartheat Investment Limited  90 DECEMBER  15-Nov-11  107 FBNQuest Securities Limited  90 DECEMBER  15-Nov-11  108 Heritage Capital Markets Limited  90 DECEMBER  15-Nov-11  109 ICMG securities Limited  90 DECEMBER  15-Nov-11  107 IT SEAD Capital Markets Limited  90 DECEMBER  15-Nov-11  108 Heritage Capital Markets Limited  90 DECEMBER  15-Nov-11  109 ICMG securities Limited  90 DECEMBER  15-Nov-11  110 TrustBance Capital Management Limited  90 DECEMBER  15-Nov-11  111 Trusthouse Investment Limited  90 DECEMBER  15-Nov-11  112 TRW Stockbrokers Limited  90 DECEMBER  15-Nov-11  113 Icon Stockbrokers Limited  90 DECEMBER  15-Nov-11  114 Independent Securities Limited  90 DECEMBER  15-Nov-11  115 Integrated Frust & Investment Limited  90 DECEMBER  15-Nov-11  116 Integrated Frust & Investment Limited  90 DECEMBER  15-Nov-11  117 Investment Centre Limited  90 DECEMBER  15-Nov-11  118 Investment One Stockbrokers International Limited  90 DECEMBER  15-Nov-11  119 Investment Shark & Asset Mgt Ltid  90 DECEMBER  15-Nov-11  110 Investment Shark & Asset Mgt Ltid  90 DECEMBER  15-Nov-11  111 Investment Shark & Asset Mgt Ltid  90 DECEMBER  15-Nov-11  112 Kapital Care Trust & Securities Limited  90 DECEMBER  15-Nov-11  118 Investment Shark & Asset Mgt Limited  90 DECEMBER  15-Nov-11  119 Investment Shark & Asset Mgt Limited  90 DECEMBER  15-Nov-11  110 Inve		1	I	
97 Futureview Securities Limited DECEMBER 15-Nov-1 98 Gern Assets Management Limited DECEMBER 15-Nov-1 100 Global View Consult & Investment Limited DECEMBER 15-Nov-1 110 Global View Consult & Investment Limited DECEMBER 15-Nov-1 1110 Golden Securities Limited DECEMBER 15-Nov-1 110 Greenwich Securities Limited DECEMBER 15-Nov-1 110 Farmony Securities Limited DECEMBER 15-Nov-1 110 FARMON Securities Limited DECEMBER 15-Nov-1 110 FARMON Securities Limited DECEMBER 15-Nov-1 111 Trusthanca Capital Management Limited DECEMBER 15-Nov-1 111 Trusthanca Capital Management Limited DECEMBER 15-Nov-1 112 Trusthouse Investment Limited DECEMBER 15-Nov-1 113 Investment Limited DECEMBER 15-Nov-1 114 Independent Securities Limited DECEMBER 15-Nov-1 115 Integrated Trust & Investment Limited DECEMBER 15-Nov-1 116 Interstate Securities Limited DECEMBER 15-Nov-1 117 Investment Centre Limited DECEMBER 15-Nov-1 118 Investment Centre Limited DECEMBER 15-Nov-1 119 Investment Centre Limited DECEMBER 15-Nov-1 110 Investment Centre Limited DECEMBER 15-Nov-1 111 Investment Centre Limited DECEMBER 15-Nov-1 112 Investment Centre Limited DECEMBER 15-Nov-1 113 Investment Centre Limited DECEMBER 15-Nov-1 114 Investment Centre Limited DECEMBER 15-Nov-1 115 Integrated Trust & Investment Limited DECEMBER 15-Nov-1 116 Interstate Securities Limited DECEMBER 15-Nov-1 117 Investment Centre Limited DECEMBER 15-Nov-1 118 Investment Centre Limited DECEMBER 15-Nov-1 119 Investment Shark & Asset Mat Limited DECEMBER 15-Nov-1 120 Investors & Trust Company Limited D				15-Nov-15
Best   Gern Assets Management Limited   DECEMBER   15-Nov-1		· ·	-	
99 Global Asset Management (Nig) Limited DECEMBER 15-Nov-1 100 Global View Consult & Investment Limited DECEMBER 15-Nov-1 101 Global Securities Limited DECEMBER 15-Nov-1 102 Greenwich Securities Limited DECEMBER 15-Nov-1 103 Greenwich Securities Limited DECEMBER 15-Nov-1 104 GTI Securities Limited DECEMBER 15-Nov-1 105 Harmony Securities Limited DECEMBER 15-Nov-1 106 Heartbeat Investment Limited DECEMBER 15-Nov-1 106 Heartbeat Investment Limited DECEMBER 15-Nov-1 107 FBNQuest Securities Limited DECEMBER 15-Nov-1 108 Heritage Capital Markets Limited DECEMBER 15-Nov-1 108 Heritage Capital Markets Limited DECEMBER 15-Nov-1 110 TrustBanc Capital Management Limited DECEMBER 15-Nov-1 111 TrustBanc Capital Management Limited DECEMBER 15-Nov-1 111 TrustBanc Capital Management Limited DECEMBER 15-Nov-1 112 ITRUS Stockbrokers Limited DECEMBER 15-Nov-1 113 Iton Stockbrokers Limited DECEMBER 15-Nov-1 114 Independent Securities Limited DECEMBER 15-Nov-1 115 Integrated Trust & Investment Limited DECEMBER 15-Nov-1 116 Integrated Securities Limited DECEMBER 15-Nov-1 116 Integrated Securities Limited DECEMBER 15-Nov-1 116 Integrated Securities Limited DECEMBER 15-Nov-1 117 Investment Centre Limited DECEMBER 15-Nov-1 118 Integrated Securities Limited DECEMBER 15-Nov-1 119 Investment Securities Limited DECEMBER 15-Nov-1 119 Investment Securities Limited DECEMBER 15-Nov-1 119 Investment Shark & Asset Mgt Ltd DECEMBER 15-Nov-1 119 Investment Shark & Asset Mgt Ltd DECEMBER 15-Nov-1 119 Investment Shark & Asset Mgt Ltd DECEMBER 15-Nov-1 119 Investment Shark & Asset Mgt Ltd DECEMBER 15-Nov-1 119 Investment Shark & Asset Mgt Ltd DECEMBER 15-Nov-1 119 Investment Shark & Asset Mgt Ltd DECEMBER 15-Nov-1 119 Investment Shark & Asset Mgt Ltd DECEMBER 15-Nov-1 119 Investment Shark & Securities Limited DECEMBER 15-Nov-1 119 Investment Shark & Securities Limited DECEMBER 15-Nov-1 119 Investment Shark & Securities Limited DECEMBER 15-Nov-1 119 Investment Shark & Asset Mgt Ltd DECEMBER 15-Nov-1 119 Investment Shark & Asset Mgt Ltd DECEMBER 15-No				
100   Global View Consult & Investment Limited   DECEMBER   15-Nov-1		· ·		
101   Golden Securities Limited				
102   Greenwich Securities Limited				
103 Gruene Capital Limited DECEMBER 15-Nov-1 106 GT Securities Limited DECEMBER DECEMBER 15-Nov-1 107 FBNQuest Securities Limited DECEMBER DECEMBER 15-Nov-1 108 Heritage Capital Markets Limited DECEMBER DECEMBER 15-Nov-1 109 ICMG securities Limited DECEMBER DECEMBER 15-Nov-1 109 ICMG securities Limited DECEMBER DECEMBER 15-Nov-1 110 TrustBanc Capital Management Limited DECEMBER				
105   Harmony Securities Limited   DECEMBER   15-Nov-1				
Harmony Securities Limited		·		
Heartbeat Investment Limited   DECEMBER   15-Nov-1	104	GTI Securities Limited		15-Nov-15
107   FBNQuest Securities Limited   DECEMBER   15-Nov-1     108   Heritage Capital Markets Limited   DECEMBER   DECEMBER   15-Nov-1     110   TrustBanc Capital Management Limited   DECEMBER   DECE		,		15-Nov-15
Heritage Capital Markets Limited   DECEMBER   15-Nov-1			-	
ICMG securities Limited		-		15-Nov-15
110         TrustBanc Capital Management Limited         DECEMBER         15-Nov-1           111         Trusthouse Investment Limited         DECEMBER         15-Nov-1           122         TRW Stockbrokers Limited         DECEMBER         15-Nov-1           113         Icon Stockbrokers Limited         DECEMBER         15-Nov-1           114         Independent Securities Limited         DECEMBER         15-Nov-1           116         Interstate Securities Limited         DECEMBER         15-Nov-1           117         Investment Centre Limited         DECEMBER         15-Nov-1           118         Investment Centre Limited         DECEMBER         15-Nov-1           119         Investment One Stockbrokers International Limited         DECEMBER         15-Nov-1           119         Investment One Stockbrokers International Limited         DECEMBER         15-Nov-1           119         Investment Shark & Asset Mgt Ltd         DECEMBER         15-Nov-1           110         Investment Shark & Asset Mgt Ltd         DECEMBER         15-Nov-1           120         Investors & Trust & Company Limited         DECEMBER         15-Nov-1           121         Kapital Care Trust & Securities Limited         DECEMBER         15-Nov-1           122         Kun		• .		15-Nov-15
Trusthouse Investment Limited DECEMBER 15-Nov-1 TRW Stockbrokers Limited DECEMBER 15-Nov-1 TRW Stockbrokers Limited DECEMBER 15-Nov-1 Lon Stockbrokers Limited DECEMBER 15-Nov-1 Integrated Trust & Investment Limited DECEMBER 15-Nov-1 Integrated Trust & Investment Limited DECEMBER 15-Nov-1 Integrated Trust & Investment Limited DECEMBER 15-Nov-1 Investment Centre Limited DECEMBER 15-Nov-1 Investment One Stockbrokers International Limited DECEMBER 15-Nov-1 Investment Shark & Asset Mgt Ltd DECEMBER 15-Nov-1 Investment Shark & Asset Mgt Ltd DECEMBER 15-Nov-1 Investors & Trust Company Limited DECEMBER 15-Nov-1 Investors & Investors & Investors Limited DECEMBER 15-Nov-1 Investors & Investo	109	ICMG securities Limited	DECEMBER	15-Nov-15
112 TRW Stockbrokers Limited DECEMBER 15-Nov-1 113 Icon Stockbrokers Limited DECEMBER 15-Nov-1 114 Independent Securities Limited DECEMBER 15-Nov-1 115 Integrated Trust & Investment Limited DECEMBER 15-Nov-1 116 Interstate Securities Limited DECEMBER 15-Nov-1 117 Investment Centre Limited DECEMBER 15-Nov-1 118 Investment One Stockbrokers International Limited DECEMBER 15-Nov-1 119 Investment One Stockbrokers International Limited DECEMBER 15-Nov-1 120 Investment Shark & Asset Mgt Ltd DECEMBER 15-Nov-1 121 Investment Shark & Securities Limited DECEMBER 15-Nov-1 122 Keptal Care Trust & Securities Limited DECEMBER 15-Nov-1 123 Kinley Securities Limited DECEMBER 15-Nov-1 124 Kofana Securities & Investment Limited DECEMBER 15-Nov-1 125 Kundila Finance Services Limited DECEMBER 15-Nov-1 126 UIDC Securities Limited DECEMBER 15-Nov-1 127 Lambeth Trust & Investment Company Limited DECEMBER 15-Nov-1 128 Lead Securities & Investment Limited DECEMBER 15-Nov-1 129 Lighthouse Asset Management Limited DECEMBER 15-Nov-1 130 Long Term Global Capital Limited DECEMBER 15-Nov-1 131 Magnartis Finance & Investment Limited DECEMBER 15-Nov-1 132 Mainland Trust Limited DECEMBER 15-Nov-1 133 Mainstree Bank Securities Limited DECEMBER 15-Nov-1 134 Marinpex Finance & Investment Company Limited DECEMBER 15-Nov-1 136 Marinstree Bank Securities Limited DECEMBER 15-Nov-1 137 Maxifund Investment Company Limited DECEMBER 15-Nov-1 138 Marinot Securities & Investment Company Limited DECEMBER 15-Nov-1 139 Marinot Securities & Investment Company Limited DECEMBER 15-Nov-1 130 Marinot Securities & Investment Company Limited DECEMBER 15-Nov-1 131 Magnartis Finance & Investment Company Limited DECEMBER 15-Nov-1 133 Mainstree Bank Securities Limited DECEMBER 15-Nov-1 134 Marinot Securities & Investment Company Limited DECEMBER 15-Nov-1 135 Marinot Securities & Investment Company Limited DECEMBER 15-Nov-1 136 Maven Asset Management Limited DECEMBER 15-Nov-1 137 Maxifund Investment & Securities Plc DECEMBER 15-Nov-1 138 Mayfield Investment & Securities DE		, ,		15-Nov-15
113 Icon Stockbrokers Limited DECEMBER 15-Nov-1 114 Independent Securities Limited DECEMBER 15-Nov-1 115 Integrated Trust & Investment Limited DECEMBER 15-Nov-1 116 Interstate Securities Limited DECEMBER 15-Nov-1 117 Investment Centre Limited DECEMBER 15-Nov-1 118 Investment One Stockbrokers International Limited DECEMBER 15-Nov-1 119 Investment One Stockbrokers International Limited DECEMBER 15-Nov-1 120 Investors & Trust Company Limited DECEMBER 15-Nov-1 121 Kapital Care Trust & Securities Limited DECEMBER 15-Nov-1 122 Kedari Capital Limited DECEMBER 15-Nov-1 123 Kinley Securities Limited DECEMBER 15-Nov-1 124 Kofana Securities & Investment Limited DECEMBER 15-Nov-1 125 Kundila Finance Services Limited DECEMBER 15-Nov-1 126 UIDC Securities Limited DECEMBER 15-Nov-1 127 Lambeth Trust & Investment Company Limited DECEMBER 15-Nov-1 128 Lead Securities & Investment Limited DECEMBER 15-Nov-1 129 Lighthouse Asset Management Limited DECEMBER 15-Nov-1 130 Long Term Global Capital Limited DECEMBER 15-Nov-1 131 Magnartis Finance & Investment Limited DECEMBER 15-Nov-1 132 Mainiand Trust Limited DECEMBER 15-Nov-1 133 Mainistreet Bank Securities Limited DECEMBER 15-Nov-1 134 Marimpex Finance & Investment Limited DECEMBER 15-Nov-1 135 Marriot Securities Limited DECEMBER 15-Nov-1 136 Maven Asset Management Limited DECEMBER 15-Nov-1 137 Maxifund Investment & Securities Plc DECEMBER 15-Nov-1 138 Mayfield Investment Company Limited DECEMBER 15-Nov-1 139 Mayfield Investment Company Limited DECEMBER 15-Nov-1 130 Mayfield Investment & Securities Plc DECEMBER 15-Nov-1 131 Maxifund Investment & Securities Plc DECEMBER 15-Nov-1 132 Mainisan Stockbrokers Limited DECEMBER 15-Nov-1 133 Mayfield Investment Limited DECEMBER 15-Nov-1 134 Mainisan Stockbrokers Limited DECEMBER 15-Nov-1 135 Maxifund Investment & Securities Plc DECEMBER 15-Nov-1 136 Maven Asset Management Limited DECEMBER 15-Nov-1 137 Maxifund Investment & Securities Plc DECEMBER 15-Nov-1 138 Mayfield Investment & Securities Plc DECEMBER 15-Nov-1 140 Megas Equities Limited D				15-Nov-15
114 Independent Securities Limited DECEMBER 15-Nov-1 115 Integrated Trust & Investment Limited DECEMBER 15-Nov-1 116 Interstate Securities Limited DECEMBER 15-Nov-1 117 Investment Centre Limited DECEMBER 15-Nov-1 118 Investment One Stockbrokers International Limited DECEMBER 15-Nov-1 119 Investment Shark & Asset Mgt Ltd DECEMBER 15-Nov-1 120 Investors & Trust Company Limited DECEMBER 15-Nov-1 121 Kapital Care Trust & Securities Limited DECEMBER 15-Nov-1 122 Kedari Capital Limited DECEMBER 15-Nov-1 123 Kinley Securities Limited DECEMBER 15-Nov-1 124 Kofana Securities investment Limited DECEMBER 15-Nov-1 125 Kundila Finance Services Limited DECEMBER 15-Nov-1 126 UIDC Securities Limited DECEMBER 15-Nov-1 127 Lambeth Trust & Investment Company Limited DECEMBER 15-Nov-1 128 Lead Securities & Investment Limited DECEMBER 15-Nov-1 129 Lighthouse Asset Management Limited DECEMBER 15-Nov-1 130 Long Term Global Capital Limited DECEMBER 15-Nov-1 131 Magnartis Finance & Investment Limited DECEMBER 15-Nov-1 132 Mainland Trust Limited DECEMBER 15-Nov-1 133 Mainstreet Bank Securities Limited DECEMBER 15-Nov-1 134 Marinpex Finance & Investment Company Limited DECEMBER 15-Nov-1 136 Marin Asset Management Limited DECEMBER 15-Nov-1 137 Maxind Investment & DECEMBER 15-Nov-1 138 Marinot Securities & Investment Company Limited DECEMBER 15-Nov-1 139 Marinot Securities & Investment Company Limited DECEMBER 15-Nov-1 130 Marinot Securities & Investment Company Limited DECEMBER 15-Nov-1 131 Marinot Securities & Investment Company Limited DECEMBER 15-Nov-1 132 Marinot Securities & Investment Company Limited DECEMBER 15-Nov-1 134 Marinot Securities & Investment Company Limited DECEMBER 15-Nov-1 135 Marinot Securities & Investment Company Limited DECEMBER 15-Nov-1 136 Maven Asset Management Limited DECEMBER 15-Nov-1 137 Maxifund Investment & Securities Plc DECEMBER 15-Nov-1 138 Mayfield Investment & Securities Plc DECEMBER 15-Nov-1 140 Mega Equities Limited DECEMBER 15-Nov-1 141 Meristem Stockbrokers Limited DECEMBER 15-Nov-1 142 Mides Stock				15-Nov-15
115 Integrated Trust & Investment Limited 116 Interstate Securities Limited 117 Investment Centre Limited 118 Investment Centre Limited 119 Investment One Stockbrokers International Limited 119 Investment One Stockbrokers International Limited 119 Investment One Stockbrokers International Limited 119 Investment Shark & Asset Mgt Ltd 110 Investors & Trust Company Limited 110 Investors & Trust Company Limited 1110 Investors & Trust Company Limited 1111 Investors & Trust Company Limited 1112 Kapital Care Trust & Securities Limited 1113 Kinley Securities Limited 1114 DECEMBER 115-Nov-1 115 Kundila Finance Services Limited 115 DECEMBER 115 Nov-1 116 UIDC Securities & Investment Limited 117 Lambeth Trust & Investment Company Limited 118 Lead Securities & Investment Limited 119 DECEMBER 115 Nov-1 110 Long Term Global Capital Limited 110 DECEMBER 115 Nov-1 110 Long Term Global Capital Limited 110 DECEMBER 115 Nov-1 1111 Magnartis Finance & Investment Limited 115 DECEMBER 115 Nov-1 116 Ungrartis Finance & Investment Limited 117 December 118 Mainland Trust Limited 119 DECEMBER 115 Nov-1 119 Mainland Trust Limited 110 DECEMBER 115 Nov-1 1115 Mainland Trust Limited 115 DECEMBER 115 Nov-1 116 Marringex Finance & Investment Company Limited 116 DECEMBER 117 Nov-1 117 Magnatis Finance & Investment Company Limited 118 DECEMBER 119 Nov-1 119 Marringex Finance & Investment Company Limited 119 DECEMBER 115 Nov-1 119 Marringex Finance & Investment Company Limited 115 DECEMBER 115 Nov-1 116 Maven Asset Management Limited 115 DECEMBER 115 Nov-1 117 Maxifund Investment & Securities Plc 118 Mayfield Investment & DECEMBER 115 Nov-1 119 Mayer Asset Management Limited 115 DECEMBER 115 Nov-1 116 Maven Asset Management Limited 115 DECEMBER 115 Nov-1 117 Maxifund Investment & DECEMBER 115 Nov-1 118 Mayer Asset Management Limited 117 DECEMBER 118 Nov-1 118 Mayer Asset Management Limited 119 DECEMBER 115 Nov-1 119 Mayer Asset Management Limited 115 DECEMBER 115 Nov-1 116 Maven Asset Management Limited 115 Nov-1 117 Maxifund Investment & DECEMB				15-Nov-15
116 Interstate Securities Limited DECEMBER 15-Nov-1 117 Investment Centre Limited DECEMBER 15-Nov-1 118 Investment One Stockbrokers International Limited DECEMBER 15-Nov-1 119 Investment Shark & Asset Mgt Ltd DECEMBER 15-Nov-1 120 Investors & Trust Company Limited DECEMBER 15-Nov-1 121 Kapital Care Trust & Securities Limited DECEMBER 15-Nov-1 122 Kedari Capital Limited DECEMBER 15-Nov-1 123 Kinley Securities Limited DECEMBER 15-Nov-1 124 Kofana Securities Limited DECEMBER 15-Nov-1 125 Kundila Finance Services Limited DECEMBER 15-Nov-1 126 UIDC Securities Limited DECEMBER 15-Nov-1 127 Lambeth Trust & Investment Company Limited DECEMBER 15-Nov-1 128 Lead Securities & Investment Company Limited DECEMBER 15-Nov-1 129 Lighthouse Asset Management Limited DECEMBER 15-Nov-1 130 Long Term Global Capital Limited DECEMBER 15-Nov-1 131 Magnartis Finance & Investment Limited DECEMBER 15-Nov-1 132 Mainland Trust Limited DECEMBER 15-Nov-1 133 Mainstreet Bank Securities Limited DECEMBER 15-Nov-1 134 Marimpex Finance & Investment Company Limited DECEMBER 15-Nov-1 135 Marinot Securities & Investment Company Limited DECEMBER 15-Nov-1 136 Maven Asset Management Limited DECEMBER 15-Nov-1 137 Marinot Securities & Investment Company Limited DECEMBER 15-Nov-1 138 Marinot Securities & Investment Company Limited DECEMBER 15-Nov-1 139 Marinot Securities & Investment Company Limited DECEMBER 15-Nov-1 130 Mayfield Investment & Securities Plc DECEMBER 15-Nov-1 131 Magnarties Limited DECEMBER 15-Nov-1 132 Masikfund Investment & DECEMBER 15-Nov-1 133 Marinot Securities & Investment Company Limited DECEMBER 15-Nov-1 134 Marinot Securities & Investment Company Limited DECEMBER 15-Nov-1 137 Masikfund Investment & DECEMBER 15-Nov-1 138 Mayfield Investment & DECEMBER 15-Nov-1 149 Mega Equities Limited DECEMBER 15-Nov-1 140 Mega Equities Limited DECEMBER 15-Nov-1 141 Meristem Stockbrokers Limited DECEMBER 15-Nov-1 142 Midas Stockbrokers Limited DECEMBER 15-Nov-1 143 Milestone Capital Management Limited DECEMBER 15-Nov-1 144 Midas Stockbrokers Limit	114	Independent Securities Limited	DECEMBER	15-Nov-15
117     Investment Centre Limited     DECEMBER     15-Nov-1       118     Investment One Stockbrokers International Limited     DECEMBER     15-Nov-1       119     Investment Shark & Asset Mgt Ltd     DECEMBER     15-Nov-1       120     Investors & Trust Company Limited     DECEMBER     15-Nov-1       121     Kapital Care Trust & Securities Limited     DECEMBER     15-Nov-1       122     Kedari Capital Limited     DECEMBER     15-Nov-1       123     Kinley Securities Limited     DECEMBER     15-Nov-1       124     Kofana Securities & Investment Limited     DECEMBER     15-Nov-1       125     Kundila Finance Services Limited     DECEMBER     15-Nov-1       126     UIDC Securities Limited     DECEMBER     15-Nov-1       127     Lambeth Trust & Investment Company Limited     DECEMBER     15-Nov-1       129     Lead Securities & Investment Limited     DECEMBER     15-Nov-1       130     Long Term Global Capital Limited     DECEMBER     15-Nov-1       131     Magnartis Finance & Investment Limited     DECEMBER     15-Nov-1       132     Mainland Trust Limited     DECEMBER     15-Nov-1       133     Mainstreet Bank Securities Limited     DECEMBER     15-Nov-1       134     Marimpex Finance & Investment Company Limited	115	Integrated Trust & Investment Limited	DECEMBER	15-Nov-15
118     Investment One Stockbrokers International Limited     DECEMBER     15-Nov-1       119     Investment Shark & Asset Mgt Ltd     DECEMBER     15-Nov-1       120     Investors & Trust Company Limited     DECEMBER     15-Nov-1       121     Kapital Care Trust & Securities Limited     DECEMBER     15-Nov-1       122     Kedari Capital Limited     DECEMBER     15-Nov-1       123     Kinley Securities Limited     DECEMBER     15-Nov-1       124     Kofana Securities & Investment Limited     DECEMBER     15-Nov-1       125     Kundila Finance Services Limited     DECEMBER     15-Nov-1       126     UIDC Securities Limited     DECEMBER     15-Nov-1       127     Lambeth Trust & Investment Company Limited     DECEMBER     15-Nov-1       128     Lead Securities & Investment Limited     DECEMBER     15-Nov-1       129     Lighthouse Asset Management Limited     DECEMBER     15-Nov-1       130     Long Term Global Capital Limited     DECEMBER     15-Nov-1       131     Magnartis Finance & Investment Limited     DECEMBER     15-Nov-1       132     Mainland Trust Limited     DECEMBER     15-Nov-1       133     Mainstreet Bank Securities Limited     DECEMBER     15-Nov-1       134     Marirot Securities & Investment Company	116	Interstate Securities Limited	DECEMBER	15-Nov-15
119 Investment Shark & Asset Mgt Ltd DECEMBER 15-Nov-1: 120 Investors & Trust Company Limited DECEMBER 15-Nov-1: 121 Kapital Care Trust & Securities Limited DECEMBER 15-Nov-1: 122 Kedari Capital Limited DECEMBER 15-Nov-1: 123 Kinley Securities Limited DECEMBER 15-Nov-1: 124 Kofana Securities & Investment Limited DECEMBER 15-Nov-1: 125 Kundila Finance Services Limited DECEMBER 15-Nov-1: 126 UIDC Securities Limited DECEMBER 15-Nov-1: 127 Lambeth Trust & Investment Company Limited DECEMBER 15-Nov-1: 128 Lead Securities & Investment Limited DECEMBER 15-Nov-1: 129 Lighthouse Asset Management Limited DECEMBER 15-Nov-1: 130 Long Term Global Capital Limited DECEMBER 15-Nov-1: 131 Magnartis Finance & Investment Limited DECEMBER 15-Nov-1: 132 Mainland Trust Limited DECEMBER 15-Nov-1: 133 Mainstreet Bank Securities Limited DECEMBER 15-Nov-1: 134 Marimpex Finance & Investment Company Limited DECEMBER 15-Nov-1: 135 Marriot Securities Limited DECEMBER 15-Nov-1: 136 Maven Asset Management Limited DECEMBER 15-Nov-1: 137 Maxifund Investment & Securities DecEMBER 15-Nov-1: 138 Mayfield Investment & Securities Pic DECEMBER 15-Nov-1: 139 MBC Securities Limited DECEMBER 15-Nov-1: 130 Mayfield Investment & Securities Pic DECEMBER 15-Nov-1: 131 Magnartis Finance & Investment Company Limited DECEMBER 15-Nov-1: 132 Maxifund Investment & Securities Pic DECEMBER 15-Nov-1: 133 Mayfield Investment Limited DECEMBER 15-Nov-1: 134 Mayfield Investment & Securities Pic DECEMBER 15-Nov-1: 136 Mayfield Investment Limited DECEMBER 15-Nov-1: 137 Maxifund Investment & Securities Pic DECEMBER 15-Nov-1: 148 Medias Stockbrokers Limited DECEMBER 15-Nov-1: 149 Mides one Capital Management Limited DECEMBER 15-Nov-1: 140 Mega Equities Limited DECEMBER 15-Nov-1: 141 Meristem Stockbrokers Limited DECEMBER 15-Nov-1: 142 Midas Stockbrokers Limited DECEMBER 15-Nov-1: 143 Millestone Capital Management Limited DECEMBER 15-Nov-1: 144 Mission Securities Limited DECEMBER 15-Nov-1: 145 Molten Trust Limited DECEMBER 15-Nov-1:	117	Investment Centre Limited	DECEMBER	15-Nov-15
120 Investors & Trust Company Limited DECEMBER 15-Nov-1: 121 Kapital Care Trust & Securities Limited DECEMBER 15-Nov-1: 122 Kedari Capital Limited DECEMBER 15-Nov-1: 123 Kinley Securities Limited DECEMBER 15-Nov-1: 124 Kofana Securities & Investment Limited DECEMBER 15-Nov-1: 125 Kundila Finance Services Limited DECEMBER 15-Nov-1: 126 UIDC Securities Limited DECEMBER 15-Nov-1: 127 Lambeth Trust & Investment Company Limited DECEMBER 15-Nov-1: 128 Lead Securities & Investment Limited DECEMBER 15-Nov-1: 129 Lighthouse Asset Management Limited DECEMBER 15-Nov-1: 130 Long Term Global Capital Limited DECEMBER 15-Nov-1: 131 Magnartis Finance & Investment Limited DECEMBER 15-Nov-1: 132 Mainland Trust Limited DECEMBER 15-Nov-1: 133 Mainstreet Bank Securities Limited DECEMBER 15-Nov-1: 134 Marimpex Finance & Investment Company Limited DECEMBER 15-Nov-1: 135 Marriot Securities & Investment Company Limited DECEMBER 15-Nov-1: 136 Maven Asset Management Limited DECEMBER 15-Nov-1: 137 Maxifund Investment & Securities Plc DECEMBER 15-Nov-1: 138 Mayfield Investment & Securities Plc DECEMBER 15-Nov-1: 139 MBC Securities Limited DECEMBER 15-Nov-1: 140 Mega Equities Limited DECEMBER 15-Nov-1: 141 Meristem Stockbrokers Limited DECEMBER 15-Nov-1: 142 Midas Stockbrokers Limited DECEMBER 15-Nov-1: 143 Milestone Capital Management Limited DECEMBER 15-Nov-1: 144 Midss Stockbrokers Limited DECEMBER 15-Nov-1: 145 Milestone Capital Management Limited DECEMBER 15-Nov-1: 146 Milestone Capital Management Limited DECEMBER 15-Nov-1: 147 Milestone Capital Management Limited DECEMBER 15-Nov-1: 148 Milestone Capital Management Limited DECEMBER 15-Nov-1: 149 Milestone Capital Management Limited DECEMBER 15-Nov-1: 140 Molten Trust Limited DECEMBER 15-Nov-1: 141 Milestone Capital Management Limited DECEMBER 15-Nov-1: 143 Milestone Capital Management Limited DECEMBER 15-Nov-1: 144 Milestone Capital Management Limited DECEMBER 15-Nov-1: 145 Molten Trust Limited DECEMBER 15-Nov-1:	118	Investment One Stockbrokers International Limited	DECEMBER	15-Nov-15
121 Kapital Care Trust & Securities Limited  DECEMBER  DECEMBER  15-Nov-1:  122 Kedari Capital Limited  DECEMBER  DECEMBER  DECEMBER  15-Nov-1:  124 Kofana Securities & Investment Limited  DECEMBER  DECEMBER  DECEMBER  15-Nov-1:  125 Kundila Finance Services Limited  DECEMBER  DECEMBER  DECEMBER  15-Nov-1:  126 UIDC Securities Limited  DECEMBER  DECEMBER  DECEMBER  DECEMBER  15-Nov-1:  127 Lambeth Trust & Investment Company Limited  DECEMBER  DECEMBER  DECEMBER  DECEMBER  15-Nov-1:  128 Lead Securities & Investment Limited  DECEMBER  DECEMB	119	Investment Shark & Asset Mgt Ltd	DECEMBER	15-Nov-15
122Kedari Capital LimitedDECEMBER15-Nov-1123Kinley Securities LimitedDECEMBER15-Nov-1124Kofana Securities & Investment LimitedDECEMBER15-Nov-1125Kundila Finance Services LimitedDECEMBER15-Nov-1126UIDC Securities LimitedDECEMBER15-Nov-1127Lambeth Trust & Investment Company LimitedDECEMBER15-Nov-1128Lead Securities & Investment LimitedDECEMBER15-Nov-1129Lighthouse Asset Management LimitedDECEMBER15-Nov-1130Long Term Global Capital LimitedDECEMBER15-Nov-1131Magnartis Finance & Investment LimitedDECEMBER15-Nov-1132Mainland Trust LimitedDECEMBER15-Nov-1133Mainstreet Bank Securities LimitedDECEMBER15-Nov-1134Marimpex Finance & Investment Company LimitedDECEMBER15-Nov-1135Marriot Securities & Investment Company LimitedDECEMBER15-Nov-1136Maven Asset Management LimitedDECEMBER15-Nov-1137Maxifund Investment & Securities PlcDECEMBER15-Nov-1138Mayfield Investment LimitedDECEMBER15-Nov-1139MBC Securities LimitedDECEMBER15-Nov-1140Mega Equities LimitedDECEMBER15-Nov-1141Meristem Stockbrokers LimitedDECEMBER15-Nov-1142Midas Stockbrokers LimitedDECEMBER15-Nov-1143Milestone Ca	120	Investors & Trust Company Limited	DECEMBER	15-Nov-15
Xinley Securities Limited   DECEMBER   15-Nov-11	121	Kapital Care Trust & Securities Limited	DECEMBER	15-Nov-15
124Kofana Securities & Investment LimitedDECEMBER15-Nov-1125Kundila Finance Services LimitedDECEMBER15-Nov-1126UIDC Securities LimitedDECEMBER15-Nov-1127Lambeth Trust & Investment Company LimitedDECEMBER15-Nov-1128Lead Securities & Investment LimitedDECEMBER15-Nov-1129Lighthouse Asset Management LimitedDECEMBER15-Nov-1130Long Term Global Capital LimitedDECEMBER15-Nov-1131Magnartis Finance & Investment LimitedDECEMBER15-Nov-1132Mainland Trust LimitedDECEMBER15-Nov-1133Mainstreet Bank Securities LimitedDECEMBER15-Nov-1134Marimpex Finance & Investment Company LimitedDECEMBER15-Nov-1135Marriot Securities & Investment Company LimitedDECEMBER15-Nov-1136Maven Asset Management LimitedDECEMBER15-Nov-1137Maxifund Investment & Securities PlcDECEMBER15-Nov-1138Mayfield Investment LimitedDECEMBER15-Nov-1139MBC Securities LimitedDECEMBER15-Nov-1140Mega Equities LimitedDECEMBER15-Nov-1141Meristem Stockbrokers LimitedDECEMBER15-Nov-1142Midas Stockbrokers LimitedDECEMBER15-Nov-1143Milestone Capital Management LimitedDECEMBER15-Nov-1144Mission Securities LimitedDECEMBER15-Nov-1145<	122	Kedari Capital Limited	DECEMBER	15-Nov-15
125Kundila Finance Services LimitedDECEMBER15-Nov-1126UIDC Securities LimitedDECEMBER15-Nov-1127Lambeth Trust & Investment Company LimitedDECEMBER15-Nov-1128Lead Securities & Investment LimitedDECEMBER15-Nov-1129Lighthouse Asset Management LimitedDECEMBER15-Nov-1130Long Term Global Capital LimitedDECEMBER15-Nov-1131Magnartis Finance & Investment LimitedDECEMBER15-Nov-1132Mainland Trust LimitedDECEMBER15-Nov-1133Mainstreet Bank Securities LimitedDECEMBER15-Nov-1134Marimpex Finance & Investment Company LimitedDECEMBER15-Nov-1135Marriot Securities & Investment Company LimitedDECEMBER15-Nov-1136Maven Asset Management LimitedDECEMBER15-Nov-1137Maxifund Investment & Securities PlcDECEMBER15-Nov-1138Mayfield Investment LimitedDECEMBER15-Nov-1139MBC Securities LimitedDECEMBER15-Nov-1140Mega Equities LimitedDECEMBER15-Nov-1141Meristem Stockbrokers LimitedDECEMBER15-Nov-1142Midas Stockbrokers LimitedDECEMBER15-Nov-1143Milestone Capital Management LimitedDECEMBER15-Nov-1144Mission Securities LimitedDECEMBER15-Nov-1145Molten Trust LimitedDECEMBER15-Nov-1146Molten Trust Li	123	Kinley Securities Limited	DECEMBER	15-Nov-15
126UIDC Securities LimitedDECEMBER15-Nov-1127Lambeth Trust & Investment Company LimitedDECEMBER15-Nov-1128Lead Securities & Investment LimitedDECEMBER15-Nov-1129Lighthouse Asset Management LimitedDECEMBER15-Nov-1130Long Term Global Capital LimitedDECEMBER15-Nov-1131Magnartis Finance & Investment LimitedDECEMBER15-Nov-1132Mainland Trust LimitedDECEMBER15-Nov-1133Mainstreet Bank Securities LimitedDECEMBER15-Nov-1134Marimpex Finance & Investment Company LimitedDECEMBER15-Nov-1135Marriot Securities & Investment Company LimitedDECEMBER15-Nov-1136Maven Asset Management LimitedDECEMBER15-Nov-1137Maxifund Investment & Securities PlcDECEMBER15-Nov-1138Mayfield Investment LimitedDECEMBER15-Nov-1139MBC Securities LimitedDECEMBER15-Nov-1140Mega Equities LimitedDECEMBER15-Nov-1141Meristem Stockbrokers LimitedDECEMBER15-Nov-1142Midas Stockbrokers LimitedDECEMBER15-Nov-1143Milestone Capital Management LimitedDECEMBER15-Nov-1144Mission Securities LimitedDECEMBER15-Nov-1145Molten Trust LimitedDECEMBER15-Nov-1145Molten Trust LimitedDECEMBER15-Nov-1	124	Kofana Securities & Investment Limited	DECEMBER	15-Nov-15
127Lambeth Trust & Investment Company LimitedDECEMBER15-Nov-1128Lead Securities & Investment LimitedDECEMBER15-Nov-1129Lighthouse Asset Management LimitedDECEMBER15-Nov-1130Long Term Global Capital LimitedDECEMBER15-Nov-1131Magnartis Finance & Investment LimitedDECEMBER15-Nov-1132Mainland Trust LimitedDECEMBER15-Nov-1133Mainstreet Bank Securities LimitedDECEMBER15-Nov-1134Marimpex Finance & Investment Company LimitedDECEMBER15-Nov-1135Marriot Securities & Investment Company LimitedDECEMBER15-Nov-1136Maven Asset Management LimitedDECEMBER15-Nov-1137Maxifund Investment & Securities PlcDECEMBER15-Nov-1138Mayfield Investment LimitedDECEMBER15-Nov-1139MBC Securities LimitedDECEMBER15-Nov-1140Mega Equities LimitedDECEMBER15-Nov-1141Meristem Stockbrokers LimitedDECEMBER15-Nov-1142Midas Stockbrokers LimitedDECEMBER15-Nov-1143Milestone Capital Management LimitedDECEMBER15-Nov-1144Mission Securities LimitedDECEMBER15-Nov-1145Molten Trust LimitedDECEMBER15-Nov-1145Molten Trust LimitedDECEMBER15-Nov-1	125		DECEMBER	15-Nov-15
128Lead Securities & Investment LimitedDECEMBER15-Nov-1129Lighthouse Asset Management LimitedDECEMBER15-Nov-1130Long Term Global Capital LimitedDECEMBER15-Nov-1131Magnartis Finance & Investment LimitedDECEMBER15-Nov-1132Mainland Trust LimitedDECEMBER15-Nov-1133Mainstreet Bank Securities LimitedDECEMBER15-Nov-1134Marimpex Finance & Investment Company LimitedDECEMBER15-Nov-1135Marriot Securities & Investment Company LimitedDECEMBER15-Nov-1136Maven Asset Management LimitedDECEMBER15-Nov-1137Maxifund Investment & Securities PlcDECEMBER15-Nov-1138Mayfield Investment LimitedDECEMBER15-Nov-1139MBC Securities LimitedDECEMBER15-Nov-1140Mega Equities LimitedDECEMBER15-Nov-1141Meristem Stockbrokers LimitedDECEMBER15-Nov-1142Midas Stockbrokers LimitedDECEMBER15-Nov-1143Milestone Capital Management LimitedDECEMBER15-Nov-1144Mission Securities LimitedDECEMBER15-Nov-1145Molten Trust LimitedDECEMBER15-Nov-1145Molten Trust LimitedDECEMBER15-Nov-1	126	UIDC Securities Limited	DECEMBER	15-Nov-15
129Lighthouse Asset Management LimitedDECEMBER15-Nov-1130Long Term Global Capital LimitedDECEMBER15-Nov-1131Magnartis Finance & Investment LimitedDECEMBER15-Nov-1132Mainland Trust LimitedDECEMBER15-Nov-1133Mainstreet Bank Securities LimitedDECEMBER15-Nov-1134Marimpex Finance & Investment Company LimitedDECEMBER15-Nov-1135Marriot Securities & Investment Company LimitedDECEMBER15-Nov-1136Maven Asset Management LimitedDECEMBER15-Nov-1137Maxifund Investment & Securities PlcDECEMBER15-Nov-1138Mayfield Investment LimitedDECEMBER15-Nov-1139MBC Securities LimitedDECEMBER15-Nov-1140Mega Equities LimitedDECEMBER15-Nov-1141Meristem Stockbrokers LimitedDECEMBER15-Nov-1142Midas Stockbrokers LimitedDECEMBER15-Nov-1143Milestone Capital Management LimitedDECEMBER15-Nov-1144Mission Securities LimitedDECEMBER15-Nov-1145Molten Trust LimitedDECEMBER15-Nov-1145Molten Trust LimitedDECEMBER15-Nov-1	127	Lambeth Trust & Investment Company Limited	DECEMBER	15-Nov-15
130 Long Term Global Capital Limited DECEMBER 15-Nov-1: 131 Magnartis Finance & Investment Limited DECEMBER 15-Nov-1: 132 Mainland Trust Limited DECEMBER 15-Nov-1: 133 Mainstreet Bank Securities Limited DECEMBER 15-Nov-1: 134 Marimpex Finance & Investment Company Limited DECEMBER 15-Nov-1: 135 Marriot Securities & Investment Company Limited DECEMBER 15-Nov-1: 136 Maven Asset Management Limited DECEMBER 15-Nov-1: 137 Maxifund Investment & Securities Plc DECEMBER 15-Nov-1: 138 Mayfield Investment Limited DECEMBER 15-Nov-1: 139 MBC Securities Limited DECEMBER 15-Nov-1: 140 Mega Equities Limited DECEMBER 15-Nov-1: 141 Meristem Stockbrokers Limited DECEMBER 15-Nov-1: 142 Midas Stockbrokers Limited DECEMBER 15-Nov-1: 143 Milestone Capital Management Limited DECEMBER 15-Nov-1: 144 Mission Securities Limited DECEMBER 15-Nov-1: 145 Molten Trust Limited DECEMBER 15-Nov-1: 145 Molten Trust Limited DECEMBER 15-Nov-1: 146 Molten Trust Limited DECEMBER 15-Nov-1: 147 Molten Trust Limited DECEMBER 15-Nov-1: 148 Molten Trust Limited DECEMBER 15-Nov-1: 149 Molten Trust Limited DECEMBER 15-Nov-1: 140 Molten Trust Limited DECEMBER 15-Nov-1: 141 Molten Trust Limited DECEMBER 15-Nov-1: 142 Molten Trust Limited DECEMBER 15-Nov-1: 143 Molten Trust Limited DECEMBER 15-Nov-1:	128	Lead Securities & Investment Limited	DECEMBER	15-Nov-15
131 Magnartis Finance & Investment Limited DECEMBER 15-Nov-1: 132 Mainland Trust Limited DECEMBER 15-Nov-1: 133 Mainstreet Bank Securities Limited DECEMBER 15-Nov-1: 134 Marimpex Finance & Investment Company Limited DECEMBER 15-Nov-1: 135 Marriot Securities & Investment Company Limited DECEMBER 15-Nov-1: 136 Maven Asset Management Limited DECEMBER 15-Nov-1: 137 Maxifund Investment & Securities Plc DECEMBER 15-Nov-1: 138 Mayfield Investment Limited DECEMBER 15-Nov-1: 139 MBC Securities Limited DECEMBER 15-Nov-1: 140 Mega Equities Limited DECEMBER 15-Nov-1: 141 Meristem Stockbrokers Limited DECEMBER 15-Nov-1: 142 Midas Stockbrokers Limited DECEMBER 15-Nov-1: 143 Milestone Capital Management Limited DECEMBER 15-Nov-1: 144 Mission Securities Limited DECEMBER 15-Nov-1: 145 Molten Trust Limited DECEMBER 15-Nov-1: 145 Molten Trust Limited DECEMBER 15-Nov-1: 146 Molten Trust Limited DECEMBER 15-Nov-1: 147 Molten Trust Limited DECEMBER 15-Nov-1: 148 Molten Trust Limited DECEMBER 15-Nov-1: 149 Molten Trust Limited DECEMBER 15-Nov-1: 140 DECEMBER 15-Nov-1: 141 Molten Trust Limited DECEMBER 15-Nov-1: 142 Molten Trust Limited DECEMBER 15-Nov-1: 143 Molten Trust Limited DECEMBER 15-Nov-1: 144 Molten Trust Limited DECEMBER 15-Nov-1: 145 Molten Trust Limited DECEMBER 15-Nov-1:	129	Lighthouse Asset Management Limited	DECEMBER	15-Nov-15
132Mainland Trust LimitedDECEMBER15-Nov-1133Mainstreet Bank Securities LimitedDECEMBER15-Nov-1134Marimpex Finance & Investment Company LimitedDECEMBER15-Nov-1135Marriot Securities & Investment Company LimitedDECEMBER15-Nov-1136Maven Asset Management LimitedDECEMBER15-Nov-1137Maxifund Investment & Securities PlcDECEMBER15-Nov-1138Mayfield Investment LimitedDECEMBER15-Nov-1139MBC Securities LimitedDECEMBER15-Nov-1140Mega Equities LimitedDECEMBER15-Nov-1141Meristem Stockbrokers LimitedDECEMBER15-Nov-1142Midas Stockbrokers LimitedDECEMBER15-Nov-1143Milestone Capital Management LimitedDECEMBER15-Nov-1144Mission Securities LimitedDECEMBER15-Nov-1145Molten Trust LimitedDECEMBER15-Nov-1145Molten Trust LimitedDECEMBER15-Nov-1	130	Long Term Global Capital Limited	DECEMBER	15-Nov-15
133Mainstreet Bank Securities LimitedDECEMBER15-Nov-1134Marimpex Finance & Investment Company LimitedDECEMBER15-Nov-1135Marriot Securities & Investment Company LimitedDECEMBER15-Nov-1136Maven Asset Management LimitedDECEMBER15-Nov-1137Maxifund Investment & Securities PlcDECEMBER15-Nov-1138Mayfield Investment LimitedDECEMBER15-Nov-1139MBC Securities LimitedDECEMBER15-Nov-1140Mega Equities LimitedDECEMBER15-Nov-1141Meristem Stockbrokers LimitedDECEMBER15-Nov-1142Midas Stockbrokers LimitedDECEMBER15-Nov-1143Milestone Capital Management LimitedDECEMBER15-Nov-1144Mission Securities LimitedDECEMBER15-Nov-1145Molten Trust LimitedDECEMBER15-Nov-1145Molten Trust LimitedDECEMBER15-Nov-1	131	Magnartis Finance & Investment Limited	DECEMBER	15-Nov-15
134Marimpex Finance & Investment Company LimitedDECEMBER15-Nov-1135Marriot Securities & Investment Company LimitedDECEMBER15-Nov-1136Maven Asset Management LimitedDECEMBER15-Nov-1137Maxifund Investment & Securities PlcDECEMBER15-Nov-1138Mayfield Investment LimitedDECEMBER15-Nov-1139MBC Securities LimitedDECEMBER15-Nov-1140Mega Equities LimitedDECEMBER15-Nov-1141Meristem Stockbrokers LimitedDECEMBER15-Nov-1142Midas Stockbrokers LimitedDECEMBER15-Nov-1143Milestone Capital Management LimitedDECEMBER15-Nov-1144Mission Securities LimitedDECEMBER15-Nov-1145Molten Trust LimitedDECEMBER15-Nov-1	132			15-Nov-15
135     Marriot Securities & Investment Company Limited     DECEMBER     15-Nov-1       136     Maven Asset Management Limited     DECEMBER     15-Nov-1       137     Maxifund Investment & Securities Plc     DECEMBER     15-Nov-1       138     Mayfield Investment Limited     DECEMBER     15-Nov-1       139     MBC Securities Limited     DECEMBER     15-Nov-1       140     Mega Equities Limited     DECEMBER     15-Nov-1       141     Meristem Stockbrokers Limited     DECEMBER     15-Nov-1       142     Midas Stockbrokers Limited     DECEMBER     15-Nov-1       143     Milestone Capital Management Limited     DECEMBER     15-Nov-1       144     Mission Securities Limited     DECEMBER     15-Nov-1       145     Molten Trust Limited     DECEMBER     15-Nov-1	133	Mainstreet Bank Securities Limited	DECEMBER	15-Nov-15
136Maven Asset Management LimitedDECEMBER15-Nov-1137Maxifund Investment & Securities PlcDECEMBER15-Nov-1138Mayfield Investment LimitedDECEMBER15-Nov-1139MBC Securities LimitedDECEMBER15-Nov-1140Mega Equities LimitedDECEMBER15-Nov-1141Meristem Stockbrokers LimitedDECEMBER15-Nov-1142Midas Stockbrokers LimitedDECEMBER15-Nov-1143Milestone Capital Management LimitedDECEMBER15-Nov-1144Mission Securities LimitedDECEMBER15-Nov-1145Molten Trust LimitedDECEMBER15-Nov-1	134			15-Nov-15
137     Maxifund Investment & Securities PIc     DECEMBER     15-Nov-1       138     Mayfield Investment Limited     DECEMBER     15-Nov-1       139     MBC Securities Limited     DECEMBER     15-Nov-1       140     Mega Equities Limited     DECEMBER     15-Nov-1       141     Meristem Stockbrokers Limited     DECEMBER     15-Nov-1       142     Midas Stockbrokers Limited     DECEMBER     15-Nov-1       143     Milestone Capital Management Limited     DECEMBER     15-Nov-1       144     Mission Securities Limited     DECEMBER     15-Nov-1       145     Molten Trust Limited     DECEMBER     15-Nov-1	135	Marriot Securities & Investment Company Limited	DECEMBER	15-Nov-15
138     Mayfield Investment Limited     DECEMBER     15-Nov-1       139     MBC Securities Limited     DECEMBER     15-Nov-1       140     Mega Equities Limited     DECEMBER     15-Nov-1       141     Meristem Stockbrokers Limited     DECEMBER     15-Nov-1       142     Midas Stockbrokers Limited     DECEMBER     15-Nov-1       143     Milestone Capital Management Limited     DECEMBER     15-Nov-1       144     Mission Securities Limited     DECEMBER     15-Nov-1       145     Molten Trust Limited     DECEMBER     15-Nov-1	136	Maven Asset Management Limited	DECEMBER	15-Nov-15
139     MBC Securities Limited     DECEMBER     15-Nov-1       140     Mega Equities Limited     DECEMBER     15-Nov-1       141     Meristem Stockbrokers Limited     DECEMBER     15-Nov-1       142     Midas Stockbrokers Limited     DECEMBER     15-Nov-1       143     Milestone Capital Management Limited     DECEMBER     15-Nov-1       144     Mission Securities Limited     DECEMBER     15-Nov-1       145     Molten Trust Limited     DECEMBER     15-Nov-1	137	Maxifund Investment & Securities Plc	DECEMBER	15-Nov-15
140     Mega Equities Limited     DECEMBER     15-Nov-1       141     Meristem Stockbrokers Limited     DECEMBER     15-Nov-1       142     Midas Stockbrokers Limited     DECEMBER     15-Nov-1       143     Milestone Capital Management Limited     DECEMBER     15-Nov-1       144     Mission Securities Limited     DECEMBER     15-Nov-1       145     Molten Trust Limited     DECEMBER     15-Nov-1		,	DECEMBER	15-Nov-15
141     Meristem Stockbrokers Limited     DECEMBER     15-Nov-1       142     Midas Stockbrokers Limited     DECEMBER     15-Nov-1       143     Milestone Capital Management Limited     DECEMBER     15-Nov-1       144     Mission Securities Limited     DECEMBER     15-Nov-1       145     Molten Trust Limited     DECEMBER     15-Nov-1	139			15-Nov-15
142     Midas Stockbrokers Limited     DECEMBER     15-Nov-1       143     Milestone Capital Management Limited     DECEMBER     15-Nov-1       144     Mission Securities Limited     DECEMBER     15-Nov-1       145     Molten Trust Limited     DECEMBER     15-Nov-1	140	0 1	DECEMBER	15-Nov-15
143Milestone Capital Management LimitedDECEMBER15-Nov-1144Mission Securities LimitedDECEMBER15-Nov-1145Molten Trust LimitedDECEMBER15-Nov-1	141	Meristem Stockbrokers Limited	DECEMBER	15-Nov-15
144Mission Securities LimitedDECEMBER15-Nov-1145Molten Trust LimitedDECEMBER15-Nov-1				15-Nov-15
145 Molten Trust Limited DECEMBER 15-Nov-1	143	Milestone Capital Management Limited	DECEMBER	15-Nov-15
	144	Mission Securities Limited	DECEMBER	15-Nov-15
146         Morgan Capital Securities Limited         DECEMBER         15-Nov-1				15-Nov-15
	146	Morgan Capital Securities Limited	DECEMBER	15-Nov-15

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147	Mountain Investment & Securities Limited	DECEMBER	15-Nov-15
148	Mutual Alliance Investment & Securities Limited	DECEMBER	15-Nov-15
149		DECEMBER	15-Nov-15
150	Networth Securities & Finance Limited	DECEMBER	15-Nov-15
151	Newdevco Investments & Securties Limited	DECEMBER	15-Nov-15
152	Nigerian International Securities Ltd	DECEMBER	15-Nov-15
153	Nigerian Stockbrokers Limited	DECEMBER	15-Nov-15
154	Nova Finance & Securities Limited	DECEMBER	15-Nov-15
155	Options Securities Limited	DECEMBER	15-Nov-15
156	PAC Securities Limited	DECEMBER	15-Nov-15
157	Partnership Securities Limited	DECEMBER	15-Nov-15
158	Peace Capital Markets Ltd	DECEMBER	15-Nov-15
159	Perfecta Investment & Trust Limited	DECEMBER	15-Nov-15
160	Phronesis Securities Limited	DECEMBER	15-Nov-15
161	Pilot Securities Limited	DECEMBER	15-Nov-15
162	Pinefields Investment Services Limited	DECEMBER	15-Nov-15
163	PIPC Securities Limited	DECEMBER	15-Nov-15
164	Pivot Trust & Investment Co. Limited	DECEMBER	15-Nov-15
165	Planet Capital Limited	DECEMBER	15-Nov-15
166	Portfolio Advisers Limited	DECEMBER	15-Nov-15
167	Primera Africa Securities Limited	DECEMBER	15-Nov-15
168	Primewealth Capital Limited	DECEMBER	15-Nov-15
169	Prominent Securities Limited	DECEMBER	15-Nov-15
170	PSI Securities Limited	DECEMBER	15-Nov-15
171	Pyramid Securities Limited	DECEMBER	15-Nov-15
172	Quantum Securities Limited	DECEMBER	15-Nov-15
173	Rainbow Securities Limited	DECEMBER	15-Nov-15
174	Readings Investment Limited	DECEMBER	15-Nov-15
175	Regency Asset Management Limited	DECEMBER	15-Nov-15
176	Rencap Securities (Nig) Limited	DECEMBER	15-Nov-15
177	Resano Securities Limited	DECEMBER	15-Nov-15
178	Resort Securities & Trust Limited	DECEMBER	15-Nov-15
179	Reward Investment & Service Limited	DECEMBER	15-Nov-15
180	Rostrum Investment & Sec Limited	DECEMBER	15-Nov-15
181	Rowet Capital Mgt Limited	DECEMBER	15-Nov-15
182	Royal Crest Finance Limited	DECEMBER	15-Nov-15
183	Royal Guaranty & Trust Limited	DECEMBER	15-Nov-15
184	Royal Trust Securities Limited	DECEMBER	15-Nov-15
185	Santrust Securities Limited	DECEMBER	15-Nov-15
186	Securities Africa Financial Limited	DECEMBER	15-Nov-15
187	Securities and Capital Management Company Limited	DECEMBER	15-Nov-15
188	Security Swaps Limited	DECEMBER	15-Nov-15
189	SFC Securities Limited	DECEMBER	15-Nov-15
190	Sigma Securities Limited	DECEMBER	15-Nov-15
191	Signet Investment & Securities Limited	DECEMBER	15-Nov-15
192	Smadac Securities Limited	DECEMBER	15-Nov-15
193	Solid Rock Securities & Investment Plc	DECEMBER	15-Nov-15
194	Spring Trust & Securities Limited	DECEMBER	15-Nov-15
195	Springboard Trust & Investment Limited	DECEMBER	15-Nov-15
196	Stanbic IBTC Stockbrokers Limited	DECEMBER	15-Nov-15
197	Standard Alliance Capital Asset Mgt Limited	DECEMBER	15-Nov-15
	Standard Union Securities Limited	DECEMBER	15-Nov-15
100	TIENTE TIMON OCCUMENCO EMINICO		15 1107 15

199	Summa Guaranty & Trust Company Limited	DECEMBER	15-Nov-15
200	The Bridge Securities Limited	DECEMBER	15-Nov-15
201	Tiddo Securities Limited	DECEMBER	15-Nov-15

202	Tomil Trust Limited	DECEMBER	15-Nov-15
203	Tower Asset Management Limited	DECEMBER	15-Nov-15
204	Transafrica Financial Services Limited	DECEMBER	15-Nov-15
205	Transworld Investment Limited	DECEMBER	15-Nov-15
206	Trust Yield Securities Limited	DECEMBER	15-Nov-15
207	Tyndale Securities Limited	DECEMBER	15-Nov-15
208	Unex Capital Limited	DECEMBER	15-Nov-15
209	Union Capital Markets Limited	DECEMBER	15-Nov-15
210	United Capital Securities Limited	DECEMBER	15-Nov-15
211	Valmon Securities Limited	DECEMBER	15-Nov-15
212	Valueline Securities & Investment Limited	DECEMBER	15-Nov-15
213	Vetiva Securities Limited	DECEMBER	15-Nov-15
214	Woodland Capital Markets Plc	DECEMBER	15-Nov-15
215	WSTC Financial Services Limited	DECEMBER	15-Nov-15
216	Yuderb Investment & Securities Limited	DECEMBER	15-Nov-15
217	Zenith Securities Limited	DECEMBER	15-Nov-15

	INACTIVE AND SUBMITTED		
S/N	FIRMS	Year End	Due date
1	Anchorage Securities & Finance Limited	DECEMBER	15-Nov-15
2	Falcon Securities Limited	DECEMBER	15-Nov-15
3	International Standard Securities Limited	DECEMBER	15-Nov-15
4	ITIS Securities Limited	DECEMBER	15-Nov-15
5	MBL Financial Services Limited	DECEMBER	15-Nov-15
6	Northbridge Investment & Trust Limited	DECEMBER	15-Nov-15
7	PML Securities & Company Limited	DECEMBER	15-Nov-15
8	Supra Commercial Trust Company Limited	DECEMBER	15-Nov-15
9	Vision Trust & Investment Limited	DECEMBER	15-Nov-15

	ACTIVE AND NOT SUBMITTED			
S/N	FIRMS	Year End	Due date	
1	Mercov Securities Limited	DECEMBER	15-Nov-15	
2	Redasel Investments Limited	DECEMBER	15-Nov-15	
3	Stanwal Securities Limited	DECEMBER	15-Nov-15	
4	Yobe Investment & Securities Limited	DECEMBER	15-Nov-15	

	INACTIVE AND NOT SUBMITTED		
S/N	FIRMS	Year End	Due date
1	Aims Asset Management Limited	DECEMBER	15-Nov-15
2	Allbond Investment Limited Limited	DECEMBER	15-Nov-15
3	Bauchi Investment Corp. Sec Limited	DECEMBER	15-Nov-15
4	Bytofel Trust & Securities Limited	DECEMBER	15-Nov-15
5	Cadington Securities Limited	DECEMBER	15-Nov-15
6	CEB Securities Limited	DECEMBER	15-Nov-15
7	Consolidated Investment Limited	DECEMBER	15-Nov-15
8	Dakal Services Limited	DECEMBER	15-Nov-15
9	Decanon Investment Limited	DECEMBER	15-Nov-15
10	Emi Capital Resources Limited	DECEMBER	15-Nov-15
11	First Alstate Securities Limited	DECEMBER	15-Nov-15
12	GMT Securities & Asset Mangement Limited	DECEMBER	15-Nov-15
13	Gombe Securities Limited	DECEMBER	15-Nov-15
14	HIP Asset Management Limited (Formerly Kakawa Asset	DECEMBER	15-Nov-15
15	LB Securities Limited	DECEMBER	15-Nov-15
16	Lion Stockbrokers Limited	DECEMBER	15-Nov-15
17	LMB Stockbrokers Limited	DECEMBER	15-Nov-15
18	Mact Securities Limited	DECEMBER	15-Nov-15
19	Maninvest Asset Management Plc	DECEMBER	15-Nov-15
20	Metropolitan Trust Nigeria Limited	DECEMBER	15-Nov-15
21	Midpoint Capital Limited	DECEMBER	15-Nov-15
22	ML Securities Limited	DECEMBER	15-Nov-15
23	Monument Sec & Finance Limited	DECEMBER	15-Nov-15
24	Omas Investment & Trust Company Limited	DECEMBER	15-Nov-15
25	Peninsula Asset Management & Investment Company Limited	DECEMBER	15-Nov-15
26	Platinum Capital Limited	DECEMBER	15-Nov-15
27	Professional Stockbrokers Limited	DECEMBER	15-Nov-15
28	Profund Securities Limited	DECEMBER	15-Nov-15
29	Prudential Securities Limited	DECEMBER	15-Nov-15
30	Regency Finance Limited	DECEMBER	15-Nov-15
31	Securities Solutions Limited	DECEMBER	15-Nov-15
32	Securities Trading & Investments Limited	DECEMBER	15-Nov-15
33	Surport Services Limited	DECEMBER	15-Nov-15
34	Waila Securities and Funds Limited	DECEMBER	15-Nov-15
35	Wema Asset Management Limited	DECEMBER	15-Nov-15
36	WizeTrade Capital & Asset Management Limited	DECEMBER	15-Nov-15
37	WT Securities Limited	DECEMBER	15-Nov-15
38	Zuma Securities Limited	DECEMBER	15-Nov-15





# **Quarterly Returns**

## FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 31 DECEMBER 2015

S/N	FIRMS	Year End	Due date
1	Adonai Stockbrokers Limited	December	15-Feb-16
2	African Alliance Stockbrokers Limited	December	15-Feb-16
3	Afrinvest Securities Limited	December	15-Feb-16
4	Anchoria Investment & Securities Limited	December	15-Feb-16
5	Apel Asset Limited	December	15-Feb-16
6	Apt Securities & Funds Limited	December	15-Feb-16
7	ARM Securities Limited	December	15-Feb-16
8	Arthur Stevens Asset Management Limited	December	15-Feb-16
9	Associated Asset Managers Limited	December	15-Feb-16
10	Atlass Portfolio Limited	December	15-Feb-16
11	Belfry Investment & Securities Limited	December	15-Feb-16
12	Bestworth Assets & Trust Limited	December	15-Feb-16
13	Calyx Securities Limited	December	15-Feb-16
14	Camry Securities Limited	December	15-Feb-16
15	Capital Asset Limited	December	15-Feb-16
16	Capital Bancorp Plc	December	15-Feb-16
17	Capital Express Securities Limited	December	15-Feb-16
18	Capital Trust Brokers Limited	December	15-Feb-16
19	Cardinal Stone Securities Ltd	December	15-Feb-16
20	Cashcraft Securities Limited	December	15-Feb-16
21	Cashville Investment & Securities Limited	December	15-Feb-16
22	CDL Capital Markets Limited	December	15-Feb-16
23	Centre Point Investment Limited	December	15-Feb-16
24	Century Securities Limited	December	15-Feb-16
25	Chapel Hill Denham Securities Limited	December	15-Feb-16
26	Chartwell Securities Limited	December	15-Feb-16
27	Citi Investment Capital Limited	December	15-Feb-16
28	City Code Trust & Investment Company Limited	December	15-Feb-16
29	Clearview Investment & Securities Limited	December	15-Feb-16

30	Compass Investment & Securities Limited	December	15-Feb-16
31	Cordros Capital Limited	December	15-Feb-16
32	Core Trust & Investment Limited	December	15-Feb-16
33	Coronation Securities Limited	December	15-Feb-16
34	Cowry Securities Limited	December	15-Feb-16
35	Crossworld Securities Limited	December	15-Feb-16
36	Crown Capital Limited	December	15-Feb-16
37	CSL Stockbrokers Limited	December	15-Feb-16
38	Delords Securities Limited	December	15-Feb-16
39	Dependable Securities Limited	December	15-Feb-16
40	Diamond Securities Limited	December	15-Feb-16
41	Dominion Trust Limited	December	15-Feb-16
42	DSU Brokerage Services Limited	December	15-Feb-16
43	Dunbell Securities Limited	December	15-Feb-16
45	Dynamic Portfolio Limited	December	15-Feb-16
46	ECL Asset Management Limited	December	15-Feb-16
47	EDC Securities Limited	December	15-Feb-16
48	Edgefield Capital Management Limited	December	15-Feb-16
49	EFCP Limited	December	15-Feb-16
50	Elixir Securities Limited	December	15-Feb-16
51	Enterprise Stockbrokers Limited	December	15-Feb-16
	Equity Capital Solutions Limited	December	15-Feb-16
53	Eurocomm Securities Limited	December	15-Feb-16
54	Express Discount Asset Management Limited	December	15-Feb-16
55	Express Portfolio Services Limited	December	15-Feb-16
56	FBN Securities Limited	December	15-Feb-16
57	FCSL Asset Management Company Limited	December	15-Feb-16
58	Fidelity Finance Company Limited	December	15-Feb-16
59	Fidelity Securities Limited	December	15-Feb-16
60	Financial & Analytics Capial Limited	December	15-Feb-16
61	Financial Trust Company Limited	December	15-Feb-16
62	Finmal Finance Services Limited	December	15-Feb-16
63	First Integrated Capital Manangement Limited	December	15-Feb-16
64	First Stockbrokers Limited	December	15-Feb-16
65	FIS Securities Limited	December	15-Feb-16
66	Foresight Securities Limited	December	15-Feb-16
67	Forte Financial Limited	December	15-Feb-16

68	Forthright Securities & Investments Limited	December	15-Feb-16
69	Gem Assets Management Limited	December	15-Feb-16
70	Fortress Capital Limited	December	15-Feb-16
71	FSDH Securities Limited	December	15-Feb-16
72	Funds Matrix & Assets Mgt Limited	December	15-Feb-16
73	Futureview Securities Limited	December	15-Feb-16
74	Gidauniya Investment & Securities Limited	December	15-Feb-16
75	Global Asset Management (Nig) Limited	December	15-Feb-16
76	GlobalView Capital Limited	December	15-Feb-16
77	Golden Securities Limited	December	15-Feb-16
78	Greenwich Securities Limited	December	15-Feb-16
79	Gruene Capital Limited	December	15-Feb-16
80	GTI Securities Limited	December	15-Feb-16
81	Harmony Securities Limited	December	15-Feb-16
82	Heartbeat Investment Limited	December	15-Feb-16
83	Hedge Securities & Investment Limited	December	15-Feb-16
84	Heritage Capital Markets Limited	December	15-Feb-16
85	Horizon Stockbrokers Limited	December	15-Feb-16
86	ICMG securities Limited	December	15-Feb-16
87	Icon Stockbrokers Limited	December	15-Feb-16
88	Imperial Assets Managers Limited	December	15-Feb-16
89	Independent Securities Limited	December	15-Feb-16
90	Integrated Trust & Investment Limited	December	15-Feb-16
91	Interstate Securities Limited	December	15-Feb-16
92	Investment Centre Limited	December	15-Feb-16
93	Investment One Stockbrokers International Limited	December	15-Feb-16
94	Investment Shark & Asset Mgt Ltd	December	15-Feb-16
95	Investors & Trust Company Limited	December	15-Feb-16
96	Kapital Care Trust & Securities Limited	December	15-Feb-16
97	Kedari Capital Limited	December	15-Feb-16
98	Kinley Securities Limited	December	15-Feb-16
99	Kofana Securities & Investment Limited	December	15-Feb-16
100	Kundila Finance Services Limited	December	15-Feb-16
101	Lambeth Trust & Investment Company Limited	December	15-Feb-16
102	Lead Securities & Investment Limited	December	15-Feb-16
	Lighthouse Asset Management Limited	December	15-Feb-16
104	FBNQuest Securities Limited	December	15-Feb-16

105	Magnartis Finance & Investment Limited	December	15-Feb-16
	Arian Capital Management Limited	December	15-Feb-16
	Bestlink Investment Limited	December	15-Feb-16
108	BGL Securities Limited	December	15-Feb-16
109	Mainstreet Bank Securities Limited	December	15-Feb-16
110	Marriot Securities & Investment Company Limited	December	15-Feb-16
111	Maven Asset Management Limited	December	15-Feb-16
112	Maxifund Investment & Securities Plc	December	15-Feb-16
113	Mayfield Investment Limited	December	15-Feb-16
114	MBC Securities Limited	December	15-Feb-16
115	Mega Equities Limited	December	15-Feb-16
116	Meristem Stockbrokers Limited	December	15-Feb-16
117	Midas Stockbrokers Limited	December	15-Feb-16
118	Milestone Capital Management Limited	December	15-Feb-16
119	Mission Securities Limited	December	15-Feb-16
120	Molten Trust Limited	December	15-Feb-16
121	Morgan Capital Securities Limited	December	15-Feb-16
	Crane Securities Limited	December	15-Feb-16
123	Mountain Investment & Securities Limited	December	15-Feb-16
124	Mutual Alliance Investment & Securities Limited	December	15-Feb-16
125	Network Capital Limited	December	15-Feb-16
	Networth Securities & Finance Limited	December	15-Feb-16
127	Newdevco Investment & Securities Company Limited	December	15-Feb-16
128	Nigerian International Securities Ltd	December	15-Feb-16
129	Nigerian Stockbrokers Limited	December	15-Feb-16
	Nova Finance & Securities Limited	December	15-Feb-16
131	PAC Securities Limited	December	15-Feb-16
132	Partnership Securities Limited	December	15-Feb-16
	Peace Capital Markets Ltd	December	15-Feb-16
	Perfecta Investment & Trust Limited	December	15-Feb-16
	Phronesis Securities Limited	December	15-Feb-16
	Pilot Securities Limited	December	15-Feb-16
	Pinefields Investment Services Limited	December	15-Feb-16
	PIPC Securities Limited	December	15-Feb-16
139	Pivot Capital Limited	December	15-Feb-16
140	Planet Capital Limited	December	15-Feb-16
141	Portfolio Advisers Limited	December	15-Feb-16

142	Primera Africa Securities Limited	December	15-Feb-16
143	Primewealth Capital Limited	December	15-Feb-16
144	Prominent Securities Limited	December	15-Feb-16
145	PSI Securities Limited	December	15-Feb-16
146	Pyramid Securities Limited	December	15-Feb-16
147	Quantum Securities Limited	December	15-Feb-16
148	Rainbow Securities Limited	December	15-Feb-16
149	Readings Investment Limited	December	15-Feb-16
150	Regency Asset Management Limited	December	15-Feb-16
151	Rencap Securities (Nig) Limited	December	15-Feb-16
152	Reward Investment & Service Limited	December	15-Feb-16
153	Rostrum Investment & Sec Limited	December	15-Feb-16
154	Royal Crest Finance Limited	December	15-Feb-16
155	Royal Guaranty & Trust Limited	December	15-Feb-16
156	Royal Trust Securities Limited	December	15-Feb-16
	Santrust Securities Limited	December	15-Feb-16
158	Securities Africa Financial Limited	December	15-Feb-16
159	Securities and Capital Management Company Limited	December	15-Feb-16
160	Security Swaps Limited	December	15-Feb-16
161	SFC Securities Limited	December	15-Feb-16
162	Shelong Investments Limited	December	15-Feb-16
163	Sigma Securities Limited	December	15-Feb-16
164	Signet Investment & Securities Limited	December	15-Feb-16
165	Skyview Capital Ltd	December	15-Feb-16
166	Smadac Securities Limited	December	15-Feb-16
167	Solid Rock Securities & Investment Plc	December	15-Feb-16
168	Spring Trust & Securities Limited	December	15-Feb-16
169	Springboard Trust & Investment Limited	December	15-Feb-16
170	Stanbic IBTC Stockbrokers Limited	December	15-Feb-16
171	Standard Alliance Capital Asset Mgt Limited	December	15-Feb-16
172	Standard Union Securities Limited	December	15-Feb-16
173	TFS Securities & Investment Company Limited	December	15-Feb-16
174	The Bridge Securities Limited	December	15-Feb-16
175	Tiddo Securities Limited	December	15-Feb-16
176	Tomil Trust Limited	December	15-Feb-16
177	Topmost Finance & Investment Limited	December	15-Feb-16
178	Tower Asset Management Limited	December	15-Feb-16

179	Tower Securities & Investment Company Limited	December	15-Feb-16
180	Trade Link Securities Limited	December	15-Feb-16
181	Traders Trust & Investment Co. Limited	December	15-Feb-16
182	Transafrica Financial Services Limited	December	15-Feb-16
183	Transworld Investment & Securities Limited	December	15-Feb-16
184	Trust Yield Securities Limited	December	15-Feb-16
185	Trustbanc Capital Management limited	December	15-Feb-16
186	Trusthouse Investment Limited	December	15-Feb-16
187	TRW Stockbrokers Limited	December	15-Feb-16
188	Tyndale Securities Limited	December	15-Feb-16
189	Unex Capital Limited	December	15-Feb-16
190	Union Capital Markets Limited	December	15-Feb-16
191	United Capital Securities Limited	December	15-Feb-16
192	Valmon Securities Limited	December	15-Feb-16
193	Valueline Securities & Investment Limited	December	15-Feb-16
194	Vetiva Securities Limited	December	15-Feb-16
195	WSTC Financial Services Limited	December	15-Feb-16
196	Yuderb Investment & Securities Limited	December	15-Feb-16
197	Zenith Securities Limited	December	15-Feb-16
198	Zion Securities Limited	December	15-Feb-16

	INACTIVE AND SUBMITTED		
S/N	FIRMS	Year End	Due date
1	Adamawa Securities Limited	December	15-Feb-16
2	Amyn Investment Limited	December	15-Feb-16
3	Anchorage Securities & Finance Limited	December	15-Feb-16
4	Cradle Trust Finance & Securities Limited	December	15-Feb-16
5	Deep Trust & Investment Limited	December	15-Feb-16
6	Falcon Securities Limited	December	15-Feb-16
7	FBC Trust & Securities Limited	December	15-Feb-16
8	Finbank Securities & Assets Management Limited	December	15-Feb-16
9	International Standard Securities Limited	December	15-Feb-16
10	ITIS Securities Limited	December	15-Feb-16
11	Marimpex Finance & Investment Company Limited	December	15-Feb-16
12	Northbridge Investment & Trust Limited	December	15-Feb-16
13	Options Securities Limited	December	15-Feb-16
14	Resano Securities Limited	December	15-Feb-16
15	Resort Securities & Trust Limited	December	15-Feb-16
16	Rowet Capital Mgt Limited	December	15-Feb-16
17	Shalom Investment & Financial Services Limited	December	15-Feb-16
18	Summa Guaranty & Trust Company Limited	December	15-Feb-16
19	Vision Trust & Investment Limited	December	15-Feb-16
20	Woodland Capital Markets Plc	December	15-Feb-16
21	Yobe Investment & Securities Limited	December	15-Feb-16

ACTIVE AND NOT SUBMITTED				
S/N	S/N FIRM Year End Due Date			
1	Alangrange Securities Limited	December	15-Feb-16	

	INACTIVE AND NOT SUBMITTED			
S/N	FIRM	Year End	Due Date	
1	Aims Asset Management Limited	December	15-Feb-16	
2	Bauchi Investment Corp. Sec Limited	December	15-Feb-16	
3	Bytofel Trust & Securities Limited	December	15-Feb-16	
4	Cadington Securities Limited	December	15-Feb-16	
5	CEB Securities Limited	December	15-Feb-16	
6	Covenant Securities & Asset Management Limited	December	15-Feb-16	
7	DBSL Securities Limited	December	15-Feb-16	
8	Excel Securities Limited	December	15-Feb-16	
9	First Alstate Securities Limited	December	15-Feb-16	
10	GMT Securities & Asset Mangement Limited	December	15-Feb-16	
11	Gombe Securities Limited	December	15-Feb-16	
12	Kakawa Asset Management Limited	December	15-Feb-16	
13	LB Securities Limited	December	15-Feb-16	
14	Lion Stockbrokers Limited	December	15-Feb-16	
15	LMB Stockbrokers Limited	December	15-Feb-16	
16	Mact Securities Limited	December	15-Feb-16	
17	Mainland Trust Limited	December	15-Feb-16	
18	MBL Financial Services Limited	December	15-Feb-16	
19	Mercov Securities Limited	December	15-Feb-16	
20	Midpoint Capital Limited	December	15-Feb-16	
21	ML Securities Limited	December	15-Feb-16	
22	Monument Sec & Finance Limited	December	15-Feb-16	
23	PML Securities & Company Limited	December	15-Feb-16	
24	Professional Stockbrokers Limited	December	15-Feb-16	
25	Profund Securities Limited	December	15-Feb-16	
26	Redasel Investments Limited	December	15-Feb-16	
27	Regency Finance Limited	December	15-Feb-16	
28	Stanwal Securities Limited	December	15-Feb-16	
29	Supra Commercial Trust Company Limited	December	15-Feb-16	
30	Surport Services Limited	December	15-Feb-16	
31	UIDC Securities Limited	December	15-Feb-16	
32	Waila Securities and Funds Limited	December	15-Feb-16	
33	Wema Asset Management Limited	December	15-Feb-16	





# **Quarterly Returns**

### FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 31 MARCH 2016

	ACTIVE AND SUBMITTED			
S/N	FIRMS	Year End	Due date	
1	Adonai Stockbrokers Limited	December	16-May-16	
2	African Alliance Stockbrokers Limited	December	16-May-16	
3	Afrinvest Securities Limited	December	16-May-16	
4	Alangrange Securities Limited	December	16-May-16	
5	Amyn Investment Limited	December	16-May-16	
6	Anchoria Investment & Securities Limited	December	16-May-16	
7	Apel Asset Limited	December	16-May-16	
8	Apt Securities & Funds Limited	December	16-May-16	
9	ARM Securities Limited	December	16-May-16	
10	Arthur Stevens Asset Management Limited	December	16-May-16	
11	Associated Asset Managers Limited	December	16-May-16	
12	Atlass Portfolio Limited	December	16-May-16	
13	Belfry Investment & Securities Limited	December	16-May-16	
14	Bestworth Assets & Trust Limited	December	16-May-16	
15	Calyx Securities Limited	December	16-May-16	
16	Camry Securities Limited	December	16-May-16	
17	Capital Assets Limited	December	16-May-16	
18	Capital Bancorp Plc	December	16-May-16	
19	Capital Express Securities Limited	December	16-May-16	
20	Capital Trust Brokers Limited	December	16-May-16	
21	Cardinal Stone Securities Ltd	December	16-May-16	
22	Cashcraft Securities Limited	December	16-May-16	
23	Cashville Investment & Securities Limited	December	16-May-16	
24	CDL Capital Markets Limited	December	16-May-16	
25	Centre Point Investment Limited	December	16-May-16	
26	Century Securities Limited	December	16-May-16	
27	Chapel Hill Denham Securities Limited	December	16-May-16	
28	Chartwell Securities Limited	December	16-May-16	
29	Citi Investment Capital Limited	December	16-May-16	
30	City Code Trust & Investment Company Limited	December	16-May-16	
31	Clearview Investment & Securities Limited	December	16-May-16	
32	Compass Investment & Securities Limited	December	16-May-16	
33	Cordros Capital Limited	December	16-May-16	
34	Core Trust & Investment Limited	December	16-May-16	
35	Coronation Securities Limited	December	16-May-16	
36	Cowry Securities Limited	December	16-May-16	
37	Crossworld Securities Limited	December	16-May-16	
38	Crown Capital Limited	December	16-May-16	
39	CSL Stockbrokers Limited	December	16-May-16	
40	Deep Trust & Investment Limited	December	16-May-16	
41	Delords Securities Limited	December	16-May-16	
42	Dependable Securities Limited	December	16-May-16	
44	Dominion Trust Limited	December	16-May-16	
45	DSU Brokerage Services Limited	December	16-May-16	
46	Dunbell Securities Limited	December	16-May-16	
47	Dunn Loren Merrifield Securities Limited	December	16-May-16	
48	Dynamic Portfolio Limited	December	16-May-16	
49	ECL Asset Management Limited	December	16-May-16	
50	EDC Securities Limited	December	16-May-16	

51	Edgefield Capital Management Limited	December	16-May-16
52	EFCP Limited	December	16-May-16
53	Elixir Securities Limited	December	16-May-16
54	Enterprise Stockbrokers Limited	December	16-May-16
55	Equity Capital Solutions Limited	December	16-May-16
56	Eurocomm Securities Limited	December	16-May-16
57	Express Discount Asset Management Limited	December	16-May-16
58	Express Portfolio Services Limited	December	16-May-16
59	FBN Securities Limited	December	16-May-16
60	FCSL Asset Management Company Limited	December	16-May-16
61	Fidelity Finance Company Limited	December	16-May-16
62	Fidelity Securities Limited	December	16-May-16
63	Financial & Analytics Capial Limited	December	16-May-16
64	Financial Trust Company Limited	December	16-May-16
65	Finmal Finance Services Limited	December	16-May-16
66	First Integrated Capital Manangement Limited	December	16-May-16
67	First Stockbrokers Limited	December	16-May-16
68	FIS Securities Limited	December	16-May-16
69	Foresight Securities Limited	December	16-May-16
70	Forte Financial Limited	December	16-May-16
71	Forthright Securities & Investments Limited	December	16-May-16
72	Fortress Capital Limited	December	16-May-16
73	FSDH Securities Limited	December	16-May-16
74	Funds Matrix & Assets Mgt Limited	December	16-May-16
75	Futureview Securities Limited	December	16-May-16
76	Gidauniya Investment & Securities Limited	December	16-May-16
77	Global Asset Management (Nig) Limited	December	16-May-16
78	GlobalView Capital Limited	December	16-May-16
79	Golden Securities Limited	December	16-May-16
80	Greenwich Securities Limited	December	16-May-16
81	Gruene Capital Limited	December	16-May-16
82	GTI Securities Limited	December	16-May-16
83	Harmony Securities Limited	December	16-May-16
84	Heartbeat Investment Limited	December	16-May-16
85	Hedge Securities & Investment Limited	December	16-May-16
86	Heritage Capital Markets Limited	December	16-May-16
87	ICMG securities Limited	December	16-May-16
88	Icon Stockbrokers Limited	December	16-May-16
89	Imperial Assets Managers Limited	December	16-May-16
90	Independent Securities Limited	December	16-May-16
91	Integrated Trust & Investment Limited	December	16-May-16
92	Interstate Securities Limited	December	16-May-16
93	Investment Centre Limited	December	16-May-16
94	Investment One Stockbrokers International Limited	December	16-May-16
95	Investors & Trust & Company Limited	December	16-May-16
96	Kapital Care Trust & Securities Limited	December	16-May-16
97 98	Kedari Capital Limited	December December	16-May-16
98	Kinley Securities Limited Kofana Securities & Investment Limited	December	16-May-16 16-May-16
100	Kundila Finance Services Limited	December	16-May-16
101	Lambeth Trust & Investment Company Limited	December	16-May-16
101	FBNQuest Securities Limited	December	16-May-16
103	Lighthouse Asset Management Limited	December	16-May-16
103	Long Term Global Capital Limited	December	16-May-16
105	Arian Capital Management Limited	December	16-May-16
106	Bestlink Investment Limited	December	16-May-16
107	Cradle Trust Finance & Securities Limited	December	16-May-16
108	Magnartis Finance & Investment Limited	December	16-May-16
109	Mainstreet Bank Securities Limited	December	16-May-16
05			10 11107-10

110	Marimpex Finance & Investment Company Limited	December	16-May-16
111	Marriot Securities & Investment Company Limited	December	16-May-16
112	Maven Asset Management Limited	December	16-May-16
113	Maxifund Investment & Securities Plc	December	16-May-16
114	MBC Securities Limited	December	16-May-16
115	MBL Financial Services Limited	December	16-May-16
116	Mega Equities Limited	December	16-May-16
117	Meristem Stockbrokers Limited	December	16-May-16
118	Midas Stockbrokers Limited	December	16-May-16
119	Milestone Capital Management Limited	December	16-May-16
120	Mission Securities Limited	December	16-May-16
121	FBC Trust & Securities Limited	December	16-May-16
122	Molten Trust Limited	December	16-May-16
123	Morgan Capital Securities Limited	December	16-May-16
124	Mountain Investment & Securities Limited	December	16-May-16
125	Mutual Alliance Investment & Securities Limited	December	16-May-16
126	Network Capital Limited	December	16-May-16
127	Networth Securities & Finance Limited	December	16-May-16
128	Newdevco Investment & Securities Company Limited	December	16-May-16
129	Nigerian International Securities Ltd	December	16-May-16
130	Nigerian Stockbrokers Limited	December	16-May-16
131	Nova Finance & Securities Limited	December	16-May-16
132	PAC Securities Limited	December	16-May-16
133	Partnership Securities Limited	December	16-May-16
134	Peace Capital Markets Ltd	December	16-May-16
135	Phronesis Securities Limited	December	16-May-16
136	Pilot Securities Limited	December	16-May-16
137	Pinefields Investment Services Limited	December	16-May-16
138	PIPC Securities Limited	December	16-May-16
139	Pivot Capital Limited	December	16-May-16
140	Perfecta Investment & Trust Limited	December	16-May-16
141	Planet Capital Limited	December	16-May-16
142	Portfolio Advisers Limited	December	16-May-16
143	Primera Africa Securities Limited	December	16-May-16
144	Primewealth Capital Limited	December	16-May-16
145	Prominent Securities Limited	December	16-May-16
146	PSI Securities Limited	December	16-May-16
147	Pyramid Securities Limited	December	16-May-16
148	Quantum Securities Limited	December	16-May-16
149	Rainbow Securities Limited	December	16-May-16
150	Readings Investment Limited	December	16-May-16
151	Regency Asset Management Limited	December	16-May-16
152	Rencap Securities (Nig) Limited	December	16-May-16
153	Reward Investment & Service Limited	December	16-May-16
154	Rostrum Investment & Sec Limited	December	16-May-16
155	Royal Guaranty & Trust Limited	December	16-May-16
156	Royal Trust Securities Limited	December	16-May-16
157	Santrust Securities Limited	December	16-May-16
158	Securities Africa Financial Limited	December	16-May-16
159	Securities and Capital Management Company Limited	December	16-May-16
160	Security Swaps Limited	December	16-May-16
161	SFC Securities Limited	December	16-May-16
162	Shelong Investments Limited	December	16-May-16
163	Sigma Securities Limited	December	16-May-16
164	Signet Investment & Securities Limited	December	16-May-16

166 Smadac Securities Limited December 16-May 168 Spring Trust & Securities & Investment Plc December 16-May 168 Spring Trust & Securities Limited December 16-May 170 Stanbic IBTC Stockbrokers Limited December 16-May 171 Standard Alliance Capital Asset Mgt Limited December 16-May 172 Standard Alliance Capital Asset Mgt Limited December 16-May 173 TFS Securities Limited December 16-May 174 The Bridge Securities Limited December 16-May 175 Standard Union Securities Limited December 16-May 175 Tiddo Securities Limited December 16-May 176 Tomil Trust Limited December 16-May 177 Topmost Finance & Investment Limited December 16-May 178 Tower Asset Management Limited December 16-May 179 Tower Securities & Investment Company Limited December 16-May 178 Traders Trust & Investment Company Limited December 16-May 178 Traders Trust & Investment Company Limited December 16-May 180 Trade Link Securities Limited December 16-May 181 Traders Trust & Investment Co. Limited December 16-May 182 Transworld Investment & Securities Limited December 16-May 182 Transworld Investment & Securities Limited December 16-May 183 Trust Yield Securities Limited December 16-May 184 Trustbanc Capital Management limited December 16-May 185 Trusthouse Investment Limited December 16-May 186 TRW Stockbrokers Limited December 16-May 187 Trusthouse Investment Limited December 16-May 188 Union Capital Management limited December 16-May 189 Union Capital Markets Limited December 16-May 190 United Capital Inited December 16-May 191 Valmon Securities Limited December 16-May 192 Valueline Securities Limited December 16-May 193 Vetiva Securities Limited December 16-May 194 Valmon Securities Limited December 16-May 195 WSTC Financial Services Limited December 16-May 195 Vetiva Securities Limited December 16-May 196 Vetiva Securities Limited December 16-May 197 Vetiva Securities Limited December 16-May 199 Vetiva Securities	165	Skyview Capital Ltd	December	16-May-16
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190     United Capital Securities Limited     December     16-May       191     Valmon Securities Limited     December     16-May       192     Valueline Securities & Investment Limited     December     16-May       193     Vetiva Securities Limited     December     16-May       194     Woodland Capital Markets Plc     December     16-May       195     WSTC Financial Services Limited     December     16-May       196     Yuderb Investment & Securities Limited     December     16-May       197     Zenith Securities Limited     December     16-May	188	Unex Capital Limited	December	16-May-16
191     Valmon Securities Limited     December     16-May       192     Valueline Securities & Investment Limited     December     16-May       193     Vetiva Securities Limited     December     16-May       194     Woodland Capital Markets Plc     December     16-May       195     WSTC Financial Services Limited     December     16-May       196     Yuderb Investment & Securities Limited     December     16-May       197     Zenith Securities Limited     December     16-May	189	Union Capital Markets Limited	December	16-May-16
192         Valueline Securities & Investment Limited         December         16-May           193         Vetiva Securities Limited         December         16-May           194         Woodland Capital Markets Plc         December         16-May           195         WSTC Financial Services Limited         December         16-May           196         Yuderb Investment & Securities Limited         December         16-May           197         Zenith Securities Limited         December         16-May	190	United Capital Securities Limited	December	16-May-16
193         Vetiva Securities Limited         December         16-May           194         Woodland Capital Markets Plc         December         16-May           195         WSTC Financial Services Limited         December         16-May           196         Yuderb Investment & Securities Limited         December         16-May           197         Zenith Securities Limited         December         16-May	191	Valmon Securities Limited	December	16-May-16
194     Woodland Capital Markets Plc     December     16-May       195     WSTC Financial Services Limited     December     16-May       196     Yuderb Investment & Securities Limited     December     16-May       197     Zenith Securities Limited     December     16-May	192	Valueline Securities & Investment Limited	December	16-May-16
195         WSTC Financial Services Limited         December         16-May           196         Yuderb Investment & Securities Limited         December         16-May           197         Zenith Securities Limited         December         16-May	193	Vetiva Securities Limited	December	16-May-16
195     WSTC Financial Services Limited     December     16-May       196     Yuderb Investment & Securities Limited     December     16-May       197     Zenith Securities Limited     December     16-May	194	Woodland Capital Markets Plc	December	16-May-16
197 Zenith Securities Limited December 16-Ma	195	WSTC Financial Services Limited	December	16-May-16
197 Zenith Securities Limited December 16-Ma	196	Yuderb Investment & Securities Limited	December	16-May-16
	197	Zenith Securities Limited	December	16-May-16
10-IVId	198	Zion Securities Limited	December	16-May-16

	INACTIVE AND SUBMITTED		
S/N	FIRMS	Year End	Due date
1	Anchorage Securities & Finance Limited	December	16-May-16
2	Falcon Securities Limited	December	16-May-16
3	Gem Assets Management Limited	December	16-May-16
4	Investment Shark & Asset Management Limited	December	16-May-16
5	Mayfield Investment Limited	December	16-May-16
6	Options Securities Limited	December	16-May-16
7	Rowet Capital Management Limited	December	16-May-16
8	Royal Crest Finance Limited	December	16-May-16
9	Summa Guaranty & Trust Company Limited	December	16-May-16
10	Supra Commercial Trust Company Limited	December	16-May-16
11	Vision Trust & Investment Limited	December	16-May-16

	NEWLY LICENSED FIRMS NOT REQUIRED TO SUBMIT RETURNS				
S/N	S/N FIRMS Year End Due date				
1	El-Elyon Alliance and Securities Ltd	December	16-May-16		

	ACTIVE AND NOT SUBMITTED				
S/	S/N FIRM Year End Due Date				
	1	Transafrica Financial Services Limited	December	16-May-16	

	INACTIVE AND NOT SUBMITTED				
S/N	FIRM	Year End	Due Date		
1	Adamawa Securities Limited	December	16-May-16		
2	Aims Asset Management Limited	December	16-May-16		
3	Bauchi Investment Corp. Sec Limited	December	16-May-16		
4	Bytofel Trust & Securities Limited	December	16-May-16		
5	Cadington Securities Limited	December	16-May-16		
6	CEB Securities Limited	December	16-May-16		
7	Crane Securities Limited	December	16-May-16		
8	Excel Securities Limited	December	16-May-16		
9	Finbank Securities & Assets Management Limited	December	16-May-16		
10	First Alstate Securities Limited	December	16-May-16		
11	GMT Securities & Asset Mangement Limited	December	16-May-16		
12	Gombe Securities Limited	December	16-May-16		
13	Horizon Stockbrokers Limited	December	16-May-16		
14	International Standard Securities Limited	December	16-May-16		
15	ITIS Securities Limited	December	16-May-16		
16	Kakawa Asset Management Limited	December	16-May-16		
17	LB Securities Limited	December	16-May-16		
18	LMB Stockbrokers Limited	December	16-May-16		
19	Mact Securities Limited	December	16-May-16		
20	Mainland Trust Limited	December	16-May-16		
21	Mercov Securities Limited	December	16-May-16		
22	ML Securities Limited	December	16-May-16		
23	Monument Sec & Finance Limited	December	16-May-16		
24	Profund Securities Limited	December	16-May-16		
25	Resano Securities Limited	December	16-May-16		
	Resort Securities & Trust Limited	December	16-May-16		
27	Shalom Investment & Financial Services Limited	December	16-May-16		
28	Stanwal Securities Limited	December	16-May-16		
29	UIDC Securities Limited	December	16-May-16		
30	Waila Securities and Funds Limited	December	16-May-16		
31	Wema Asset Management Limited	December	16-May-16		



## **Broker TraX**

# **Quarterly Returns**

### FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 30 JUNE 2016

### ACTIVE AND SUBMITTED

_	Active Allo Socialities				
S/N	FIRMS	Year End	Due date		
1	Adonai Stockbrokers Limited	December	15-Aug-16		
2	African Alliance Stockbrokers Limited	December	15-Aug-16		
3	Afrinvest Securities Limited	December	15-Aug-16		
4	Amyn Investment Limited	December	15-Aug-16		
5	Anchoria Investment & Securities Limited	December	15-Aug-16		
6	Apel Asset Limited	December	15-Aug-16		
7	Apt Securities & Funds Limited	December	15-Aug-16		
8	ARM Securities Limited	December	15-Aug-16		
9	Arthur Stevens Asset Management Limited	December	15-Aug-16		
10	Associated Asset Managers Limited	December	15-Aug-16		
11	Belfry Investment & Securities Limited	December	15-Aug-16		
12	Bestworth Assets & Trust Limited	December	15-Aug-16		
13	Calyx Securities Limited	December	15-Aug-16		
14	Camry Securities Limited	December	15-Aug-16		
15	Capital Assets Limited	December	15-Aug-16		
16	Capital Bancorp Plc	December	15-Aug-16		
17	Capital Express Securities Limited	December	15-Aug-16		
18	Capital Trust Brokers Limited	December	15-Aug-16		
19	Cardinal Stone Securities Ltd	December	15-Aug-16		
20	Cashcraft Securities Limited	December	15-Aug-16		
21	Cashville Investment & Securities Limited	December	15-Aug-16		
22	CDL Capital Markets Limited	December	15-Aug-16		
23	Centre Point Investment Limited	December	15-Aug-16		

24	Century Securities Limited	December	15-Aug-16
25	Chapel Hill Denham Securities Limited	December	15-Aug-16
26	Chartwell Securities Limited	December	15-Aug-16
27	Citi Investment Capital Limited	December	15-Aug-16
28	City Code Trust & Investment Company Limited	December	15-Aug-16
29	Clearview Investment & Securities Limited	December	15-Aug-16
30	Compass Investment & Securities Limited	December	15-Aug-16
31	Cordros Capital Limited	December	15-Aug-16
32	Core Trust & Investment Limited	December	15-Aug-16
33	Coronation Securities Limited	December	15-Aug-16
34	Cowry Securities Limited	December	15-Aug-16
35	Crossworld Securities Limited	December	15-Aug-16
36	Crown Capital Limited	December	15-Aug-16
37	CSL Stockbrokers Limited	December	15-Aug-16
38	Deep Trust & Investment Limited	December	15-Aug-16
39	Delords Securities Limited	December	15-Aug-16
40	DSU Brokerage Services Limited	December	15-Aug-16
41	Dunbell Securities Limited	December	15-Aug-16
42	Dunn Loren Merrifield Securities Limited	December	15-Aug-16
43	EDC Securities Limited	December	15-Aug-16
44	Edgefield Capital Management Limited	December	15-Aug-16
45	EFCP Limited	December	15-Aug-16
46	El-Elyon Alliance and Securities Ltd	December	15-Aug-16
47	Elixir Securities Limited	December	15-Aug-16
48	Enterprise Stockbrokers Limited	December	15-Aug-16
49	Equity Capital Solutions Limited	December	15-Aug-16
50	Eurocomm Securities Limited	December	15-Aug-16
51	Express Discount Asset Management Limited	December	15-Aug-16
52	Express Portfolio Services Limited	December	15-Aug-16
53	Falcon Securities Limited	December	15-Aug-16
54	FBN Securities Limited	December	15-Aug-16
55	FCSL Asset Management Company Limited	December	15-Aug-16
56	Fidelity Finance Company Limited	December	15-Aug-16
57	Fidelity Securities Limited	December	15-Aug-16
58	Financial & Analytics Capial Limited	December	15-Aug-16
59	Financial Trust Company Limited	December	15-Aug-16
60	Finmal Finance Services Limited	December	15-Aug-16
61	First Integrated Capital Manangement Limited	December	15-Aug-16
62	Standard Alliance Capital Asset Mgt Limited	December	15-Aug-16
63	Summa Guaranty & Trust Company Limited	December	15-Aug-16
64	First Stockbrokers Limited	December	15-Aug-16
65	Foresight Securities Limited	December	15-Aug-16
66	Forte Financial Limited	December	15-Aug-16
67	Forthright Securities & Investments Limited	December	15-Aug-16
68	Fortress Capital Limited	December	15-Aug-16
69	FSDH Securities Limited	December	15-Aug-16
70	Funds Matrix & Assets Mgt Limited	December	15-Aug-16
71	Futureview Securities Limited	December	15-Aug-16
72	Gidauniya Investment & Securities Limited	December	15-Aug-16
73	Global Asset Management (Nig) Limited	December	15-Aug-16
74	Golden Securities Limited	December	15-Aug-16
75	Greenwich Securities Limited	December	15-Aug-16
76	Gruene Capital Limited	December	15-Aug-16
77	GTI Securities Limited	December	15-Aug-16

78	Harmony Securities Limited	December	15-Aug-16
79	Heartbeat Investment Limited	December	15-Aug-16
80	Hedge Securities & Investment Limited	December	15-Aug-16
81	Heritage Capital Markets Limited	December	15-Aug-16
82	ICMG securities Limited	December	15-Aug-16
83	Icon Stockbrokers Limited	December	15-Aug-16
84	Imperial Assets Managers Limited	December	15-Aug-16
85	Interstate Securities Limited	December	15-Aug-16
86	Investment One Stockbrokers International Limited	December	15-Aug-16
87	Investors & Trust Company Limited	December	15-Aug-16
88	Kapital Care Trust & Securities Limited	December	15-Aug-16
89	Kedari Capital Limited	December	15-Aug-16
90	Kinley Securities Limited	December	15-Aug-16
91	Kofana Securities & Investment Limited	December	15-Aug-16
92	Lambeth Trust & Investment Company Limited	December	15-Aug-16
93	Lead Securities & Investment Limited	December	15-Aug-16
94	Lighthouse Asset Management Limited	December	15-Aug-16
95	Long Term Global Capital Limited	December	15-Aug-16
96	Magnartis Finance & Investment Limited	December	15-Aug-16
97	Mainland Trust Limited	December	15-Aug-16
98	Mainstreet Bank Securities Limited	December	15-Aug-16
99	Marriot Securities & Investment Company Limited	December	15-Aug-16
100	Maxifund Investment & Securities Plc	December	15-Aug-16
101	MBC Securities Limited	December	15-Aug-16
102	MBL Financial Services Limited	December	
103		December	15-Aug-16
		December	15-Aug-16
104	Meristem Stockbrokers Limited Midas Stockbrokers Limited	December	15-Aug-16
105			15-Aug-16
	Milestone Capital Management Limited	December	15-Aug-16
107	Mission Securities Limited	December	15-Aug-16
108		December	15-Aug-16
109	Morgan Capital Securities Limited	December	15-Aug-16
110	Mountain Investment & Securities Limited	December	15-Aug-16
111	Mutual Alliance Investment & Securities Limited	December	15-Aug-16
112	Network Capital Limited	December	15-Aug-16
	Networth Securities & Finance Limited	December	15-Aug-16
114	Newdevco Investment & Securities Company Limited	December	15-Aug-16
115	Nigerian International Securities Ltd	December	15-Aug-16
116	Nigerian Stockbrokers Limited	December	15-Aug-16
117	Nova Finance & Securities Limited	December	15-Aug-16
118		December	15-Aug-16
119	Partnership Securities Limited	December	15-Aug-16
120		December	15-Aug-16
121	Phronesis Securities Limited	December	15-Aug-16
122	Pilot Securities Limited	December	15-Aug-16
	Pinefields Investment Services Limited	December	15-Aug-16
124	PIPC Securities Limited	December	15-Aug-16
125	Pivot Capital Limited	December	15-Aug-16
126	Planet Capital Limited	December	15-Aug-16
127	Portfolio Advisers Limited	December	15-Aug-16
120	Primera Africa Securities Limited	December	15-Aug-16

129	Primewealth Capital Limited	December	15-Aug-16
130	Prominent Securities Limited	December	15-Aug-16
131	PSI Securities Limited	December	15-Aug-16
132	Pyramid Securities Limited	December	15-Aug-16
133		December	15-Aug-16
134	Quantum Securities Limited	December	15-Aug-16
135	Rainbow Securities Limited	December	15-Aug-16
136	Readings Investment Limited	December	15-Aug-16
137	Regency Asset Management Limited	December	15-Aug-16
138	Rencap Securities (Nig) Limited	December	15-Aug-16
139	Resano Securities Limited	December	15-Aug-16
140	Reward Investment & Service Limited	December	15-Aug-16
141	Rostrum Investment & Sec Limited	December	15-Aug-16
142	Royal Guaranty & Trust Limited	December	15-Aug-16
143	Royal Trust Securities Limited	December	15-Aug-16
144	Santrust Securities Limited	December	15-Aug-16
145	Securities Africa Financial Limited	December	15-Aug-16
146	Securities and Capital Management Company Limited	December	15-Aug-16
147	Security Swaps Limited	December	15-Aug-16
148	SFC Securities Limited	December	15-Aug-16
149	Shelong Investments Limited	December	15-Aug-16
150	Sigma Securities Limited	December	15-Aug-16
151	Signet Investment & Securities Limited	December	15-Aug-16
152	Skyview Capital Ltd	December	15-Aug-16
153	Solid Rock Securities & Investment Plc	December	15-Aug-16
154	Spring Trust & Securities Limited	December	15-Aug-16
155	Springboard Trust & Investment Limited	December	15-Aug-16
156	Stanbic IBTC Stockbrokers Limited	December	15-Aug-16
157	Standard Union Securities Limited	December	15-Aug-16
158	TFS Securities & Investment Company Limited	December	15-Aug-16
159	The Bridge Securities Limited	December	15-Aug-16
160	Tiddo Securities Limited	December	15-Aug-16
161	Topmost Finance & Investment Limited	December	15-Aug-16
162	Tower Securities & Investment Company Limited	December	15-Aug-16
163	Trade Link Securities Limited	December	15-Aug-16
164	Traders Trust & Investment Co. Limited	December	15-Aug-16
165	Transworld Investment & Securities Limited	December	15-Aug-16
166	Trust Yield Securities Limited	December	15-Aug-16
167	Trustbanc Capital Management limited	December	15-Aug-16
168	Trusthouse Investment Limited	December	15-Aug-16
169	TRW Stockbrokers Limited	December	15-Aug-16
170	Tyndale Securities Limited	December	15-Aug-16
171	Unex Capital Limited	December	15-Aug-16
172	Union Capital Markets Limited	December	15-Aug-16
173	United Capital Securities Limited	December	15-Aug-16
174	Valmon Securities Limited	December	15-Aug-16
175	Valueline Securities & Investment Limited	December	15-Aug-16
176	Vetiva Securities Limited	December	15-Aug-16
177	Woodland Capital Markets Plc	December	15-Aug-16
178	WSTC Financial Services Limited	December	15-Aug-16
179	Zenith Securities Limited	December	15-Aug-16
180	Zion Securities Limited	December	15-Aug-16

	INACTIVE AND SUBMITTED				
S/N	FIRMS	Year End	Due date		
1	Anchorage Securities & Finance Limited	December	15-Aug-16		
2	Arian Capital Management Limited	December	15-Aug-16		
3	Bestlink Investment Limited	December	15-Aug-16		
4	Cradle Trust Finance & Securities Limited	December	15-Aug-16		
5	FBC Trust & Securities Limited	December	15-Aug-16		
6	Gem Assets Management Limited	December	15-Aug-16		
7	Horizon Stockbrokers Limited	December	15-Aug-16		
8	Investment Shark & Asset Management Limited	December	15-Aug-16		
9	Marimpex Finance & Investment Company Limited	December	15-Aug-16		
10	Mayfield Investment Limited	December	15-Aug-16		
11	Options Securities Limited	December	15-Aug-16		
12	Resort Securities & Trust Limited	December	15-Aug-16		
13	Shalom Investment & Financial Services Limited	December	15-Aug-16		
14	Yuderb Investment & Securities Limited	December	15-Aug-16		

	NEWLY LICENSED FIRMS NOT REQUIRED TO SUBMIT RETURNS					
S/N	FIRMS	Year End	Due date			
1	Helix Securities Limited	December	15-Aug-16			
2	Fundvine Capital & Securities Limited	December	15-Aug-16			

	ACTIVE AND NOT SUBMITTED				
S/N	FIRM	Year End	Due Date		
1	Alangrange Securities Limited	December	15-Aug-16		
2	Atlass Portfolio Limited	December	15-Aug-16		
3	Dependable Securities Limited	December	15-Aug-16		
4	Diamond Securities Limited	December	15-Aug-16		
5	Dominion Trust Limited	December	15-Aug-16		
6	ECL Asset Management Limited	December	15-Aug-16		
7	FIS Securities Limited	December	15-Aug-16		
8	GlobalView Capital Limited	December	15-Aug-16		
9	Integrated Trust & Investment Limited	December	15-Aug-16		
10	Investment Centre Limited	December	15-Aug-16		
11	Kundila Finance Services Limited	December	15-Aug-16		
12	Smadac Securities Limited	December	15-Aug-16		
13	Tomil Trust Limited	December	15-Aug-16		
14	Tower Asset Management Limited	December	15-Aug-16		
15	Transafrica Financial Services Limited	December	15-Aug-16		

	INACTIVE AND NOT SUBMITTED			
S/N	FIRM	Year End	Due Date	
1	Adamawa Securities Limited	December	15-Aug-16	
2	Aims Asset Management Limited	December	15-Aug-16	
3	Bauchi Investment Corp. Sec Limited	December	15-Aug-16	
4	Bytofel Trust & Securities Limited	December	15-Aug-16	
5	Cadington Securities Limited	December	15-Aug-16	
6	CEB Securities Limited	December	15-Aug-16	
7	Crane Securities Limited	December	15-Aug-16	
8	Excel Securities Limited	December	15-Aug-16	
9	Finbank Securities & Assets Management Limited	December	15-Aug-16	
10	First Alstate Securities Limited	December	15-Aug-16	
11	GMT Securities & Asset Mangement Limited	December	15-Aug-16	
12	Gombe Securities Limited	December	15-Aug-16	
13	International Standard Securities Limited	December	15-Aug-16	
14	ITIS Securities Limited	December	15-Aug-16	
15	Kakawa Asset Management Limited	December	15-Aug-16	
16	LB Securities Limited	December	15-Aug-16	
17	LMB Stockbrokers Limited	December	15-Aug-16	
18	Mact Securities Limited	December	15-Aug-16	
19	Maven Asset Management Limited	December	15-Aug-16	
20	Mercov Securities Limited	December	15-Aug-16	
21	ML Securities Limited	December	15-Aug-16	
22	Monument Sec & Finance Limited	December	15-Aug-16	
23	Perfecta Investment & Trust Limited	December	15-Aug-16	
24	Profund Securities Limited	December	15-Aug-16	
25	Rowet Capital Management Limited	December	15-Aug-16	
26	Royal Crest Finance Limited	December	15-Aug-16	
27	Stanwal Securities Limited	December	15-Aug-16	
28	Supra Commercial Trust Company Limited	December	15-Aug-16	
29	UIDC Securities Limited	December	15-Aug-16	
30	Vision Trust & Investment Limited	December	15-Aug-16	
31	Waila Securities and Funds Limited	December	15-Aug-16	
32	Wema Asset Management Limited	December	15-Aug-16	



### **Broker TraX**

## **Quarterly Returns**

#### FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 30 SEPTEMBER 2016

	ACTIVE AND SUBMITTED			
S/N	FIRMS	Year End	Due date	
1	Adonai Stockbrokers Limited	December	14-Nov-16	
2	African Alliance Stockbrokers Limited	December	14-Nov-16	
3	Afrinvest Securities Limited	December	14-Nov-16	
4	Amyn Investment Limited	December	14-Nov-16	
5	Anchoria Investment & Securities Limited	December	14-Nov-16	
6	Apel Asset Limited	December	14-Nov-16	
7	Apt Securities & Funds Limited	December	14-Nov-16	
8	ARM Securities Limited	December	14-Nov-16	
9	Arthur Stevens Asset Management Limited	December	14-Nov-16	
10	Associated Asset Managers Limited	December	14-Nov-16	
11	Atlass Portfolio Limited Belfry Investment & Securities Limited	December December	14-Nov-16 14-Nov-16	
13	Bestlink Investment & Securities Limited	December	14-Nov-16	
14	Bestworth Assets & Trust Limited	December	14-Nov-16	
15	Calyx Securities Limited	December	14-Nov-16	
16	Camry Securities Limited	December	14-Nov-16	
17	Capital Asset Limited	December	14-Nov-16	
18	Capital Bancorp Plc	December	14-Nov-16	
19	Capital Express Securities Limited	December	14-Nov-16	
20	Capital Trust Brokers Limited	December	14-Nov-16	
21	Cardinal Stone Securities Ltd	December	14-Nov-16	
22	Cashcraft Securities Limited	December	14-Nov-16	
23	Cashville Investment & Securities Limited	December	14-Nov-16	
24	CDL Capital Markets Limited	December	14-Nov-16	
25	Century Securities Limited	December	14-Nov-16	
26	Chapel Hill Denham Securities Limited	December	14-Nov-16	
27	Chartwell Securities Limited	December	14-Nov-16	
28	Citi Investment Capital Limited	December	14-Nov-16	
29	City Code Trust & Investment Company Limited	December	14-Nov-16	
30	Clearview Investments Company Limited	December	14-Nov-16	
31	Compass Investment & Securities Limited	December	14-Nov-16	
32	Cordros Capital Limited	December December	14-Nov-16	
34	Core Trust & Investment Limited Coronation Securities Limited	December	14-Nov-16 14-Nov-16	
35	Cowry Securities Limited	December	14-Nov-16	
36	Crane Securities Limited	December	14-Nov-16	
37	Crossworld Securities Limited	December	14-Nov-16	
38	Crown Capital Limited	December	14-Nov-16	
39	CSL Stockbrokers Limited	December	14-Nov-16	
40	Deep Trust Investment Limited	December	14-Nov-16	
41	Delords Securities Limited	December	14-Nov-16	
42	Diamond Securities Limited	December	14-Nov-16	
43	DSU Brokerage Services Limited	December	14-Nov-16	
44	Dunbell Securities Limited	December	14-Nov-16	
45	Dunn Loren Merrifield Securities Limited	December	14-Nov-16	
46	Dynamic Portfolio Limited	December	14-Nov-16	
47	EDC Securities Limited	December	14-Nov-16	
48	Edgefield Capital Management Limited	December	14-Nov-16	
49	EFCP Limited	December	14-Nov-16	
50	El-Elyon Alliance and Securities Ltd	December	14-Nov-16	
51 52	Elixir Securities Limited Enterprise Stockbrokers Limited	December December	14-Nov-16 14-Nov-16	
53	Equity Capital Solutions Limited	December	14-Nov-16	
54	Eurocomm Securities Limited	December	14-Nov-16	
55	Express Discount Asset Management Limited	December	14-Nov-16	
56	Express Portfolio Services Limited	December	14-Nov-16	
57	Falcon Securities Limited	December	14-Nov-16	
58	FBC Trust & Securities Limited	December	14-Nov-16	
59	FBN Securities Limited	December	14-Nov-16	
60	FCSL Asset Management Company Limited	December	14-Nov-16	
61	Fidelity Finance Company Limited	December	14-Nov-16	
62	Dependable Securities Limited	December	14-Nov-16	
63	FIS Securities Limited	December	14-Nov-16	
64	Fidelity Securities Limited	December	14-Nov-16	
65	Financial & Analytics Capital Limited	December	14-Nov-16	
66	Financial Trust Company Limited	December	14-Nov-16	
67 68	GTI Securities Limited	December December	14-Nov-16	
68 69	Harmony Securities Limited Heartbeat Investment Limited	December December	14-Nov-16	
	neartheat Investment Limited	December	14-Nov-16	

70	Hedge Securities & Investment Limited	December	14-Nov-16
70			
71 72	Heritage Capital Markets Limited ICMG securities Limited	December	14-Nov-16 14-Nov-16
		December	
73	Icon Stockbrokers Limited	December	14-Nov-16
74	Imperial Assets Managers Limited	December	14-Nov-16
75	Integrated Trust & Investment Limited	December	14-Nov-16
76	Interstate Securities Limited	December	14-Nov-16
77	Investment One Stockbrokers International Limited	December	14-Nov-16
78	Investors & Trust Company Limited	December	14-Nov-16
79	Kapital Care Trust & Securities Limited	December	14-Nov-16
80	Kedari Capital Limited	December	14-Nov-16
81	Kinley Securities Limited	December	14-Nov-16
82	Kofana Securities & Investment Limited	December	14-Nov-16
83	Kundila Finance Services Limited	December	14-Nov-16
84	Lambeth Trust & Investment Company Limited	December	14-Nov-16
85	Lead Securities & Investment Limited	December	14-Nov-16
86	Lighthouse Asset Management Limited	December	14-Nov-16
87	Longterm Global Capital Limited	December	14-Nov-16
88	Magnartis Finance & Investment Limited	December	14-Nov-16
89	Mainland Trust Limited	December	14-Nov-16
90	Mainstreet Bank Securities Limited	December	14-Nov-16
91	Marriot Securities & Investment Co. Limited	December	14-Nov-16
92	Maxifund Investment & Securities Plc	December	14-Nov-16
93	Mayfield Investment Limited	December	14-Nov-16
94	MBC Securities Limited	December	14-Nov-16
95	MBL Financial Services Limited	December	14-Nov-16
96	Mega Equities Limited	December	14-Nov-16
97	Meristem Stockbrokers Limited	December	14-Nov-16
98	Midas Stockbrokers Limited	December	14-Nov-16
		December	14-Nov-16
99	Milestone Capital Management Limited		
100	Mission Securities Limited	December	14-Nov-16
101	Molten Trust Limited	December	14-Nov-16
102	Morgan Capital Securities Limited	December	14-Nov-16
103	FBNQuest Securities Limited	December	14-Nov-16
104	Network Capital Limited	December	14-Nov-16
105	Newdevco Investments & Securties Limited	December	14-Nov-16
106	Partnership Securities Limited	December	14-Nov-16
107	Peace Capital Markets Ltd	December	14-Nov-16
108	Tomil Trust Limited	December	14-Nov-16
109	Nigerian International Securities Ltd	December	14-Nov-16
110	Nigerian Stockbrokers Limited	December	14-Nov-16
111	Nova Finance & Securities Limited	December	14-Nov-16
112	PAC Securities Limited	December	14-Nov-16
113	Phronesis Securities Limited	December	14-Nov-16
114	Pilot Securities Limited Pinefields Investment Services Limited	December December	14-Nov-16
			14-Nov-16
116	PIPC Securities Limited	December	14-Nov-16
117	Pivot Capital Limited	December	14-Nov-16
118	Planet Capital Limited	December	14-Nov-16
119	Portfolio Advisers Limited	December	14-Nov-16
120	Primera Africa Securities Limited	December	14-Nov-16
121	Primewealth Capital Limited	December	14-Nov-16
122	Prominent Securities Limited	December	14-Nov-16
123	PSI Securities Limited	December	14-Nov-16
124	Pyramid Securities Limited	December	14-Nov-16
125	Qualinvest Capital Limited	December	14-Nov-16
126	Quantum Securities Limited	December	14-Nov-16
			14-Nov-16
127	Rainbow Securities & Investment Company Limited	December	
127	Rainbow Securities & Investment Company Limited Readings Investment Limited	December December	
128	Readings Investment Limited	December	14-Nov-16
128 129	Readings Investment Limited Regency Asset Management Limited	December December	14-Nov-16 14-Nov-16
128 129 130	Readings Investment Limited Regency Asset Management Limited Rencap Securities (Nig) Limited	December December December	14-Nov-16 14-Nov-16 14-Nov-16
128 129 130 131	Readings Investment Limited Regency Asset Management Limited Rencap Securities (Nig) Limited Reward Investment & Service Limited	December December December December	14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16
128 129 130 131 132	Readings Investment Limited Regency Asset Management Limited Rencap Securities (Nig) Limited Reward Investment & Service Limited RMB Nigeria Stockbrokers Limited	December December December December December	14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16
128 129 130 131 132 133	Readings Investment Limited Rencap Securities (Nig) Limited Rencap Securities (Nig) Limited Reward Investment & Service Limited RMB Nigeria Stockbrokers Limited Rostrum Investment & Sec Limited	December December December December December December December	14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16
128 129 130 131 132 133 134	Readings Investment Limited Regency Asset Management Limited Remcap Securities (Nig) Limited Reward Investment & Service Limited RMB Nigeria Stockbrokers Limited Rostrum Investment & Sec Limited Royal Crest Finance Limited	December December December December December December December	14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16
128 129 130 131 132 133 134	Readings Investment Limited Rencap Securities (Nig) Limited Rencap Securities (Nig) Limited Reward Investment & Service Limited RMB Nigeria Stockbrokers Limited Rostrum Investment & Sec Limited Royal Crest Finance Limited Royal Guaranty & Trust Limited	December December December December December December December December	14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16
128 129 130 131 132 133 134 135	Readings Investment Limited Rencap Securities (Nig) Limited Rencap Securities (Nig) Limited Reward Investment & Service Limited RMB Nigeria Stockbrokers Limited Rostrum Investment & Sec Limited Royal Crest Finance Limited Royal Guaranty & Trust Limited Royal Guaranty & Trust Limited Royal Trust Securities Limited	December December December December December December December	14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16
128 129 130 131 132 133 134 135 136	Readings Investment Limited Regency Asset Management Limited Remcap Securities (Nig) Limited Reward Investment & Service Limited RMB Nigeria Stockbrokers Limited Rostrum Investment & Sec Limited Royal Crest Finance Limited Royal Crust Limited Royal Trust Limited Royal Trust Securities Limited Santrust Securities Limited Santrust Securities Limited	December December December December December December December December	14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16
128 129 130 131 132 133 134 135	Readings Investment Limited Rencap Securities (Nig) Limited Rencap Securities (Nig) Limited Reward Investment & Service Limited RMB Nigeria Stockbrokers Limited Rostrum Investment & Sec Limited Royal Crest Finance Limited Royal Guaranty & Trust Limited Royal Guaranty & Trust Limited Royal Trust Securities Limited	December	14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16
128 129 130 131 132 133 134 135 136	Readings Investment Limited Regency Asset Management Limited Remcap Securities (Nig) Limited Reward Investment & Service Limited RMB Nigeria Stockbrokers Limited Rostrum Investment & Sec Limited Royal Crest Finance Limited Royal Crust Limited Royal Trust Limited Royal Trust Securities Limited Santrust Securities Limited Santrust Securities Limited	December	14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16
128 129 130 131 132 133 134 135 136 137	Readings Investment Limited Rencap Securities (Nig) Limited Rencap Securities (Nig) Limited Reward Investment & Service Limited RMB Nigeria Stockbrokers Limited Rostrum Investment & Sec Limited Royal Crest Finance Limited Royal Guaranty & Trust Limited Royal Guaranty & Trust Limited Royal Trust Securities Limited Santrust Securities Limited Securities Africa Financial Limited Securities Africa Financial Limited Securities Africa Financial Limited	December	14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16
128 129 130 131 132 133 134 135 136 137 138 139	Readings Investment Limited Rencap Securities (Nig) Limited Rencap Securities (Nig) Limited Reward Investment & Service Limited RMB Nigeria Stockbrokers Limited Rostrum Investment & Sec Limited Royal Crest Finance Limited Royal Crest Finance Limited Royal Guaranty & Trust Limited Royal Trust Securities Limited Securities Africa Financial Limited Securities Africa Financial Limited Securities and Capital Management Company Limited Securities and Capital Management Company Limited	December	14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16
128 129 130 131 132 133 134 135 136 137 138 139 140	Readings Investment Limited Rencap Securities (Nig) Limited Rencap Securities (Nig) Limited Reward Investment & Service Limited RMB Nigeria Stockbrokers Limited Rostrum Investment & Sec Limited Royal Crest Finance Limited Royal Guaranty & Trust Limited Royal Guaranty & Trust Limited Royal Trust Securities Limited Soutrust Securities Limited Securities Arica Financial Limited Securities Arica Financial Limited Securities Arica Financial Limited Securities Sec	December	14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16 14-Nov-16
128 129 130 131 132 133 134 135 136 137 138 139 140	Readings Investment Limited Rencap Securities (Nig) Limited Rencap Securities (Nig) Limited Reward Investment & Service Limited RMB Nigeria Stockbrokers Limited Rostrum Investment & Sec Limited Royal Crest Finance Limited Royal Guaranty & Trust Limited Royal Guaranty & Trust Limited Royal Trust Securities Limited Securities Service Securities Limited Securities Africa Financial Limited Securities Africa Financial Limited Securities Africa Financial Limited Securities Limited Securities Limited Shelong Investments Limited	December	14-Nov-16
128 129 130 131 132 133 134 135 136 137 138 139 140 141 142	Readings Investment Limited Rencap Securities (Nig) Limited Rencap Securities (Nig) Limited Reward Investment & Service Limited RMB Nigeria Stockbrokers Limited Rostrum Investment & Sec Limited Royal Crest Finance Limited Royal Guaranty & Trust Limited Royal Guaranty & Trust Limited Royal Guaranty & Trust Limited Severities Limited Securities Africa Financial Limited Securities Africa Financial Limited Securities and Capital Management Company Limited Securities Limited SFC Securities Limited Shelong Investments Limited Shelong Investments Limited	December	14-Nov-16
128 129 130 131 132 133 134 135 136 137 138 139 140 141 142 143	Readings Investment Limited Rencap Securities (Nig) Limited Rencap Securities (Nig) Limited Reward Investment & Service Limited RMB Nigeria Stockbrokers Limited Rostrum Investment & Sec Limited Royal Carest Finance Limited Royal Guaranty & Trust Limited Royal Guaranty & Trust Limited Royal Trust Securities Limited Soantrust Securities Limited Securities Arfac Financial Limited Securities Arfac Financial Limited Securities Arfac Financial Limited Securities Finance Limited Signa Securities Limited Signa Securities Limited Signa Securities Limited	December	14-Nov-16
128 129 130 131 132 133 134 135 136 137 138 139 140 141 142 143 144	Readings Investment Limited Rencap Securities (Nig) Limited Rencap Securities (Nig) Limited Reward Investment & Service Limited RMB Nigeria Stockbrokers Limited Royal Crest Finance Limited Royal Crest Finance Limited Royal Guaranty & Trust Limited Royal Guaranty & Trust Limited Royal Frust Securities Limited Securities Africa Financial Limited Securities and Capital Management Company Limited Securities and Capital Management Company Limited Securities Limited SFC Securities Limited SFC Securities Limited Signa Securities Limited Signa Securities Limited Signa Securities Limited Signa Securities Limited	December  December	14-Nov-16
128 129 130 131 132 133 134 135 136 137 138 139 140 141 142 143	Readings Investment Limited Rencap Securities (Nig) Limited Rencap Securities (Nig) Limited Reward Investment & Service Limited RMB Nigeria Stockbrokers Limited Rostrum Investment & Sec Limited Royal Carest Finance Limited Royal Guaranty & Trust Limited Royal Guaranty & Trust Limited Royal Trust Securities Limited Soantrust Securities Limited Securities Arfac Financial Limited Securities Arfac Financial Limited Securities Arfac Financial Limited Securities Finance Limited Signa Securities Limited Signa Securities Limited Signa Securities Limited	December	14-Nov-16

	6 - 2 - 1 1 T 1 O 1 1 1 2 - 1	December	14-Nov-16
	Springboard Trust & Investment Limited		
100	Stanbic IBTC Stockbrokers Limited	December	14-Nov-16
	Standard Alliance Capital Asset Mgt Limited	December	14-Nov-16
152	Standard Union Securities Limited	December	14-Nov-16
153	TFS Securities & Investment Company Limited	December	14-Nov-16
154	The Bridge Securities Limited	December	14-Nov-16
155	Tiddo Securities Limited	December	14-Nov-16
156	Topmost Securities Limited	December	14-Nov-16
157	Tower Securities & Investment Company Limited	December	14-Nov-16
158	Trade Link Securities Limited	December	14-Nov-16
159	Traders Trust & Investment Co. Limited	December	14-Nov-16
160	Transworld Investment Limited	December	14-Nov-16
161	Trust Yield Securities Limited	December	14-Nov-16
162	TrustBanc Capital Management Limited	December	14-Nov-16
163	Trusthouse Investment Limited	December	14-Nov-16
164	TRW Stockbrokers Limited	December	14-Nov-16
165	Tyndale Securities Limited	December	14-Nov-16
166	Unex Capital Limited	December	14-Nov-16
167	Union Capital Markets Limited	December	14-Nov-16
168	United Capital Securities Limited	December	14-Nov-16
169	Valmon Securities Limited	December	14-Nov-16
170	Valueline Securities & Investment Limited	December	14-Nov-16
171	Vetiva Securities Limited	December	14-Nov-16
172	Woodland Capital Markets Plc	December	14-Nov-16
173	WSTC Financial Services Limited	December	14-Nov-16
174	Zenith Securities Limited	December	14-Nov-16
175	Zion Stockbrokers & Securities Limited	December	14-Nov-16

	INACTIVE AND SUBMITTED				
S/N	FIRMS	Year End	Due date		
1	Anchorage Securities & Finance Limited	December	14-Nov-16		
2	Gem Assets Management Limited	December	14-Nov-16		
3	Horizon Stockbrokers Limited	December	14-Nov-16		
4	Investment Shark & Asset Management Limited	December	14-Nov-16		
5	Marimpex Finance & Investment Company Limited	December	14-Nov-16		
6	Mutual Alliance Investment & Securities Limited	December	14-Nov-16		
7	Options Securities Limited	December	14-Nov-16		
8	Resort Securities & Trust Limited	December	14-Nov-16		
9	Shalom Investment & Financial Services Limited	December	14-Nov-16		
10	Summa Guaranty & Trust Company Limited	December	14-Nov-16		

	NEWLY LICENSED FIRMS NOT REQUIRED TO SUBMIT RETURNS					
S	S/N	FIRMS	Year End	Due date		
	1	Fundvine Capital & Securities Limited	December	14-Nov-16		
	2	Helix Securities Limited	December	14-Nov-16		

	ACTIVE AND NOT SUBMITTED			
S/N	FIRM	Year End	Due Date	
1	Alangrange Securities Limited	December	14-Nov-16	
2	Centre Point Investment Limited	December	14-Nov-16	
3	Gidauniya Investment & Securities Limited	December	14-Nov-16	
4	Investment Centre Limited	December	14-Nov-16	
5	Networth Securities & Finance Limited	December	14-Nov-16	
6	Tower Asset Management Limited	December	14-Nov-16	

	INACTIVE AND NOT SUBMITTED			
S/N	FIRM	Year End	Due Date	
1	Adamawa Securities Limited	December	14-Nov-16	
2	Aims Asset Management Limited	December	14-Nov-16	
3	Arian Capital Management Limited	December	14-Nov-16	
4	Bauchi Investment Corp. Sec Limited	December	14-Nov-16	
5	Bytofel Securities and Investment Limited	December	14-Nov-16	
6	Cadington Securities Limited	December	14-Nov-16	
7	CEB Securities Limited	December	14-Nov-16	
8	Covenant Securities & Asset Management Limited	December	14-Nov-16	
9	Cradle Trust Finance & Securities Limited	December	14-Nov-16	
10	ECL Asset Management Limited	December	14-Nov-16	
11	Excel Securities Limited	December	14-Nov-16	
12	Finbank Securities & Assets Management Limited	December	14-Nov-16	
13	First Alstate Securities Limited	December	14-Nov-16	
14	GMT Securities & Asset Mangement Limited	December	14-Nov-16	
15	Gombe Securities Limited	December	14-Nov-16	
16	International Standard Securities Limited	December	14-Nov-16	
17	ITIS Securities Limited	December	14-Nov-16	
18	Kakawa Asset Management Limited	December	14-Nov-16	
19	LB Securities Limited	December	14-Nov-16	
20	Lion Stockbrokers Limited	December	14-Nov-16	
21	LMB Stockbrokers Limited	December	14-Nov-16	
22	Mact Securities Limited	December	14-Nov-16	
23	Maven Asset Management Limited	December	14-Nov-16	
24	Mercov Securities Limited	December	14-Nov-16	
25	Midpoint Capital Limited	December	14-Nov-16	
26	ML Securities Limited	December	14-Nov-16	
27	Monument Sec & Finance Limited	December	14-Nov-16	
28	Northbridge Investment & Trust Limited	December	14-Nov-16	
29	Perfecta Investment & Trust Limited	December	14-Nov-16	
30	PML Securities & Company Limited	December	14-Nov-16	
31	Professional Stockbrokers Limited	December	14-Nov-16	
32	Profund Securities Limited	December	14-Nov-16	
33	Redasel Investments Limited	December	14-Nov-16	
34	Resano Securities Limited	December	14-Nov-16	
35	Rowet Capital Management Limited	December	14-Nov-16	
36	Surport Services Limited	December	14-Nov-16	
37	Stanwal Securities Limited	December	14-Nov-16	
38	Supra Commercial Trust Company Limited	December	14-Nov-16	
39	Transafrica Financial Services Limited	December	14-Nov-16	
40	UIDC Securities Limited	December	14-Nov-16	
41	Vision Trust & Investment Limited	December	14-Nov-16	
42	Waila Securities and Funds Limited	December	14-Nov-16	
43	Wema Asset Management Limited	December	14-Nov-16	
44	Yobe Investment & Securities Limited	December	14-Nov-16	
45	Yuderb Investment & Securities Limited	December	14-Nov-16	





## FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 31 DECEMBER 2016

	ACTIVE AND SUBMITTED			
S/N	FIRMS	Year End	Due date	
1	Adonai Stockbrokers Limited	December	14-Feb-17	
2	African Alliance Stockbrokers Limited	December	14-Feb-17	
3	Afrinvest Securities Limited	December	14-Feb-17	
4	Amyn Investment Limited	December	14-Feb-17	
5	Anchoria Investment & Securities Limited	December	14-Feb-17	
6	Apel Asset Limited	December	14-Feb-17	
7	Apt Securities & Funds Limited	December	14-Feb-17	
8	ARM Securities Limited	December	14-Feb-17	
9	Arthur Stevens Asset Management Limited	December	14-Feb-17	
10	Associated Asset Managers Limited	December	14-Feb-17	
11	Atlass Portfolio Limited	December	14-Feb-17	
12	Belfry Investment & Securities Limited	December	14-Feb-17	
13	Bestworth Assets & Trust Limited	December	14-Feb-17	
14	Calyx Securities Limited	December	14-Feb-17	
15	Camry Securities Limited	December	14-Feb-17	
16	Capital Asset Limited	December	14-Feb-17	
17	Capital Bancorp Plc	December	14-Feb-17	
18	Capital Express Securities Limited	December	14-Feb-17	
19	Capital Trust Brokers Limited	December	14-Feb-17	
20	Cardinal Stone Securities Ltd	December	14-Feb-17	
21	Cashcraft Securities Limited	December	14-Feb-17	
22	Cashville Investment & Securities Limited	December	14-Feb-17	
23	CDL Capital Markets Limited	December	14-Feb-17	
24	Centre Point Investment Limited	December	14-Feb-17	
25	Century Securities Limited	December	14-Feb-17	
26	Chapel Hill Denham Securities Limited	December	14-Feb-17	
27	Chartwell Securities Limited	December	14-Feb-17	
28	Citi Investment Capital Limited	December	14-Feb-17	
29	City Code Trust & Investment Company Limited	December	14-Feb-17	
30	Clearview Investments Company Limited	December	14-Feb-17	
31	CLG Stockbrokers Limited	December	14-Feb-17	
32	Compass Investment & Securities Limited	December	14-Feb-17	
33	Cordros Securities Limited	December	14-Feb-17	
34	Core Trust & Investment Limited	December	14-Feb-17	
35	Coronation Securities Limited	December	14-Feb-17	
36	Cowry Securities Limited	December	14-Feb-17	
37	Crane Securities Limited	December	14-Feb-17	
38	Crossworld Securities Limited	December	14-Feb-17	
39	Crown Capital Limited	December	14-Feb-17	
40	CSL Stockbrokers Limited	December	14-Feb-17	
41	Deep Trust Investment Limited	December	14-Feb-17	
42	Delords Securities Limited	December	14-Feb-17	
43	Dominion Trust Limited	December	14-Feb-17	
44	DSU Brokerage Services Limited	December	14-Feb-17	
45	Dunbell Securities Limited	December	14-Feb-17	
46	Dunn Loren Merrifield Securities Limited	December	14-Feb-17	
47	Dynamic Portfolio Limited	December	14-Feb-17	
48	EDC Securities Limited	December	14-Feb-17	
	Edgefield Capital Management Limited	December	14-Feb-17	
	EFCP Limited	December	14-Feb-17	
	El-Elyon Alliance and Securities Ltd	December		
51	LI-LIYOH AMance and Securities Liu	December	14-Feb-17	

Elixir Securities Limited   December	14-Feb-17
54Equity Capital Solutions LimitedDecember55Eurocomm Securities LimitedDecember56Express Portfolio Services LimitedDecember57Falcon Securities LimitedDecember58FBC Trust & Securities LimitedDecember59FBN Securities LimitedDecember60FCSL Asset Management Company LimitedDecember61Fidelity Finance Company LimitedDecember62Fidelity Securities LimitedDecember63Financial & Analytics Capital LimitedDecember64Financial Trust Company LimitedDecember65Finmal Finance Services LimitedDecember66First Integrated Capital Manangement LimitedDecember67First Stockbrokers LimitedDecember68FIS Securities LimitedDecember69Foresight Securities & Investment LimitedDecember70Forte Financial LimitedDecember71Forthright Securities & Investment LimitedDecember72Fortress Capital LimitedDecember	14-Feb-17
Eurocomm Securities Limited  Express Portfolio Services Limited  December  Falcon Securities Limited  December  Falcon Securities Limited  December  FBN Securities Limited  December  FBN Securities Limited  December  FCSL Asset Management Company Limited  December  Fidelity Finance Company Limited  December  Fidelity Securities Limited  December  Financial & Analytics Capital Limited  December  Financial Trust Company Limited  December  First Integrated Capital Manangement Limited  December  First Stockbrokers Limited  December  Foresight Securities & Investment Limited  December  Forte Financial Limited  December  Torthright Securities & Investment Limited  December  Torthright Securities & Investment Limited  December  Torthright Securities & Investment Limited  December  Toecember  Toecember  Toecember	14-Feb-17 14-Feb-17 14-Feb-17 14-Feb-17 14-Feb-17 14-Feb-17 14-Feb-17 14-Feb-17 14-Feb-17 14-Feb-17 14-Feb-17 14-Feb-17
56Express Portfolio Services LimitedDecember57Falcon Securities LimitedDecember58FBC Trust & Securities LimitedDecember59FBN Securities LimitedDecember60FCSL Asset Management Company LimitedDecember61Fidelity Finance Company LimitedDecember62Fidelity Securities LimitedDecember63Financial & Analytics Capital LimitedDecember64Financial Trust Company LimitedDecember65Finmal Finance Services LimitedDecember66First Integrated Capital Manangement LimitedDecember67First Stockbrokers LimitedDecember68FIS Securities LimitedDecember69Foresight Securities & Investment LimitedDecember70Forte Financial LimitedDecember71Forthright Securities & Investment LimitedDecember72Fortress Capital LimitedDecember	14-Feb-17 14-Feb-17 14-Feb-17 14-Feb-17 14-Feb-17 14-Feb-17 14-Feb-17 14-Feb-17 14-Feb-17 14-Feb-17 14-Feb-17
57Falcon Securities LimitedDecember58FBC Trust & Securities LimitedDecember59FBN Securities LimitedDecember60FCSL Asset Management Company LimitedDecember61Fidelity Finance Company LimitedDecember62Fidelity Securities LimitedDecember63Financial & Analytics Capital LimitedDecember64Financial Trust Company LimitedDecember65Finmal Finance Services LimitedDecember66First Integrated Capital Manangement LimitedDecember67First Stockbrokers LimitedDecember68FIS Securities LimitedDecember69Foresight Securities & Investment LimitedDecember70Forte Financial LimitedDecember71Forthright Securities & Investment LimitedDecember72Fortress Capital LimitedDecember	14-Feb-17 14-Feb-17 14-Feb-17 14-Feb-17 14-Feb-17 14-Feb-17 14-Feb-17 14-Feb-17 14-Feb-17 14-Feb-17
FBC Trust & Securities Limited  December  FBN Securities Limited  December  FCSL Asset Management Company Limited  December  Fidelity Finance Company Limited  December  Fidelity Securities Limited  December  Financial & Analytics Capital Limited  December  Financial Trust Company Limited  December  Financial Trust Company Limited  December  First Integrated Capital Manangement Limited  December  First Stockbrokers Limited  December  FIS Securities Limited  December  Foresight Securities & Investment Limited  December  To Forte Financial Limited  December  To Fortress Capital Limited  December  To Fortress Capital Limited  December	14-Feb-17 14-Feb-17 14-Feb-17 14-Feb-17 14-Feb-17 14-Feb-17 14-Feb-17 14-Feb-17 14-Feb-17
59FBN Securities LimitedDecember60FCSL Asset Management Company LimitedDecember61Fidelity Finance Company LimitedDecember62Fidelity Securities LimitedDecember63Financial & Analytics Capital LimitedDecember64Financial Trust Company LimitedDecember65Finmal Finance Services LimitedDecember66First Integrated Capital Manangement LimitedDecember67First Stockbrokers LimitedDecember68FIS Securities LimitedDecember69Foresight Securities & Investment LimitedDecember70Forte Financial LimitedDecember71Forthright Securities & Investment LimitedDecember72Fortress Capital LimitedDecember	14-Feb-17 14-Feb-17 14-Feb-17 14-Feb-17 14-Feb-17 14-Feb-17 14-Feb-17 14-Feb-17
60 FCSL Asset Management Company Limited December 61 Fidelity Finance Company Limited December 62 Fidelity Securities Limited December 63 Financial & Analytics Capital Limited December 64 Financial Trust Company Limited December 65 Finmal Finance Services Limited December 66 First Integrated Capital Manangement Limited December 67 First Stockbrokers Limited December 68 FIS Securities Limited December 69 Foresight Securities & Investment Limited December 70 Forte Financial Limited December 71 Forthright Securities & Investment Limited December 72 Fortress Capital Limited December	14-Feb-17 14-Feb-17 14-Feb-17 14-Feb-17 14-Feb-17 14-Feb-17 14-Feb-17
61       Fidelity Finance Company Limited       December         62       Fidelity Securities Limited       December         63       Financial & Analytics Capital Limited       December         64       Financial Trust Company Limited       December         65       Finmal Finance Services Limited       December         66       First Integrated Capital Manangement Limited       December         67       First Stockbrokers Limited       December         68       FIS Securities Limited       December         69       Foresight Securities & Investment Limited       December         70       Forte Financial Limited       December         71       Forthright Securities & Investment Limited       December         72       Fortress Capital Limited       December	14-Feb-17 14-Feb-17 14-Feb-17 14-Feb-17 14-Feb-17 14-Feb-17
62       Fidelity Securities Limited       December         63       Financial & Analytics Capital Limited       December         64       Financial Trust Company Limited       December         65       Finmal Finance Services Limited       December         66       First Integrated Capital Manangement Limited       December         67       First Stockbrokers Limited       December         68       FIS Securities Limited       December         69       Foresight Securities & Investment Limited       December         70       Forte Financial Limited       December         71       Forthright Securities & Investment Limited       December         72       Fortress Capital Limited       December	14-Feb-17 14-Feb-17 14-Feb-17 14-Feb-17 14-Feb-17
63       Financial & Analytics Capital Limited       December         64       Financial Trust Company Limited       December         65       Finmal Finance Services Limited       December         66       First Integrated Capital Manangement Limited       December         67       First Stockbrokers Limited       December         68       FIS Securities Limited       December         69       Foresight Securities & Investment Limited       December         70       Forte Financial Limited       December         71       Forthright Securities & Investment Limited       December         72       Fortress Capital Limited       December	14-Feb-17 14-Feb-17 14-Feb-17 14-Feb-17 14-Feb-17
64 Financial Trust Company Limited December 65 Finmal Finance Services Limited December 66 First Integrated Capital Manangement Limited December 67 First Stockbrokers Limited December 68 FIS Securities Limited December 69 Foresight Securities & Investment Limited December 70 Forte Financial Limited December 71 Forthright Securities & Investment Limited December 72 Fortress Capital Limited December	14-Feb-17 14-Feb-17 14-Feb-17 14-Feb-17
65 Finmal Finance Services Limited 66 First Integrated Capital Manangement Limited 67 First Stockbrokers Limited 68 FIS Securities Limited 69 Foresight Securities & Investment Limited 70 Forte Financial Limited 71 Forthright Securities & Investment Limited 72 Fortress Capital Limited 73 December 74 December 75 December 76 December 77 December	14-Feb-17 14-Feb-17 14-Feb-17
66 First Integrated Capital Manangement Limited December 67 First Stockbrokers Limited December 68 FIS Securities Limited December 69 Foresight Securities & Investment Limited December 70 Forte Financial Limited December 71 Forthright Securities & Investment Limited December 72 Fortress Capital Limited December	14-Feb-17 14-Feb-17
67 First Stockbrokers Limited December 68 FIS Securities Limited December 69 Foresight Securities & Investment Limited December 70 Forte Financial Limited December 71 Forthright Securities & Investment Limited December 72 Fortress Capital Limited December	14-Feb-17
68 FIS Securities Limited December 69 Foresight Securities & Investment Limited December 70 Forte Financial Limited December 71 Forthright Securities & Investment Limited December 72 Fortress Capital Limited December	
69 Foresight Securities & Investment Limited December 70 Forte Financial Limited December 71 Forthright Securities & Investment Limited December 72 Fortress Capital Limited December	
70     Forte Financial Limited     December       71     Forthright Securities & Investment Limited     December       72     Fortress Capital Limited     December	14-Feb-17
71     Forthright Securities & Investment Limited     December       72     Fortress Capital Limited     December	14-Feb-17
72 Fortress Capital Limited December	14-Feb-17
	14-Feb-17
75 . Securities Emitted	14-Feb-17
74 Funds Matrix & Asset Management Limited December	14-Feb-17
75 Futureview Securities Limited December	14-Feb-17
76 Gidauniya Investment & Securities Limited December	14-Feb-17
77 Global Asset Management (Nig) Limited December	14-Feb-17
78 GlobalView Capital Limited December	14-Feb-17
79 Golden Securities Limited December	14-Feb-17
80 Greenwich Securities Limited December	14-Feb-17
81 Growth & Development Asset Management Limited December	14-Feb-17
82 Gruene Capital Limited December	14-Feb-17
83 GTI Securities Limited December	14-Feb-17
84 Harmony Securities Limited December	14-Feb-17
85 Heartbeat Investment Limited December	14-Feb-17
86 Hedge Securities & Investment Limited December	14-Feb-17
87 Helix Securities Limited December	14-Feb-17
88 Heritage Capital Markets Limited December	14-Feb-17
89 ICMG securities Limited December	14-Feb-17
90 Icon Stockbrokers Limited December	14-Feb-17
91 Imperial Assets Managers Limited December	14-Feb-17
92 Integrated Trust & Investment Limited December	14-Feb-17
93 Interstate Securities Limited December	14-Feb-17
94 Investment One Stockbrokers International Limited December	14-Feb-17
95 Investors & Trust Company Limited December	14-Feb-17
96 Kapital Care Trust & Securities Limited December	14-Feb-17
97 Kedari Capital Limited December	14-Feb-17
98 Kinley Securities Limited December	14-Feb-17
99 Kofana Securities & Investment Limited December	14-Feb-17
100 Kundila Finance Services Limited December	14-Feb-17
101 FBNQuest Securities Limited December	14-Feb-17
102 Lead Securities & Investment Limited December	14-Feb-17
103 Lighthouse Asset Management Limited December	14-Feb-17
104 Longterm Global Capital Limited December	14-Feb-17
105 Magnartis Finance & Investment Limited December	14-Feb-17
106 Mainstreet Bank Securities Limited December	14-Feb-17
107 Marriot Securities & Investment Co. Limited December	14-Feb-17
108 Maxifund Investment & Securities Plc December	
109 Mayfield Investment Limited December	14-Feb-17
110 MBC Securities Limited December	

111	Mega Equities Limited	December	14-Feb-17
	Meristem Stockbrokers Limited	December	14-Feb-17
	Midas Stockbrokers Limited	December	14-Feb-17
	Milestone Capital Management Limited	December	14-Feb-17
	Mission Securities Limited	December	14-Feb-17
	Molten Trust Limited	December	14-Feb-17
	Rowet Capital Mgt Limited	December	
	Morgan Capital Negratified  Morgan Capital Securities Limited	December	14-Feb-17 14-Feb-17
118 119	Mountain Investment & Securities Limited	December	14-Feb-17
	Network Capital Limited	December	14-Feb-17
	Networth Securities & Finance Limited	December	14-Feb-17
	Newdevco Investments & Securities Limited	December	14-Feb-17
	Nigerian International Securities Ltd	December	14-Feb-17
	Nigerian Stockbrokers Limited	December	14-Feb-17
	Nova Finance & Securities Limited	December	14-Feb-17
	PAC Securities Limited	December	14-Feb-17
	Pilot Securities Limited	December	14-Feb-17
	Pinefields Investment Services Limited	December	14-Feb-17 14-Feb-17
	PIPC Securities Limited	December	14-Feb-17 14-Feb-17
	Pivot Capital Limited	December	14-Feb-17
	Planet Capital Limited	December	14-Feb-17
	Portfolio Advisers Limited	December	14-Feb-17
	Primera Africa Securities Limited	December	14-Feb-17 14-Feb-17
	Primewealth Capital Limited	December	14-Feb-17
	Prominent Securities Limited	December	14-Feb-17
	PSI Securities Limited	December	14-Feb-17
	Pyramid Securities Limited	December	14-Feb-17
	Qualinvest Capital Limited	December	14-Feb-17
	Quantum Securities Limited	December	14-Feb-17
	Rainbow Securities & Investment Company Limited	December	14-Feb-17
	Readings Investment Limited	December	14-Feb-17
	Regency Asset Management Limited	December	14-Feb-17
	Rencap Securities (Nig) Limited	December	14-Feb-17
	Reward Investment & Service Limited	December	14-Feb-17
145	RMB Nigeria Stockbrokers Limited	December	14-Feb-17
146	Rostrum Investment & Sec Limited	December	14-Feb-17
147	Royal Guaranty & Trust Limited	December	14-Feb-17
148	Royal Trust Securities Limited	December	14-Feb-17
149	Santrust Securities Limited	December	14-Feb-17
150	Securities Africa Financial Limited	December	14-Feb-17
151	Securities and Capital Management Company Limited	December	14-Feb-17
152	SFC Securities Limited	December	14-Feb-17
	Shelong Investments Limited	December	14-Feb-17
	Signet Investment & Securities Limited	December	14-Feb-17
	Sigma Securities Limited	December	14-Feb-17
	Skyview Capital Ltd	December	14-Feb-17
	Solid Rock Securities & Investment Plc	December	14-Feb-17
158	Springboard Trust & Investment Limited	December	14-Feb-17
159	Spring Trust & Securities Limited	December	14-Feb-17
	Stanbic IBTC Stockbrokers Limited	December	14-Feb-17
161	Standard Alliance Capital Asset Mgt Limited	December	14-Feb-17
	Standard Union Securities Limited	December	14-Feb-17
	TFS Securities & Investment Company Limited	December	14-Feb-17
164	The Bridge Securities Limited	December	14-Feb-17
165	Tiddo Securities Limited	December	14-Feb-17
166	Topmost Securities Limited	December	14-Feb-17
167	Tower Securities & Investment Company Limited	December	14-Feb-17
168	Trade Link Securities Limited	December	14-Feb-17
169	Traders Trust & Investment Co. Limited	December	14-Feb-17

170	Transworld Investment Limited	December	14-Feb-17
171	TrustBanc Capital Management Limited	December	14-Feb-17
172	Trusthouse Investment Limited	December	14-Feb-17
173	Trust Yield Securities Limited	December	14-Feb-17
174	TRW Stockbrokers Limited	December	14-Feb-17
175	Tyndale Securities Limited	December	14-Feb-17
176	Unex Capital Limited	December	14-Feb-17
177	Union Capital Markets Limited	December	14-Feb-17
178	United Capital Securities Limited	December	14-Feb-17
179	Valmon Securities Limited	December	14-Feb-17
180	Valueline Securities & Investment Limited	December	14-Feb-17
181	Vetiva Securities Limited	December	14-Feb-17
182	Woodland Capital Markets Plc	December	14-Feb-17
183	WSTC Financial Services Limited	December	14-Feb-17
184	Zenith Securities Limited	December	14-Feb-17
185	Zion Stockbrokers & Securities Limited	December	14-Feb-17

	INACTIVE AND SUBMITTED		
S/N	FIRMS	Year End	Due date
1	Horizon Stockbrokers Limited	December	14-Feb-17
2	Investment Shark & Asset Mgt Ltd	December	14-Feb-17
3	Marimpex Finance & Investment Company Limited	December	14-Feb-17
4	MBL Financial Services Limited	December	14-Feb-17
5	Mutual Alliance Investment & Securities Limited	December	14-Feb-17
6	Supra Commercial Trust Company Limited	December	14-Feb-17

	NEWLY LICENSED FIRMS NOT REQUIRED TO SUBMIT RETURNS			
S/N	S/N FIRMS Year End Due date			
1	Bauchi Investment Corp. Sec Limited	December	14-Feb-17	
2	Fundvine Capital & Securities Limited	December	14-Feb-17	

ACTIVE AND NOT SUBMITTED			
S/N	FIRM	Year End	Due Date
1	Peace Capital Markets Ltd	December	14-Feb-17
2	Royal Crest Finance Limited	December	14-Feb-17
3	Security Swaps Limited	December	14-Feb-17
4	Smadac Securities Limited	December	14-Feb-17
5	Tomil Trust Limited	December	14-Feb-17

	INACTIVE AND NOT SUBMITTED			
S/N	FIRM	Year End	Due Date	
1	Aims Asset Management Limited	December	14-Feb-17	
2	Adamawa Securities Limited	December	14-Feb-17	
3	Bytofel Trust & Securities Limited	December	14-Feb-17	
4	Cadington Securities Limited	December	14-Feb-17	
5	CEB Securities Limited	December	14-Feb-17	
6	ECL Asset Management Limited	December	14-Feb-17	
7	Finbank Securities & Assets Management Limited	December	14-Feb-17	
8	First Alstate Securities Limited	December	14-Feb-17	
9	Gem Assets Management Limited	December	14-Feb-17	
10	GMT Securities & Asset Mangement Limited	December	14-Feb-17	
11	Gombe Securities Limited	December	14-Feb-17	
12	International Standard Securities Limited	December	14-Feb-17	
13	ITIS Securities Limited	December	14-Feb-17	
14	Kakawa Asset Management Limited	December	14-Feb-17	
15	Lion Stockbrokers Limited	December	14-Feb-17	
16	LMB Stockbrokers Limited	December	14-Feb-17	
17	Mact Securities Limited	December	14-Feb-17	
18	Maven Asset Management Limited	December	14-Feb-17	
19	Mercov Securities Limited	December	14-Feb-17	
20	Midpoint Capital Limited	December	14-Feb-17	
21	ML Securities Limited	December	14-Feb-17	
22	Monument Sec & Finance Limited	December	14-Feb-17	
23	Partnership Securities Limited	December	14-Feb-17	
24	Profund Securities Limited	December	14-Feb-17	
25	Resano Securities Limited	December	14-Feb-17	
-	Resort Securities & Trust Limited	December	14-Feb-17	
27	Stanwal Securities Limited	December	14-Feb-17	
28	Summa Guaranty & Trust Company Limited	December	14-Feb-17	
29	Tower Asset Management Limited	December	14-Feb-17	
30	Transafrica Financial Services Limited	December	14-Feb-17	
31	UIDC Securities Limited	December	14-Feb-17	
	Vision Trust & Investment Limited	December	14-Feb-17	
33	Wema Asset Management Limited	December	14-Feb-17	



### FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 31 MARCH 2017

	ACTIVE AND SUBMITTED			
S/N	FIRMS	Year End	Due date	
1	Adonai Stockbrokers Limited	December	15-May-17	
2	Afrinvest Securities Limited	December	15-May-17	
3	Amyn Investment Limited	December	15-May-17	
4	Anchoria Investment & Securities Limited	December	15-May-17	
5	Apel Asset Limited	December	15-May-17	
6	Apt Securities & Funds Limited	December	15-May-17	
7	ARM Securities Limited	December	15-May-17	
8	Arthur Stevens Asset Management Limited	December	15-May-17	
9	Associated Asset Managers Limited Atlass Portfolio Limited	December December	15-May-17	
10 11	Bauchi Investment Corp. Sec Limited	December	15-May-17 15-May-17	
12	Belfry Investment & Securities Limited	December	15-May-17 15-May-17	
13	Bestworth Assets & Trust Limited	December	15-May-17 15-May-17	
14	Calyx Securities Limited	December	15-May-17	
15	Camry Securities Limited	December	15-May-17	
16	Capital Asset Limited	December	15-May-17	
17	Capital Bancorp Plc	December	15-May-17	
18	Capital Express Securities Limited	December	15-May-17	
19	Capital Trust Brokers Limited	December	15-May-17	
20	Cardinal Stone Securities Ltd	December	15-May-17	
21	Cashcraft Securities Limited	December	15-May-17	
22	Cashville Investment & Securities Limited	December	15-May-17	
23	CDL Capital Markets Limited	December	15-May-17	
24	Centre Point Investment Limited	December	15-May-17	
25	Century Securities Limited	December	15-May-17	
26 27	Chapel Hill Denham Securities Limited Chartwell Securities Limited	December December	15-May-17	
28	Citi Investment Capital Limited	December	15-May-17 15-May-17	
29	City Code Trust & Investment Company Limited	December	15-May-17	
30	CLG Stockbrokers Limited	December	15 May 17 15-May-17	
31	Compass Investment & Securities Limited	December	15-May-17	
32	Cordros Securities Limited	December	15-May-17	
33	Core Trust & Investment Limited	December	15-May-17	
34	Coronation Securities Limited	December	15-May-17	
35	Cowry Securities Limited	December	15-May-17	
36	Crane Securities Limited	December	15-May-17	
37	Crossworld Securities Limited	December	15-May-17	
38	Crown Capital Limited	December	15-May-17	
39	CSL Stockbrokers Limited	December	15-May-17	
40	Deep Trust Investment Limited  Delords Securities Limited	December December	15-May-17	
41	Diamond Securities Limited	December	15-May-17 15-May-17	
43	DSU Brokerage Services Limited	December	15-May-17 15-May-17	
44	Dunbell Securities Limited	December	15 May 17 15-May-17	
45	Dunn Loren Merrifield Securities Limited	December	15 May 17 15-May-17	
46	Dynamic Portfolio Limited	December	15-May-17	
47	EDC Securities Limited	December	15-May-17	
48	Edgefield Capital Management Limited	December	15-May-17	
49	EFCP Limited	December	15-May-17	
50	El-Elyon Alliance and Securities Ltd	December	15-May-17	
51	Elixir Securities Limited	December	15-May-17	
52	Enterprise Stockbrokers Limited	December	15-May-17	
53	Equity Capital Solutions Limited	December	15-May-17	
54	Eurocomm Securities Limited	December	15-May-17	
55	Express Portfolio Services Limited Falcon Securities Limited	December	15-May-17	
56 57	FBC Trust & Securities Limited	December December	15-May-17 15-May-17	
58	FBN Securities Limited	December	15-May-17 15-May-17	
59	FCSL Asset Management Company Limited	December	15 May 17 15-May-17	
60	Fidelity Finance Company Limited	December	15-May-17	
61	Financial & Analytics Capital Limited	December	15-May-17	
62	Financial Trust Company Limited	December	15-May-17	
63	Finmal Finance Services Limited	December	15-May-17	
64	First Integrated Capital Manangement Limited	December	15-May-17	
65	First Stockbrokers Limited	December	15-May-17	
66	FIS Securities Limited	December	15-May-17	

67	Foresight Securities & Investment Limited	December	15-May-17
68	Forte Financial Limited	December	15-May-17
69	Forthright Securities & Investments Limited	December	15-May-17
70	Fortress Capital Limited	December	15-May-17
71	FSDH Securities Limited	December	15-May-17
72	FSL Securities Limited	December	15-May-17
73	Funds Matrix & Assets Mgt Limited	December	15-May-17
74	Futureview Securities Limited	December	15-May-17
75	Gidauniya Investment & Securities Limited	December	15-May-17
76	Global Asset Management (Nig) Limited	December	15-May-17
77	GlobalView Capital Limited	December	15-May-17
78	Golden Securities Limited	December	15-May-17
79	Greenwich Securities Limited	December	15-May-17
80	Growth & Development Asset Management Limited	December	15-May-17
81	Gruene Capital Limited	December	15-May-17
82	GTI Securities Limited	December	15-May-17
83	Harmony Securities Limited	December	15-May-17
84	Heartbeat Investment Limited	December	15-May-17
85	Hedge Securities & Investment Limited	December	15-May-17
86	Helix Securities Limited	December	15-May-17
87	Heritage Capital Markets Limited	December	15-May-17
88	ICMG Securities Limited	December	15-May-17
89	Icon Stockbrokers Limited	December	15-May-17
90	Imperial Asset Managers Limited	December	15-May-17
91	Integrated Trust & Investment Limited	December	15-May-17
92	Interstate Securities Limited	December	15-May-17
93	Investment One Stockbrokers International Limited	December	15-May-17
94	Investors & Trust Company Limited	December	15-May-17
95	Kapital Care Trust & Securities Limited	December	15-May-17
96	Kedari Capital Limited	December	15-May-17
97	Kinley Securities Limited	December	15-May-17
98	Kofana Securities & Investment Limited	December	15-May-17
99	Kundila Finance Services Limited	December	15-May-17
100	Lambeth Trust & Investment Company Limited	December	15-May-17
101	FBNQuest Securities Limited	December	15-May-17
102	Lighthouse Asset Management Limited	December	15-May-17
103	Longterm Global Capital Limited	December	15-May-17
104	Investment Shark & Asset Mgt Ltd	December	15-May-17
105	Supra Commercial Trust Company Limited	December	15-May-17
106	Magnartis Finance & Investment Limited	December	15-May-17
107	Mainstreet Bank Securities Limited	December	15-May-17
108	Marriot Securities & Investment Co. Limited	December	15-May-17
109	Maxifund Investment & Securities Plc	December	15 May 17 15-May-17
110	Mayfield Investment Limited	December	15 May 17 15-May-17
111	MBC Securities Limited	December	15-May-17 15-May-17
112	MBL Financial Services Limited	December	15-May-17 15-May-17
113	Mega Equities Limited	December	15-May-17 15-May-17
114	Meristem Stockbrokers Limited	December	15-May-17
115	Midas Stockbrokers Limited	December	15-May-17
116	Milestone Capital Management Limited	December	15-May-17 15-May-17
117	Mission Securities Limited	December	15-May-17
118	Molten Trust Limited	December	15-May-17
119	Morgan Capital Securities Limited	December	
119	iviorgan capital securities cirrited	December	15-May-17

120	Mountain Investment & Cocurities Limited	Docombor	1F May 17
121	Mountain Investment & Securities Limited  Network Capital Limited	December December	15-May-17 15-May-17
122	Networth Securities & Finance Limited	December	15-May-17
123	Newdevco Investments & Securties Limited	December	15-May-17
124	Nigerian International Securities Ltd	December	15-May-17
125	Nigerian Stockbrokers Limited	December	15-May-17
126	Nova Finance & Securities Limited	December	15-May-17
127	PAC Securities Limited	December	15-May-17
128	Peace Capital Markets Ltd	December	15-May-17
129 130	Pilot Securities Limited Pinefields Investment Services Limited	December December	15-May-17 15-May-17
131	PIPC Securities Limited	December	15-May-17 15-May-17
132	Pivot Capital Limited	December	15-May-17
133	Planet Capital Limited	December	15-May-17
134	Portfolio Advisers Limited	December	15-May-17
135	Primera Africa Securities Limited	December	15-May-17
136	Primewealth Capital Limited	December	15-May-17
137	Prominent Securities Limited	December	15-May-17
138 139	PSI Securities Limited Pyramid Securities Limited	December December	15-May-17
140	Qualinvest Capital Limited	December	15-May-17 15-May-17
141	Quantum Securities Limited	December	15-May-17 15-May-17
142	Rainbow Securities & Investment Company Limited	December	15-May-17
143	Readings Investment Limited	December	15-May-17
144	Regency Asset Management Limited	December	15-May-17
145	Rencap Securities (Nig) Limited	December	15-May-17
146	Reward Investment & Service Limited	December	15-May-17
147	RMB Nigeria Stockbrokers Limited	December	15-May-17
148 149	Rostrum Investment & Sec Limited  Rowet Capital Management Limited	December December	15-May-17
150	Royal Crest Finance Limited	December	15-May-17 15-May-17
151	Royal Guaranty & Trust Limited	December	15-May-17
152	Royal Trust Securities Limited	December	15-May-17
153	Santrust Securities Limited	December	15-May-17
154	Securities Africa Financial Limited	December	15-May-17
155	Securities and Capital Management Company Limited	December	15-May-17
156	Security Swaps Limited	December	15-May-17
157 158	SFC Securities Limited Shelong Investments Limited	December December	15-May-17 15-May-17
159	Sigma Securities Limited	December	15-May-17 15-May-17
160	Signet Investment & Securities Limited	December	15-May-17
160 161	-		
161 162	Signet Investment & Securities Limited Skyview Capital Ltd Smadac Securities Limited	December December December	15-May-17
161 162 163	Signet Investment & Securities Limited Skyview Capital Ltd Smadac Securities Limited Solid Rock Securities & Investment Plc	December December December December	15-May-17 15-May-17 15-May-17 15-May-17
161 162 163 164	Signet Investment & Securities Limited Skyview Capital Ltd Smadac Securities Limited Solid Rock Securities & Investment Plc Spring Trust & Securities Limited	December December December December December December	15-May-17 15-May-17 15-May-17 15-May-17 15-May-17
161 162 163 164 165	Signet Investment & Securities Limited Skyview Capital Ltd Smadac Securities Limited Solid Rock Securities & Investment Plc Spring Trust & Securities Limited Springboard Trust & Investment Limited	December December December December December December December	15-May-17 15-May-17 15-May-17 15-May-17 15-May-17 15-May-17
161 162 163 164 165 166	Signet Investment & Securities Limited Skyview Capital Ltd Smadac Securities Limited Solid Rock Securities & Investment Plc Spring Trust & Securities Limited Springboard Trust & Investment Limited Stanbic IBTC Stockbrokers Limited	December December December December December December December December	15-May-17 15-May-17 15-May-17 15-May-17 15-May-17 15-May-17 15-May-17
161 162 163 164 165 166 167	Signet Investment & Securities Limited Skyview Capital Ltd Smadac Securities Limited Solid Rock Securities & Investment Plc Spring Trust & Securities Limited Springboard Trust & Investment Limited Stanbic IBTC Stockbrokers Limited Standard Alliance Capital Asset Mgt Limited	December December December December December December December	15-May-17 15-May-17 15-May-17 15-May-17 15-May-17 15-May-17 15-May-17
161 162 163 164 165 166	Signet Investment & Securities Limited Skyview Capital Ltd Smadac Securities Limited Solid Rock Securities & Investment Plc Spring Trust & Securities Limited Springboard Trust & Investment Limited Stanbic IBTC Stockbrokers Limited	December December December December December December December December December	15-May-17 15-May-17 15-May-17 15-May-17 15-May-17 15-May-17 15-May-17
161 162 163 164 165 166 167 168	Signet Investment & Securities Limited Skyview Capital Ltd Smadac Securities Limited Solid Rock Securities & Investment Plc Spring Trust & Securities Limited Springboard Trust & Investment Limited Stanbic IBTC Stockbrokers Limited Standard Alliance Capital Asset Mgt Limited Standard Union Securities Limited TFS Securities & Investment Company Limited The Bridge Securities Limited	December	15-May-17 15-May-17 15-May-17 15-May-17 15-May-17 15-May-17 15-May-17 15-May-17
161 162 163 164 165 166 167 168 169 170	Signet Investment & Securities Limited Skyview Capital Ltd Smadac Securities Limited Solid Rock Securities & Investment Plc Spring Trust & Securities Limited Springboard Trust & Investment Limited Stanbic IBTC Stockbrokers Limited Standard Alliance Capital Asset Mgt Limited Standard Union Securities Limited TFS Securities & Investment Company Limited The Bridge Securities Limited Tiddo Securities Limited	December	15-May-17
161 162 163 164 165 166 167 168 169 170 171	Signet Investment & Securities Limited Skyview Capital Ltd Smadac Securities Limited Solid Rock Securities & Investment Plc Spring Trust & Securities Limited Springboard Trust & Investment Limited Stanbic IBTC Stockbrokers Limited Standard Alliance Capital Asset Mgt Limited Standard Union Securities Limited TFS Securities & Investment Company Limited The Bridge Securities Limited Tiddo Securities Limited Tomil Trust Limited	December	15-May-17
161 162 163 164 165 166 167 168 169 170 171 172	Signet Investment & Securities Limited Skyview Capital Ltd Smadac Securities Limited Solid Rock Securities & Investment Plc Spring Trust & Securities Limited Springboard Trust & Investment Limited Stanbic IBTC Stockbrokers Limited Standard Alliance Capital Asset Mgt Limited Standard Union Securities Limited TFS Securities & Investment Company Limited The Bridge Securities Limited Tiddo Securities Limited Tomil Trust Limited Topmost Securities Limited	December	15-May-17
161 162 163 164 165 166 167 168 169 170 171 172 173	Signet Investment & Securities Limited Skyview Capital Ltd Smadac Securities Limited Solid Rock Securities & Investment Plc Spring Trust & Securities Limited Springboard Trust & Investment Limited Stanbic IBTC Stockbrokers Limited Standard Alliance Capital Asset Mgt Limited Standard Union Securities Limited TFS Securities & Investment Company Limited The Bridge Securities Limited Tiddo Securities Limited Tomil Trust Limited Topmost Securities Limited Tomost Securities Limited Tower Securities & Investment Company Limited	December	15-May-17
161 162 163 164 165 166 167 168 169 170 171 172 173 174	Signet Investment & Securities Limited Skyview Capital Ltd Smadac Securities Limited Solid Rock Securities & Investment Plc Spring Trust & Securities Limited Springboard Trust & Investment Limited Stanbic IBTC Stockbrokers Limited Standard Alliance Capital Asset Mgt Limited Standard Union Securities Limited TFS Securities & Investment Company Limited The Bridge Securities Limited Tiddo Securities Limited Tomil Trust Limited Topmost Securities Limited Topmost Securities Limited Tower Securities & Investment Company Limited Trade Link Securities Limited	December	15-May-17
161 162 163 164 165 166 167 168 169 170 171 172 173 174 175	Signet Investment & Securities Limited Skyview Capital Ltd Smadac Securities Limited Solid Rock Securities & Investment Plc Spring Trust & Securities Limited Springboard Trust & Investment Limited Stanbic IBTC Stockbrokers Limited Standard Alliance Capital Asset Mgt Limited Standard Union Securities Limited TFS Securities & Investment Company Limited The Bridge Securities Limited Tiddo Securities Limited Tomil Trust Limited Topmost Securities Limited Tomost Securities Limited Tower Securities & Investment Company Limited	December	15-May-17
161 162 163 164 165 166 167 168 169 170 171 172 173 174	Signet Investment & Securities Limited Skyview Capital Ltd Smadac Securities Limited Solid Rock Securities & Investment Plc Spring Trust & Securities Limited Springboard Trust & Investment Limited Stanbic IBTC Stockbrokers Limited Standard Alliance Capital Asset Mgt Limited Standard Union Securities Limited TFS Securities & Investment Company Limited The Bridge Securities Limited Tiddo Securities Limited Tomil Trust Limited Topmost Securities Limited Topmost Securities Limited Tower Securities Limited Tower Securities & Investment Company Limited Trade Link Securities Limited Trade Link Securities Limited	December	15-May-17
161 162 163 164 165 166 167 168 169 170 171 172 173 174 175 176	Signet Investment & Securities Limited Skyview Capital Ltd Smadac Securities Limited Solid Rock Securities & Investment Plc Spring Trust & Securities Limited Springboard Trust & Investment Limited Stanbic IBTC Stockbrokers Limited Standard Alliance Capital Asset Mgt Limited Standard Union Securities Limited TFS Securities & Investment Company Limited The Bridge Securities Limited Tiddo Securities Limited Tomil Trust Limited Tomost Securities Limited Tower Securities & Investment Company Limited Trade Link Securities Limited Trader Trust & Investment Company Limited Traders Trust & Investment Co. Limited Transworld Investment Limited	December	15-May-17
161 162 163 164 165 166 167 168 169 170 171 172 173 174 175 176 177 178	Signet Investment & Securities Limited  Skyview Capital Ltd  Smadac Securities Limited  Solid Rock Securities & Investment Plc  Spring Trust & Securities Limited  Springboard Trust & Investment Limited  Stanbic IBTC Stockbrokers Limited  Standard Alliance Capital Asset Mgt Limited  Standard Union Securities Limited  TFS Securities & Investment Company Limited  The Bridge Securities Limited  Tiddo Securities Limited  Tomil Trust Limited  Tomer Securities Limited  Tower Securities Limited  Tower Securities Limited  Trade Link Securities Limited  Trader Srust & Investment Company Limited  Transworld Investment Limited  Trust Yield Securities Limited  Trust Securities Limited	December	15-May-17
161 162 163 164 165 166 167 168 169 170 171 172 173 174 175 176 177 178 179 180	Signet Investment & Securities Limited Skyview Capital Ltd Smadac Securities Limited Solid Rock Securities & Investment Plc Spring Trust & Securities Limited Springboard Trust & Investment Limited Stanbic IBTC Stockbrokers Limited Standard Alliance Capital Asset Mgt Limited Standard Alliance Capital Asset Mgt Limited TFS Securities & Investment Company Limited TFS Securities & Investment Company Limited Tiddo Securities Limited Tomil Trust Limited Tomil Trust Limited Tower Securities Limited Trade Link Securities Limited Trade Link Securities Limited Trader Srust & Investment Company Limited Transworld Investment Limited Trust Yield Securities Limited Trust Banc Capital Management Limited Trusthouse Investment Limited Trusthouse Investment Limited Trust Nockbrokers Limited	December	15-May-17
161 162 163 164 165 166 167 168 169 170 171 172 173 174 175 176 177 178 179 180	Signet Investment & Securities Limited Skyview Capital Ltd Smadac Securities Limited Solid Rock Securities & Investment Plc Spring Trust & Securities Limited Springboard Trust & Investment Limited Stanbic IBTC Stockbrokers Limited Standard Alliance Capital Asset Mgt Limited Standard Union Securities Limited TFS Securities & Investment Company Limited The Bridge Securities Limited Tiddo Securities Limited Tomil Trust Limited Topmost Securities Limited Topmost Securities Limited Trade Link Securities Limited Trade Link Securities Limited Trader Srust & Investment Conpany Limited Trader Srust & Investment Co. Limited Transworld Investment Limited Trust Vield Securities Limited Trust Pield Securities Limited Trust Nouse Investment Limited Trusthouse Investment Limited Trusthouse Investment Limited TRW Stockbrokers Limited Tyndale Securities Limited	December	15-May-17
161 162 163 164 165 166 167 168 169 170 171 172 173 174 175 176 177 178 180 181 182	Signet Investment & Securities Limited Skyview Capital Ltd Smadac Securities Limited Solid Rock Securities & Investment Plc Spring Trust & Securities Limited Springboard Trust & Investment Limited Stanbic IBTC Stockbrokers Limited Standard Alliance Capital Asset Mgt Limited Standard Union Securities Limited TFS Securities & Investment Company Limited The Bridge Securities Limited Tiddo Securities Limited Tomil Trust Limited Tomil Trust Limited Tomer Securities Limited Tower Securities Limited Trade Link Securities Limited Trade Link Securities Limited Traders Trust & Investment Company Limited Transworld Investment Limited Trust Yield Securities Limited Trust Securities Limited Unex Capital Limited	December	15-May-17
161 162 163 164 165 166 167 168 169 170 171 172 173 174 175 176 177 178 179 180 181 182	Signet Investment & Securities Limited Skyview Capital Ltd Smadac Securities Limited Solid Rock Securities & Investment Plc Spring Trust & Securities Limited Springboard Trust & Investment Limited Stanbic IBTC Stockbrokers Limited Standard Alliance Capital Asset Mgt Limited Standard Union Securities Limited TFS Securities & Investment Company Limited The Bridge Securities Limited Tiddo Securities Limited Tomil Trust Limited Tomorst Securities Limited Tomorst Securities Limited Tower Securities Limited Trade Link Securities Limited Trade Link Securities Limited Traders Trust & Investment Company Limited Trathouse Investment Limited Trust Yield Securities Limited TrustBanc Capital Management Limited Trusthouse Investment Limited TRW Stockbrokers Limited Tryndale Securities Limited Unex Capital Limited Unex Capital Limited Unex Capital Limited Union Capital Markets Limited	December	15-May-17
161 162 163 164 165 166 167 168 169 170 171 172 173 174 175 176 177 178 179 180 181 182 183	Signet Investment & Securities Limited Skyview Capital Ltd Smadac Securities Limited Solid Rock Securities & Investment Plc Spring Trust & Securities Limited Springboard Trust & Investment Limited Stanbic IBTC Stockbrokers Limited Standard Alliance Capital Asset Mgt Limited Standard Union Securities Limited TFS Securities & Investment Company Limited The Bridge Securities Limited Tiddo Securities Limited Tomil Trust Limited Tomost Securities Limited Tomost Securities Limited Tomer Securities & Investment Company Limited Trade Link Securities Limited Trade Link Securities Limited Trust Vield Securities Limited Trust Vield Securities Limited Trust Vield Securities Limited Trust Noschrokers Limited Trust Nockbrokers Limited Trust Stockbrokers Limited Tryndale Securities Limited Unex Capital Limited Unex Capital Limited Union Capital Markets Limited United Capital Securities Limited	December	15-May-17
161 162 163 164 165 166 167 168 169 170 171 172 173 174 175 176 177 178 179 180 181 182 183	Signet Investment & Securities Limited Skyview Capital Ltd Smadac Securities Limited Solid Rock Securities & Investment Plc Spring Trust & Securities Limited Springboard Trust & Investment Limited Stanbic IBTC Stockbrokers Limited Standard Alliance Capital Asset Mgt Limited Standard Union Securities Limited TFS Securities & Investment Company Limited The Bridge Securities Limited Tiddo Securities Limited Tomil Trust Limited Tomorst Securities Limited Tomorst Securities Limited Tower Securities Limited Trade Link Securities Limited Trade Link Securities Limited Traders Trust & Investment Company Limited Trathouse Investment Limited Trust Yield Securities Limited TrustBanc Capital Management Limited Trusthouse Investment Limited TRW Stockbrokers Limited Tryndale Securities Limited Unex Capital Limited Unex Capital Limited Unex Capital Limited Union Capital Markets Limited	December	15-May-17
161 162 163 164 165 166 167 168 169 170 171 172 173 174 175 176 177 178 180 181 182 183 184	Signet Investment & Securities Limited Skyview Capital Ltd Smadac Securities Limited Solid Rock Securities & Investment Plc Spring Trust & Securities Limited Springboard Trust & Investment Limited Stanbic IBTC Stockbrokers Limited Standard Alliance Capital Asset Mgt Limited Standard Union Securities Limited TFS Securities & Investment Company Limited The Bridge Securities Limited Tiddo Securities Limited Tomil Trust Limited Tommst Securities Limited Tomorst Securities Limited Tomer Securities Limited Trade Link Securities Limited Trade Link Securities Limited Tratory Securities Limited Trust Vield Securities Limited Trust Vield Securities Limited Trust Vield Securities Limited Trust Noustment Limited Trust Stockbrokers Limited TRW Stockbrokers Limited TRW Stockbrokers Limited Unex Capital Limited Unex Capital Limited Unico Capital Markets Limited Unico Capital Markets Limited Unico Capital Securities Limited Unico Capital Securities Limited Unico Capital Securities Limited	December	15-May-17
161 162 163 164 165 166 167 168 169 170 171 172 173 174 175 176 177 178 179 180 181 182 183 184 185	Signet Investment & Securities Limited Skyview Capital Ltd Smadac Securities Limited Solid Rock Securities & Investment Plc Spring Trust & Securities Limited Springboard Trust & Investment Limited Stanbic IBTC Stockbrokers Limited Standard Alliance Capital Asset Mgt Limited Standard Union Securities Limited TFS Securities & Investment Company Limited The Bridge Securities Limited Tiddo Securities Limited Tomil Trust Limited Tomil Trust Limited Tomer Securities & Investment Company Limited Trade Link Securities Limited Trade Link Securities Limited Trade Link Securities Limited Transworld Investment Co. Limited Trust Yield Securities Limited Trust Yield Securities Limited TrustBanc Capital Management Limited TrustBanc Capital Management Limited Trydale Securities Limited Unex Capital Limited Unex Capital Limited United Capital Markets Limited Valmon Securities Limited Valueline Securities Limited Valueline Securities Limited Vetiva Securities Limited Vetiva Securities Limited	December	15-May-17
161 162 163 164 165 166 167 168 169 170 171 172 173 174 175 176 180 181 182 183 184 185 186 187 188	Signet Investment & Securities Limited Skyview Capital Ltd Smadac Securities Limited Solid Rock Securities & Investment Plc Spring Trust & Securities Limited Springboard Trust & Investment Limited Stanbic IBTC Stockbrokers Limited Standard Alliance Capital Asset Mgt Limited Standard Union Securities Limited TFS Securities & Investment Company Limited The Bridge Securities Limited Tiddo Securities Limited Tomil Trust Limited Tomil Trust Limited Tomer Securities Limited Tower Securities Limited Trade Link Securities Limited Trade Link Securities Limited Trander Trust & Investment Company Limited Trander Trust & Investment Co. Limited Trust Yield Securities Limited Trust Yield Securities Limited TrustBanc Capital Management Limited TrustBanc Capital Management Limited Tryndale Securities Limited Union Capital Markets Limited Union Capital Securities Limited Union Capital Securities Limited Valmon Securities Limited Valueline Securities Limited Vollen Securities Limited	December	15-May-17
161 162 163 164 165 166 167 168 169 170 171 172 173 174 175 176 177 188 189 180 181 182	Signet Investment & Securities Limited Skyview Capital Ltd Smadac Securities Limited Solid Rock Securities & Investment Plc Spring Trust & Securities Limited Springboard Trust & Investment Limited Stanbic IBTC Stockbrokers Limited Standard Alliance Capital Asset Mgt Limited Standard Union Securities Limited TFS Securities & Investment Company Limited The Bridge Securities Limited Tiddo Securities Limited Tomil Trust Limited Tomorst Securities Limited Tomorst Securities Limited Tomer Securities & Investment Company Limited Trade Link Securities Limited Trade Link Securities Limited Traders Trust & Investment Co. Limited Trust Yield Securities Limited Trust Yield Securities Limited Trustbanc Capital Management Limited Trusthouse Investment Limited TRW Stockbrokers Limited Tryndale Securities Limited Unex Capital Limited Union Capital Markets Limited Union Capital Markets Limited Valueline Securities Limited Valueline Securities Limited Valueline Securities Limited Valueline Securities Limited Woodland Capital Markets Plc WSTC Financial Services Limited Zenith Securities Limited	December	15-May-17
161 162 163 164 165 166 167 168 169 170 171 172 173 174 175 176 177 180 181 182 183 184 185 186 187 188	Signet Investment & Securities Limited Skyview Capital Ltd Smadac Securities Limited Solid Rock Securities & Investment Plc Spring Trust & Securities Limited Springboard Trust & Investment Limited Stanbic IBTC Stockbrokers Limited Standard Alliance Capital Asset Mgt Limited Standard Union Securities Limited TFS Securities & Investment Company Limited The Bridge Securities Limited Tiddo Securities Limited Tomil Trust Limited Tomil Trust Limited Tomer Securities Limited Tower Securities Limited Trade Link Securities Limited Trade Link Securities Limited Trander Trust & Investment Company Limited Trander Trust & Investment Co. Limited Trust Yield Securities Limited Trust Yield Securities Limited TrustBanc Capital Management Limited TrustBanc Capital Management Limited Tryndale Securities Limited Union Capital Markets Limited Union Capital Securities Limited Union Capital Securities Limited Valmon Securities Limited Valueline Securities Limited Vollen Securities Limited	December	15-May-17

	INACTIVE AND SUBMITTED				
S/N	S/N FIRMS Year End Due date				
1	African Alliance Stockbrokers Limited	December	15-May-17		
2	Clearview Investments Company Limited	December	15-May-17		
3	Gem Assets Management Limited	December	15-May-17		

S/N	FIRMS	Year End	Due date
1	Fundvine Capital & Securities Limited	December	15-May-17

	INACTIVE AND NOT SUBMITTED				
S/N	FIRM	Year End	Due Date		
1	Adamawa Securities Limited	December	15-May-17		
2	Aims Asset Management Limited	December	15-May-17		
3	Bytofel Trust & Securities Limited	December	15-May-17		
4	Cadington Securities Limited	December	15-May-17		
5	CEB Securities Limited	December	15-May-17		
6	ECL Asset Management Limited	December	15-May-17		
7	Finbank Securities & Assets Management Limited	December	15-May-17		
8	GMT Securities & Asset Mangement Limited	December	15-May-17		
9	Gombe Securities Limited	December	15-May-17		
10	Horizon Stockbrokers Limited	December	15-May-17		
11	International Standard Securities Limited	December	15-May-17		
12	ITIS Securities Limited	December	15-May-17		
13	Kakawa Asset Management Limited	December	15-May-17		
14	Lion Stockbrokers Limited	December	15-May-17		
15	LMB Stockbrokers Limited	December	15-May-17		
16	Mact Securities Limited	December	15-May-17		
17	Marimpex Finance & Investment Company Limited	December	15-May-17		
18	Maven Asset Management Limited	December	15-May-17		
19	Mercov Securities Limited	December	15-May-17		
20	Midpoint Capital Limited	December	15-May-17		
21	ML Securities Limited	December	15-May-17		
22	Monument Sec & Finance Limited	December	15-May-17		
23	Mutual Alliance Investment & Securities Limited	December	15-May-17		
24	Partnership Securities Limited	December	15-May-17		
25	Profund Securities Limited	December	15-May-17		
26	Resano Securities Limited	December	15-May-17		
27	Resort Securities & Trust Limited	December	15-May-17		
28	Stanwal Securities Limited	December	15-May-17		
29	Tower Asset Management Limited	December	15-May-17		
30	Transafrica Financial Services Limited	December	15-May-17		
31	UIDC Securities Limited	December	15-May-17		
32	Vision Trust & Investment Limited	December	15-May-17		
33	Wema Asset Management Limited	December	15-May-17		

## FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 30 JUNE 2017

	ACTIVE AND SUBMITTED		
S/N	FIRMS	Year End	Due date
1	Afrinvest Securities Limited	December	14-Aug-17
2	Amyn Investment Limited	December	14-Aug-17
3	Anchoria Investment & Securities Limited	December	14-Aug-17
4	Apel Asset Limited	December	14-Aug-17
5	Apt Securities & Funds Limited	December	14-Aug-17
6	ARM Securities Limited	December	14-Aug-17
7	Arthur Stevens Asset Management Limited	December	14-Aug-17
8	Associated Asset Managers Limited	December	14-Aug-17
9	Atlass Portfolio Limited	December	14-Aug-17
10	Bauchi Investment Corp. Sec Limited	December	14-Aug-17
11	Belfry Investment & Securities Limited	December	14-Aug-17
12	Bestworth Assets & Trust Limited	December	14-Aug-17
13	Calyx Securities Limited	December	14-Aug-17
14	Camry Securities Limited	December	14-Aug-17
15	Capital Asset Limited	December	14-Aug-17
16	Capital Bancorp Plc	December	14-Aug-17
17	Capital Express Securities Limited	December	14-Aug-17
18	Capital Trust Brokers Limited	December	14-Aug-17
19	Cardinal Stone Securities Ltd	December	14-Aug-17
20	Cashcraft Securities Limited	December	14-Aug-17
21	Cashville Investment & Securities Limited	December	14-Aug-17
22	CDL Capital Markets Limited	December	14-Aug-17
23	Centre Point Investment Limited	December	14-Aug-17
24	Century Securities Limited	December	14-Aug-17
25	Chapel Hill Denham Securities Limited	December	14-Aug-17
26	Chartwell Securities Limited	December	14-Aug-17
27	Citi Investment Capital Limited	December	14-Aug-17
28	City Code Trust & Investment Company Limited	December	14-Aug-17
29	CLG Stockbrokers Limited	December	14-Aug-17
30	Compass Investment & Securities Limited	December	14-Aug-17
31	Cordros Securities Limited	December	14-Aug-17
32	Core Trust & Investment Limited	December	14-Aug-17
33	Coronation Securities Limited	December	14-Aug-17
34	Cowry Securities Limited	December	14-Aug-17
35	Crane Securities Limited	December	14-Aug-17
36	Crossworld Securities Limited	December	14-Aug-17
37	Crown Capital Limited	December	14-Aug-17
38	CSL Stockbrokers Limited	December	14-Aug-17
39	Deep Trust Investment Limited	December	14-Aug-17
40	Delords Securities Limited	December	14-Aug-17
41	Diamond Securities Limited	December	14-Aug-17
42	Dominion Trust Limited	December	14-Aug-17
43	Dunbell Securities Limited	December	14-Aug-17

Dollin Former Merimers Statings Limited   December   14-Aug-17	44	Dunn Laran Marrifield Cognition Limited	Docombor	14 Aug 17
### ### ##############################	44	Dunn Loren Merrifield Securities Limited	December	14-Aug-17
### Edgefield Capital Management Limited   December   14-Aug-17   ### EFCP Limited   December   14-Aug-17   ### Equity Capital Solutions Limited   December   14-Aug-17   ### Equity Capital Solutions Limited   December   14-Aug-17   ### Equity Capital Solutions Limited   December   14-Aug-17   ### Eurocomm Securities Limited   December   14-Aug-17   ### FIGURE SECURITIES COMPANY LIMITED   December   14-Aug-17   ### FIGURE SECURITIES SECURITIES COMPANY LIMITED   December   14-Aug-17   ### FIGURE SECURITIES SECURITIES LIMITED   December   14-Aug-17   ### FIGURE SECURITIES SECURI		,		
### BECP Limited   December   14-Aug-17   ### El-Elyon Alliance and Securities Ltd   December   14-Aug-17   ### Eligir Securities Limited   December   14-Aug-17   ### Equity Capital Solutions Limited   December   14-Aug-17   ### Equity Capital Solutions Limited   December   14-Aug-17   ### Equity Capital Solutions Limited   December   14-Aug-17   ### Express Portfolio Services Limited   December   14-Aug-17   ### December   14-Aug-17   ### December   14-Aug-17   ### Express Portfolio Services Limited   De				
49         El-Elyon Alliance and Securities Ltd         December         14-Aug-17           50         Elixir Securities Limited         December         14-Aug-17           51         Equity Capital Solutions Limited         December         14-Aug-17           52         Eurocomm Securities Limited         December         14-Aug-17           53         Express Portfolio Services Limited         December         14-Aug-17           54         Falcon Securities Limited         December         14-Aug-17           55         FBC Trust & Securities Limited         December         14-Aug-17           56         FBN Securities Limited         December         14-Aug-17           57         FCSL Asset Management Company Limited         December         14-Aug-17           58         Filorical & Analytics Capital Limited         December         14-Aug-17           60         Financial Trust Company Limited         December         14-Aug-17           61         Financial Trust Company Limited         December         14-Aug-17           62         Horizon Stockbrokers Limited         December         14-Aug-17           63         Investment Shark & Asset Mgt Ltd         December         14-Aug-17           64         First Securities Limited				
50         Elixir Securities Limited         December         14-Aug-17           51         Equity Capital Solutions Limited         December         14-Aug-17           52         Eurocomm Securities Limited         December         14-Aug-17           53         Express Portfolio Services Limited         December         14-Aug-17           54         Falcon Securities Limited         December         14-Aug-17           55         FBN Securities Limited         December         14-Aug-17           56         FBN Securities Limited         December         14-Aug-17           57         FCSL Asset Management Company Limited         December         14-Aug-17           58         Fidelity Finance Company Limited         December         14-Aug-17           59         Financial Ranalytics Capital Limited         December         14-Aug-17           60         Financial Trust Company Limited         December         14-Aug-17           61         Finmal Finance Services Limited         December         14-Aug-17           61         Financial Trust Company Limited         December         14-Aug-17           62         Horizon Stockbrokers Limited         December         14-Aug-17           61         First Stockbrokers Limited         Decemb				
51         Equity Capital Solutions Limited         December         14-Aug-17           52         Eurocomm Securities Limited         December         14-Aug-17           53         Express Portfolio Services Limited         December         14-Aug-17           54         Falcon Securities Limited         December         14-Aug-17           55         FBC Trust & Securities Limited         December         14-Aug-17           56         FBN Securities Limited         December         14-Aug-17           57         FCSL Asset Management Company Limited         December         14-Aug-17           58         Fidelity Finance Company Limited         December         14-Aug-17           59         Financial Trust Company Limited         December         14-Aug-17           60         Financial Trust Company Limited         December         14-Aug-17           61         Financial Trust Company Limited         December         14-Aug-17           62         Financial Trust Company Limited         December         14-Aug-17           63         Investment Services Limited         December         14-Aug-17           64         Financial Trust Company Limited         December         14-Aug-17           65         First Stockbrokers Limited <t< th=""><th></th><th></th><th>December</th><th></th></t<>			December	
Eurocomm Securities Limited December 14-Aug-17  53 Express Portfolio Services Limited December 14-Aug-17  54 Falcon Securities Limited December 14-Aug-17  55 FBC Trust & Securities Limited December 14-Aug-17  56 FBN Securities Limited December 14-Aug-17  57 FEGL Asset Management Company Limited December 14-Aug-17  58 Fidelity Finance Company Limited December 14-Aug-17  59 Financial & Analytics Capital Limited December 14-Aug-17  60 Financial Trust Company Limited December 14-Aug-17  61 Finmal Finance Services Limited December 14-Aug-17  62 Horizon Stockbrokers Limited December 14-Aug-17  63 Investment Shark & Asset Mgt Ltd December 14-Aug-17  64 First Integrated Capital Manangement Limited December 14-Aug-17  65 First Stockbrokers Limited December 14-Aug-17  66 First Stockbrokers Limited December 14-Aug-17  67 Foresight Securities & Investment Limited December 14-Aug-17  68 Forte Financial Limited December 14-Aug-17  69 Forthright Securities & Investments Limited December 14-Aug-17  70 Fortress Capital Limited December 14-Aug-17  71 FSDH Securities & Investments Limited December 14-Aug-17  72 FSL Securities Limited December 14-Aug-17  73 Funds Matrix & Assets Mgt Limited December 14-Aug-17  74 Futureview Securities Limited December 14-Aug-17  75 Gidauniya Investment & Securities Limited December 14-Aug-17  76 Global Asset Management (Nig) Limited December 14-Aug-17  77 Global Securities Limited December 14-Aug-17  78 Golden Securities Limited December 14-Aug-17  79 Greenwich Securities Limited December 14-Aug-17  79 Greenwich Securities Limited December 14-Aug-17  80 Growth & Development Asset Management Limited December 14-Aug-17  81 Harmony Securities Limited December 14-Aug-17  82 Harmony Securities Limited December 14-Aug-17  83 Heartbeat Investment Limited December 14-Aug-17  84 Hedge Securities Limited December 14-Aug-17  85 Helix Securities Limited December 14-Aug-17  86 Heritage Capital Markets Limited December 14-Aug-17  87 Houg-17  88 Icon Stockbrokers Limited December 14-Aug-17  89 Imperial Asset Man				
Express Portfolio Services Limited December 14-Aug-17 54 Falcon Securities Limited December 14-Aug-17 55 FBC Trust & Securities Limited December 14-Aug-17 56 FBN Securities Limited December 14-Aug-17 57 FCSL Asset Management Company Limited December 14-Aug-17 58 Fidelity Finance Company Limited December 14-Aug-17 59 Financial & Analytics Capital Limited December 14-Aug-17 60 Financial Trust Company Limited December 14-Aug-17 61 Finmal Finance Services Limited December 14-Aug-17 62 Horizon Stockbrokers Limited December 14-Aug-17 63 Investment Shark & Asset Mgt Ltd December 14-Aug-17 64 First Integrated Capital Manangement Limited December 14-Aug-17 65 First Stockbrokers Limited December 14-Aug-17 66 FIS Securities Limited December 14-Aug-17 67 Foresight Securities & Investment Limited December 14-Aug-17 68 Forte Financial Limited December 14-Aug-17 69 Forthright Securities & Investments Limited December 14-Aug-17 70 Fortress Capital Limited December 14-Aug-17 71 FSDH Securities Limited December 14-Aug-17 72 FSL Securities Limited December 14-Aug-17 73 Funds Matrix & Assets Mgt Limited December 14-Aug-17 74 Futureview Securities Limited December 14-Aug-17 75 Gidauniya Investment & Securities Limited December 14-Aug-17 76 Global Asset Management (Nig) Limited December 14-Aug-17 77 Gorden Securities Limited December 14-Aug-17 78 Global Nesser Management Limited December 14-Aug-17 79 Greenwise Securities Limited December 14-Aug-17 80 Gorden Securities Limited December 14-Aug-17 81 Global Nesser Management Limited December 14-Aug-17 82 Global Sest Management Limited December 14-Aug-17 83 Heart Securities Limited December 14-Aug-17 84 Hedge Securities Limited December 14-Aug-17 85 Helix Securities Limited December 14-Aug-17 86 Identifies Limited December 14-Aug-17 87 Global Sest Management Limited December 14-Aug-17 88 Heritage Capital Markets Limited December 14-Aug-17 89 Identifies Limited December 14-Aug-17 80 Global Securities Limited December 14-Aug-17 81 Helix Securities Limited December 14-Aug-17 82 Helix Secur			December	
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FBN Securities Limited December 14-Aug-17 FCSL Asset Management Company Limited December 14-Aug-17 FSB Fidelity Finance Company Limited December 14-Aug-17 Financial & Analytics Capital Limited December 14-Aug-17 Financial Trust Company Limited December 14-Aug-17 First Stockbrokers Limited December 14-Aug-17 First Stockbrokers Limited December 14-Aug-17 First Stockbrokers Limited December 14-Aug-17 Foresight Securities & Investment Limited December 14-Aug-17 Forter Financial Limited December 14-Aug-17 Forter Financial Limited December 14-Aug-17 Fortress Capital Limited December 14-Aug-17 Fortress Capital Limited December 14-Aug-17 FSDH Securities Limited December 14-Aug-17 FSDH Securities Limited December 14-Aug-17 Futureview Securities Limited December 14-Aug-17 Futureview Securities Limited December 14-Aug-17 Giobal Assets Mgt Limited December 14-Aug-17 Giobal Niew Capital Limited December 14-Aug-17 Greenwich Securities Limited December 14-Aug-17 Fortress Capital Limited December 14-Aug-17 Fo	54	Falcon Securities Limited	December	14-Aug-17
FCSL Asset Management Company Limited  December  14-Aug-17  58 Fidelity Finance Company Limited  December  14-Aug-17  Financial A Analytics Capital Limited  December  14-Aug-17	55	FBC Trust & Securities Limited	December	14-Aug-17
Fidelity Finance Company Limited  December  14-Aug-17  Financial & Analytics Capital Limited  December  14-Aug-17  Financial Trust Company Limited  December  14-Aug-17  Financial Trust Company Limited  December  14-Aug-17  Financial Finance Services Limited  December  14-Aug-17  Financial Stockbrokers Limited  December  14-Aug-17  First Integrated Capital Manangement Limited  December  14-Aug-17  First Stockbrokers Limited  December  14-Aug-17  Foresight Securities & Investment Limited  December  14-Aug-17  Forte Financial Limited  December  14-Aug-17  Fortress Capital Limited  December  14-Aug-17  Fortress Capital Limited  December  14-Aug-17  FSDH Securities Limited  December  14-Aug-17  FSL Securities Limited  December  14-Aug-17  Folds Matrix & Assets Mgt Limited  December  14-Aug-17  Goldaniya Investment & Securities Limited  December  14-Aug-17  Global View Capital Limited  December  14-Aug-17  Goldan Securities Limited  December  14-Aug-17  Goldaniya Investment & Securities Limited  December  14-Aug-17  Goldan Securities Limited  December  14-Aug-17  Goldan Securities Limited  December  14-Aug-17  Goldaniya Capital Limited  December  14-Aug-17  Goldaniya Investment & Securities Limited  December  14-Aug-17  Goldaniya Investment & Securities Limited  December  14-Aug-17  Goldaniya Investment Limited  December  14-Aug-17  Goldaniya Investment Limited  December  14-Aug-17  Goldan Securities Limited  December  14-Aug-17  Goldaniya Investment Limited  December  14-Aug-17	56	FBN Securities Limited	December	14-Aug-17
Financial & Analytics Capital Limited  December  14-Aug-17  60 Financial Trust Company Limited  December  14-Aug-17  61 Finmal Finance Services Limited  December  14-Aug-17  62 Horizon Stockbrokers Limited  December  14-Aug-17  63 Investment Shark & Asset Mgt Ltd  December  14-Aug-17  64 First Integrated Capital Manangement Limited  December  14-Aug-17  65 First Stockbrokers Limited  December  14-Aug-17  66 FIS Securities Limited  December  14-Aug-17  67 Foresight Securities & Investment Limited  December  14-Aug-17  68 Forte Financial Limited  December  14-Aug-17  70 Fortress Capital Limited  December  14-Aug-17  71 FSDH Securities Limited  December  14-Aug-17  72 FSL Securities Limited  December  14-Aug-17  73 Funds Matrix & Assets Mgt Limited  December  14-Aug-17  74 Futureview Securities Limited  December  14-Aug-17  75 Gidauniya Investment & Securities Limited  December  14-Aug-17  76 Global Asset Management (Nig) Limited  December  14-Aug-17  78 Golden Securities Limited  December  14-Aug-17  79 Greenwich Securities Limited  December  14-Aug-17  80 Growth & December  14-Aug-17  81 GTI Securities Limited  December  14-Aug-17  82 Harmony Securities Limited  December  14-Aug-17  83 Heartbeat Investment Limited  December  14-Aug-17  84 Hedge Securities Limited  December  14-Aug-17  85 Helix Securities Limited  December  14-Aug-17  86 Heritage Capital Markets Limited  December  14-Aug-17  87 Helix Securities Limited  December  14-Aug-17  88 Icon Stockbrokers Limited  December  14-Aug-17  89 Imperial Asset Managers Limited  December  14-Aug-17  80 December  14-Aug-17  81 GTI Securities Limited  December  14-Aug-17  86 Heritage Capital Markets Limited  December  14-Aug-17  87 Hedge Securities Limited  December  14-Aug-17  88 Icon Stockbrokers Limited  December  14-Aug-17  89 Imperial Asset Managers Limited  December  14-Aug-17	57	FCSL Asset Management Company Limited	December	14-Aug-17
Financial Trust Company Limited  Financial Trust Company Limited  Financial Finance Services Limited  Finance Services Limited  December  14-Aug-17  Foresight Securities Limited  December  14-Aug-17  Foresight Securities & Investments Limited  December  14-Aug-17  Fortress Capital Limited  December  14-Aug-17  Fortress Capital Limited  December  14-Aug-17  Ford Global Asset Management (Nig) Limited  December  14-Aug-17  Ford Global Asset Management (Nig) Limited  December  14-Aug-17  Forenwich Securities Limited  December  14-Aug-17  Forenwich Securitie	58	Fidelity Finance Company Limited	December	14-Aug-17
61Finmal Finance Services LimitedDecember14-Aug-1762Horizon Stockbrokers LimitedDecember14-Aug-1763Investment Shark & Asset Mgt LtdDecember14-Aug-1764First Integrated Capital Manangement LimitedDecember14-Aug-1765First Stockbrokers LimitedDecember14-Aug-1766FIS Securities LimitedDecember14-Aug-1767Foresight Securities & Investment LimitedDecember14-Aug-1768Fort Financial LimitedDecember14-Aug-1769Forthright Securities & Investments LimitedDecember14-Aug-1770Fortress Capital LimitedDecember14-Aug-1771FSDH Securities LimitedDecember14-Aug-1772FSL Securities LimitedDecember14-Aug-1773Funds Matrix & Assets Mgt LimitedDecember14-Aug-1774Futureview Securities LimitedDecember14-Aug-1775Gidauniya Investment & Securities LimitedDecember14-Aug-1776Global Asset Management (Nig) LimitedDecember14-Aug-1778Golden Securities LimitedDecember14-Aug-1780Growth & Development Asset Management LimitedDecember14-Aug-1781GTI Securities LimitedDecember14-Aug-1782Harmony Securities LimitedDecember14-Aug-1783Heartbeat Investment LimitedDecember14-Aug-1784Hedge Securities Limited <t< th=""><th>59</th><th>Financial &amp; Analytics Capital Limited</th><th>December</th><th>14-Aug-17</th></t<>	59	Financial & Analytics Capital Limited	December	14-Aug-17
Horizon Stockbrokers Limited   December   14-Aug-17	60	Financial Trust Company Limited	December	14-Aug-17
Investment Shark & Asset Mgt Ltd   December   14-Aug-17	61	Finmal Finance Services Limited	December	14-Aug-17
First Integrated Capital Manangement Limited  December  14-Aug-17  65 First Stockbrokers Limited  December  14-Aug-17  66 FIS Securities Limited  December  14-Aug-17  67 Foresight Securities & Investment Limited  December  14-Aug-17  68 Forte Financial Limited  December  14-Aug-17  69 Forthright Securities & Investments Limited  December  14-Aug-17  70 Fortress Capital Limited  December  14-Aug-17  71 FSDH Securities Limited  December  14-Aug-17  72 FSL Securities Limited  December  14-Aug-17  73 Funds Matrix & Assets Mgt Limited  December  14-Aug-17  74 Futureview Securities Limited  December  14-Aug-17  75 Gidauniya Investment & Securities Limited  December  14-Aug-17  76 Global Asset Management (Nig) Limited  December  14-Aug-17  77 GlobalView Capital Limited  December  14-Aug-17  78 Golden Securities Limited  December  14-Aug-17  79 Greenwich Securities Limited  December  14-Aug-17  80 Growth & Development Asset Management Limited  December  14-Aug-17  81 GTI Securities Limited  December  14-Aug-17  82 Harmony Securities Limited  December  14-Aug-17  83 Heartbeat Investment Limited  December  14-Aug-17  84 Hedge Securities & Investment Limited  December  14-Aug-17  85 Helix Securities & Investment Limited  December  14-Aug-17  86 Heritage Capital Markets Limited  December  14-Aug-17  87 ICMG Securities Limited  December  14-Aug-17  88 Icon Stockbrokers Limited  December  14-Aug-17  89 Imperial Asset Managers Limited  December  14-Aug-17	62	Horizon Stockbrokers Limited	December	14-Aug-17
65First Stockbrokers LimitedDecember14-Aug-1766FIS Securities LimitedDecember14-Aug-1767Foresight Securities & Investment LimitedDecember14-Aug-1768Forte Financial LimitedDecember14-Aug-1769Forthright Securities & Investments LimitedDecember14-Aug-1770Fortress Capital LimitedDecember14-Aug-1771FSDH Securities LimitedDecember14-Aug-1772FSL Securities LimitedDecember14-Aug-1773Funds Matrix & Assets Mgt LimitedDecember14-Aug-1774Futureview Securities LimitedDecember14-Aug-1775Gidauniya Investment & Securities LimitedDecember14-Aug-1776Global Asset Management (Nig) LimitedDecember14-Aug-1777GlobalView Capital LimitedDecember14-Aug-1779Greenwich Securities LimitedDecember14-Aug-1780Growth & Development Asset Management LimitedDecember14-Aug-1781GTI Securities LimitedDecember14-Aug-1782Harmony Securities LimitedDecember14-Aug-1784Hedge Securities & Investment LimitedDecember14-Aug-1785Helix Securities & Investment LimitedDecember14-Aug-1786Heritage Capital Markets LimitedDecember14-Aug-1787IcMG Securities LimitedDecember14-Aug-1788Icon Stockbrokers LimitedD	63	Investment Shark & Asset Mgt Ltd	December	14-Aug-17
66FIS Securities LimitedDecember14-Aug-1767Foresight Securities & Investment LimitedDecember14-Aug-1768Forte Financial LimitedDecember14-Aug-1769Forthright Securities & Investments LimitedDecember14-Aug-1770Fortress Capital LimitedDecember14-Aug-1771FSDH Securities LimitedDecember14-Aug-1772FSL Securities LimitedDecember14-Aug-1773Funds Matrix & Assets Mgt LimitedDecember14-Aug-1774Futureview Securities LimitedDecember14-Aug-1775Gidauniya Investment & Securities LimitedDecember14-Aug-1776Global Asset Management (Nig) LimitedDecember14-Aug-1777GlobalView Capital LimitedDecember14-Aug-1778Golden Securities LimitedDecember14-Aug-1780Growth & Development Asset Management LimitedDecember14-Aug-1781GTI Securities LimitedDecember14-Aug-1782Harmony Securities LimitedDecember14-Aug-1783Heartbeat Investment LimitedDecember14-Aug-1784Hedge Securities & Investment LimitedDecember14-Aug-1785Helix Securities LimitedDecember14-Aug-1786Heritage Capital Markets LimitedDecember14-Aug-1787ICMG Securities LimitedDecember14-Aug-1788Icon Stockbrokers LimitedDecember <t< th=""><th>64</th><th>First Integrated Capital Manangement Limited</th><th>December</th><th>14-Aug-17</th></t<>	64	First Integrated Capital Manangement Limited	December	14-Aug-17
67Foresight Securities & Investment LimitedDecember14-Aug-1768Forte Financial LimitedDecember14-Aug-1769Forthright Securities & Investments LimitedDecember14-Aug-1770Fortress Capital LimitedDecember14-Aug-1771FSDH Securities LimitedDecember14-Aug-1772FSL Securities LimitedDecember14-Aug-1773Funds Matrix & Assets Mgt LimitedDecember14-Aug-1774Futureview Securities LimitedDecember14-Aug-1775Gidauniya Investment & Securities LimitedDecember14-Aug-1776Global Asset Management (Nig) LimitedDecember14-Aug-1777GlobalView Capital LimitedDecember14-Aug-1778Golden Securities LimitedDecember14-Aug-1779Greenwich Securities LimitedDecember14-Aug-1780Growth & Development Asset Management LimitedDecember14-Aug-1781GTI Securities LimitedDecember14-Aug-1782Harmony Securities LimitedDecember14-Aug-1783Heartbeat Investment LimitedDecember14-Aug-1784Hedge Securities & Investment LimitedDecember14-Aug-1785Helix Securities LimitedDecember14-Aug-1786Heritage Capital Markets LimitedDecember14-Aug-1787IcMG Securities LimitedDecember14-Aug-1788Icon Stockbrokers LimitedDecember<	65	First Stockbrokers Limited	December	14-Aug-17
Forte Financial Limited  Forthright Securities & Investments Limited  Forthright Securities & Investments Limited  Fortress Capital Limited  December  14-Aug-17  FSDH Securities Limited  December  14-Aug-17  Futureview Securities Limited  December  14-Aug-17  Futureview Securities Limited  December  14-Aug-17  Gidauniya Investment & Securities Limited  December  14-Aug-17  Global Asset Management (Nig) Limited  December  14-Aug-17  Golden Securities Limited  December  14-Aug-17  Greenwich Securities Limited  December  14-Aug-17  Grid Securities Limited  December  14-Aug-17  B1 GTI Securities Limited  December  14-Aug-17  B2 Harmony Securities Limited  December  14-Aug-17  B3 Heartbeat Investment Limited  December  14-Aug-17  B4 Hedge Securities & Investment Limited  December  14-Aug-17  B5 Helix Securities Limited  December  14-Aug-17  B6 Heritage Capital Markets Limited  December  14-Aug-17  B7 ICMG Securities Limited  December  14-Aug-17  B8 Icon Stockbrokers Limited  December  14-Aug-17  B9 Imperial Asset Managers Limited  December  14-Aug-17	66	FIS Securities Limited	December	14-Aug-17
69Forthright Securities & Investments LimitedDecember14-Aug-1770Fortress Capital LimitedDecember14-Aug-1771FSDH Securities LimitedDecember14-Aug-1772FSL Securities LimitedDecember14-Aug-1773Funds Matrix & Assets Mgt LimitedDecember14-Aug-1774Futureview Securities LimitedDecember14-Aug-1775Gidauniya Investment & Securities LimitedDecember14-Aug-1776Global Asset Management (Nig) LimitedDecember14-Aug-1777GlobalView Capital LimitedDecember14-Aug-1778Golden Securities LimitedDecember14-Aug-1779Greenwich Securities LimitedDecember14-Aug-1780Growth & Development Asset Management LimitedDecember14-Aug-1781GTI Securities LimitedDecember14-Aug-1782Harmony Securities LimitedDecember14-Aug-1783Heartbeat Investment LimitedDecember14-Aug-1784Hedge Securities & Investment LimitedDecember14-Aug-1785Helix Securities LimitedDecember14-Aug-1786Heritage Capital Markets LimitedDecember14-Aug-1787ICMG Securities LimitedDecember14-Aug-1788Icon Stockbrokers LimitedDecember14-Aug-1789Imperial Asset Managers LimitedDecember14-Aug-17	67	Foresight Securities & Investment Limited	December	14-Aug-17
70Fortress Capital LimitedDecember14-Aug-1771FSDH Securities LimitedDecember14-Aug-1772FSL Securities LimitedDecember14-Aug-1773Funds Matrix & Assets Mgt LimitedDecember14-Aug-1774Futureview Securities LimitedDecember14-Aug-1775Gidauniya Investment & Securities LimitedDecember14-Aug-1776Global Asset Management (Nig) LimitedDecember14-Aug-1777GlobalView Capital LimitedDecember14-Aug-1778Golden Securities LimitedDecember14-Aug-1779Greenwich Securities LimitedDecember14-Aug-1780Growth & Development Asset Management LimitedDecember14-Aug-1781GTI Securities LimitedDecember14-Aug-1782Harmony Securities LimitedDecember14-Aug-1783Heartbeat Investment LimitedDecember14-Aug-1784Hedge Securities & Investment LimitedDecember14-Aug-1785Helix Securities & Investment LimitedDecember14-Aug-1786Heritage Capital Markets LimitedDecember14-Aug-1787ICMG Securities LimitedDecember14-Aug-1788Icon Stockbrokers LimitedDecember14-Aug-1789Imperial Asset Managers LimitedDecember14-Aug-17	68	Forte Financial Limited	December	14-Aug-17
71FSDH Securities LimitedDecember14-Aug-1772FSL Securities LimitedDecember14-Aug-1773Funds Matrix & Assets Mgt LimitedDecember14-Aug-1774Futureview Securities LimitedDecember14-Aug-1775Gidauniya Investment & Securities LimitedDecember14-Aug-1776Global Asset Management (Nig) LimitedDecember14-Aug-1777GlobalView Capital LimitedDecember14-Aug-1778Golden Securities LimitedDecember14-Aug-1779Greenwich Securities LimitedDecember14-Aug-1780Growth & Development Asset Management LimitedDecember14-Aug-1781GTI Securities LimitedDecember14-Aug-1782Harmony Securities LimitedDecember14-Aug-1783Heartbeat Investment LimitedDecember14-Aug-1784Hedge Securities & Investment LimitedDecember14-Aug-1785Helix Securities LimitedDecember14-Aug-1786Heritage Capital Markets LimitedDecember14-Aug-1787ICMG Securities LimitedDecember14-Aug-1788Icon Stockbrokers LimitedDecember14-Aug-1789Imperial Asset Managers LimitedDecember14-Aug-17	69	Forthright Securities & Investments Limited	December	14-Aug-17
FSL Securities Limited December 14-Aug-17 T3 Funds Matrix & Assets Mgt Limited December 14-Aug-17 T4 Futureview Securities Limited December 14-Aug-17 T5 Gidauniya Investment & Securities Limited December 14-Aug-17 T6 Global Asset Management (Nig) Limited December 14-Aug-17 T7 GlobalView Capital Limited December 14-Aug-17 T8 Golden Securities Limited December 14-Aug-17 T9 Greenwich Securities Limited December 14-Aug-17 80 Growth & Development Asset Management Limited December 14-Aug-17 81 GTI Securities Limited December 14-Aug-17 82 Harmony Securities Limited December 14-Aug-17 83 Heartbeat Investment Limited December 14-Aug-17 84 Hedge Securities & Investment Limited December 14-Aug-17 85 Helix Securities Limited December 14-Aug-17 86 Heritage Capital Markets Limited December 14-Aug-17 87 ICMG Securities Limited December 14-Aug-17 88 Icon Stockbrokers Limited December 14-Aug-17 89 Imperial Asset Managers Limited December 14-Aug-17	70	Fortress Capital Limited	December	14-Aug-17
Funds Matrix & Assets Mgt Limited  December  14-Aug-17  74 Futureview Securities Limited  December  14-Aug-17  75 Gidauniya Investment & Securities Limited  December  14-Aug-17  76 Global Asset Management (Nig) Limited  December  14-Aug-17  77 GlobalView Capital Limited  December  14-Aug-17  78 Golden Securities Limited  December  14-Aug-17  79 Greenwich Securities Limited  December  14-Aug-17  80 Growth & Development Asset Management Limited  December  14-Aug-17  81 GTI Securities Limited  December  14-Aug-17  82 Harmony Securities Limited  December  14-Aug-17  83 Heartbeat Investment Limited  December  14-Aug-17  84 Hedge Securities & Investment Limited  December  14-Aug-17  85 Helix Securities Limited  December  14-Aug-17  86 Heritage Capital Markets Limited  December  14-Aug-17  87 ICMG Securities Limited  December  14-Aug-17  88 Icon Stockbrokers Limited  December  14-Aug-17  89 Imperial Asset Managers Limited  December  14-Aug-17	71	FSDH Securities Limited	December	14-Aug-17
74Futureview Securities LimitedDecember14-Aug-1775Gidauniya Investment & Securities LimitedDecember14-Aug-1776Global Asset Management (Nig) LimitedDecember14-Aug-1777GlobalView Capital LimitedDecember14-Aug-1778Golden Securities LimitedDecember14-Aug-1779Greenwich Securities LimitedDecember14-Aug-1780Growth & Development Asset Management LimitedDecember14-Aug-1781GTI Securities LimitedDecember14-Aug-1782Harmony Securities LimitedDecember14-Aug-1783Heartbeat Investment LimitedDecember14-Aug-1784Hedge Securities & Investment LimitedDecember14-Aug-1785Helix Securities LimitedDecember14-Aug-1786Heritage Capital Markets LimitedDecember14-Aug-1787ICMG Securities LimitedDecember14-Aug-1788Icon Stockbrokers LimitedDecember14-Aug-1789Imperial Asset Managers LimitedDecember14-Aug-17	72	FSL Securities Limited	December	14-Aug-17
75Gidauniya Investment & Securities LimitedDecember14-Aug-1776Global Asset Management (Nig) LimitedDecember14-Aug-1777GlobalView Capital LimitedDecember14-Aug-1778Golden Securities LimitedDecember14-Aug-1779Greenwich Securities LimitedDecember14-Aug-1780Growth & Development Asset Management LimitedDecember14-Aug-1781GTI Securities LimitedDecember14-Aug-1782Harmony Securities LimitedDecember14-Aug-1783Heartbeat Investment LimitedDecember14-Aug-1784Hedge Securities & Investment LimitedDecember14-Aug-1785Helix Securities LimitedDecember14-Aug-1786Heritage Capital Markets LimitedDecember14-Aug-1787ICMG Securities LimitedDecember14-Aug-1788Icon Stockbrokers LimitedDecember14-Aug-1789Imperial Asset Managers LimitedDecember14-Aug-17	73		December	14-Aug-17
76 Global Asset Management (Nig) Limited December 14-Aug-17 77 GlobalView Capital Limited December 14-Aug-17 78 Golden Securities Limited December 14-Aug-17 79 Greenwich Securities Limited December 14-Aug-17 80 Growth & Development Asset Management Limited December 14-Aug-17 81 GTI Securities Limited December 14-Aug-17 82 Harmony Securities Limited December 14-Aug-17 83 Heartbeat Investment Limited December 14-Aug-17 84 Hedge Securities & Investment Limited December 14-Aug-17 85 Helix Securities Limited December 14-Aug-17 86 Heritage Capital Markets Limited December 14-Aug-17 87 ICMG Securities Limited December 14-Aug-17 88 Icon Stockbrokers Limited December 14-Aug-17 89 Imperial Asset Managers Limited December 14-Aug-17	74	Futureview Securities Limited	December	14-Aug-17
77 GlobalView Capital Limited December 14-Aug-17 78 Golden Securities Limited December 14-Aug-17 79 Greenwich Securities Limited December 14-Aug-17 80 Growth & Development Asset Management Limited December 14-Aug-17 81 GTI Securities Limited December 14-Aug-17 82 Harmony Securities Limited December 14-Aug-17 83 Heartbeat Investment Limited December 14-Aug-17 84 Hedge Securities & Investment Limited December 14-Aug-17 85 Helix Securities Limited December 14-Aug-17 86 Heritage Capital Markets Limited December 14-Aug-17 87 ICMG Securities Limited December 14-Aug-17 88 Icon Stockbrokers Limited December 14-Aug-17 89 Imperial Asset Managers Limited December 14-Aug-17	75	Gidauniya Investment & Securities Limited	December	14-Aug-17
78 Golden Securities Limited 79 Greenwich Securities Limited 80 Growth & Development Asset Management Limited 81 GTI Securities Limited 82 Harmony Securities Limited 83 Heartbeat Investment Limited 84 Hedge Securities & Investment Limited 85 Helix Securities Limited 86 Heritage Capital Markets Limited 87 ICMG Securities Limited 88 Icon Stockbrokers Limited 89 Imperial Asset Managers Limited December 14-Aug-17 14-Aug-17 14-Aug-17 15-Aug-17 16-Aug-17 16-Aug-17 17-Aug-17 18-Aug-17 18-Aug-17 18-Aug-17 18-Aug-17 18-Aug-17 18-Aug-17 18-Aug-17 18-Aug-17 18-Aug-17	76	Global Asset Management (Nig) Limited	December	14-Aug-17
79 Greenwich Securities Limited December 14-Aug-17 80 Growth & Development Asset Management Limited December 14-Aug-17 81 GTI Securities Limited December 14-Aug-17 82 Harmony Securities Limited December 14-Aug-17 83 Heartbeat Investment Limited December 14-Aug-17 84 Hedge Securities & Investment Limited December 14-Aug-17 85 Helix Securities Limited December 14-Aug-17 86 Heritage Capital Markets Limited December 14-Aug-17 87 ICMG Securities Limited December 14-Aug-17 88 Icon Stockbrokers Limited December 14-Aug-17 89 Imperial Asset Managers Limited December 14-Aug-17	77	GlobalView Capital Limited	December	14-Aug-17
80Growth & Development Asset Management LimitedDecember14-Aug-1781GTI Securities LimitedDecember14-Aug-1782Harmony Securities LimitedDecember14-Aug-1783Heartbeat Investment LimitedDecember14-Aug-1784Hedge Securities & Investment LimitedDecember14-Aug-1785Helix Securities LimitedDecember14-Aug-1786Heritage Capital Markets LimitedDecember14-Aug-1787ICMG Securities LimitedDecember14-Aug-1788Icon Stockbrokers LimitedDecember14-Aug-1789Imperial Asset Managers LimitedDecember14-Aug-17	78	Golden Securities Limited	December	14-Aug-17
81GTI Securities LimitedDecember14-Aug-1782Harmony Securities LimitedDecember14-Aug-1783Heartbeat Investment LimitedDecember14-Aug-1784Hedge Securities & Investment LimitedDecember14-Aug-1785Helix Securities LimitedDecember14-Aug-1786Heritage Capital Markets LimitedDecember14-Aug-1787ICMG Securities LimitedDecember14-Aug-1788Icon Stockbrokers LimitedDecember14-Aug-1789Imperial Asset Managers LimitedDecember14-Aug-17	79	Greenwich Securities Limited	December	14-Aug-17
82Harmony Securities LimitedDecember14-Aug-1783Heartbeat Investment LimitedDecember14-Aug-1784Hedge Securities & Investment LimitedDecember14-Aug-1785Helix Securities LimitedDecember14-Aug-1786Heritage Capital Markets LimitedDecember14-Aug-1787ICMG Securities LimitedDecember14-Aug-1788Icon Stockbrokers LimitedDecember14-Aug-1789Imperial Asset Managers LimitedDecember14-Aug-17	80	Growth & Development Asset Management Limited	December	14-Aug-17
83Heartbeat Investment LimitedDecember14-Aug-1784Hedge Securities & Investment LimitedDecember14-Aug-1785Helix Securities LimitedDecember14-Aug-1786Heritage Capital Markets LimitedDecember14-Aug-1787ICMG Securities LimitedDecember14-Aug-1788Icon Stockbrokers LimitedDecember14-Aug-1789Imperial Asset Managers LimitedDecember14-Aug-17	81	GTI Securities Limited	December	14-Aug-17
84Hedge Securities & Investment LimitedDecember14-Aug-1785Helix Securities LimitedDecember14-Aug-1786Heritage Capital Markets LimitedDecember14-Aug-1787ICMG Securities LimitedDecember14-Aug-1788Icon Stockbrokers LimitedDecember14-Aug-1789Imperial Asset Managers LimitedDecember14-Aug-17	82	Harmony Securities Limited	December	14-Aug-17
85Helix Securities LimitedDecember14-Aug-1786Heritage Capital Markets LimitedDecember14-Aug-1787ICMG Securities LimitedDecember14-Aug-1788Icon Stockbrokers LimitedDecember14-Aug-1789Imperial Asset Managers LimitedDecember14-Aug-17	83	Heartbeat Investment Limited	December	14-Aug-17
86Heritage Capital Markets LimitedDecember14-Aug-1787ICMG Securities LimitedDecember14-Aug-1788Icon Stockbrokers LimitedDecember14-Aug-1789Imperial Asset Managers LimitedDecember14-Aug-17	84	Hedge Securities & Investment Limited	December	14-Aug-17
87ICMG Securities LimitedDecember14-Aug-1788Icon Stockbrokers LimitedDecember14-Aug-1789Imperial Asset Managers LimitedDecember14-Aug-17	85	Helix Securities Limited	December	14-Aug-17
88Icon Stockbrokers LimitedDecember14-Aug-1789Imperial Asset Managers LimitedDecember14-Aug-17	86	Heritage Capital Markets Limited	December	14-Aug-17
89 Imperial Asset Managers Limited December 14-Aug-17	87	ICMG Securities Limited	December	14-Aug-17
	88	Icon Stockbrokers Limited	December	14-Aug-17
90 Integrated Trust & Investment Limited December 14-Aug-17	89	Imperial Asset Managers Limited	December	14-Aug-17
	90	Integrated Trust & Investment Limited	December	14-Aug-17

91	Interstate Securities Limited	December	14-Aug-17
92	Investment One Stockbrokers International Limited	December	14-Aug-17
93	Investors & Trust Company Limited	December	14-Aug-17
94	Kapital Care Trust & Securities Limited	December	14-Aug-17
95	Kinley Securities Limited	December	14-Aug-17
96	Kundila Finance Services Limited	December	14-Aug-17
97	Lambeth Trust & Investment Company Limited	December	14-Aug-17
98	Lead Securities & Investment Limited	December	14-Aug-17
99	Lighthouse Asset Management Limited	December	14-Aug-17
100	Longterm Global Capital Limited	December	14-Aug-17
101	Magnartis Finance & Investment Limited	December	14-Aug-17
102	Mainstreet Bank Securities Limited	December	14-Aug-17
103	Marriot Securities & Investment Co. Limited	December	14-Aug-17
104	FBNQuest Securities Limited	December	14-Aug-17
105	MBC Securities Limited	December	14-Aug-17
106	MBL Financial Services Limited	December	14-Aug-17
107	Mega Equities Limited	December	14-Aug-17
108	Meristem Stockbrokers Limited	December	14-Aug-17
109	Midas Stockbrokers Limited	December	14-Aug-17
110	Milestone Capital Management Limited	December	14-Aug-17
111	Mission Securities Limited	December	14-Aug-17
112	Molten Trust Limited	December	14-Aug-17
113	Morgan Capital Securities Limited	December	14-Aug-17
114	Mountain Investment & Securities Limited	December	14-Aug-17
115	Network Capital Limited	December	14-Aug-17
116	Networth Securities & Finance Limited	December	14-Aug-17
117	Newdevco Investments & Securties Limited	December	14-Aug-17
118	Nigerian International Securities Ltd	December	14-Aug-17
119	Fundvine Capital & Securities Limited	December	14-Aug-17
120	Nigerian Stockbrokers Limited	December	14-Aug-17
121	Nova Finance & Securities Limited	December	14-Aug-17
122	PAC Securities Limited	December	14-Aug-17
123	Peace Capital Markets Ltd	December	14-Aug-17
124	Pilot Securities Limited	December	14-Aug-17
125	Pinefields Investment Services Limited	December	14-Aug-17
126	PIPC Securities Limited	December	14-Aug-17
127	Pivot Capital Limited Planet Capital Limited	December	14-Aug-17
128 129	Portfolio Advisers Limited	December December	14-Aug-17
130	Primera Africa Securities Limited	December	14-Aug-17 14-Aug-17
131	Primewealth Capital Limited	December	14-Aug-17 14-Aug-17
132	Prominent Securities Limited	December	14-Aug-17 14-Aug-17
133	PSI Securities Limited	December	14-Aug-17
134	Pyramid Securities Limited	December	14-Aug-17
135	Qualinvest Capital Limited	December	14-Aug-17
136	Quantum Securities Limited	December	14-Aug-17
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137	Rainbow Securities & Investment Company Limited	December	14-Aug-17
138	Readings Investment Limited	December	14-Aug-17
139	Regency Asset Management Limited	December	14-Aug-17
140	Rencap Securities (Nig) Limited	December	14-Aug-17
141	Resort Securities & Trust Limited	December	14-Aug-17
142	Reward Investment & Service Limited	December	14-Aug-17
143	RMB Nigeria Stockbrokers Limited	December	14-Aug-17
144	Rostrum Investment & Sec Limited	December	14-Aug-17
145	Rowet Capital Management Limited	December	14-Aug-17
146	Royal Crest Finance Limited	December	14-Aug-17
147	Royal Guaranty & Trust Limited	December	14-Aug-17
148	Royal Trust Securities Limited	December	14-Aug-17
149	Santrust Securities Limited	December	14-Aug-17
150	Securities Africa Financial Limited	December	14-Aug-17
151	Securities and Capital Management Company Limited	December	14-Aug-17
152	Security Swaps Limited	December	14-Aug-17
153	SFC Securities Limited	December	14-Aug-17
154	Shalom Investment and Financial Services Limited	December	14-Aug-17
155	Shelong Investments Limited	December	14-Aug-17
156	Sigma Securities Limited	December	14-Aug-17
157	Signet Investment & Securities Limited	December	14-Aug-17
158	Skyview Capital Ltd	December	14-Aug-17
159	Smadac Securities Limited	December	14-Aug-17
160	Solid Rock Securities & Investment Plc	December	14-Aug-17
161	Spring Trust & Securities Limited	December	14-Aug-17
162	Springboard Trust & Investment Limited	December	14-Aug-17
163	Stanbic IBTC Stockbrokers Limited	December	14-Aug-17
164	Standard Alliance Capital Asset Mgt Limited	December	14-Aug-17
165	Standard Union Securities Limited	December	14-Aug-17
166	TFS Securities & Investment Company Limited	December	14-Aug-17
167	The Bridge Securities Limited	December	14-Aug-17
168	Tiddo Securities Limited	December	14-Aug-17
169	Tomil Trust Limited	December	14-Aug-17
170	Topmost Securities Limited	December	14-Aug-17
171	Tower Securities & Investment Company Limited	December	14-Aug-17
172	Trade Link Securities Limited	December	14-Aug-17
173	Traders Trust & Investment Co. Limited	December	14-Aug-17
174	Transworld Investment Limited	December	14-Aug-17

175	Trust Yield Securities Limited	December	14-Aug-17
176	Trust Yield Securities Limited	December	14-Aug-17
177	TrustBanc Capital Management Limited	December	14-Aug-17
178	Trusthouse Investment Limited	December	14-Aug-17
179	TRW Stockbrokers Limited	December	14-Aug-17
180	Tyndale Securities Limited	December	14-Aug-17
181	Unex Capital Limited	December	14-Aug-17
182	Union Capital Markets Limited	December	14-Aug-17
183	United Capital Securities Limited	December	14-Aug-17
184	Valmon Securities Limited	December	14-Aug-17
185	Valueline Securities & Investment Limited	December	14-Aug-17
186	Vetiva Securities Limited	December	14-Aug-17
187	Woodland Capital Markets Plc	December	14-Aug-17
188	WSTC Financial Services Limited	December	14-Aug-17
189	Zenith Securities Limited	December	14-Aug-17
190	Zion Stockbrokers & Securities Limited	December	14-Aug-17

	INACTIVE AND SUBMITTED			
S/N	FIRM	Year End	Due Date	
1	Clearview Investments Company Limited	December	14-Aug-17	
2	Gem Assets Management Limited	December	14-Aug-17	
3	Kofana Securities & Investment Limited	December	14-Aug-17	
4	Marimpex Finance & Investment Company Limited	December	14-Aug-17	
5	Supra Commercial Trust Company Limited	December	14-Aug-17	

S/N	FIRMS	Year End	Due date
1	Fundvine Capital & Securities Limited	December	15-May-17

	ACTIVE AND NOT SUBMITTED			
S/N	FIRM	Year End	Due Date	
1	Adonai Stockbrokers Limited	December	14-Aug-17	
2	Enterprise Stockbrokers Limited	December	14-Aug-17	
3	Gruene Capital Limited	December	14-Aug-17	
4	Kedari Capital Limited	December	14-Aug-17	

	INACTIVE AND NOT SUBMITTED			
S/N	FIRM	Year End	Due Date	
1	Adamawa Securities Limited	December	14-Aug-17	
2	Aims Asset Management Limited	December	14-Aug-17	
3	Cadington Securities Limited	December	14-Aug-17	
4	CEB Securities Limited	December	14-Aug-17	
5	ECL Asset Management Limited	December	14-Aug-17	
6	Finbank Securities & Assets Management Limited	December	14-Aug-17	
7	GMT Securities & Asset Mangement Limited	December	14-Aug-17	
8	Gombe Securities Limited	December	14-Aug-17	
9	International Standard Securities Limited	December	14-Aug-17	
10	ITIS Securities Limited	December	14-Aug-17	
11	Kakawa Asset Management Limited	December	14-Aug-17	
12	Lion Stockbrokers Limited	December	14-Aug-17	
13	LMB Stockbrokers Limited	December	14-Aug-17	
14	Mact Securities Limited	December	14-Aug-17	
15	Maven Asset Management Limited	December	14-Aug-17	
16	Maxifund Investment & Securities Plc	December	14-Aug-17	
17	Mercov Securities Limited	December	14-Aug-17	
18	Midpoint Capital Limited	December	14-Aug-17	
19	ML Securities Limited	December	14-Aug-17	
20	Monument Sec & Finance Limited	December	14-Aug-17	
21	Mutual Alliance Investment & Securities Limited	December	14-Aug-17	
22	Partnership Securities Limited	December	14-Aug-17	
23	Profund Securities Limited	December	14-Aug-17	
24	Resano Securities Limited	December	14-Aug-17	
25	Tower Asset Management Limited	December	14-Aug-17	
26	Transafrica Financial Services Limited	December	14-Aug-17	
27	UIDC Securities Limited	December	14-Aug-17	
28	Wema Asset Management Limited	December	14-Aug-17	

RESIGNATION IN PROGRESS			
S/N	FIRMS	Year End	Due date
1	African Alliance Stockbrokers Limited	December	14-Aug-17
2	Stanwal Securities Limited	December	14-Aug-17
3	Summa Guaranty and Trust Company Limited	December	14-Aug-17
4	Vision Trust & Investment Limited	December	14-Aug-17



**Broker TraX** 

### FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 30 SEPTEMBER 2017

	ACTIVE AND SUBMITTED			
S/N	FIRMS	Year End	Due date	
1	Adonai Stockbrokers Limited	December	14-Nov-17	
2	Afrinvest Securities Limited	December	14-Nov-17	
3	Amyn Investment Limited	December	14-Nov-17	
4	Anchoria Investment & Securities Limited	December	14-Nov-17	
5	Apel Asset Limited	December	14-Nov-17	
6	Apt Securities & Funds Limited	December	14-Nov-17	
7	ARM Securities Limited	December	14-Nov-17	
8	Arthur Stevens Asset Management Limited	December	14-Nov-17	
9	Associated Asset Managers Limited	December	14-Nov-17	
10	Atlass Portfolio Limited	December	14-Nov-17	
11	Bauchi Investment Corp. Sec Limited	December	14-Nov-17	
12	Belfry Investment & Securities Limited	December	14-Nov-17	
13	Bestworth Assets & Trust Limited	December	14-Nov-17	
14	Calyx Securities Limited	December	14-Nov-17	
15	Camry Securities Limited	December	14-Nov-17	
16	Capital Asset Limited	December	14-Nov-17	
17	Capital Bancorp Plc	December	14-Nov-17	
18	Capital Express Securities Limited	December	14-Nov-17	
19	Capital Trust Brokers Limited	December	14-Nov-17	
20	Cardinal Stone Securities Ltd  Cashcraft Securities Limited	December	14-Nov-17	
21	Cashville Investment & Securities Limited	December	14-Nov-17	
22	CDL Capital Markets Limited	December December	14-Nov-17 14-Nov-17	
24	Centre Point Investment Limited	December	14-Nov-17 14-Nov-17	
25	Century Securities Limited	December	14-Nov-17 14-Nov-17	
26	Chapel Hill Denham Securities Limited	December	14-Nov-17	
27	Chartwell Securities Limited	December	14-Nov-17	
28	Citi Investment Capital Limited	December	14-Nov-17	
29	City Code Trust & Investment Company Limited	December	14-Nov-17	
30	CLG Stockbrokers Limited	December	14-Nov-17	
31	Compass Investment & Securities Limited	December	14-Nov-17	
32	Cordros Securities Limited	December	14-Nov-17	
33	Core Trust & Investment Limited	December	14-Nov-17	
34	Coronation Securities Limited	December	14-Nov-17	
35	Cowry Securities Limited	December	14-Nov-17	
36	Crane Securities Limited	December	14-Nov-17	
37	Crossworld Securities Limited	December	14-Nov-17	
38	Crown Capital Limited	December	14-Nov-17	
39	CSL Stockbrokers Limited	December	14-Nov-17	
40	Deep Trust Investment Limited	December	14-Nov-17	
41	Delords Securities Limited	December	14-Nov-17	
42	Dominion Trust Limited	December	14-Nov-17	
43	DSU Brokerage Services Limited	December	14-Nov-17	
44	Dunbell Securities Limited	December	14-Nov-17	
45	Dunn Loren Merrifield Securities Limited	December	14-Nov-17	
46	Dynamic Portfolio Limited	December	14-Nov-17	
47	EDC Securities Limited	December	14-Nov-17	
48	Edgefield Capital Management Limited	December	14-Nov-17	
49	EFCP Limited	December	14-Nov-17	
50	El-Elyon Alliance and Securities Ltd	December	14-Nov-17	
51 52	Elixir Securities Limited Enterprise Stockbrokers Limited	December	14-Nov-17	
	•	December	14-Nov-17	
53	Equity Capital Solutions Limited	December	14-Nov-17	

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54	Eurocomm Securities Limited	December	14-Nov-17
55	Express Portfolio Services Limited	December	14-Nov-17
56	Falcon Securities Limited	December	14-Nov-17
57	FBC Trust & Securities Limited	December	14-Nov-17
58	FBNQuest Securities Limited	December	14-Nov-17
59	FCSL Asset Management Company Limited	December	14-Nov-17
60	Fidelity Finance Company Limited	December	14-Nov-17
61 62	Financial & Analytics Capital Limited	December December	14-Nov-17
63	Financial Trust Company Limited Finmal Finance Services Limited	December	14-Nov-17 14-Nov-17
64	First Integrated Capital Manangement Limited	December	14-Nov-17
65	First Stockbrokers Limited	December	14-Nov-17
66	FIS Securities Limited	December	14-Nov-17
67	Foresight Securities & Investment Limited	December	14-Nov-17
68	Forte Financial Limited	December	14-Nov-17
69	Forthright Securities & Investments Limited	December	14-Nov-17
70	Fortress Capital Limited	December	14-Nov-17
71	FSDH Securities Limited	December	14-Nov-17
72	FSL Securities Limited	December	14-Nov-17
73	Funds Matrix & Assets Mgt Limited	December	14-Nov-17
74	Futureview Securities Limited	December	14-Nov-17
75	Gidauniya Investment & Securities Limited	December	14-Nov-17
76	Global Asset Management (Nig) Limited	December	14-Nov-17
77	GlobalView Capital Limited	December	14-Nov-17
78	Golden Securities Limited	December	14-Nov-17
79	Greenwich Securities Limited	December	14-Nov-17
80	Growth & Development Asset Management Limited	December	14-Nov-17
81	Gruene Capital Limited	December	14-Nov-17
82	GTI Securities Limited	December	14-Nov-17
83	Harmony Securities Limited	December	14-Nov-17
84	Heartbeat Investment Limited	December	14-Nov-17
85	Hedge Securities & Investment Limited	December	14-Nov-17
86	Helix Securities Limited	December	14-Nov-17
87	Heritage Capital Markets Limited	December	14-Nov-17
88	ICMG Securities Limited	December	14-Nov-17
89	Icon Stockbrokers Limited	December	14-Nov-17
90	Imperial Asset Managers Limited	December	14-Nov-17
91	Integrated Trust & Investment Limited	December	14-Nov-17
92	Interstate Securities Limited	December	14-Nov-17
93	Investment One Stockbrokers International Limited	December	14-Nov-17
94	Investors & Trust Company Limited	December	14-Nov-17
95	Kapital Care Trust & Securities Limited	December	14-Nov-17
96 97	Kedari Capital Limited Kinley Securities Limited	December December	14-Nov-17 14-Nov-17
98	Kundila Finance Services Limited	December	14-Nov-17 14-Nov-17
99	Lambeth Trust & Investment Company Limited	December	14-Nov-17 14-Nov-17
100	Lead Securities & Investment Company Limited	December	14-Nov-17
101	Lighthouse Asset Management Limited	December	14-Nov-17
102	Longterm Global Capital Limited	December	14-Nov-17
103	Maxifund Investment & Securities Plc	December	14-Aug-17
104	Magnartis Finance & Investment Limited	December	14-Nov-17
105	Mainstreet Bank Securities Limited	December	14-Nov-17
106	Marimpex Finance & Investment Company Limited	December	14-Nov-17
107	Marriot Securities & Investment Co. Limited	December	14-Nov-17
108	Mayfield Investment Limited	December	14-Nov-17
109	MBC Securities Limited	December	14-Nov-17
110	MBL Financial Services Limited	December	14-Nov-17
111	Mega Equities Limited	December	14-Nov-17
112	Meristem Stockbrokers Limited	December	14-Nov-17
113	Midas Stockbrokers Limited	December	14-Nov-17
114	Milestone Capital Management Limited	December	14-Nov-17
115	Mission Securities Limited	December	14-Nov-17

	leads and the leads of the lead		
116	Molten Trust Limited	December	14-Nov-17
117	Morgan Capital Securities Limited	December	14-Nov-17
118	Mountain Investment & Securities Limited	December	14-Nov-17
119	Network Capital Limited	December	14-Nov-17
120 121	Networth Securities & Finance Limited  Newdevco Investments & Securties Limited	December December	14-Nov-17 14-Nov-17
121	Nigerian International Securities Ltd	December	14-Nov-17 14-Nov-17
123	Nigerian Stockbrokers Limited	December	14-Nov-17
124	Nova Finance & Securities Limited	December	14-Nov-17
125	PAC Securities Limited	December	14-Nov-17
126	Peace Capital Markets Ltd	December	14-Nov-17
127	Pilot Securities Limited	December	14-Nov-17
128	Pinefields Investment Services Limited	December	14-Nov-17
129	PIPC Securities Limited	December	14-Nov-17
130	Pivot Capital Limited	December	14-Nov-17
131	Planet Capital Limited	December	14-Nov-17
132	Portfolio Advisers Limited	December	14-Nov-17
133	Primera Africa Securities Limited	December	14-Nov-17
134	Primewealth Capital Limited	December	14-Nov-17
135	Prominent Securities Limited	December	14-Nov-17
136	PSI Securities Limited	December	14-Nov-17
137	Pyramid Securities Limited	December	14-Nov-17
138	Qualinvest Capital Limited	December	14-Nov-17
139	Rainbow Securities & Investment Company Limited	December	14-Nov-17
140	Readings Investment Limited	December	14-Nov-17
141	Regency Asset Management Limited	December	14-Nov-17
142	Rencap Securities (Nig) Limited	December	14-Nov-17
143	Reward Investment & Service Limited	December	14-Nov-17
144	RMB Nigeria Stockbrokers Limited	December	14-Nov-17
145	Rostrum Investment & Sec Limited	December	14-Nov-17
146	Rowet Capital Management Limited	December	14-Nov-17
147	Royal Crest Finance Limited	December	14-Nov-17
148	Royal Guaranty & Trust Limited	December	14-Nov-17
149 150	Royal Trust Securities Limited Sankore Securities Limited	December December	14-Nov-17 14-Nov-17
151	Santrust Securities Limited	December	14-Nov-17
	Securities Africa Financial Limited	December	14-Nov-17
152 153	Securities and Capital Management Company Limited	December	14-Nov-17
154	Security Swaps Limited	December	14-Nov-17
	SFC Securities Limited	December	14-Nov-17
155 156	Shalom Investment and Financial Services Limited	December	14-Nov-17
157	Shelong Investments Limited	December	14-Nov-17
158	Sigma Securities Limited	December	14-Nov-17
159	Signet Investment & Securities Limited	December	14-Nov-17
160	Skyview Capital Ltd	December	14-Nov-17
161	Smadac Securities Limited	December	14-Nov-17
162	Solid Rock Securities & Investment Plc	December	14-Nov-17
163	Spring Trust & Securities Limited	December	14-Nov-17
164	Springboard Trust & Investment Limited	December	14-Nov-17
165	Stanbic IBTC Stockbrokers Limited	December	14-Nov-17
166	Standard Alliance Capital Asset Mgt Limited	December	14-Nov-17
167	Standard Union Securities Limited	December	14-Nov-17
168	TFS Securities & Investment Company Limited	December	14-Nov-17
169	The Bridge Securities Limited	December	14-Nov-17
170	Tiddo Securities Limited	December	14-Nov-17
171	Tomil Trust Limited	December	14-Nov-17
172	Topmost Securities Limited Tower Securities & Investment Company Limited	December	14-Nov-17
173 174	Tower Securities & Investment Company Limited Trade Link Securities Limited	December December	14-Nov-17 14-Nov-17
175	Traders Trust & Investment Co. Limited	December	14-Nov-17 14-Nov-17
176	Transworld Investment Limited	December	14-Nov-17
177	Trust Yield Securities Limited	December	14-Nov-17 14-Nov-17
1//	auta occurred Limited	December	14 MON-17

178	TrustBanc Capital Management Limited	December	14-Nov-17
179	Trusthouse Investment Limited	December	14-Nov-17
180	TRW Stockbrokers Limited	December	14-Nov-17
181	Tyndale Securities Limited	December	14-Nov-17
182	UIDC Securities Limited	December	14-Aug-17
183	Unex Capital Limited	December	14-Nov-17
184	Union Capital Markets Limited	December	14-Nov-17
185	United Capital Securities Limited	December	14-Nov-17
186	Valmon Securities Limited	December	14-Nov-17
187	Valueline Securities & Investment Limited	December	14-Nov-17
188	Vetiva Securities Limited	December	14-Nov-17
189	Woodland Capital Markets Plc	December	14-Nov-17
190	WSTC Financial Services Limited	December	14-Nov-17
191	Zenith Securities Limited	December	14-Nov-17
192	Zion Stockbrokers & Securities Limited	December	14-Nov-17

INACTIVE AND SUBMITTED			
S/N	FIRM	Year End	Due Date
1	Gem Assets Management Limited	December	14-Aug-17
2	Clearview Investments Company Limited	December	14-Aug-17
3	Kofana Securities & Investment Limited	December	14-Nov-17

S/N	FIRMS	Year End	Due date
1	Fundvine Capital & Securities Limited	December	15-May-17

	ACTIVE AND NOT SUBMITTED				
S/N	FIRM	Year End	Due Date		
1	Quantum Securities Limited	December	14-Nov-17		
2	Resort Securities & Trust Limited	December	14-Nov-17		

	INACTIVE AND NOT SUBMITTED			
S/N	FIRM	Year End	Due Date	
1	Adamawa Securities Limited	December	14-Nov-17	
2	Aims Asset Management Limited	December	14-Nov-17	
3	Cadington Securities Limited	December	14-Nov-17	
4	CEB Securities Limited	December	14-Nov-17	
5	ECL Asset Management Limited	December	14-Nov-17	
6	Finbank Securities & Assets Management Limited	December	14-Nov-17	
7	GMT Securities & Asset Mangement Limited	December	14-Nov-17	
8	Gombe Securities Limited	December	14-Nov-17	
9	Horizon Stockbrokers Limited	December	14-Nov-17	
10	International Standard Securities Limited	December	14-Nov-17	
11	Investment Shark & Asset Mgt Ltd	December	14-Nov-17	
12	ITIS Securities Limited	December	14-Nov-17	
13	Kakawa Asset Management Limited	December	14-Nov-17	

14	Lion Stockbrokers Limited	December	14-Nov-17
15	LMB Stockbrokers Limited	December	14-Nov-17
16	Mact Securities Limited	December	14-Nov-17
17	Maven Asset Management Limited	December	14-Nov-17
18	Mercov Securities Limited	December	14-Nov-17
19	Midpoint Capital Limited	December	14-Nov-17
20	ML Securities Limited	December	14-Nov-17
21	Monument Sec & Finance Limited	December	14-Nov-17
22	Mutual Alliance Investment & Securities Limited	December	14-Nov-17
23	Partnership Securities Limited	December	14-Nov-17
24	Profund Securities Limited	December	14-Nov-17
25	Resano Securities Limited	December	14-Nov-17
26	Supra Commercial Trust Company Limited	December	14-Nov-17
27	Tower Asset Management Limited	December	14-Nov-17
28	Transafrica Financial Services Limited	December	14-Nov-17
29	UIDC Securities Limited	December	14-Nov-17
30	Wema Asset Management Limited	December	14-Nov-17

	RESIGNATION IN PROGRESS			
S/N	FIRMS	Year End	Due date	
1	African Alliance Stockbrokers Limited	December	14-Nov-17	
2	Stanwal Securities Limited	December	14-Nov-17	
3	Summa Guaranty and Trust Company Limited	December	14-Nov-17	
4	Vision Trust & Investment Limited	December	14-Nov-17	

FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 31 DECEMBER 2017			
C/NI	ACTIVE AND SUBMITTED	Varan Frad	Donalda.
S/N	FIRMS	Year End	Due date
1	Adonai Stockbrokers Limited	December	14-Feb-18 14-Feb-18
2	Afrinvest Securities Limited	December	
3	Amyn Investment Limited	December	14-Feb-18
4	Anchoria Investment & Securities Limited	December	14-Feb-18
5	Apel Asset Limited	December	14-Feb-18
6	Apt Securities & Funds Limited	December	14-Feb-18
7	ARM Securities Limited	December	14-Feb-18
8	Arthur Stevens Asset Management Limited	December	14-Feb-18
9	Associated Asset Managers Limited	December	14-Feb-18
10	Atlass Portfolio Limited	December	14-Feb-18
11	Bauchi Investment Corp. Sec Limited	December	14-Feb-18
12	Belfry Investment & Securities Limited	December	14-Feb-18
13	Bestworth Assets & Trust Limited	December	14-Feb-18
14	Calyx Securities Limited	December	14-Feb-18
15	Camry Securities Limited	December	14-Feb-18
16	Capital Asset Limited	December	14-Feb-18
17	Capital Bancorp Plc	December	14-Feb-18
18	Capital Express Securities Limited	December	14-Feb-18
19	Capital Trust Brokers Limited	December	14-Feb-18
20	Cardinal Stone Securities Ltd	December	14-Feb-18
21	Cashcraft Securities Limited	December	14-Feb-18
22	Cashville Investment & Securities Limited	December	14-Feb-18
23	CDL Capital Markets Limited	December	14-Feb-18
24	Centre Point Investment Limited	December	14-Feb-18
25	Century Securities Limited	December	14-Feb-18
26	Chapel Hill Denham Securities Limited	December	14-Feb-18
27	Chartwell Securities Limited	December	14-Feb-18
28	Citi Investment Capital Limited	December	14-Feb-18
29	City Code Trust & Investment Company Limited	December	14-Feb-18
30	CLG Stockbrokers Limited	December	14-Feb-18
31	Compass Investment & Securities Limited	December	14-Feb-18
32	Cordros Securities Limited	December	14-Feb-18
33	Core Securities Limited	December	14-Feb-18
34	Coronation Securities Limited	December	14-Feb-18
35	Cowry Securities Limited	December	14-Feb-18
36	Crane Securities Limited	December	14-Feb-18
37	Crossworld Securities Limited	December	14-Feb-18
38	Crown Capital Limited	December	14-Feb-18
39 40	CSL Stockbrokers Limited	December	14-Feb-18
	Deep Trust Investment Limited	December	14-Feb-18
41 42	Delords Securities Limited	December	14-Feb-18
	Dominion Trust Limited	December	14-Feb-18
43	DSU Brokerage Services Limited	December	14-Feb-18
44	Dunbell Securities Limited	December	14-Feb-18
45	Dunn Loren Merrifield Securities Limited	December	14-Feb-18
46	Dynamic Portfolio Limited  EDC Socurities Limited	December	14-Feb-18
47	EDC Securities Limited  Edgefield Capital Management Limited	December	14-Feb-18
48 49	Edgefield Capital Management Limited	December	14-Feb-18
	EFCP Limited	December	14-Feb-18
50 51	El-Elyon Alliance and Securities Ltd Elixir Securities Limited	December	14-Feb-18
52		December	14-Feb-18
	Enterprise Stockbrokers Limited	December	14-Feb-18
53	Equity Capital Solutions Limited	December	14-Feb-18
54	Eurocomm Securities Limited	December	14-Feb-18

55 Express Portfolio Services Limited December	
	14-Feb-18
56 Falcon Securities Limited December	14-Feb-18
57 FBC Trust & Securities Limited December	14-Feb-18
58 FBNQuest Securities Limited December	14-Feb-18
59 FCSL Asset Management Company Limited December	14-Feb-18
60 Fidelity Finance Company Limited December	14-Feb-18
61 Financial & Analytics Capital Limited December	14-Feb-18
62 Financial Trust Company Limited December	14-Feb-18
63 Finmal Finance Services Limited December	14-Feb-18
64 First Integrated Capital Manangement Limited December	14-Feb-18
65 First Stockbrokers Limited December	14-Feb-18
66 FIS Securities Limited December	14-Feb-18
67 Foresight Securities & Investment Limited December	14-Feb-18
68 Forte Financial Limited December	14-Feb-18
69 Forthright Securities & Investments Limited December	14-Feb-18
70 Fortress Capital Limited December	14-Feb-18
71 FSDH Securities Limited December	14-Feb-18
72 FSL Securities Limited December	14-Feb-18
73 Funds Matrix & Assets Mgt Limited December	14-Feb-18
74 Fundvine Capital & Securities Limited December	14-Feb-18
75 Futureview Securities Limited December	14-Feb-18
76 Gidauniya Investment & Securities Limited December	14-Feb-18
77 Global Asset Management (Nig) Limited December	14-Feb-18
78 GlobalView Capital Limited December	14-Feb-18
79 Golden Securities Limited December	14-Feb-18
80 Greenwich Securities Limited December	14-Feb-18
81 Growth & Development Asset Management Limited December	14-Feb-18
82 Gruene Capital Limited December	14-Feb-18
83 GTI Securities Limited December	14-Feb-18
84 Harmony Securities Limited December	14-Feb-18
85 Heartbeat Investment Limited December	14-Feb-18
86 Hedge Securities & Investment Limited December	14-Feb-18
87 Helix Securities Limited December	14-Feb-18
88 Heritage Capital Markets Limited December	14-Feb-18
89 ICMG Securities Limited December	14-Feb-18
90 Icon Stockbrokers Limited December	14-Feb-18
91 Imperial Asset Managers Limited December	14-Feb-18
92 Integrated Trust & Investment Limited December	14-Feb-18
93 Interstate Securities Limited December	14-Feb-18
OA Investment One Charlebrakers International Limited	14-Feb-18
94 Investment One Stockbrokers International Limited December	
95 Investors & Trust Company Limited December  December  December	14-Feb-18

97	Kedari Capital Limited	December	14-Feb-18
98	Kinley Securities Limited	December	14-Feb-18
99	Kundila Finance Services Limited	December	14-Feb-18
100	Lambeth Trust & Investment Company Limited	December	14-Feb-18
101	Lead Securities & Investment Limited	December	14-Feb-18
102	Lighthouse Asset Management Limited	December	14-Feb-18
103	Longterm Global Capital Limited	December	14-Feb-18
104	Magnartis Finance & Investment Limited	December	14-Feb-18
105	Mainstreet Bank Securities Limited	December	14-Feb-18
106	Marimpex Finance & Investment Company Limited	December	14-Feb-18
107	Marriot Securities & Investment Co. Limited	December	14-Feb-18
108	Maxifund Investment & Securities Plc	December	14-Feb-18
109	Mayfield Investment Limited	December	14-Feb-18
110	MBC Securities Limited	December	14-Feb-18
111	MBL Financial Services Limited	December	14-Feb-18
112	Mega Equities Limited	December	14-Feb-18
113	Meristem Stockbrokers Limited	December	14-Feb-18
114	Midas Stockbrokers Limited	December	14-Feb-18
115	Milestone Capital Management Limited	December	14-Feb-18
116	Mission Securities Limited	December	14-Feb-18
117	Molten Trust Limited	December	14-Feb-18
118	Morgan Capital Securities Limited	December	14-Feb-18
119	Mountain Investment & Securities Limited	December	14-Feb-18
120	Network Capital Limited	December	14-Feb-18
121	Networth Securities & Finance Limited	December	14-Feb-18
122	Newdevco Investments & Securties Limited	December	14-Feb-18
123	Nigerian International Securities Ltd	December	14-Feb-18
124	Nigerian Stockbrokers Limited	December	14-Feb-18
125	Nova Finance & Securities Limited	December	14-Feb-18
126	PAC Securities Limited	December	14-Feb-18
127	Peace Capital Markets Ltd	December	14-Feb-18
128	Pilot Securities Limited	December	14-Feb-18
129	Pinefields Investment Services Limited	December	14-Feb-18
130	PIPC Securities Limited	December	14-Feb-18
131	Pivot Capital Limited	December	14-Feb-18
132	Planet Capital Limited	December	14-Feb-18
133	Portfolio Advisers Limited	December	14-Feb-18
134	Primera Africa Securities Limited	December	14-Feb-18
135	Primewealth Capital Limited	December	14-Feb-18
136	Prominent Securities Limited	December	14-Feb-18
137	PSI Securities Limited	December	14-Feb-18
138	Pyramid Securities Limited	December	14-Feb-18
139	Qualinvest Capital Limited	December	14-Feb-18
140	Rainbow Securities & Investment Company Limited	December	14-Feb-18
141	Readings Investment Limited	December	14-Feb-18
142	Regency Asset Management Limited	December	14-Feb-18
143 144	Rencap Securities (Nig) Limited Resort Securities & Trust Limited	December December	14-Feb-18 14-Feb-18
144	Reward Investment & Service Limited	December	14-Feb-18 14-Feb-18
146	RMB Nigeria Stockbrokers Limited	December	14-Feb-18
147	Rostrum Investment & Sec Limited	December	14-Feb-18
148	Royal Crest Finance Limited	December	14-Feb-18
149	Royal Guaranty & Trust Limited	December	14-Feb-18
150	Royal Trust Securities Limited	December	14-Feb-18
151	Sankore Securities Limited	December	14-Feb-18
152	Santrust Securities Limited	December	14-Feb-18
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153	Securities Africa Financial Limited	December	14-Feb-18
154			
_	Securities and Capital Management Company Limited	December	14-Feb-18
155	Security Swaps Limited	December	14-Feb-18
156	SFC Securities Limited	December	14-Feb-18
157	Shalom Investment and Financial Services Limited	December	14-Feb-18
158	Shelong Investments Limited	December	14-Feb-18
159	Sigma Securities Limited	December	14-Feb-18
160	Signet Investment & Securities Limited	December	14-Feb-18
161	Skyview Capital Limited	December	14-Feb-18
162	Smadac Securities Limited	December	14-Feb-18
163	Solid Rock Securities & Investment Plc	December	14-Feb-18
164	Spring Trust & Securities Limited	December	14-Feb-18
165	Springboard Trust & Investment Limited	December	14-Feb-18
166	Stanbic IBTC Stockbrokers Limited	December	14-Feb-18
167	Standard Alliance Capital Asset Mgt Limited	December	14-Feb-18
168	Standard Union Securities Limited	December	14-Feb-18
169	TFS Securities & Investment Company Limited	December	14-Feb-18
170	The Bridge Securities Limited	December	14-Feb-18
171	Tiddo Securities Limited	December	14-Feb-18
172	Tomil Trust Limited	December	14-Feb-18
173	Topmost Securities Limited	December	14-Feb-18
174	Tower Securities & Investment Company Limited	December	14-Feb-18
175	Trade Link Securities Limited	December	14-Feb-18
176	Traders Trust & Investment Co. Limited	December	14-Feb-18
177	Transworld Investment Limited	December	14-Feb-18
178	Trust Yield Securities Limited	December	14-Feb-18
179	TrustBanc Capital Management Limited	December	14-Feb-18
180	Trusthouse Investment Limited	December	14-Feb-18
181	TRW Stockbrokers Limited	December	14-Feb-18
182	Tyndale Securities Limited	December	14-Feb-18
183	Unex Capital Limited	December	14-Feb-18
184	Union Capital Markets Limited	December	14-Feb-18
185	United Capital Securities Limited	December	14-Feb-18
186	Valmon Securities Limited	December	14-Feb-18
187	Valueline Securities & Investment Limited	December	14-Feb-18
188	Vetiva Securities Limited	December	14-Feb-18
189	Woodland Capital Markets Plc	December	14-Feb-18
190	WSTC Securities Limited	December	14-Feb-18
191	Zenith Securities Limited	December	14-Feb-18
192	Zion Stockbrokers & Securities Limited	December	14-Feb-18

	INACTIVE AND SUBMITTED			
S/N	FIRM	Year End	Due Date	
1	Clearview Investments Company Limited	December	14-Feb-18	
2	Gem Assets Management Limited	December	14-Feb-18	
3	Kofana Securities & Investment Limited	December	14-Feb-18	
4	Supra Commercial Trust Company Limited	December	14-Feb-18	
5	UIDC Securities Limited	December	14-Feb-18	

ACTIVE AND NOT SUBMITTED			
S/N	FIRM	Year End	Due Date
1	Rowet Capital Management Limited	December	14-Feb-18

INACTIVE AND NOT SUBMITTED			
S/N	FIRM	Year End	Due Date
1	Adamawa Securities Limited	December	14-Feb-18
2	Aims Asset Management Limited	December	14-Feb-18
3	Cadington Securities Limited	December	14-Feb-18
4	CEB Securities Limited	December	14-Feb-18
5	ECL Asset Management Limited	December	14-Feb-18
6	Finbank Securities & Assets Management Limited	December	14-Feb-18
7	GMT Securities & Asset Mangement Limited	December	14-Feb-18
8	Gombe Securities Limited	December	14-Feb-18
9	Horizon Stockbrokers Limited	December	14-Feb-18
10	International Standard Securities Limited	December	14-Feb-18
11	Investment Shark & Asset Mgt Ltd	December	14-Feb-18
12	ITIS Securities Limited	December	14-Feb-18
13	Kakawa Asset Management Limited	December	14-Feb-18
14	Lion Stockbrokers Limited	December	14-Feb-18
15	LMB Stockbrokers Limited	December	14-Feb-18
16	Mact Securities Limited	December	14-Feb-18
17	Maven Asset Management Limited	December	14-Feb-18
18	Mercov Securities Limited	December	14-Feb-18
19	Midpoint Capital Limited	December	14-Feb-18
20	ML Securities Limited	December	14-Feb-18
21	Monument Sec & Finance Limited	December	14-Feb-18
22	Mutual Alliance Investment & Securities Limited	December	14-Feb-18
23	Partnership Securities Limited	December	14-Feb-18
24	Profund Securities Limited	December	14-Feb-18
25	Quantum Securities Limited	December	14-Feb-18
26	Resano Securities Limited	December	14-Feb-18
27	Tower Asset Management Limited	December	14-Feb-18
28	Transafrica Financial Services Limited	December	14-Feb-18
29	Wema Asset Management Limited	December	14-Feb-18





#### FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 31 MARCH 2018

#### **ACTIVE AND SUBMITTED** S/N **FIRMS Year End** Due date Adonai Stockbrokers Limited 1 December 15-May-18 2 **Afrinvest Securities Limited** December 15-May-18 3 **Anchoria Investment & Securities Limited** 15-May-18 December December 4 **Apel Asset Limited** 15-May-18 5 Apt Securities & Funds Limited December 15-May-18 6 **ARM Securities Limited** December 15-May-18 15-May-18 7 Arthur Stevens Asset Management Limited December 8 **Associated Asset Managers Limited** December 15-May-18 9 Atlass Portfolio Limited December 15-May-18 10 Bauchi Investment Corp. Sec Limited December 15-May-18 11 **Belfry Investment & Securities Limited** December 15-May-18 12 **Bestworth Assets & Trust Limited** December 15-May-18 13 Calyx Securities Limited December 15-May-18 14 **Camry Securities Limited** December 15-May-18 15 Capital Asset Limited December 15-May-18 16 Capital Bancorp Plc December 15-May-18 17 Capital Express Securities Limited December 15-May-18 18 Capital Trust Brokers Limited December 15-May-18 19 Cardinal Stone Securities Ltd December 15-May-18 20 Cashcraft Securities Limited December 15-May-18 21 Cashville Investment & Securities Limited December 15-May-18 **CDL Capital Markets Limited** 15-May-18 22 December 23 Centre Point Investment Limited December 15-May-18 24 Century Securities Limited December 15-May-18 25 Chapel Hill Denham Securities Limited December 15-May-18 **Chartwell Securities Limited** 26 December 15-May-18 27 Citi Investment Capital Limited December 15-May-18 28 City Code Trust & Investment Company Limited December 15-May-18 29 **CLG Stockbrokers Limited** December 15-May-18 30 Compass Investment & Securities Limited December 15-May-18 31 **Cordros Securities Limited** December 15-May-18 32 Core Securities Limited December 15-May-18 33 **Coronation Securities Limited** December 15-May-18 34 Cowry Securities Limited December 15-May-18 35 Crane Securities Limited December 15-May-18 36 Crossworld Securities Limited December 15-May-18 37 Crown Capital Limited December 15-May-18

39 Deep Trust Investment Limited December 15-May-18 40 Delords Securities Limited December 15-May-18 41 Dominion Trust Limited December 15-May-18 42 DSU Brokerage Services Limited December 15-May-18 43 Dunbell Securities Limited December 15-May-18 44 Dunn Loren Merrifield Securities Limited December 15-May-18 45 Dynamic Portfolio Limited December 15-May-18 46 EDC Securities Limited December 15-May-18 47 Edgefield Capital Management Limited December 15-May-18 48 EFCP Limited December 15-May-18 49 EI-Elyon Alliance and Securities Ltd December 15-May-18 50 Elixir Securities Limited December 15-May-18 51 Enterprise Stockbrokers Limited December 15-May-18 52 Equity Capital Solutions Limited December 15-May-18 53 Eurocomm Securities Limited December 15-May-18 54 Express Portfolio Services Limited December 15-May-18 55 FBRO Crust & Securities Limited December 15-May-18 56 FBC Trust & Securities Limited December 15-May-18 57 FBROQUES Securities Limited December 15-May-18 58 FCSL Asset Management Company Limited December 15-May-18 59 Fidelity Finance Company Limited December 15-May-18 59 Fidelity Finance Company Limited December 15-May-18 60 Fidelity Securities Limited December 15-May-18 61 Financial & Analytics Capital Limited December 15-May-18 62 Financial Trust Company Limited December 15-May-18 63 First Stockbrokers Limited December 15-May-18 64 First Integrated Capital Manangement Limited December 15-May-18 65 First Stockbrokers Limited December 15-May-18 66 First Securities Limited December 15-May-18 67 Foresight Securities Limited December 15-May-18 68 Forte Financial Limited December 15-May-18 69 Forthright Securities & Investment Limited December 15-May-18 60 Fidelity Securities & Investment Limited December 15-May-18 61 First Stockbrokers Limited December 15-May-18 62 Forter Financial Limited December 15-May-18 63 Forter Financial Limited December 15-May-18 64 First Integrated Capital Manangement Limited December 15-May-18 65 Forter Financial Limited December 15-May-18 66 First Securities Limited December 15-	38	CSL Stockbrokers Limited	December	15-May-18
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113Midas Stockbrokers LimitedDecember15-May-18114Milestone Capital Management LimitedDecember15-May-18115Mission Securities LimitedDecember15-May-18116Molten Trust LimitedDecember15-May-18117Morgan Capital Securities LimitedDecember15-May-18118Mountain Investment & Securities LimitedDecember15-May-18119Network Capital LimitedDecember15-May-18120Networth Securities & Finance LimitedDecember15-May-18121Newdevco Investments & Securties LimitedDecember15-May-18122Nigerian International Securities LtdDecember15-May-18123Nigerian Stockbrokers LimitedDecember15-May-18124PAC Securities LimitedDecember15-May-18125Peace Capital Markets LtdDecember15-May-18126Pilot Securities LimitedDecember15-May-18127Pinefields Investment Services LimitedDecember15-May-18128PIPC Securities LimitedDecember15-May-18129Pivot Capital LimitedDecember15-May-18				
114Milestone Capital Management LimitedDecember15-May-18115Mission Securities LimitedDecember15-May-18116Molten Trust LimitedDecember15-May-18117Morgan Capital Securities LimitedDecember15-May-18118Mountain Investment & Securities LimitedDecember15-May-18119Network Capital LimitedDecember15-May-18120Networth Securities & Finance LimitedDecember15-May-18121Newdevco Investments & Securties LimitedDecember15-May-18122Nigerian International Securities LtdDecember15-May-18123Nigerian Stockbrokers LimitedDecember15-May-18124PAC Securities LimitedDecember15-May-18125Peace Capital Markets LtdDecember15-May-18126Pilot Securities LimitedDecember15-May-18127Pinefields Investment Services LimitedDecember15-May-18128PIPC Securities LimitedDecember15-May-18129Pivot Capital LimitedDecember15-May-18				•
115Mission Securities LimitedDecember15-May-18116Molten Trust LimitedDecember15-May-18117Morgan Capital Securities LimitedDecember15-May-18118Mountain Investment & Securities LimitedDecember15-May-18119Network Capital LimitedDecember15-May-18120Networth Securities & Finance LimitedDecember15-May-18121Newdevco Investments & Securties LimitedDecember15-May-18122Nigerian International Securities LtdDecember15-May-18123Nigerian Stockbrokers LimitedDecember15-May-18124PAC Securities LimitedDecember15-May-18125Peace Capital Markets LtdDecember15-May-18126Pilot Securities LimitedDecember15-May-18127Pinefields Investment Services LimitedDecember15-May-18128PIPC Securities LimitedDecember15-May-18129Pivot Capital LimitedDecember15-May-18				
116Molten Trust LimitedDecember15-May-18117Morgan Capital Securities LimitedDecember15-May-18118Mountain Investment & Securities LimitedDecember15-May-18119Network Capital LimitedDecember15-May-18120Networth Securities & Finance LimitedDecember15-May-18121Newdevco Investments & Securties LimitedDecember15-May-18122Nigerian International Securities LtdDecember15-May-18123Nigerian Stockbrokers LimitedDecember15-May-18124PAC Securities LimitedDecember15-May-18125Peace Capital Markets LtdDecember15-May-18126Pilot Securities LimitedDecember15-May-18127Pinefields Investment Services LimitedDecember15-May-18128PIPC Securities LimitedDecember15-May-18129Pivot Capital LimitedDecember15-May-18				
117Morgan Capital Securities LimitedDecember15-May-18118Mountain Investment & Securities LimitedDecember15-May-18119Network Capital LimitedDecember15-May-18120Networth Securities & Finance LimitedDecember15-May-18121Newdevco Investments & Securties LimitedDecember15-May-18122Nigerian International Securities LtdDecember15-May-18123Nigerian Stockbrokers LimitedDecember15-May-18124PAC Securities LimitedDecember15-May-18125Peace Capital Markets LtdDecember15-May-18126Pilot Securities LimitedDecember15-May-18127Pinefields Investment Services LimitedDecember15-May-18128PIPC Securities LimitedDecember15-May-18129Pivot Capital LimitedDecember15-May-18				
118Mountain Investment & Securities LimitedDecember15-May-18119Network Capital LimitedDecember15-May-18120Networth Securities & Finance LimitedDecember15-May-18121Newdevco Investments & Securties LimitedDecember15-May-18122Nigerian International Securities LtdDecember15-May-18123Nigerian Stockbrokers LimitedDecember15-May-18124PAC Securities LimitedDecember15-May-18125Peace Capital Markets LtdDecember15-May-18126Pilot Securities LimitedDecember15-May-18127Pinefields Investment Services LimitedDecember15-May-18128PIPC Securities LimitedDecember15-May-18129Pivot Capital LimitedDecember15-May-18				
119Network Capital LimitedDecember15-May-18120Networth Securities & Finance LimitedDecember15-May-18121Newdevco Investments & Securties LimitedDecember15-May-18122Nigerian International Securities LtdDecember15-May-18123Nigerian Stockbrokers LimitedDecember15-May-18124PAC Securities LimitedDecember15-May-18125Peace Capital Markets LtdDecember15-May-18126Pilot Securities LimitedDecember15-May-18127Pinefields Investment Services LimitedDecember15-May-18128PIPC Securities LimitedDecember15-May-18129Pivot Capital LimitedDecember15-May-18				,
120Networth Securities & Finance LimitedDecember15-May-18121Newdevco Investments & Securties LimitedDecember15-May-18122Nigerian International Securities LtdDecember15-May-18123Nigerian Stockbrokers LimitedDecember15-May-18124PAC Securities LimitedDecember15-May-18125Peace Capital Markets LtdDecember15-May-18126Pilot Securities LimitedDecember15-May-18127Pinefields Investment Services LimitedDecember15-May-18128PIPC Securities LimitedDecember15-May-18129Pivot Capital LimitedDecember15-May-18				•
121Newdevco Investments & Securties LimitedDecember15-May-18122Nigerian International Securities LtdDecember15-May-18123Nigerian Stockbrokers LimitedDecember15-May-18124PAC Securities LimitedDecember15-May-18125Peace Capital Markets LtdDecember15-May-18126Pilot Securities LimitedDecember15-May-18127Pinefields Investment Services LimitedDecember15-May-18128PIPC Securities LimitedDecember15-May-18129Pivot Capital LimitedDecember15-May-18				•
122Nigerian International Securities LtdDecember15-May-18123Nigerian Stockbrokers LimitedDecember15-May-18124PAC Securities LimitedDecember15-May-18125Peace Capital Markets LtdDecember15-May-18126Pilot Securities LimitedDecember15-May-18127Pinefields Investment Services LimitedDecember15-May-18128PIPC Securities LimitedDecember15-May-18129Pivot Capital LimitedDecember15-May-18				,
123Nigerian Stockbrokers LimitedDecember15-May-18124PAC Securities LimitedDecember15-May-18125Peace Capital Markets LtdDecember15-May-18126Pilot Securities LimitedDecember15-May-18127Pinefields Investment Services LimitedDecember15-May-18128PIPC Securities LimitedDecember15-May-18129Pivot Capital LimitedDecember15-May-18				,
124PAC Securities LimitedDecember15-May-18125Peace Capital Markets LtdDecember15-May-18126Pilot Securities LimitedDecember15-May-18127Pinefields Investment Services LimitedDecember15-May-18128PIPC Securities LimitedDecember15-May-18129Pivot Capital LimitedDecember15-May-18				•
125Peace Capital Markets LtdDecember15-May-18126Pilot Securities LimitedDecember15-May-18127Pinefields Investment Services LimitedDecember15-May-18128PIPC Securities LimitedDecember15-May-18129Pivot Capital LimitedDecember15-May-18				-
126Pilot Securities LimitedDecember15-May-18127Pinefields Investment Services LimitedDecember15-May-18128PIPC Securities LimitedDecember15-May-18129Pivot Capital LimitedDecember15-May-18				
127Pinefields Investment Services LimitedDecember15-May-18128PIPC Securities LimitedDecember15-May-18129Pivot Capital LimitedDecember15-May-18				
128PIPC Securities LimitedDecember15-May-18129Pivot Capital LimitedDecember15-May-18				•
129 Pivot Capital Limited December 15-May-18				•
	129			•
· · · · · · · · · · · · · · · · · · ·	130		December	15-May-18
131Portfolio Advisers LimitedDecember15-May-18	131	Portfolio Advisers Limited	December	15-May-18

132	Primera Africa Securities Limited	December	15-May-18
133	Primewealth Capital Limited	December	15-May-18
134	Prominent Securities Limited	December	15-May-18
135	PSI Securities Limited	December	15-May-18
136	Pyramid Securities Limited	December	15-May-18
137	Qualinvest Capital Limited	December	15-May-18
138	Rainbow Securities & Investment Company Limited	December	15-May-18
139	Readings Investment Limited	December	15-May-18
140	Regency Asset Management Limited	December	15-May-18
141	Rencap Securities (Nig) Limited	December	15-May-18
142	Resort Securities & Trust Limited	December	15-May-18
143	Reward Investment & Service Limited	December	15-May-18
144	RMB Nigeria Stockbrokers Limited	December	15-May-18
145	Rostrum Investment & Sec Limited	December	15-May-18
146	Rowet Capital Management Limited	December	15-May-18
147	Royal Crest Finance Limited	December	15-May-18
148	Royal Guaranty & Trust Limited	December	15-May-18
149	Royal Trust Securities Limited	December	15-May-18
150	Sankore Securities Limited	December	15-May-18
151	Santrust Securities Limited	December	15-May-18
152	Securities Africa Financial Limited	December	15-May-18
153	Securities and Capital Management Company Limited	December	15-May-18
154	Security Swaps Limited	December	15-May-18
155	SFC Securities Limited	December	15-May-18
156	Shalom Investment and Financial Services Limited	December	15-May-18
157	Shelong Investments Limited	December	15-May-18
158	Sigma Securities Limited	December	15-May-18
159	Signet Investment & Securities Limited	December	15-May-18
160	Skyview Capital Limited	December	15-May-18
161	Smadac Securities Limited	December	15-May-18
162	Solid Rock Securities & Investment Plc	December	15-May-18
163	Spring Trust & Securities Limited	December	15-May-18
164	Springboard Trust & Investment Limited	December	15-May-18
165	Stanbic IBTC Stockbrokers Limited	December	15-May-18
166	Standard Alliance Capital Asset Mgt Limited	December	15-May-18
167	Standard Union Securities Limited	December	15-May-18
168	TFS Securities & Investment Company Limited	December	15-May-18
169	The Bridge Securities Limited	December	15-May-18
170	Tiddo Securities Limited	December	15-May-18
171	Tomil Trust Limited	December	15-May-18
172	Topmost Securities Limited	December	15-May-18
173	Tower Securities & Investment Company Limited	December	15-May-18
174	Trade Link Securities Limited	December	15-May-18
175	Traders Trust & Investment Co. Limited	December	15-May-18
176	Transworld Investment Limited	December	15-May-18
177	Trust Yield Securities Limited	December	15-May-18
178	TrustBanc Capital Management Limited	December	15-May-18

179	Trusthouse Investment Limited	December	15-May-18
180	TRW Stockbrokers Limited	December	15-May-18
181	Tyndale Securities Limited	December	15-May-18
182	Unex Capital Limited	December	15-May-18
183	Union Capital Markets Limited	December	15-May-18
184	United Capital Securities Limited	December	15-May-18
185	Valmon Securities Limited	December	15-May-18
186	Valueline Securities & Investment Limited	December	15-May-18
187	Vetiva Securities Limited	December	15-May-18
188	Woodland Capital Markets Plc	December	15-May-18
189	WSTC Securities Limited	December	15-May-18
190	Zenith Securities Limited	December	15-May-18
191	Zion Stockbrokers & Securities Limited	December	15-May-18

INACTIVE AND SUBMITTED			
S/N	FIRM	Year End	Due Date
1	Gem Assets Management Limited	December	15-May-18
2	UIDC Securities Limited	December	15-May-18
3	Kofana Securities & Investment Limited	December	15-May-18
4	Nova Finance & Securities Limited	December	15-May-18

ACTIVE AND NOT SUBMITTED				
S/N	S/N FIRM Year End Due Date			
1	Amyn Investment Limited	December	15-May-18	

NOT REQUIRED TO SUBMIT DUE TO INACTIVATED LICENSE				
S/N FIRM Year End Due Da				
1	Nonsuch Securities Limited formerly Wema Asset Manageme	December	15-May-18	

	INACTIVE AND NOT SUBMITTED			
S/N	FIRM	Year End	Due Date	
1	Adamawa Securities Limited	December	15-May-18	
2	Aims Asset Management Limited	December	15-May-18	
3	Cadington Securities Limited	December	15-May-18	
4	CEB Securities Limited	December	15-May-18	
5	ECL Asset Management Limited	December	15-May-18	
6	Finbank Securities & Assets Management Limited	December	15-May-18	
7	GMT Securities & Asset Mangement Limited	December	15-May-18	
8	Gombe Securities Limited	December	15-May-18	
9	Horizon Stockbrokers Limited	December	15-May-18	
10	International Standard Securities Limited	December	15-May-18	
11	Investment Shark & Asset Mgt Ltd	December	15-May-18	

12	ITIS Securities Limited	December	15-May-18
13	Kakawa Asset Management Limited	December	15-May-18
14	Lion Stockbrokers Limited	December	15-May-18
15	Clearview Investments Company Limited	December	15-May-18
16	Supra Commercial Trust Company Limited	December	15-May-18
17	LMB Stockbrokers Limited	December	15-May-18
18	Mact Securities Limited	December	15-May-18
19	Maven Asset Management Limited	December	15-May-18
20	Mercov Securities Limited	December	15-May-18
21	Midpoint Capital Limited	December	15-May-18
22	ML Securities Limited	December	15-May-18
23	Monument Sec & Finance Limited	December	15-May-18
24	Mutual Alliance Investment & Securities Limited	December	15-May-18
25	Profund Securities Limited	December	15-May-18
26	Quantum Securities Limited	December	15-May-18
27	Resano Securities Limited	December	15-May-18
28	Tower Asset Management Limited	December	15-May-18
29	Transafrica Financial Services Limited	December	15-May-18





### FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 30 JUNE 2018

	ACTIVE AND SUBMITTED			
S/N	FIRMS	Year End	Due date	
1	Afrinvest Securities Limited	December	15-May-18	
2	Anchoria Investment & Securities Limited	December	15-May-18	
3	Apel Asset Limited	December	15-May-18	
4	Apt Securities & Funds Limited	December	15-May-18	
5	ARM Securities Limited	December	15-May-18	
6	Arthur Stevens Asset Management Limited	December	15-May-18	
7	Associated Asset Managers Limited	December	15-May-18	
8	Atlass Portfolio Limited	December	15-May-18	
9	Bauchi Investment Corp. Sec Limited	December	15-May-18	
10	Belfry Investment & Securities Limited	December	15-May-18	
11	Bestworth Assets & Trust Limited	December	15-May-18	
12	Calyx Securities Limited	December	15-May-18	
13	Camry Securities Limited	December	15-May-18	
14	Capital Asset Limited	December	15-May-18	
15	Capital Bancorp Plc	December	15-May-18	
16	Capital Express Securities Limited	December	15-May-18	
17	Capital Trust Brokers Limited	December	15-May-18	
18	Cardinal Stone Securities Ltd	December	15-May-18	
19	Cashcraft Securities Limited	December	15-May-18	
20	Cashville Investment & Securities Limited	December	15-May-18	
21	CDL Capital Markets Limited	December	15-May-18	
22	Centre Point Investment Limited	December	15-May-18	
23	Century Securities Limited	December	15-May-18	
24	Chapel Hill Denham Securities Limited	December	15-May-18	
25	Chartwell Securities Limited	December	15-May-18	
26	Citi Investment Capital Limited	December	15-May-18	
27	City Code Trust & Investment Company Limited	December	15-May-18	
28	Compass Investment & Securities Limited	December	15-May-18	
29	Cordros Securities Limited	December	15-May-18	
30	Core Securities Limited	December	15-May-18	
31	Coronation Securities Limited	December	15-May-18	
32	Cowry Securities Limited	December	15-May-18	
33	Crane Securities Limited	December	15-May-18	
34	Crossworld Securities Limited	December	15-May-18	
35	Crown Capital Limited	December	15-May-18	
36	CSL Stockbrokers Limited	December	15-May-18	
37	Deep Trust Investment Limited	December	15-May-18	

		5 1	15.14 10
38	Delords Securities Limited	December	15-May-18
39	Dominion Trust Limited	December	15-May-18
40	DSU Brokerage Services Limited	December	15-May-18
41	Dunbell Securities Limited	December	15-May-18
42	Dunn Loren Merrifield Securities Limited	December	15-May-18
43	Dynamic Portfolio Limited	December	15-May-18
44	EDC Securities Limited	December	15-May-18
45	Edgefield Capital Management Limited	December	15-May-18
46	EFCP Limited	December	15-May-18
47	El-Elyon Alliance and Securities Ltd	December	15-May-18
48	Elixir Securities Limited	December	15-May-18
49	Enterprise Stockbrokers Limited	December	15-May-18
50	Equity Capital Solutions Limited	December	15-May-18
51	Eurocomm Securities Limited	December	15-May-18
52	Express Portfolio Services Limited	December	15-May-18
53	Falcon Securities Limited	December	15-May-18
54	FBC Trust & Securities Limited	December	15-May-18
55	FBNQuest Securities Limited	December	15-May-18
56	FCSL Asset Management Company Limited	December	15-May-18
57	Fidelity Finance Company Limited	December	15-May-18
58	Fidelity Securities Limited	December	15-May-18
59	Financial & Analytics Capital Limited	December	15-May-18
60	Financial Trust Company Limited	December	15-May-18
61	Finmal Finance Services Limited	December	15-May-18
62	First Integrated Capital Manangement Limited	December	15-May-18
63	First Stockbrokers Limited	December	15-May-18
64	FIS Securities Limited	December	
65	Foresight Securities & Investment Limited	December	15-May-18
66	Forte Financial Limited	December	15-May-18
67			15-May-18
	Forthright Securities & Investments Limited	December	15-May-18
68	Fortress Capital Limited	December	15-May-18
69	FSDH Securities Limited	December	15-May-18
70	Funds Matrix & Assets Mgt Limited	December	15-May-18
71	Fundvine Capital & Securities Limited	December	15-May-18
72	Futureview Securities Limited	December	15-May-18
73	Gidauniya Investment & Securities Limited	December	15-May-18
74	Global Asset Management (Nig) Limited	December	15-May-18
75	GlobalView Capital Limited	December	15-May-18
76	Golden Securities Limited	December	15-May-18
77	Greenwich Securities Limited	December	15-May-18
78	Growth & Development Asset Management Limited	December	15-May-18
79	Gruene Capital Limited	December	15-May-18
80	GTI Securities Limited	December	15-May-18
81	Harmony Securities Limited	December	15-May-18
82	Heartbeat Investment Limited	December	15-May-18
83	Hedge Securities & Investment Limited	December	15-May-18
84	Helix Securities Limited	December	15-May-18

		- 1	45.4.40
85	Heritage Capital Markets Limited	December	15-May-18
86	ICMG Securities Limited	December	15-May-18
87	Icon Stockbrokers Limited	December	15-May-18
88	Imperial Asset Managers Limited	December	15-May-18
89	Integrated Trust & Investment Limited	December	15-May-18
90	Interstate Securities Limited	December	15-May-18
91	Investment One Stockbrokers International Limited	December	15-May-18
92	Investors & Trust Company Limited	December	15-May-18
93	Kapital Care Trust & Securities Limited	December	15-May-18
94	Kedari Capital Limited	December	15-May-18
95	Kinley Securities Limited	December	15-May-18
96	Kofana Securities & Investment Limited	December	15-May-18
97	Kundila Finance Services Limited	December	15-May-18
98	Lambeth Trust & Investment Company Limited	December	15-May-18
99	Lead Securities & Investment Limited	December	15-May-18
100	Lighthouse Asset Management Limited	December	15-May-18
101	Longterm Global Capital Limited	December	15-May-18
102	Magnartis Finance & Investment Limited	December	15-May-18
103	Mainstreet Bank Securities Limited	December	15-May-18
104	Marimpex Finance & Investment Company Limited	December	15-May-18
105	Marriot Securities & Investment Co. Limited	December	15-May-18
106	Maxifund Investment & Securities Plc	December	15-May-18
107	Mayfield Investment Limited	December	
107	MBC Securities Limited	December	15-May-18
108		December	15-May-18
	MBL Financial Services Limited		15-May-18
110	Mega Equities Limited	December	15-May-18
111	Meristem Stockbrokers Limited	December	15-May-18
112	Midas Stockbrokers Limited	December	15-May-18
113	Milestone Capital Management Limited	December	15-May-18
114	Mission Securities Limited	December	15-May-18
115	Molten Trust Limited	December	15-May-18
116	Morgan Capital Securities Limited	December	15-May-18
117	Mountain Investment & Securities Limited	December	15-May-18
118	Network Capital Limited	December	15-May-18
119	Networth Securities & Finance Limited	December	15-May-18
120	Newdevco Investments & Securties Limited	December	15-May-18
121	Nigerian International Securities Ltd	December	15-May-18
122	Nigerian Stockbrokers Limited	December	15-May-18
123	PAC Securities Limited	December	15-May-18
124	Peace Capital Markets Ltd	December	15-May-18
125	Pilot Securities Limited	December	15-May-18
126	Pinefields Investment Services Limited	December	15-May-18
127	PIPC Securities Limited	December	15-May-18
128	Pivot Capital Limited	December	15-May-18
129	Planet Capital Limited	December	15-May-18
130	Portfolio Advisers Limited	December	15-May-18
131	Primera Africa Securities Limited	December	15-May-18

132	Primewealth Capital Limited	December	1E May 19
133	Prominent Securities Limited	December	15-May-18 15-May-18
134	PSI Securities Limited	December	-
135		December	15-May-18
	Pyramid Securities Limited		15-May-18
136 137	Qualinvest Capital Limited	December December	15-May-18
	Rainbow Securities & Investment Company Limited		15-May-18
138	Readings Investment Limited	December	15-May-18
139 140	Regency Asset Management Limited	December December	15-May-18
141	Rencap Securities (Nig) Limited Resort Securities & Trust Limited	December	15-May-18
141	Reward Investment & Service Limited	December	15-May-18
			15-May-18
143 144	RMB Nigeria Stockbrokers Limited Rostrum Investment & Sec Limited	December December	15-May-18
			15-May-18
145 146	Rowet Capital Management Limited	December December	15-May-18
	Royal Crest Finance Limited		15-May-18
147 148	Royal Guaranty & Trust Limited  Royal Trust Securities Limited	December December	15-May-18 15-May-18
149	Sankore Securities Limited	December	,
150	Sankore Securities Limited Santrust Securities Limited	December	15-May-18 15-May-18
151	Securities Africa Financial Limited	December	15-May-18
152	Securities and Capital Management Company Limited	December	15-May-18
153	Security Swaps Limited	December	15-May-18
154	SFC Securities Limited	December	15-May-18
155	Shalom Investment and Financial Services Limited	December	15-May-18
156	Shelong Investments Limited	December	15-May-18
157	Sigma Securities Limited	December	15-May-18
158	Signet Investment & Securities Limited	December	15-May-18
159	Skyview Capital Limited	December	15-May-18
160	Smadac Securities Limited	December	15-May-18
161	Solid Rock Securities & Investment Plc	December	15-May-18
162	Spring Trust & Securities Limited	December	15-May-18
163	Springboard Trust & Investment Limited	December	15-May-18
164	Stanbic IBTC Stockbrokers Limited	December	15-May-18
165	Standard Union Securities Limited	December	15-May-18
166	TFS Securities & Investment Company Limited	December	15-May-18
167	The Bridge Securities Limited	December	15-May-18
168	Tiddo Securities Limited	December	15-May-18
169	Tomil Trust Limited	December	15-May-18
170	Topmost Securities Limited	December	15-May-18
171	Tower Securities & Investment Company Limited	December	15-May-18
172	Trade Link Securities Limited	December	15-May-18
173	Traders Trust & Investment Co. Limited	December	15-May-18
174	Transworld Investment Limited	December	15-May-18
175	Trust Yield Securities Limited	December	15-May-18
176	TrustBanc Capital Management Limited	December	15-May-18
177	Trusthouse Investment Limited	December	15-May-18
178	TRW Stockbrokers Limited	December	15-May-18

179	Tyndale Securities Limited	December	15-May-18
180	UIDC Securities Limited	December	15-May-18
181	Unex Capital Limited	December	15-May-18
182	Union Capital Markets Limited	December	15-May-18
183	United Capital Securities Limited	December	15-May-18
184	Valmon Securities Limited	December	15-May-18
185	Valueline Securities & Investment Limited	December	15-May-18
186	Vetiva Securities Limited	December	15-May-18
187	Woodland Capital Markets Plc	December	15-May-18
188	WSTC Securities Limited	December	15-May-18
189	Zenith Securities Limited	December	15-May-18
190	Zion Stockbrokers & Securities Limited	December	15-May-18

INACTIVE AND SUBMITTED			
S/N	FIRM	Year End	Due Date
1	Clearview Investments Company Limited	December	15-May-18
2	Gem Assets Management Limited	December	15-May-18
3	Nova Finance & Securities Limited	December	15-May-18

	ACTIVE AND NOT SUBMITTED			
S/N	FIRM	Year End	Due Date	
1	Adonai Stockbrokers Limited	December	15-May-18	
2	CLG Stockbrokers Limited	December	15-May-18	

NOT REQUIRED TO SUBMIT DUE TO INACTIVATED LICENSE			
S/N	FIRM	Year End	Due Date
1	Nonsuch Securities Limited formerly Wema Asset Manageme	December	15-May-18

	INACTIVE AND NOT SUBMITTED			
S/N	FIRM	Year End	Due Date	
1	Adamawa Securities Limited	December	15-May-18	
2	Aims Asset Management Limited	December	15-May-18	
3	Amyn Investment Limited	December	15-May-18	
4	Cadington Securities Limited	December	15-May-18	
5	CEB Securities Limited	December	15-May-18	
6	ECL Asset Management Limited	December	15-May-18	
7	Finbank Securities & Assets Management Limited	December	15-May-18	
8	GMT Securities & Asset Mangement Limited	December	15-May-18	
9	Gombe Securities Limited	December	15-May-18	
10	Horizon Stockbrokers Limited	December	15-May-18	
11	International Standard Securities Limited	December	15-May-18	

12	Investment Shark & Asset Mgt Ltd	December	15-May-18
13	ITIS Securities Limited	December	15-May-18
14	Kakawa Asset Management Limited	December	15-May-18
15	Lion Stockbrokers Limited	December	15-May-18
16	LMB Stockbrokers Limited	December	15-May-18
17	Mact Securities Limited	December	15-May-18
18	Maven Asset Management Limited	December	15-May-18
19	Mercov Securities Limited	December	15-May-18
20	Midpoint Capital Limited	December	15-May-18
21	ML Securities Limited	December	15-May-18
22	Monument Sec & Finance Limited	December	15-May-18
23	Mutual Alliance Investment & Securities Limited	December	15-May-18
24	Profund Securities Limited	December	15-May-18
25	Quantum Securities Limited	December	15-May-18
26	Resano Securities Limited	December	15-May-18
27	Standard Alliance Capital Asset Mgt Limited	December	15-May-18
28	Supra Commercial Trust Company Limited	December	15-May-18
29	Tower Asset Management Limited	December	15-May-18
30	Transafrica Financial Services Limited	December	15-May-18





### FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 30 SEPTEMBER 2018

#### **ACTIVE AND SUBMITTED** S/N **FIRMS Year End Due date Afrinvest Securities Limited** December 14-Nov-18 1 2 **Anchoria Investment & Securities Limited** December 14-Nov-18 3 **Apt Securities & Funds Limited** December 14-Nov-18 4 **ARM Securities Limited** December 14-Nov-18 5 Arthur Stevens Asset Management Limited December 14-Nov-18 6 **Belfry Investment & Securities Limited** December 14-Nov-18 7 **Bestworth Assets & Trust Limited** December 14-Nov-18 8 Calyx Securities Limited December 14-Nov-18 9 Capital Bancorp Plc December 14-Nov-18 14 Chartwell Securities Limited December 14-Nov-18 15 Core Securities Limited December 14-Nov-18 16 Coronation Securities Limited December 14-Nov-18 17 Cowry Securities Limited December 14-Nov-18 18 **Crossworld Securities Limited** December 14-Nov-18 19 CSL Stockbrokers Limited December 14-Nov-18 20 **Deep Trust Investment Limited** December 14-Nov-18 **Delords Securities Limited** 14-Nov-18 21 December 14-Nov-18 22 **DSU Brokerage Services Limited** December 23 **Dynamic Portfolio Limited** 14-Nov-18 December 24 **EDC Securities Limited** December 14-Nov-18 25 **EFCP Limited** December 14-Nov-18 26 El-Elyon Alliance and Securities Ltd December 14-Nov-18 27 **Equity Capital Solutions Limited** December 14-Nov-18 **Express Portfolio Services Limited** 28 December 14-Nov-18 Financial Trust Company Limited December 14-Nov-18 29 30 Finmal Finance Services Limited December 14-Nov-18 31 First Integrated Capital Manangement Limited December 14-Nov-18 32 **FIS Securities Limited** December 14-Nov-18 33 Foresight Securities & Investment Limited December 14-Nov-18 34 Fortress Capital Limited December 14-Nov-18 35 **FSDH Securities Limited** December 14-Nov-18 36 Funds Matrix & Assets Mgt Limited December 14-Nov-18 37 **Futureview Securities Limited** December 14-Nov-18 38 Gidauniya Investment & Securities Limited December 14-Nov-18 39 **Growth & Development Asset Management Limited** December 14-Nov-18 40 **Gruene Capital Limited** December 14-Nov-18 **GTI Securities Limited** December 41 14-Nov-18

42	Heartbeat Investment Limited	December	14-Nov-18
43	Helix Securities Limited	December	14-Nov-18
44	Heritage Capital Markets Limited	December	14-Nov-18
45	Imperial Asset Managers Limited	December	14-Nov-18
46	Investment One Stockbrokers International Limited	December	14-Nov-18
47	Lead Securities & Investment Limited	December	14-Nov-18
48	Osborne Capital Markets Limited (Formerly Longterm Global	December	14-Nov-18
49	Magnartis Finance & Investment Limited	December	14-Nov-18
50	Mainstreet Bank Securities Limited	December	14-Nov-18
51	Mega Equities Limited	December	14-Nov-18
52	Meristem Stockbrokers Limited	December	14-Nov-18
53	Milestone Capital Management Limited	December	14-Nov-18
54	Mission Securities Limited	December	14-Nov-18
55	Morgan Capital Securities Limited	December	14-Nov-18
56	Network Capital Limited	December	14-Nov-18
57	Newdevco Investments & Securties Limited	December	14-Nov-18
58	Nigerian International Securities Ltd	December	14-Nov-18
59	Nova Finance & Securities Limited	December	14-Nov-18
60	PAC Securities Limited	December	14-Nov-18
61	Pilot Securities Limited	December	14-Nov-18
62	PIPC Securities Limited	December	14-Nov-18
63	Pivot Capital Limited	December	14-Nov-18
64	PSI Securities Limited	December	14-Nov-18
65	Readings Investment Limited	December	14-Nov-18
66	Regency Asset Management Limited	December	14-Nov-18
67	Rencap Securities (Nig) Limited	December	14-Nov-18
68	Reward Investment & Service Limited	December	14-Nov-18
69	RMB Nigeria Stockbrokers Limited	December	14-Nov-18
70	Rostrum Investment & Sec Limited	December	14-Nov-18
71	Royal Crest Finance Limited	December	14-Nov-18
72	Sankore Securities Limited (formerly Diamond)	December	14-Nov-18
73	Securities and Capital Management Company Limited	December	14-Nov-18
74	Shalom Investment and Financial Services Limited	December	14-Nov-18
75	Shelong Investments Limited	December	14-Nov-18
76	Signet Investment & Securities Limited	December	14-Nov-18
77	Skyview Capital Ltd	December	14-Nov-18
78	Solid Rock Securities & Investment Plc	December	14-Nov-18
79	Springboard Trust & Investment Limited	December	14-Nov-18
80	Standard Union Securities Limited	December	14-Nov-18
81	Traders Trust & Investment Co. Limited	December	14-Nov-18
82	Trust Page Capital Management Limited	December	14-Nov-18
83 84	TrustBanc Capital Management Limited TRW Stockbrokers Limited	December December	14-Nov-18 14-Nov-18
85	Tyndale Securities Limited	December	14-Nov-18 14-Nov-18
86	Unex Capital Limited	December	14-Nov-18
87	Union Capital Markets Limited	December	14-Nov-18
88	United Capital Securities Limited	December	14-Nov-18
οŏ	Officed Capital Securities Lifflited	December	14-1101-19

00	V-1 C 20'1'0'1	D	44.11
89	Valmon Securities Limited	December	14-Nov-18
90	Vetiva Securities Limited	December	14-Nov-18
91	WSTC Financial Services Limited	December	14-Nov-18
92	Zenith Securities Limited	December	14-Nov-18
93	Zion Stockbrokers & Securities Limited	December	14-Nov-18
94	Apel Asset Limited	December	14-Nov-18
95	Capital Trust Brokers Limited	December	14-Nov-18
96	Dunn Loren Merrifield Securities Limited	December	14-Nov-18
97	Falcon Securities Limited	December	14-Nov-18
98	Fidelity Finance Company Limited	December	14-Nov-18
99	Kapital Care Trust & Securities Limited	December	14-Nov-18
100	Lambeth Trust & Investment Company Limited	December	14-Nov-18
101	Molten Trust Limited	December	14-Nov-18
102	Prominent Securities Limited	December	14-Nov-18
103	Santrust Securities Limited	December	14-Nov-18
104	Sigma Securities Limited	December	14-Nov-18
105	Transworld Investment Limited	December	14-Nov-18
106	Fidelity Securities Limited	December	14-Nov-18
107	Capital Express Securities Limited	December	14-Nov-18
107	Dominion Trust Limited	December	14-Nov-18
			14-Nov-18
109	Investors & Trust Company Limited	December	
110	Planet Capital Limited	December	14-Nov-18
111	Trusthouse Investment Limited	December	14-Nov-18
112	Pyramid Securities Limited	December	14-Nov-18
113	Atlass Portfolio Limited	December	14-Nov-18
114	Elixir Securities Limited	December	14-Nov-18
115	Kofana Securities & Investment Limited	December	14-Nov-18
116	Networth Securities & Finance Limited	December	14-Nov-18
117	Nigerian Stockbrokers Limited	December	14-Nov-18
118	Primewealth Capital Limited	December	14-Nov-18
119	UIDC Securities Limited	December	14-Nov-18
120	Capital Asset Limited	December	14-Nov-18
121	Pinefields Investment Services Limited	December	14-Nov-18
122	The Bridge Securities Limited	December	14-Nov-18
123	Stanbic IBTC Stockbrokers Limited	December	14-Nov-18
124	Bauchi Investment Corp. Sec Limited	December	14-Nov-18
125	Centre Point Investment Limited	December	14-Nov-18
126	Securities Africa Financial Limited	December	14-Nov-18
127	Tiddo Securities Limited	December	14-Nov-18
128	Associated Asset Managers Limited	December	14-Nov-18
129	Crown Capital Limited	December	14-Nov-18
130	Enterprise Stockbrokers Limited	December	14-Nov-18
131	Eurocomm Securities Limited	December	14-Nov-18
132	FBC Trust & Securities Limited	December	14-Nov-18
133	GlobalView Capital Limited	December	14-Nov-18
134	Greenwich Securities Limited	December	14-Nov-18
135	Integrated Trust & Investment Limited	December	14-Nov-18
133	integrated trast & investment climited	December	T4-140A-T0

406	Tu		44.11 40
136	Kundila Finance Services Limited	December	14-Nov-18
137	Marriot Securities & Investment Co. Limited	December	14-Nov-18
138	Rainbow Securities & Investment Company Limited	December	14-Nov-18
139	Royal Guaranty & Trust Limited	December	14-Nov-18
140	Spring Trust & Securities Limited	December	14-Nov-18
141	Trade Link Securities Limited	December	14-Nov-18
142	FBNQuest Securities Limited	December	14-Nov-18
143	Global Asset Management (Nig) Limited	December	14-Nov-18
144	Portfolio Advisers Limited	December	14-Nov-18
145	Primera Africa Securities Limited	December	14-Nov-18
146	Royal Trust Securities Limited	December	14-Nov-18
147	FCSL Asset Management Company Limited	December	14-Nov-18
148	Compass Investment & Securities Limited	December	14-Nov-18
149	Resort Securities & Trust Limited	December	14-Nov-18
150	Cordros Securities Limited	December	14-Nov-18
151	Qualinvest Capital Limited	December	14-Nov-18
152	Cashcraft Securities Limited	December	14-Nov-18
153	Crane Securities Limited	December	14-Nov-18
154	Dunbell Securities Limited	December	14-Nov-18
155	Fundvine Capital & Securities Limited	December	14-Nov-18
156	Harmony Securities Limited	December	14-Nov-18
157	Interstate Securities Limited	December	14-Nov-18
158	Mayfield Investment Limited	December	14-Nov-18
159	MBL Financial Services Limited	December	14-Nov-18
160	Midas Stockbrokers Limited	December	14-Nov-18
161	Security Swaps Limited	December	14-Nov-18
162	Topmost Securities Limited	December	14-Nov-18
163	Trust Yield Securities Limited	December	14-Nov-18
164	Valueline Securities & Investment Limited	December	14-Nov-18
165	Edgefield Capital Management Limited	December	14-Nov-18
166	MBC Securities Limited	December	14-Nov-18
167	Icon Stockbrokers Limited	December	14-Nov-18
168	Hedge Securities & Investment Limited	December	14-Nov-18
169	Rowet Capital Management Limited	December	14-Nov-18
170	Cashville Investment & Securities Limited	December	14-Nov-18
171	Forthright Securities & Investments Limited	December	14-Nov-18
172	ICMG Securities Limited	December	14-Nov-18
173	Kedari Capital Limited	December	14-Nov-18
174	Lighthouse Asset Management Limited	December	14-Nov-18
175	Smadac Securities Limited	December	14-Nov-18
176	Citi Investment Capital Limited	December	14-Nov-18
177	Woodland Capital Markets Plc	December	14-Nov-18
178	City Code Trust & Investment Company Limited	December	14-Nov-18
179	Premium Capital & Stockbrokers Limited (Fomerly SFC Securi	December	14-Nov-18
180	First Stockbrokers Limited	December	14-Nov-18
181	Golden Securities Limited	December	14-Nov-18
182	Tower Securities & Investment Company Limited	December	14-Nov-18

183	Financial & Analytics Capital Limited	December	14-Nov-18
184	Mountain Investment & Securities Limited	December	14-Nov-18
185	Peace Capital Markets Ltd	December	14-Nov-18
186	TFS Securities & Investment Company Limited	December	14-Nov-18
187	Kinley Securities Limited	December	14-Nov-18

	INACTIVE AND SUBMITTED			
S/N	FIRM	Year End	Due Date	
1	Clearview Investments Company Limited	December	14-Nov-18	
2	Marimpex Finance & Investment Company Limited	December	14-Nov-18	

	ACTIVE AND NOT SUBMITTED			
S/N	Firms	Year End	Due Date	
1	Camry Securities Limited	December	14-Nov-18	
2	Forte Financial Limited	December	14-Nov-18	
3	Maxifund Investment & Securities Plc	December	14-Nov-18	

NOT REQUIRED TO SUBMIT DUE TO INACTIVATED LICENSE				
S/N FIRM Year End Due Date				

	INACTIVE AND NOT SUBMITTED			
S/N	FIRM	Year End	Due Date	
1	Adamawa Securities Limited	December	14-Nov-18	
2	Aims Asset Management Limited	December	14-Nov-18	
3	Amyn Investment Limited	December	14-Nov-18	
4	Cadington Securities Limited	December	14-Nov-18	
5	CEB Securities Limited	December	14-Nov-18	
6	ECL Asset Management Limited	December	14-Nov-18	
7	Finbank Securities & Assets Management Limited	December	14-Nov-18	
8	Gem Assets Management Limited	December	14-Nov-18	

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9	GMT Securities & Asset Mangement Limited	December	14-Nov-18
10	Gombe Securities Limited	December	14-Nov-18
11	Horizon Stockbrokers Limited	December	14-Nov-18
12	International Standard Securities Limited	December	14-Nov-18
13	Investment Shark & Asset Mgt Ltd	December	14-Nov-18
14	ITIS Securities Limited	December	14-Nov-18
15	Kakawa Asset Management Limited	December	14-Nov-18
16	Lion Stockbrokers Limited	December	14-Nov-18
17	LMB Stockbrokers Limited	December	14-Nov-18
18	Mact Securities Limited	December	14-Nov-18
19	Maven Asset Management Limited	December	14-Nov-18
20	Mercov Securities Limited	December	14-Nov-18
21	Midpoint Capital Limited	December	14-Nov-18
22	ML Securities Limited	December	14-Nov-18
23	Monument Sec & Finance Limited	December	14-Nov-18
24	Mutual Alliance Investment & Securities Limited	December	14-Nov-18
25	Profund Securities Limited	December	14-Nov-18
26	Quantum Securities Limited	December	14-Nov-18
27	Resano Securities Limited	December	14-Nov-18
28	Standard Alliance Capital Asset Mgt Limited	December	14-Nov-18
29	Supra Commercial Trust Company Limited	December	14-Nov-18
30	Tower Asset Management Limited	December	14-Nov-18
31	Transafrica Financial Services Limited	December	14-Nov-18
32	CLG Stockbrokers Limited	December	14-Nov-18
33	Adonai Stockbrokers Limited	December	14-Nov-18





### FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 31 DECEMBER 2018

	ACTIVE AND SUBMITTED			
S/N	FIRMS	Year End	Due date	
1	Afrinvest Securities Limited	December	30-Jan-19	
2	Anchoria Investment & Securities Limited	December	30-Jan-19	
3	Apel Asset Limited	December	30-Jan-19	
4	Apt Securities & Funds Limited	December	30-Jan-19	
5	ARM Securities Limited	December	30-Jan-19	
6	Arthur Stevens Asset Management Limited	December	30-Jan-19	
7	Associated Asset Managers Limited	December	30-Jan-19	
8	Atlass Portfolio Limited	December	30-Jan-19	
9	Barclays Stockbrokers Nigeria Limited	December	30-Jan-19	
10	Bauchi Investment Corp. Sec Limited	December	30-Jan-19	
11	Belfry Investment & Securities Limited	December	30-Jan-19	
12	Bestworth Assets & Trust Limited	December	30-Jan-19	
13	Calyx Securities Limited	December	30-Jan-19	
14	Camry Securities Limited	December	30-Jan-19	
15	Capital Asset Limited	December	30-Jan-19	
16	Capital Bancorp Plc	December	30-Jan-19	
17	Capital Express Securities Limited	December	30-Jan-19	
18	Capital Trust Brokers Limited	December	30-Jan-19	
19	Cardinal Stone Securities Ltd	December	30-Jan-19	
20	Cashcraft Securities Limited	December	30-Jan-19	
21	Cashville Investment & Securities Limited	December	30-Jan-19	
22	CDL Capital Markets Limited	December	30-Jan-19	
23	Centre Point Investment Limited	December	30-Jan-19	
24	Century Securities Limited	December	30-Jan-19	
25	Chapel Hill Denham Securities Limited	December	30-Jan-19	
26	Chartwell Securities Limited	December	30-Jan-19	
27	Citi Investment Capital Limited	December	30-Jan-19	
28	City Code Trust & Investment Company Limited	December	30-Jan-19	
29	Compass Investment & Securities Limited	December	30-Jan-19	
30	Cordros Securities Limited	December	30-Jan-19	
31	Core Securities Limited	December	30-Jan-19	
32	Coronation Securities Limited	December	30-Jan-19	
33	Cowry Securities Limited	December	30-Jan-19	
34	Crane Securities Limited	December	30-Jan-19	
35	Crossworld Securities Limited	December	30-Jan-19	
36	Crown Capital Limited	December	30-Jan-19	
37	CSL Stockbrokers Limited	December	30-Jan-19	

Deep Trust Investment Limited   December   30-Jan-19		I	- 1	22 1 12
40         Dominion Trust Limited         December         30-Jan-19           41         DSU Brokerage Services Limited         December         30-Jan-19           42         Dunbell Securities Limited         December         30-Jan-19           43         Dunn Loren Merifield Securities Limited         December         30-Jan-19           44         Dynamic Portfolio Limited         December         30-Jan-19           45         EDC Securities Limited         December         30-Jan-19           46         Edgefield Capital Management Limited         December         30-Jan-19           47         EFCP Limited         December         30-Jan-19           48         EFG Hermes Nigeria Limited         December         30-Jan-19           49         El-Eiyon Alliance and Securities Ltd         December         30-Jan-19           50         Eikir Securities Limited         December         30-Jan-19           51         Enterprise Stockbrokers Limited         December         30-Jan-19           52         Equity Capital Solutions Limited         December         30-Jan-19           53         Eurocomm Securities Limited         December         30-Jan-19           54         Express Portfolio Services Limited         December         <				
41         DSU Brokerage Services Limited         December         30-Jan-19           42         Dunbell Securities Limited         December         30-Jan-19           43         Dunn Loren Merifield Securities Limited         December         30-Jan-19           44         Dynamic Portfolio Limited         December         30-Jan-19           45         EDC Securities Limited         December         30-Jan-19           46         Edgefield Capital Management Limited         December         30-Jan-19           47         EFCP Limited         December         30-Jan-19           48         EFG Hermes Nigeria Limited         December         30-Jan-19           49         ELFLyon Alliance and Securities Limited         December         30-Jan-19           50         Elikir Securities Limited         December         30-Jan-19           51         Enterprise Stockbrokers Limited         December         30-Jan-19           52         Equity Capital Solutions Limited         December         30-Jan-19           53         Eurocomm Securities Limited         December         30-Jan-19           54         Express Portfolio Services Limited         December         30-Jan-19           55         FBC Trust & Securities Limited         December <td></td> <td></td> <td></td> <td></td>				
42         Dunn Loren Merifield Securities Limited         December         30-Jan-19           43         Dunn Loren Merifield Securities Limited         December         30-Jan-19           44         Dynamic Portfolio Limited         December         30-Jan-19           45         EDC Securities Limited         December         30-Jan-19           46         Edgefield Capital Management Limited         December         30-Jan-19           47         EFCP Limited         December         30-Jan-19           48         EFG Hermes Nigeria Limited         December         30-Jan-19           49         El-Elyon Alliance and Securities Ltd         December         30-Jan-19           50         Elixir Securities Limited         December         30-Jan-19           51         Enterprise Stockbrokers Limited         December         30-Jan-19           52         Equity Capital Solutions Limited         December         30-Jan-19           53         Eurocomm Securities Limited         December         30-Jan-19           54         Express Portfolio Services Limited         December         30-Jan-19           55         Falcon Securities Limited         December         30-Jan-19           56         FBC Trust & Securities Limited         Decemb				
43         Dunn Loren Merrifield Securities Limited         December         30-Jan-19           44         Dynamic Portfolio Limited         December         30-Jan-19           45         EDC Securities Limited         December         30-Jan-19           46         Edgefield Capital Management Limited         December         30-Jan-19           47         EFCP Limited         December         30-Jan-19           48         El-Elyon Alliance and Securities Ltd         December         30-Jan-19           50         Elikir Securities Limited         December         30-Jan-19           51         Enterprise Stockbrokers Limited         December         30-Jan-19           52         Equity Capital Solutions Limited         December         30-Jan-19           53         Eurocomm Securities Limited         December         30-Jan-19           54         Express Portfolio Services Limited         December         30-Jan-19           55         Falcon Securities Limited         December         30-Jan-19           56         FBC Trust & Securities Limited         December         30-Jan-19           57         FBNQuest Securities Limited         December         30-Jan-19           58         FGCSL Asset Management Company Limited         Decem				
44 Dynamic Portfolio Limited December 30-Jan-19 45 EDC Securities Limited December 30-Jan-19 46 Edgefield Capital Management Limited December 30-Jan-19 47 EFCP Limited December 30-Jan-19 48 EFG Hermes Nigeria Limited December 30-Jan-19 49 EI-Elyon Alliance and Securities Ltd December 30-Jan-19 50 Elikir Securities Limited December 30-Jan-19 51 Enterprise Stockbrokers Limited December 30-Jan-19 52 Equity Capital Solutions Limited December 30-Jan-19 53 Eurocomm Securities Limited December 30-Jan-19 54 Express Portfolio Services Limited December 30-Jan-19 55 Falcon Securities Limited December 30-Jan-19 56 FBC Trust & Securities Limited December 30-Jan-19 57 FBNQuest Securities Limited December 30-Jan-19 58 FCSL Asset Management Company Limited December 30-Jan-19 59 Fidelity Finance Company Limited December 30-Jan-19 60 Financial & Analytics Capital Limited December 30-Jan-19 61 Financial Trust Company Limited December 30-Jan-19 62 Finmal Finance Services Limited December 30-Jan-19 63 First Integrated Capital Manangement Limited December 30-Jan-19 64 First Stockbrokers Limited December 30-Jan-19 65 Fils Securities Limited December 30-Jan-19 66 Foresight Securities & Investment Limited December 30-Jan-19 67 Forte Financial Limited December 30-Jan-19 68 Forthright Securities & Investment Limited December 30-Jan-19 69 Fortes Capital Limited December 30-Jan-19 60 Fortesight Securities & Investment Limited December 30-Jan-19 61 Financial Limited December 30-Jan-19 62 Forthright Securities & Investment Limited December 30-Jan-19 63 Forthright Securities & Investment Limited December 30-Jan-19 64 Foresight Securities Limited December 30-Jan-19 65 FOrthright Securities Limited December 30-Jan-19 66 Foresight Securities Limited December 30-Jan-19 67 Forte Financial Limited December 30-Jan-19 68 Forthright Securities Limited December 30-Jan-19 69 Fortress Capital Limited December 30-Jan-19 70 Global Asset Management (Nig) Limited December 30-Jan-19 71 Forthright Securities Limited December 30-Jan-19 72 Global Asset Managemen				
45 EDC Securities Limited December 30-Jan-19 46 Edgefield Capital Management Limited December 30-Jan-19 47 EFCP Limited December 30-Jan-19 48 EFG Hermes Nigeria Limited December 30-Jan-19 49 El-Elyon Alliance and Securities Ltd December 30-Jan-19 50 Elixir Securities Limited December 30-Jan-19 51 Enterprise Stockbrokers Limited December 30-Jan-19 52 Equity Capital Solutions Limited December 30-Jan-19 53 Eurocomm Securities Limited December 30-Jan-19 54 Express Portfolio Services Limited December 30-Jan-19 55 Falcon Securities Limited December 30-Jan-19 56 FBC Trust & Securities Limited December 30-Jan-19 57 FBNQuest Securities Limited December 30-Jan-19 58 FCSL Asset Management Company Limited December 30-Jan-19 59 Fidelity Finance Company Limited December 30-Jan-19 60 Financial & Analytics Capital Limited December 30-Jan-19 61 Financial Trust Company Limited December 30-Jan-19 62 Finmal Finance Services Limited December 30-Jan-19 63 First Integrated Capital Manangement Limited December 30-Jan-19 64 First Stockbrokers Limited December 30-Jan-19 65 FiS Securities Limited December 30-Jan-19 66 Foresight Securities Limited December 30-Jan-19 67 Forte Financial Limited December 30-Jan-19 68 Forter Stockbrokers Limited December 30-Jan-19 69 Forter Stockbrokers Limited December 30-Jan-19 60 Foresight Securities Limited December 30-Jan-19 61 Financial Services Limited December 30-Jan-19 62 Financial Limited December 30-Jan-19 63 First Stockbrokers Limited December 30-Jan-19 64 First Stockbrokers Limited December 30-Jan-19 65 FORTE Financial Limited December 30-Jan-19 66 Foresight Securities Limited December 30-Jan-19 67 Forte Financial Limited December 30-Jan-19 68 Forthright Securities Limited December 30-Jan-19 69 Forter Scapital Limited December 30-Jan-19 70 FSDH Securities Limited December 30-Jan-19 71 FSL Securities Limited December 30-Jan-19 72 Funds Matrix & Assets Mgt Limited December 30-Jan-19 73 Giobal Asset Management (Nig) Limited December 30-Jan-19 74 Giodan Securities Limited December 30-Jan-19 75 Gidaun				
46         Edgefield Capital Management Limited         December         30-Jan-19           47         EFCP Limited         December         30-Jan-19           48         EFG Hermes Nigeria Limited         December         30-Jan-19           49         El-Elyon Alliance and Securities Ltd         December         30-Jan-19           50         Elixir Securities Limited         December         30-Jan-19           51         Enterprise Stockbrokers Limited         December         30-Jan-19           52         Equity Capital Solutions Limited         December         30-Jan-19           53         Eurocomm Securities Limited         December         30-Jan-19           54         Express Portfolio Services Limited         December         30-Jan-19           55         Falcon Securities Limited         December         30-Jan-19           56         FBC Trust & Securities Limited         December         30-Jan-19           57         FBNQuest Securities Limited         December         30-Jan-19           58         FCSL Asset Management Company Limited         December         30-Jan-19           59         Fidelity Finance Company Limited         December         30-Jan-19           60         Financial & Analytics Capital Limited <t< td=""><td>44</td><td>,</td><td>December</td><td></td></t<>	44	,	December	
### EFCP Limited   December   30-Jan-19   ### EFG Hermes Nigeria Limited   December   30-Jan-19   ### EFG Hermes Nigeria Limited   December   30-Jan-19   ### EFLEJyon Alliance and Securities Ltd   December   30-Jan-19   ### EFLEJYON Alliance and Securities Ltd   December   30-Jan-19   ### EFLEJYON Alliance and Securities Limited   December   30-Jan-19   ### EFLEJYON Alliance Strukted   December   30-Jan-19   ### EFLEJY	45	EDC Securities Limited	December	30-Jan-19
### ### ##############################	46	Edgefield Capital Management Limited	December	30-Jan-19
49       El-Elyon Alliance and Securities Ltd       December       30-Jan-19         50       Elixir Securities Limited       December       30-Jan-19         51       Enterprise Stockbrokers Limited       December       30-Jan-19         52       Equity Capital Solutions Limited       December       30-Jan-19         53       Eurocomm Securities Limited       December       30-Jan-19         54       Express Portfolio Services Limited       December       30-Jan-19         55       Falcon Securities Limited       December       30-Jan-19         56       FBC Trust & Securities Limited       December       30-Jan-19         57       FBNQuest Securities Limited       December       30-Jan-19         58       FCSL Asset Management Company Limited       December       30-Jan-19         59       Fidelity Finance Company Limited       December       30-Jan-19         60       Financial Ranalytics Capital Limited       December       30-Jan-19         61       Financial Trust Company Limited       December       30-Jan-19         62       Finmal Finance Services Limited       December       30-Jan-19         63       First Integrated Capital Manangement Limited       December       30-Jan-19         64	47	EFCP Limited	December	
Elixir Securities Limited   December   30-Jan-19	48	EFG Hermes Nigeria Limited	December	30-Jan-19
Enterprise Stockbrokers Limited  Equity Capital Solutions Limited  Equity Capital Solutions Limited  Express Portfolio Services Limited  December  30-Jan-19  56 FBC Trust & Securities Limited  December  30-Jan-19  57 FBNQuest Securities Limited  December  30-Jan-19  58 FCSL Asset Management Company Limited  December  30-Jan-19  59 Fidelity Finance Company Limited  December  30-Jan-19  60 Financial & Analytics Capital Limited  December  30-Jan-19  61 Financial Trust Company Limited  December  30-Jan-19  62 Finmal Finance Services Limited  December  30-Jan-19  63 First Integrated Capital Manangement Limited  December  30-Jan-19  64 First Stockbrokers Limited  December  30-Jan-19  65 FIS Securities Limited  December  30-Jan-19  66 Foresight Securities & Investment Limited  December  30-Jan-19  67 Forte Financial Limited  December  30-Jan-19  68 Forthright Securities & Investment Limited  December  30-Jan-19  69 Fortress Capital Limited  December  30-Jan-19  70 FSD Securities Limited  December  30-Jan-19  71 FSL Securities Limited  December  30-Jan-19  72 Funds Matrix & Assets Mgt Limited  December  30-Jan-19  73 Fundvine Capital & Securities Limited  December  30-Jan-19  74 Futureview Securities Limited  December  30-Jan-19  75 Gildauniya Investment & Securities Limited  December  30-Jan-19  76 Gildauniya Investment & Securities Limited  December  30-Jan-19  77 GlobalView Capital Limited  December  30-Jan-19  78 Golden Securities Limited  December  30-Jan-19  79 Greenwich Securities Limited  December  30-Jan-19  70 FSD Securities Limited  December  30-Jan-19  71 GlobalView Capital Limited  December  30-Jan-19  72 Funds Matrix & Assets Mgt Limited  December  30-Jan-19  73 Fundvine Capital Limited  December  30-Jan-19  74 Global Asset Management (Nig) Limited  December  30-Jan-19  76 Global New Capital Limited  December  30-Jan-19  77 GlobalView Capital Limited  December  30	49	El-Elyon Alliance and Securities Ltd	December	30-Jan-19
Equity Capital Solutions Limited  Eurocomm Securities Limited  Express Portfolio Services Limited  December  30-Jan-19  54 Express Portfolio Services Limited  December  30-Jan-19  55 Falcon Securities Limited  December  30-Jan-19  56 FBC Trust & Securities Limited  December  30-Jan-19  57 FBNQuest Securities Limited  December  30-Jan-19  58 FCSL Asset Management Company Limited  December  30-Jan-19  59 Fidelity Finance Company Limited  December  30-Jan-19  60 Financial & Analytics Capital Limited  December  30-Jan-19  61 Financial Trust Company Limited  December  30-Jan-19  62 Finmal Finance Services Limited  December  30-Jan-19  63 First Integrated Capital Manangement Limited  December  30-Jan-19  64 First Stockbrokers Limited  December  30-Jan-19  65 FIS Securities Limited  December  30-Jan-19  66 Foresight Securities & Investment Limited  December  30-Jan-19  67 Forte Financial Limited  December  30-Jan-19  68 Forthright Securities & Investments Limited  December  30-Jan-19  70 FSDH Securities Limited  December  30-Jan-19  71 FSL Securities Limited  December  30-Jan-19  72 Funds Matrix & Assets Mgt Limited  December  30-Jan-19  73 Fundvine Capital & Securities Limited  December  30-Jan-19  74 Futureview Securities Limited  December  30-Jan-19  75 Gidauniya Investment & Securities Limited  December  30-Jan-19  76 Global Asset Management (Nig) Limited  December  30-Jan-19  77 GlobalView Capital Limited  December  30-Jan-19  78 Golden Securities Limited  December  30-Jan-19  79 Greenwich Securities Limited  December  30-Jan-19  78 Golden Securities Limited  December  30-Jan-19  79 Greenwich Securities Limited  December  30-Jan-19  80 Growth & December  30	50	Elixir Securities Limited	December	30-Jan-19
Eurocomm Securities Limited December 30-Jan-19 54 Express Portfolio Services Limited December 30-Jan-19 55 Falcon Securities Limited December 30-Jan-19 56 FBC Trust & Securities Limited December 30-Jan-19 57 FBNQuest Securities Limited December 30-Jan-19 58 FCSL Asset Management Company Limited December 30-Jan-19 59 Fidelity Finance Company Limited December 30-Jan-19 60 Financial & Analytics Capital Limited December 30-Jan-19 61 Financial Trust Company Limited December 30-Jan-19 62 Finmal Finance Services Limited December 30-Jan-19 63 First Integrated Capital Manangement Limited December 30-Jan-19 64 First Stockbrokers Limited December 30-Jan-19 65 FIS Securities & Investment Limited December 30-Jan-19 66 Foresight Securities & Investment Limited December 30-Jan-19 67 Forte Financial Limited December 30-Jan-19 68 Forthright Securities & Investments Limited December 30-Jan-19 69 Fortress Capital Limited December 30-Jan-19 70 FSDH Securities Limited December 30-Jan-19 71 FSL Securities Limited December 30-Jan-19 72 Funds Matrix & Assets Mgt Limited December 30-Jan-19 73 Fundvine Capital & Securities Limited December 30-Jan-19 74 Futureview Securities Limited December 30-Jan-19 75 Gidauniya Investment & Securities Limited December 30-Jan-19 76 Global Asset Management (Nig) Limited December 30-Jan-19 77 GlobalView Capital Limited December 30-Jan-19 78 Golden Securities Limited December 30-Jan-19 79 Greenwher Securities Limited December 30-Jan-19 70 FORD Securities Limited December 30-Jan-19 71 Global Nee Capital Limited December 30-Jan-19 72 Global Nee Capital Limited December 30-Jan-19 73 Global Nee Capital Limited December 30-Jan-19 74 Global Nee Capital Limited December 30-Jan-19 75 Global Nee Capital Limited December 30-Jan-19 76 Global Nee Capital Limited December 30-Jan-19 77 Global Nee Capital Limited December 30-Jan-19 78 Golden Securities Limited December 30-Jan-19 79 Greenwher Securities Limited December 30-Jan-19 80 Growth & Development Asset Management Limited December 30-Jan-19 81 Gruene Capital Limite	51	Enterprise Stockbrokers Limited	December	30-Jan-19
Express Portfolio Services Limited December 30-Jan-19 55 Falcon Securities Limited December 30-Jan-19 56 FBC Trust & Securities Limited December 30-Jan-19 57 FBNQuest Securities Limited December 30-Jan-19 58 FCSL Asset Management Company Limited December 30-Jan-19 59 Fidelity Finance Company Limited December 30-Jan-19 60 Financial & Analytics Capital Limited December 30-Jan-19 61 Financial Trust Company Limited December 30-Jan-19 62 Finmal Finance Services Limited December 30-Jan-19 63 First Integrated Capital Manangement Limited December 30-Jan-19 64 First Stockbrokers Limited December 30-Jan-19 65 FIS Securities Limited December 30-Jan-19 66 Foresight Securities & Investment Limited December 30-Jan-19 67 Forte Financial Limited December 30-Jan-19 68 Forthright Securities & Investments Limited December 30-Jan-19 69 Fortress Capital Limited December 30-Jan-19 70 FSDH Securities Limited December 30-Jan-19 71 FSL Securities Limited December 30-Jan-19 72 Funds Matrix & Assets Mgt Limited December 30-Jan-19 73 Fundvine Capital & Securities Limited December 30-Jan-19 74 Futureview Securities Limited December 30-Jan-19 75 Global Asset Management (Nig) Limited December 30-Jan-19 76 Global Asset Management (Nig) Limited December 30-Jan-19 77 Global View Capital Limited December 30-Jan-19 78 Golden Securities Limited December 30-Jan-19 79 Greenwich Securities Limited December 30-Jan-19 70 FORD Asset Management (Nig) Limited December 30-Jan-19 71 Global New Capital Limited December 30-Jan-19 72 Global New Capital Limited December 30-Jan-19 73 Global New Capital Limited December 30-Jan-19 74 Global New Capital Limited December 30-Jan-19 75 Global New Capital Limited December 30-Jan-19 76 Global New Capital Limited December 30-Jan-19 77 Global New Capital Limited December 30-Jan-19 78 Golden Securities Limited December 30-Jan-19 79 Greenwich Securities Limited December 30-Jan-19 80 Growth & Devember Asset Management Limited December 30-Jan-19 81 Gruene Capital Limited December 30-Jan-19 82 GTI Securities Limited December 3	52	Equity Capital Solutions Limited	December	30-Jan-19
Falcon Securities Limited December 30-Jan-19 FBC Trust & Securities Limited December 30-Jan-19 FBNQuest Securities Limited December 30-Jan-19 FFNQuest Securities Limited December 30-Jan-19 Fidelity Finance Company Limited December 30-Jan-19 Fidelity Finance Company Limited December 30-Jan-19 Financial & Analytics Capital Limited December 30-Jan-19 Financial Trust Company Limited December 30-Jan-19 Financial Trust Company Limited December 30-Jan-19 Financial Trust Company Limited December 30-Jan-19 First Integrated Capital Manangement Limited December 30-Jan-19 First Stockbrokers Limited December 30-Jan-19 FIS Securities Limited December 30-Jan-19 Forte Financial Limited December 30-Jan-19 Forte Financial Limited December 30-Jan-19 Forter Financial Limited December 30-Jan-19 Fortress Capital Limited December 30-Jan-19 FSDH Securities & Investments Limited December 30-Jan-19 FSDH Securities Limited December 30-Jan-19 FSDH Securities Limited December 30-Jan-19 Funds Matrix & Assets Mgt Limited December 30-Jan-19 Fundvine Capital & Securities Limited December 30-Jan-19 Fundvine Capital & Securities Limited December 30-Jan-19 Gidauniya Investment & Securities Limited December 30-Jan-19 Growth & December 30-Jan-19	53	Eurocomm Securities Limited	December	30-Jan-19
FBC Trust & Securities Limited  December  30-Jan-19  57 FBNQuest Securities Limited  December  30-Jan-19  58 FCSL Asset Management Company Limited  December  30-Jan-19  59 Fidelity Finance Company Limited  December  30-Jan-19  60 Financial & Analytics Capital Limited  December  30-Jan-19  61 Financial Trust Company Limited  December  30-Jan-19  62 Finmal Finance Services Limited  December  30-Jan-19  63 First Integrated Capital Manangement Limited  December  30-Jan-19  64 First Stockbrokers Limited  December  30-Jan-19  65 FIS Securities Limited  December  30-Jan-19  66 Foresight Securities & Investment Limited  December  30-Jan-19  67 Forte Financial Limited  December  30-Jan-19  68 Forthright Securities & Investments Limited  December  30-Jan-19  70 FSDH Securities Limited  December  30-Jan-19  71 FSL Securities Limited  December  30-Jan-19  72 Funds Matrix & Assets Mgt Limited  December  30-Jan-19  73 Fundvine Capital & Securities Limited  December  30-Jan-19  74 Futureview Securities Limited  December  30-Jan-19  75 Gidauniya Investment & Securities Limited  December  30-Jan-19  76 Global Asset Management (Nig) Limited  December  30-Jan-19  78 Golden Securities Limited  December  30-Jan-19  79 Greenwich Securities Limited  December  30-Jan-19  79 Greenwich Securities Limited  December  30-Jan-19  80 Growth & Development Asset Management Limited  December  30-Jan-19  81 Gruene Capital Limited  December  30-Jan-19  82 GTI Securities Limited  December  30-Jan-19  83 Harmony Securities Limited  December  30-Jan-19	54	Express Portfolio Services Limited	December	30-Jan-19
FBNQuest Securities Limited  December  SPOSL Asset Management Company Limited  December  Decembe	55	Falcon Securities Limited	December	30-Jan-19
FCSL Asset Management Company Limited  December  Fidelity Finance Company Limited  December  Dec	56	FBC Trust & Securities Limited	December	30-Jan-19
Fidelity Finance Company Limited  December  Sol-Jan-19  Financial & Analytics Capital Limited  December  D	57	FBNQuest Securities Limited	December	30-Jan-19
60Financial & Analytics Capital LimitedDecember30-Jan-1961Financial Trust Company LimitedDecember30-Jan-1962Finmal Finance Services LimitedDecember30-Jan-1963First Integrated Capital Manangement LimitedDecember30-Jan-1964First Stockbrokers LimitedDecember30-Jan-1965FIS Securities LimitedDecember30-Jan-1966Fortes Financial LimitedDecember30-Jan-1967Forte Financial LimitedDecember30-Jan-1968Forthright Securities & Investments LimitedDecember30-Jan-1969Fortress Capital LimitedDecember30-Jan-1970FSDH Securities LimitedDecember30-Jan-1971FSL Securities LimitedDecember30-Jan-1972Funds Matrix & Assets Mgt LimitedDecember30-Jan-1973Fundvine Capital & Securities LimitedDecember30-Jan-1974Futureview Securities LimitedDecember30-Jan-1975Gidauniya Investment & Securities LimitedDecember30-Jan-1976Global Asset Management (Nig) LimitedDecember30-Jan-1978Golden Securities LimitedDecember30-Jan-1979Greenwich Securities LimitedDecember30-Jan-1980Growth & Development Asset Management LimitedDecember30-Jan-1981Gruene Capital LimitedDecember30-Jan-1982GTI Securities Limited<	58	FCSL Asset Management Company Limited	December	30-Jan-19
61Financial Trust Company LimitedDecember30-Jan-1962Finmal Finance Services LimitedDecember30-Jan-1963First Integrated Capital Manangement LimitedDecember30-Jan-1964First Stockbrokers LimitedDecember30-Jan-1965FIS Securities LimitedDecember30-Jan-1966Foresight Securities & Investment LimitedDecember30-Jan-1967Forte Financial LimitedDecember30-Jan-1968Forthright Securities & Investments LimitedDecember30-Jan-1969Fortress Capital LimitedDecember30-Jan-1970FSDH Securities LimitedDecember30-Jan-1971FSL Securities LimitedDecember30-Jan-1972Fund Matrix & Assets Mgt LimitedDecember30-Jan-1973Fundvine Capital & Securities LimitedDecember30-Jan-1974Futureview Securities LimitedDecember30-Jan-1975Gidauniya Investment & Securities LimitedDecember30-Jan-1976Global Asset Management (Nig) LimitedDecember30-Jan-1978Golden Securities LimitedDecember30-Jan-1979Greenwich Securities LimitedDecember30-Jan-1980Growth & Development Asset Management LimitedDecember30-Jan-1981Gruene Capital LimitedDecember30-Jan-1982GTI Securities LimitedDecember30-Jan-1983Harmony Securities Limited<	59	Fidelity Finance Company Limited	December	30-Jan-19
62Finmal Finance Services LimitedDecember30-Jan-1963First Integrated Capital Manangement LimitedDecember30-Jan-1964First Stockbrokers LimitedDecember30-Jan-1965FIS Securities LimitedDecember30-Jan-1966Foresight Securities & Investment LimitedDecember30-Jan-1967Forte Financial LimitedDecember30-Jan-1968Forthright Securities & Investments LimitedDecember30-Jan-1969Fortress Capital LimitedDecember30-Jan-1970FSDH Securities LimitedDecember30-Jan-1971FSL Securities LimitedDecember30-Jan-1972Funds Matrix & Assets Mgt LimitedDecember30-Jan-1973Fundvine Capital & Securities LimitedDecember30-Jan-1974Futureview Securities LimitedDecember30-Jan-1975Gidauniya Investment & Securities LimitedDecember30-Jan-1976Global Asset Management (Nig) LimitedDecember30-Jan-1978Golden Securities LimitedDecember30-Jan-1979Greenwich Securities LimitedDecember30-Jan-1980Growth & Development Asset Management LimitedDecember30-Jan-1981Gruene Capital LimitedDecember30-Jan-1982GTI Securities LimitedDecember30-Jan-1983Harmony Securities LimitedDecember30-Jan-19	60	Financial & Analytics Capital Limited	December	30-Jan-19
63First Integrated Capital Manangement LimitedDecember30-Jan-1964First Stockbrokers LimitedDecember30-Jan-1965FIS Securities LimitedDecember30-Jan-1966Foresight Securities & Investment LimitedDecember30-Jan-1967Forte Financial LimitedDecember30-Jan-1968Forthright Securities & Investments LimitedDecember30-Jan-1969Fortress Capital LimitedDecember30-Jan-1970FSDH Securities LimitedDecember30-Jan-1971FSL Securities LimitedDecember30-Jan-1972Funds Matrix & Assets Mgt LimitedDecember30-Jan-1973Fundvine Capital & Securities LimitedDecember30-Jan-1974Futureview Securities LimitedDecember30-Jan-1975Gidauniya Investment & Securities LimitedDecember30-Jan-1976Global Asset Management (Nig) LimitedDecember30-Jan-1978Golden Securities LimitedDecember30-Jan-1979Greenwich Securities LimitedDecember30-Jan-1980Growth & Development Asset Management LimitedDecember30-Jan-1981Gruene Capital LimitedDecember30-Jan-1982GTI Securities LimitedDecember30-Jan-1983Harmony Securities LimitedDecember30-Jan-19	61	Financial Trust Company Limited	December	30-Jan-19
64First Stockbrokers LimitedDecember30-Jan-1965FIS Securities LimitedDecember30-Jan-1966Foresight Securities & Investment LimitedDecember30-Jan-1967Forte Financial LimitedDecember30-Jan-1968Forthright Securities & Investments LimitedDecember30-Jan-1969Fortress Capital LimitedDecember30-Jan-1970FSDH Securities LimitedDecember30-Jan-1971FSL Securities LimitedDecember30-Jan-1972Funds Matrix & Assets Mgt LimitedDecember30-Jan-1973Fundvine Capital & Securities LimitedDecember30-Jan-1974Futureview Securities LimitedDecember30-Jan-1975Gidauniya Investment & Securities LimitedDecember30-Jan-1976Global Asset Management (Nig) LimitedDecember30-Jan-1977GlobalView Capital LimitedDecember30-Jan-1979Greenwich Securities LimitedDecember30-Jan-1980Growth & Development Asset Management LimitedDecember30-Jan-1981Gruene Capital LimitedDecember30-Jan-1982GTI Securities LimitedDecember30-Jan-1983Harmony Securities LimitedDecember30-Jan-19	62	Finmal Finance Services Limited	December	30-Jan-19
65 FIS Securities Limited December 30-Jan-19 66 Foresight Securities & Investment Limited December 30-Jan-19 67 Forte Financial Limited December 30-Jan-19 68 Forthright Securities & Investments Limited December 30-Jan-19 69 Fortress Capital Limited December 30-Jan-19 70 FSDH Securities Limited December 30-Jan-19 71 FSL Securities Limited December 30-Jan-19 72 Funds Matrix & Assets Mgt Limited December 30-Jan-19 73 Fundvine Capital & Securities Limited December 30-Jan-19 74 Futureview Securities Limited December 30-Jan-19 75 Gidauniya Investment & Securities Limited December 30-Jan-19 76 Global Asset Management (Nig) Limited December 30-Jan-19 77 GlobalView Capital Limited December 30-Jan-19 78 Golden Securities Limited December 30-Jan-19 79 Greenwich Securities Limited December 30-Jan-19 80 Growth & Development Asset Management Limited December 30-Jan-19 81 Gruene Capital Limited December 30-Jan-19 82 GTI Securities Limited December 30-Jan-19 83 Harmony Securities Limited December 30-Jan-19	63	First Integrated Capital Manangement Limited	December	30-Jan-19
66Foresight Securities & Investment LimitedDecember30-Jan-1967Forte Financial LimitedDecember30-Jan-1968Forthright Securities & Investments LimitedDecember30-Jan-1969Fortress Capital LimitedDecember30-Jan-1970FSDH Securities LimitedDecember30-Jan-1971FSL Securities LimitedDecember30-Jan-1972Funds Matrix & Assets Mgt LimitedDecember30-Jan-1973Fundvine Capital & Securities LimitedDecember30-Jan-1974Futureview Securities LimitedDecember30-Jan-1975Gidauniya Investment & Securities LimitedDecember30-Jan-1976Global Asset Management (Nig) LimitedDecember30-Jan-1977GlobalView Capital LimitedDecember30-Jan-1978Golden Securities LimitedDecember30-Jan-1980Growth & Development Asset Management LimitedDecember30-Jan-1981Gruene Capital LimitedDecember30-Jan-1982GTI Securities LimitedDecember30-Jan-1983Harmony Securities LimitedDecember30-Jan-19	64	First Stockbrokers Limited	December	30-Jan-19
Forte Financial Limited  Becember  Becmber  Becember  Be	65	FIS Securities Limited	December	30-Jan-19
Forthright Securities & Investments Limited  Pecember  Fortress Capital Limited  December  30-Jan-19	66	Foresight Securities & Investment Limited	December	30-Jan-19
69Fortress Capital LimitedDecember30-Jan-1970FSDH Securities LimitedDecember30-Jan-1971FSL Securities LimitedDecember30-Jan-1972Funds Matrix & Assets Mgt LimitedDecember30-Jan-1973Fundvine Capital & Securities LimitedDecember30-Jan-1974Futureview Securities LimitedDecember30-Jan-1975Gidauniya Investment & Securities LimitedDecember30-Jan-1976Global Asset Management (Nig) LimitedDecember30-Jan-1977GlobalView Capital LimitedDecember30-Jan-1978Golden Securities LimitedDecember30-Jan-1980Growth & Development Asset Management LimitedDecember30-Jan-1981Gruene Capital LimitedDecember30-Jan-1982GTI Securities LimitedDecember30-Jan-1983Harmony Securities LimitedDecember30-Jan-19	67	Forte Financial Limited	December	30-Jan-19
70 FSDH Securities Limited December 30-Jan-19 71 FSL Securities Limited December 30-Jan-19 72 Funds Matrix & Assets Mgt Limited December 30-Jan-19 73 Fundvine Capital & Securities Limited December 30-Jan-19 74 Futureview Securities Limited December 30-Jan-19 75 Gidauniya Investment & Securities Limited December 30-Jan-19 76 Global Asset Management (Nig) Limited December 30-Jan-19 77 GlobalView Capital Limited December 30-Jan-19 78 Golden Securities Limited December 30-Jan-19 79 Greenwich Securities Limited December 30-Jan-19 80 Growth & Development Asset Management Limited December 30-Jan-19 81 Gruene Capital Limited December 30-Jan-19 82 GTI Securities Limited December 30-Jan-19 83 Harmony Securities Limited December 30-Jan-19	68	Forthright Securities & Investments Limited	December	30-Jan-19
71FSL Securities LimitedDecember30-Jan-1972Funds Matrix & Assets Mgt LimitedDecember30-Jan-1973Fundvine Capital & Securities LimitedDecember30-Jan-1974Futureview Securities LimitedDecember30-Jan-1975Gidauniya Investment & Securities LimitedDecember30-Jan-1976Global Asset Management (Nig) LimitedDecember30-Jan-1977GlobalView Capital LimitedDecember30-Jan-1978Golden Securities LimitedDecember30-Jan-1979Greenwich Securities LimitedDecember30-Jan-1980Growth & Development Asset Management LimitedDecember30-Jan-1981Gruene Capital LimitedDecember30-Jan-1982GTI Securities LimitedDecember30-Jan-1983Harmony Securities LimitedDecember30-Jan-19	69	Fortress Capital Limited	December	30-Jan-19
Funds Matrix & Assets Mgt Limited  73 Fundvine Capital & Securities Limited  74 Futureview Securities Limited  75 Gidauniya Investment & Securities Limited  76 Global Asset Management (Nig) Limited  77 GlobalView Capital Limited  78 Golden Securities Limited  79 Greenwich Securities Limited  80 Growth & Development Asset Management Limited  81 Gruene Capital Limited  82 GTI Securities Limited  83 Harmony Securities Limited  December  30-Jan-19  December  30-Jan-19  December  30-Jan-19  December  30-Jan-19	70	FSDH Securities Limited	December	30-Jan-19
Fundvine Capital & Securities Limited  74 Futureview Securities Limited  75 Gidauniya Investment & Securities Limited  76 Global Asset Management (Nig) Limited  77 GlobalView Capital Limited  78 Golden Securities Limited  79 Greenwich Securities Limited  80 Growth & Development Asset Management Limited  81 Gruene Capital Limited  82 GTI Securities Limited  83 Harmony Securities Limited  84 December  86 December  87 December  88 December  89 December  90 December	71	FSL Securities Limited	December	30-Jan-19
74 Futureview Securities Limited December 30-Jan-19 75 Gidauniya Investment & Securities Limited December 30-Jan-19 76 Global Asset Management (Nig) Limited December 30-Jan-19 77 GlobalView Capital Limited December 30-Jan-19 78 Golden Securities Limited December 30-Jan-19 79 Greenwich Securities Limited December 30-Jan-19 80 Growth & Development Asset Management Limited December 30-Jan-19 81 Gruene Capital Limited December 30-Jan-19 82 GTI Securities Limited December 30-Jan-19 83 Harmony Securities Limited December 30-Jan-19	72	Funds Matrix & Assets Mgt Limited	December	30-Jan-19
75 Gidauniya Investment & Securities Limited  76 Global Asset Management (Nig) Limited  77 GlobalView Capital Limited  78 Golden Securities Limited  79 Greenwich Securities Limited  80 Growth & Development Asset Management Limited  81 Gruene Capital Limited  82 GTI Securities Limited  83 Harmony Securities Limited  84 December  85 December  86 December  87 December  88 December  89 December  80 December  80 Jan-19  80 December  80 December  80 Jan-19	73	Fundvine Capital & Securities Limited	December	30-Jan-19
76 Global Asset Management (Nig) Limited December 30-Jan-19 77 Global View Capital Limited December 30-Jan-19 78 Golden Securities Limited December 30-Jan-19 79 Greenwich Securities Limited December 30-Jan-19 80 Growth & Development Asset Management Limited December 30-Jan-19 81 Gruene Capital Limited December 30-Jan-19 82 GTI Securities Limited December 30-Jan-19 83 Harmony Securities Limited December 30-Jan-19	74	Futureview Securities Limited	December	30-Jan-19
77 GlobalView Capital Limited December 30-Jan-19 78 Golden Securities Limited December 30-Jan-19 79 Greenwich Securities Limited December 30-Jan-19 80 Growth & Development Asset Management Limited December 30-Jan-19 81 Gruene Capital Limited December 30-Jan-19 82 GTI Securities Limited December 30-Jan-19 83 Harmony Securities Limited December 30-Jan-19	75	Gidauniya Investment & Securities Limited	December	30-Jan-19
78 Golden Securities Limited December 30-Jan-19 79 Greenwich Securities Limited December 30-Jan-19 80 Growth & Development Asset Management Limited December 30-Jan-19 81 Gruene Capital Limited December 30-Jan-19 82 GTI Securities Limited December 30-Jan-19 83 Harmony Securities Limited December 30-Jan-19	76	Global Asset Management (Nig) Limited	December	30-Jan-19
79 Greenwich Securities Limited December 30-Jan-19 80 Growth & Development Asset Management Limited December 30-Jan-19 81 Gruene Capital Limited December 30-Jan-19 82 GTI Securities Limited December 30-Jan-19 83 Harmony Securities Limited December 30-Jan-19	77	GlobalView Capital Limited	December	30-Jan-19
80Growth & Development Asset Management LimitedDecember30-Jan-1981Gruene Capital LimitedDecember30-Jan-1982GTI Securities LimitedDecember30-Jan-1983Harmony Securities LimitedDecember30-Jan-19	78	Golden Securities Limited	December	30-Jan-19
81Gruene Capital LimitedDecember30-Jan-1982GTI Securities LimitedDecember30-Jan-1983Harmony Securities LimitedDecember30-Jan-19	79	Greenwich Securities Limited	December	30-Jan-19
82 GTI Securities Limited December 30-Jan-19 83 Harmony Securities Limited December 30-Jan-19	80	Growth & Development Asset Management Limited	December	30-Jan-19
83 Harmony Securities Limited December 30-Jan-19	81	Gruene Capital Limited	December	30-Jan-19
	82	GTI Securities Limited	December	30-Jan-19
84 Heartbeat Investment Limited December 30-Jan-19	83	Harmony Securities Limited	December	30-Jan-19
	84	Heartbeat Investment Limited	December	30-Jan-19

Hedge Securities & Investment Limited   December   30-Jan-19	0.5	lu I c	5 1	20 1 40
Reritage Capital Markets Limited   December   30-Jan-19				
ICMG Securities Limited   December   30-Jan-19   Securities Limited   December   30-Jan-19   December   30-Jan-1				
Integrated Frust & Investment Limited   December   30-Jan-19   10   Investrate Securities Limited   December   30-Jan-19   10   Investrate Securities Limited   December   30-Jan-19   10   Investrate Securities Limited   December   30-Jan-19   10   Investore Stockbrokers International Limited   December   30-Jan-19   10   Investore & Trust & Securities Limited   December   30-Jan-19   10   Sapital Care Trust & Securities Limited   December   30-Jan-19   10   Sapital Care Trust & Securities Limited   December   30-Jan-19   10   Sapital Securities & Investment Limited   December   30-Jan-19   10   Sapital Securities & Investment Limited   December   30-Jan-19   10   Lambeth Trust & Investment Company Limited   December   30-Jan-19   10   Lambeth Trust & Investment Limited   December   30-Jan-19   10   Mayfind Investment & Securities Ilmited   December   30-Jan-19   10   Mayfind Investment & Securities Pic   December   30-Jan-19   10   Mayfind Investment & Securities Pic   December   30-Jan-19   10   Mayfield Investment Limited   December   30-Jan-19   10   Mega Equities Limited   December   30-Jan-19   11   Midas Stockbrokers Limited   December   30-Jan-19   11   Midas Stockbrokers Limited   December   30-Jan-19   11   Midas Stockbrokers Limited   December   30-Jan-19   11   Midas Tockbrokers Limited   December   30-Jan-19   11   Milas Nockbrokers Limited   December   30-Jan-19   11   Milas Nockbrokers Limited   December   30-Jan-19   12   Milestone Capital Management Limited   December   30-Jan-19   12   Milestone Capital Limited   December   30-Jan-19   12   Milestone Capital Limited   December   30-Jan-19   12   Network Capital Limited   December   30-Jan-19   12   New France & Securities L				
Imperial Asset Managers Limited   December   30-Jan-19				
Integrated Trust & Investment Limited   December   30-Jan-19   92   Interstate Securities Limited   December   30-Jan-19   93   Investors & Trust Company Limited   December   30-Jan-19   94   Investors & Trust Company Limited   December   30-Jan-19   95   Kapital Care Trust & Securities Limited   December   30-Jan-19   96   Kedari Capital Limited   December   30-Jan-19   97   Kinley Securities Limited   December   30-Jan-19   98   Kofana Securities & Investment Limited   December   30-Jan-19   99   Kundila Finance Services Limited   December   30-Jan-19   99   Kundila Finance Services Limited   December   30-Jan-19   100   Lambeth Trust & Investment Company Limited   December   30-Jan-19   101   Lead Securities & Investment Limited   December   30-Jan-19   102   Lighthouse Asset Management Limited   December   30-Jan-19   103   Magnartis Finance & Investment Limited   December   30-Jan-19   104   Mainstreet Bank Securities Limited   December   30-Jan-19   105   Maxifund Investment & Securities Plc   December   30-Jan-19   106   Mayfield Investment & Securities Plc   December   30-Jan-19   107   MBC Securities Limited   December   30-Jan-19   108   MBL Financial Services Limited   December   30-Jan-19   109   Mega Equities Limited   December   30-Jan-19   110   Meristem Stockbrokers Limited   December   30-Jan-19   111   Midas Stockbrokers Limited   December   30-Jan-19   112   Milestone Capital Management Limited   December   30-Jan-19   113   Mission Securities Limited   December   30-Jan-19   114   Molten Trust Limited   December   30-Jan-19   115   Morgan Capital Securities Limited   December   30-Jan-19   116   Mountain Investment & Securities Limited   December   30-Jan-19   117   Network Capital Limited   December   30-Jan-19   118   Networth Securities Limited   December   30-Jan-19   119   Newdevo Investments & Securities Limited   December   30-Jan-19   120   Nigerian International Securities Limited   December   30-Jan-19   120   Nigerian International Securities Limited   December   30-Jan-19   120				
Interstate Securities Limited   December   30-Jan-19   1				
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95         Kapital Care Trust & Securities Limited         December         30-Jan-19           96         Kedari Capital Limited         December         30-Jan-19           97         Kinley Securities Limited         December         30-Jan-19           98         Kofana Securities & Investment Limited         December         30-Jan-19           99         Kundila Finance Services Limited         December         30-Jan-19           100         Lambeth Trust & Investment Company Limited         December         30-Jan-19           101         Lead Securities & Investment Limited         December         30-Jan-19           102         Lighthouse Asset Management Limited         December         30-Jan-19           103         Magnartis Finance & Investment Limited         December         30-Jan-19           104         Mainstreet Bank Securities Limited         December         30-Jan-19           105         Maxifund Investment & Securities Plc         December         30-Jan-19           106         Mayfield Investment Limited         December         30-Jan-19           107         MBC Securities Limited         December         30-Jan-19           108         MBL Financial Services Limited         December         30-Jan-19           109         <				
96         Kedari Capital Limited         December         30-Jan-19           97         Kinley Securities Limited         December         30-Jan-19           98         Kofana Securities & Investment Limited         December         30-Jan-19           99         Kundila Finance Services Limited         December         30-Jan-19           100         Lambeth Trust & Investment Limited         December         30-Jan-19           101         Lead Securities & Investment Limited         December         30-Jan-19           102         Lighthouse Asset Management Limited         December         30-Jan-19           103         Magnartis Finance & Investment Limited         December         30-Jan-19           104         Mainstreet Bank Securities Limited         December         30-Jan-19           105         Maxifund Investment & Securities Plc         December         30-Jan-19           106         Mayfield Investment Limited         December         30-Jan-19           107         MBC Securities Limited         December         30-Jan-19           108         MBL Financial Services Limited         December         30-Jan-19           109         Mega Equities Limited         December         30-Jan-19           110         Meristem Stockbrokers				
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130 Planet Capital Limited December 30-Jan-19	128	PIPC Securities Limited	December	30-Jan-19
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131 Portfolio Advisers Limited December 30-Jan-19	130	Planet Capital Limited	December	30-Jan-19
	131	Portfolio Advisers Limited	December	30-Jan-19

Premium Capital and Stockbrokers Limited   December   30-Jan-19	400		5 1	20 1 40
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138         Rainbow Securities & Investment Company Limited         December         30-Jan-19           139         Readings Investment Limited         December         30-Jan-19           140         Regency Asset Management Limited         December         30-Jan-19           141         Rencap Securities & Irust Limited         December         30-Jan-19           142         Resort Securities & Trust Limited         December         30-Jan-19           143         Reward Investment & Service Limited         December         30-Jan-19           144         RNB Nigeria Stockbrokers Limited         December         30-Jan-19           145         Rostrum Investment & Sec Limited         December         30-Jan-19           146         Rowet Capital Management Limited         December         30-Jan-19           147         Royal Crest Finance Limited         December         30-Jan-19           148         Royal Guaranty & Trust Limited         December         30-Jan-19           149         Royal Trust Securities Limited         December         30-Jan-19           150         Sankore Securities Limited (formerly Diamond)         December         30-Jan-19           151         Santrust Securities Limited         December         30-Jan-19           152 <td></td> <td>,</td> <td></td> <td></td>		,		
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158 Signet Investment & Securities Limited December 30-Jan-19 159 Skyview Capital Limited December 30-Jan-19 160 Smadac Securities Limited December 30-Jan-19 161 Solid Rock Securities & Investment Plc December 30-Jan-19 162 Spring Trust & Securities Limited December 30-Jan-19 163 Springboard Trust & Investment Limited December 30-Jan-19 164 Stanbic IBTC Stockbrokers Limited December 30-Jan-19 165 Standard Union Securities Limited December 30-Jan-19 166 TFS Securities & Investment Company Limited December 30-Jan-19 167 The Bridge Securities Limited December 30-Jan-19 168 Tiddo Securities Limited December 30-Jan-19 169 Tomil Trust Limited December 30-Jan-19 170 Topmost Securities Limited December 30-Jan-19 171 Tower Securities & Investment Company Limited December 30-Jan-19 172 Trade Link Securities Limited December 30-Jan-19 173 Traders Trust & Investment Co. Limited December 30-Jan-19 174 Transworld Investment Limited December 30-Jan-19 175 Trust Yield Securities Limited December 30-Jan-19 176 TrustBanc Capital Management Limited December 30-Jan-19 177 Trusthouse Investment Limited December 30-Jan-19 177 Trusthouse Investment Limited December 30-Jan-19	156	Shelong Investments Limited	December	30-Jan-19
159Skyview Capital LimitedDecember30-Jan-19160Smadac Securities LimitedDecember30-Jan-19161Solid Rock Securities & Investment PlcDecember30-Jan-19162Spring Trust & Securities LimitedDecember30-Jan-19163Springboard Trust & Investment LimitedDecember30-Jan-19164Stanbic IBTC Stockbrokers LimitedDecember30-Jan-19165Standard Union Securities LimitedDecember30-Jan-19166TFS Securities & Investment Company LimitedDecember30-Jan-19167The Bridge Securities LimitedDecember30-Jan-19168Tiddo Securities LimitedDecember30-Jan-19169Tomil Trust LimitedDecember30-Jan-19170Topmost Securities LimitedDecember30-Jan-19171Tower Securities & Investment Company LimitedDecember30-Jan-19172Trade Link Securities LimitedDecember30-Jan-19173Traders Trust & Investment Co. LimitedDecember30-Jan-19174Transworld Investment LimitedDecember30-Jan-19175Trust Yield Securities LimitedDecember30-Jan-19176TrustBanc Capital Management LimitedDecember30-Jan-19177Trusthouse Investment LimitedDecember30-Jan-19	157	Sigma Securities Limited	December	30-Jan-19
160Smadac Securities LimitedDecember30-Jan-19161Solid Rock Securities & Investment PlcDecember30-Jan-19162Spring Trust & Securities LimitedDecember30-Jan-19163Springboard Trust & Investment LimitedDecember30-Jan-19164Stanbic IBTC Stockbrokers LimitedDecember30-Jan-19165Standard Union Securities LimitedDecember30-Jan-19166TFS Securities & Investment Company LimitedDecember30-Jan-19167The Bridge Securities LimitedDecember30-Jan-19168Tiddo Securities LimitedDecember30-Jan-19169Tomil Trust LimitedDecember30-Jan-19170Topmost Securities LimitedDecember30-Jan-19171Tower Securities & Investment Company LimitedDecember30-Jan-19172Trade Link Securities LimitedDecember30-Jan-19173Traders Trust & Investment Co. LimitedDecember30-Jan-19174Transworld Investment LimitedDecember30-Jan-19175Trust Yield Securities LimitedDecember30-Jan-19176TrustBanc Capital Management LimitedDecember30-Jan-19177Trusthouse Investment LimitedDecember30-Jan-19	158	Signet Investment & Securities Limited	December	30-Jan-19
161Solid Rock Securities & Investment PlcDecember30-Jan-19162Spring Trust & Securities LimitedDecember30-Jan-19163Springboard Trust & Investment LimitedDecember30-Jan-19164Stanbic IBTC Stockbrokers LimitedDecember30-Jan-19165Standard Union Securities LimitedDecember30-Jan-19166TFS Securities & Investment Company LimitedDecember30-Jan-19167The Bridge Securities LimitedDecember30-Jan-19168Tiddo Securities LimitedDecember30-Jan-19169Tomil Trust LimitedDecember30-Jan-19170Topmost Securities LimitedDecember30-Jan-19171Tower Securities & Investment Company LimitedDecember30-Jan-19172Trade Link Securities LimitedDecember30-Jan-19173Traders Trust & Investment Co. LimitedDecember30-Jan-19174Transworld Investment LimitedDecember30-Jan-19175Trust Yield Securities LimitedDecember30-Jan-19176TrustBanc Capital Management LimitedDecember30-Jan-19177Trusthouse Investment LimitedDecember30-Jan-19177Trusthouse Investment LimitedDecember30-Jan-19	159	Skyview Capital Limited	December	30-Jan-19
162Spring Trust & Securities LimitedDecember30-Jan-19163Springboard Trust & Investment LimitedDecember30-Jan-19164Stanbic IBTC Stockbrokers LimitedDecember30-Jan-19165Standard Union Securities LimitedDecember30-Jan-19166TFS Securities & Investment Company LimitedDecember30-Jan-19167The Bridge Securities LimitedDecember30-Jan-19168Tiddo Securities LimitedDecember30-Jan-19169Tomil Trust LimitedDecember30-Jan-19170Topmost Securities LimitedDecember30-Jan-19171Tower Securities & Investment Company LimitedDecember30-Jan-19172Trade Link Securities LimitedDecember30-Jan-19173Traders Trust & Investment Co. LimitedDecember30-Jan-19174Transworld Investment LimitedDecember30-Jan-19175Trust Yield Securities LimitedDecember30-Jan-19176TrustBanc Capital Management LimitedDecember30-Jan-19177Trusthouse Investment LimitedDecember30-Jan-19	160	Smadac Securities Limited	December	30-Jan-19
163Springboard Trust & Investment LimitedDecember30-Jan-19164Stanbic IBTC Stockbrokers LimitedDecember30-Jan-19165Standard Union Securities LimitedDecember30-Jan-19166TFS Securities & Investment Company LimitedDecember30-Jan-19167The Bridge Securities LimitedDecember30-Jan-19168Tiddo Securities LimitedDecember30-Jan-19169Tomil Trust LimitedDecember30-Jan-19170Topmost Securities LimitedDecember30-Jan-19171Tower Securities & Investment Company LimitedDecember30-Jan-19172Trade Link Securities LimitedDecember30-Jan-19173Traders Trust & Investment Co. LimitedDecember30-Jan-19174Transworld Investment LimitedDecember30-Jan-19175Trust Yield Securities LimitedDecember30-Jan-19176TrustBanc Capital Management LimitedDecember30-Jan-19177Trusthouse Investment LimitedDecember30-Jan-19	161	Solid Rock Securities & Investment Plc	December	30-Jan-19
164Stanbic IBTC Stockbrokers LimitedDecember30-Jan-19165Standard Union Securities LimitedDecember30-Jan-19166TFS Securities & Investment Company LimitedDecember30-Jan-19167The Bridge Securities LimitedDecember30-Jan-19168Tiddo Securities LimitedDecember30-Jan-19169Tomil Trust LimitedDecember30-Jan-19170Topmost Securities LimitedDecember30-Jan-19171Tower Securities & Investment Company LimitedDecember30-Jan-19172Trade Link Securities LimitedDecember30-Jan-19173Traders Trust & Investment Co. LimitedDecember30-Jan-19174Transworld Investment LimitedDecember30-Jan-19175Trust Yield Securities LimitedDecember30-Jan-19176TrustBanc Capital Management LimitedDecember30-Jan-19177Trusthouse Investment LimitedDecember30-Jan-19	162	Spring Trust & Securities Limited	December	30-Jan-19
165Standard Union Securities LimitedDecember30-Jan-19166TFS Securities & Investment Company LimitedDecember30-Jan-19167The Bridge Securities LimitedDecember30-Jan-19168Tiddo Securities LimitedDecember30-Jan-19169Tomil Trust LimitedDecember30-Jan-19170Topmost Securities LimitedDecember30-Jan-19171Tower Securities & Investment Company LimitedDecember30-Jan-19172Trade Link Securities LimitedDecember30-Jan-19173Traders Trust & Investment Co. LimitedDecember30-Jan-19174Transworld Investment LimitedDecember30-Jan-19175Trust Yield Securities LimitedDecember30-Jan-19176TrustBanc Capital Management LimitedDecember30-Jan-19177Trusthouse Investment LimitedDecember30-Jan-19	163	Springboard Trust & Investment Limited	December	30-Jan-19
166TFS Securities & Investment Company LimitedDecember30-Jan-19167The Bridge Securities LimitedDecember30-Jan-19168Tiddo Securities LimitedDecember30-Jan-19169Tomil Trust LimitedDecember30-Jan-19170Topmost Securities LimitedDecember30-Jan-19171Tower Securities & Investment Company LimitedDecember30-Jan-19172Trade Link Securities LimitedDecember30-Jan-19173Traders Trust & Investment Co. LimitedDecember30-Jan-19174Transworld Investment LimitedDecember30-Jan-19175Trust Yield Securities LimitedDecember30-Jan-19176TrustBanc Capital Management LimitedDecember30-Jan-19177Trusthouse Investment LimitedDecember30-Jan-19	164	Stanbic IBTC Stockbrokers Limited	December	30-Jan-19
167The Bridge Securities LimitedDecember30-Jan-19168Tiddo Securities LimitedDecember30-Jan-19169Tomil Trust LimitedDecember30-Jan-19170Topmost Securities LimitedDecember30-Jan-19171Tower Securities & Investment Company LimitedDecember30-Jan-19172Trade Link Securities LimitedDecember30-Jan-19173Traders Trust & Investment Co. LimitedDecember30-Jan-19174Transworld Investment LimitedDecember30-Jan-19175Trust Yield Securities LimitedDecember30-Jan-19176TrustBanc Capital Management LimitedDecember30-Jan-19177Trusthouse Investment LimitedDecember30-Jan-19	165	Standard Union Securities Limited	December	30-Jan-19
168Tiddo Securities LimitedDecember30-Jan-19169Tomil Trust LimitedDecember30-Jan-19170Topmost Securities LimitedDecember30-Jan-19171Tower Securities & Investment Company LimitedDecember30-Jan-19172Trade Link Securities LimitedDecember30-Jan-19173Traders Trust & Investment Co. LimitedDecember30-Jan-19174Transworld Investment LimitedDecember30-Jan-19175Trust Yield Securities LimitedDecember30-Jan-19176TrustBanc Capital Management LimitedDecember30-Jan-19177Trusthouse Investment LimitedDecember30-Jan-19	166	TFS Securities & Investment Company Limited	December	30-Jan-19
169Tomil Trust LimitedDecember30-Jan-19170Topmost Securities LimitedDecember30-Jan-19171Tower Securities & Investment Company LimitedDecember30-Jan-19172Trade Link Securities LimitedDecember30-Jan-19173Traders Trust & Investment Co. LimitedDecember30-Jan-19174Transworld Investment LimitedDecember30-Jan-19175Trust Yield Securities LimitedDecember30-Jan-19176TrustBanc Capital Management LimitedDecember30-Jan-19177Trusthouse Investment LimitedDecember30-Jan-19	167	The Bridge Securities Limited	December	30-Jan-19
170Topmost Securities LimitedDecember30-Jan-19171Tower Securities & Investment Company LimitedDecember30-Jan-19172Trade Link Securities LimitedDecember30-Jan-19173Traders Trust & Investment Co. LimitedDecember30-Jan-19174Transworld Investment LimitedDecember30-Jan-19175Trust Yield Securities LimitedDecember30-Jan-19176TrustBanc Capital Management LimitedDecember30-Jan-19177Trusthouse Investment LimitedDecember30-Jan-19	168	Tiddo Securities Limited	December	30-Jan-19
171Tower Securities & Investment Company LimitedDecember30-Jan-19172Trade Link Securities LimitedDecember30-Jan-19173Traders Trust & Investment Co. LimitedDecember30-Jan-19174Transworld Investment LimitedDecember30-Jan-19175Trust Yield Securities LimitedDecember30-Jan-19176TrustBanc Capital Management LimitedDecember30-Jan-19177Trusthouse Investment LimitedDecember30-Jan-19	169	Tomil Trust Limited	December	30-Jan-19
172Trade Link Securities LimitedDecember30-Jan-19173Traders Trust & Investment Co. LimitedDecember30-Jan-19174Transworld Investment LimitedDecember30-Jan-19175Trust Yield Securities LimitedDecember30-Jan-19176TrustBanc Capital Management LimitedDecember30-Jan-19177Trusthouse Investment LimitedDecember30-Jan-19	170	Topmost Securities Limited	December	30-Jan-19
173Traders Trust & Investment Co. LimitedDecember30-Jan-19174Transworld Investment LimitedDecember30-Jan-19175Trust Yield Securities LimitedDecember30-Jan-19176TrustBanc Capital Management LimitedDecember30-Jan-19177Trusthouse Investment LimitedDecember30-Jan-19	171	Tower Securities & Investment Company Limited	December	30-Jan-19
174Transworld Investment LimitedDecember30-Jan-19175Trust Yield Securities LimitedDecember30-Jan-19176TrustBanc Capital Management LimitedDecember30-Jan-19177Trusthouse Investment LimitedDecember30-Jan-19	172	Trade Link Securities Limited	December	30-Jan-19
175Trust Yield Securities LimitedDecember30-Jan-19176TrustBanc Capital Management LimitedDecember30-Jan-19177Trusthouse Investment LimitedDecember30-Jan-19	173	Traders Trust & Investment Co. Limited	December	30-Jan-19
176TrustBanc Capital Management LimitedDecember30-Jan-19177Trusthouse Investment LimitedDecember30-Jan-19	174	Transworld Investment Limited	December	30-Jan-19
177 Trusthouse Investment Limited December 30-Jan-19	175	Trust Yield Securities Limited	December	30-Jan-19
	176	TrustBanc Capital Management Limited	December	30-Jan-19
178 TRW Stockbrokers Limited December 30-Jan-19	177	Trusthouse Investment Limited	December	30-Jan-19
	178	TRW Stockbrokers Limited	December	30-Jan-19

179	Tyndale Securities Limited	December	30-Jan-19
180	UIDC Securities Limited	December	30-Jan-19
181	Unex Capital Limited	December	30-Jan-19
182	Union Capital Markets Limited	December	30-Jan-19
183	United Capital Securities Limited	December	30-Jan-19
184	Valmon Securities Limited	December	30-Jan-19
185	Valueline Securities & Investment Limited	December	30-Jan-19
186	Vetiva Securities Limited	December	30-Jan-19
187	WCM Capital Limited	December	30-Jan-19
188	WSTC Financial Services Limited	December	30-Jan-19
189	Zenith Securities Limited	December	30-Jan-19
190	Zion Stockbrokers & Securities Limited	December	30-Jan-19

	INACTIVE AND SUBMITTED			
S/N	FIRM	Year End	Due Date	
1	Clearview Investments Company Limited	December	30-Jan-19	
2	CLG Stockbrokers Limited	December	30-Jan-19	
3	Gem Assets Management Limited	December	30-Jan-19	
4	Marimpex Finance & Investment Company Limited	December	30-Jan-19	

	ACTIVE AND NOT SUBMITTED				
S/N	Firms	Year End	Due Date		

	INACTIVE AND NOT SUBMITTED			
S/N	FIRM	Year End	Due Date	
1	Adamawa Securities Limited	December	30-Jan-19	
2	Adonai Stockbrokers Limited	December	30-Jan-19	
3	African Alliance Stockbrokers Limited	December	30-Jan-19	
4	Aims Asset Management Limited	December	30-Jan-19	
5	Amyn Investment Limited	December	30-Jan-19	
6	Cadington Securities Limited	December	30-Jan-19	
7	CEB Securities Limited	December	30-Jan-19	
8	ECL Asset Management Limited	December	30-Jan-19	
9	Finbank Securities & Assets Management Limited	December	30-Jan-19	
10	GMT Securities & Asset Mangement Limited	December	30-Jan-19	
11	Gombe Securities Limited	December	30-Jan-19	
12	Horizon Stockbrokers Limited	December	30-Jan-19	
13	International Standard Securities Limited	December	30-Jan-19	
14	Investment Shark & Asset Mgt Ltd	December	30-Jan-19	
15	ITIS Securities Limited	December	30-Jan-19	

16	Kakawa Asset Management Limited	December	30-Jan-19
17	Lion Stockbrokers Limited	December	30-Jan-19
18	LMB Stockbrokers Limited	December	30-Jan-19
19	Mact Securities Limited	December	30-Jan-19
20	Maven Asset Management Limited	December	30-Jan-19
21	Mercov Securities Limited	December	30-Jan-19
22	Midpoint Capital Limited	December	30-Jan-19
23	ML Securities Limited	December	30-Jan-19
24	Monument Sec & Finance Limited	December	30-Jan-19
25	Mutual Alliance Investment & Securities Limited	December	30-Jan-19
26	Profund Securities Limited	December	30-Jan-19
27	Quantum Securities Limited	December	30-Jan-19
28	Resano Securities Limited	December	30-Jan-19
29	Standard Alliance Capital Asset Mgt Limited	December	30-Jan-19
30	Stanwal Securities Limited	December	30-Jan-19
31	Summa Guaranty and Trust Company Limited	December	30-Jan-19
32	Supra Commercial Trust Company Limited	December	30-Jan-19
33	Transafrica Financial Services Limited	December	30-Jan-19





### FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 31 MARCH 2019

#### **ACTIVE AND SUBMITTED** S/N **FIRMS Year End** Due date **Afrinvest Securities Limited** 1 December 30-Apr-19 2 **Anchoria Investment & Securities Limited** December 30-Apr-19 3 December 30-Apr-19 **Apel Asset Limited** December 4 Apt Securities & Funds Limited 30-Apr-19 5 **ARM Securities Limited** 30-Apr-19 December 6 Arthur Stevens Asset Management Limited December 30-Apr-19 7 **Associated Asset Managers Limited** December 30-Apr-19 8 Atlass Portfolio Limited December 30-Apr-19 9 Barclays Stockbrokers Nigeria Limited December 30-Apr-19 10 Bauchi Investment Corp. Sec Limited December 30-Apr-19 11 **Belfry Investment & Securities Limited** December 30-Apr-19 12 **Bestworth Assets & Trust Limited** December 30-Apr-19 13 Calyx Securities Limited 30-Apr-19 December 14 **Camry Securities Limited** December 30-Apr-19 15 30-Apr-19 Capital Asset Limited December 16 Capital Bancorp Plc December 30-Apr-19 17 Capital Express Securities Limited December 30-Apr-19 18 Capital Trust Brokers Limited December 30-Apr-19 19 Cardinal Stone Securities Ltd December 30-Apr-19 20 Cashcraft Securities Limited December 30-Apr-19 21 Cashville Investment & Securities Limited 30-Apr-19 December December 22 **CDL Capital Markets Limited** 30-Apr-19 23 Centre Point Investment Limited December 30-Apr-19 24 Century Securities Limited December 30-Apr-19 25 Chapel Hill Denham Securities Limited December 30-Apr-19 December **Chartwell Securities Limited** 30-Apr-19 26 27 Citi Investment Capital Limited December 30-Apr-19 City Code Trust & Investment Company Limited 28 December 30-Apr-19 29 Compass Investment & Securities Limited December 30-Apr-19 30 **Cordros Securities Limited** December 30-Apr-19 31 Core Securities Limited December 30-Apr-19 32 Coronation Securities Limited December 30-Apr-19 33 Cowry Securities Limited December 30-Apr-19 34 Crane Securities Limited December 30-Apr-19 35 Crossworld Securities Limited December 30-Apr-19 36 Crown Capital Limited December 30-Apr-19 37 **CSL Stockbrokers Limited** December 30-Apr-19

38	Deep Trust Investment Limited	December	30-Apr-19
39	Delords Securities Limited	December	30-Apr-19
40	Dominion Trust Limited	December	30-Apr-19
41	DSU Brokerage Services Limited	December	30-Apr-19
42	Dunbell Securities Limited	December	30-Apr-19
43	Dunn Loren Merrifield Securities Limited	December	30-Apr-19
44	Dynamic Portfolio Limited	December	30-Apr-19
45	EDC Securities Limited	December	30-Apr-19
46	Edgefield Capital Management Limited	December	30-Apr-19
47	EFCP Limited	December	30-Apr-19
48	EFG Hermes Nigeria Limited	December	30-Apr-19
49	El-Elyon Alliance and Securities Ltd	December	30-Apr-19
50	Elixir Securities Limited	December	30-Apr-19
51	Enterprise Stockbrokers Limited	December	30-Apr-19
52	Equity Capital Solutions Limited	December	30-Apr-19
53	Eurocomm Securities Limited	December	30-Apr-19
54	Express Portfolio Services Limited	December	30-Apr-19
55	Falcon Securities Limited	December	30-Apr-19
56	FBC Trust & Securities Limited	December	30-Apr-19
57	FBNQuest Securities Limited	December	30-Apr-19
58	FCSL Asset Management Company Limited	December	30-Apr-19
59	Fidelity Finance Company Limited	December	30-Apr-19
60	Financial & Analytics Capital Limited	December	30-Apr-19
61	Financial Trust Company Limited	December	30-Apr-19
62	Finmal Finance Services Limited	December	30-Apr-19
63	First Integrated Capital Manangement Limited	December	30-Apr-19
64	First Stockbrokers Limited	December	30-Apr-19
65	FIS Securities Limited	December	30-Apr-19
66	Foresight Securities & Investment Limited	December	30-Apr-19
67	Forte Financial Limited	December	30-Apr-19
68	Forthright Securities & Investments Limited	December	30-Apr-19
69	Fortress Capital Limited	December	30-Apr-19
70	FSDH Securities Limited	December	30-Apr-19
71	FSL Securities Limited	December	30-Apr-19
72	Funds Matrix & Assets Mgt Limited	December	30-Apr-19
73	Fundvine Capital & Securities Limited	December	30-Apr-19
74	Futureview Securities Limited	December	30-Apr-19
75	Gidauniya Investment & Securities Limited	December	30-Apr-19
76	Global Asset Management (Nig) Limited	December	30-Apr-19
77	GlobalView Capital Limited	December	30-Apr-19
78	Golden Securities Limited	December	30-Apr-19
79	Greenwich Securities Limited	December	30-Apr-19
80	Growth & Development Asset Management Limited	December	30-Apr-19
81	Gruene Capital Limited	December	30-Apr-19
82	GTI Securities Limited	December	30-Apr-19
83	Harmony Securities Limited	December	30-Apr-19
84	Heartbeat Investment Limited	December	30-Apr-19

OF.	Hodge Cognities & Investment Limited	Docombor	20 Apr 10
85	Hedge Securities & Investment Limited Helix Securities Limited	December	30-Apr-19
86		December	30-Apr-19
87	Heritage Capital Markets Limited	December	30-Apr-19
88	ICMG Securities Limited	December	30-Apr-19
89	Icon Stockbrokers Limited	December	30-Apr-19
90	Imperial Asset Managers Limited	December	30-Apr-19
91	Integrated Trust & Investment Limited	December	30-Apr-19
92	Interstate Securities Limited	December	30-Apr-19
93	Investment One Stockbrokers International Limited	December	30-Apr-19
94	Investors & Trust Company Limited	December	30-Apr-19
95	Kapital Care Trust & Securities Limited	December	30-Apr-19
96	Kedari Capital Limited	December	30-Apr-19
97	Kinley Securities Limited	December	30-Apr-19
98	Kofana Securities & Investment Limited	December	30-Apr-19
99	Kundila Finance Services Limited	December	30-Apr-19
100	Lambeth Capital Limited	December	30-Apr-19
101	Lead Securities & Investment Limited	December	30-Apr-19
102	Lighthouse Asset Management Limited	December	30-Apr-19
103	Magnartis Finance & Investment Limited	December	30-Apr-19
104	Mainstreet Bank Securities Limited	December	30-Apr-19
105	Maxifund Investment & Securities Plc	December	30-Apr-19
106	MBC Securities Limited	December	30-Apr-19
107	MBL Financial Services Limited	December	30-Apr-19
108	Mega Equities Limited	December	30-Apr-19
109	Meristem Stockbrokers Limited	December	30-Apr-19
110	Midas Stockbrokers Limited	December	30-Apr-19
111	Milestone Capital Management Limited	December	30-Apr-19
112	Mission Securities Limited	December	30-Apr-19
113	Molten Trust Limited	December	30-Apr-19
114	Morgan Capital Securities Limited	December	30-Apr-19
115	Mountain Investment & Securities Limited	December	30-Apr-19
116	Network Capital Limited	December	30-Apr-19
117	Networth Securities & Finance Limited	December	30-Apr-19
118	Newdevco Investments & Securties Limited	December	30-Apr-19
119	Nigerian International Securities Ltd	December	30-Apr-19
120	Nigerian Stockbrokers Limited	December	30-Apr-19
121	Nova Finance & Securities Limited	December	30-Apr-19
122	Osborne Capital Markets Limited (Formerly Longterm Global	December	30-Apr-19
123	PAC Securities Limited	December	30-Apr-19
124	Peace Capital Markets Ltd	December	30-Apr-19
125	Pilot Securities Limited	December	30-Apr-19
126	Pinefields Investment Services Limited	December	30-Apr-19
127	PIPC Securities Limited	December	30-Apr-19
128	Pivot Capital Limited	December	30-Apr-19
129	Planet Capital Limited	December	30-Apr-19
130	Portfolio Advisers Limited	December	30-Apr-19
131	Premium Capital and Stockbrokers Limited	December	30-Apr-19
			p

132	Primewealth Capital Limited	December	30-Apr-19
133	Prominent Securities Limited	December	30-Apr-19
134	PSI Securities Limited	December	30-Apr-19
135	Pyramid Securities Limited	December	30-Apr-19
136	Qualinvest Capital Limited	December	30-Apr-19
137	Quantum Zenith Securities & Investments Limited	December	30-Apr-19
138	Rainbow Securities & Investments Limited	December	30-Apr-19
139	Readings Investment Limited	December	30-Apr-19
140	Regency Asset Management Limited	December	30-Apr-19
141	Rencap Securities (Nig) Limited	December	30-Apr-19
142	Resort Securities & Trust Limited	December	30-Apr-19
143	Reward Investment & Service Limited	December	30-Apr-19
144	RMB Nigeria Stockbrokers Limited	December	30-Apr-19
145	Rostrum Investment & Sec Limited	December	30-Apr-19
146	Rowet Capital Management Limited	December	30-Apr-19
147	Royal Crest Finance Limited	December	30-Apr-19
148	Royal Guaranty & Trust Limited	December	30-Apr-19
149	Royal Trust Securities Limited	December	30-Apr-19
150	Sankore Securities Limited (formerly Diamond)	December	30-Apr-19
151	Santrust Securities Limited	December	30-Apr-19
152	Securities Africa Financial Limited	December	30-Apr-19
153	Securities and Capital Management Company Limited	December	30-Apr-19
154	Security Swaps Limited	December	30-Apr-19
155	Shalom Investment and Financial Services Limited	December	30-Apr-19
156	Shelong Investments Limited	December	30-Apr-19
157	Sigma Securities Limited	December	30-Apr-19
158	Signet Investment & Securities Limited	December	30-Apr-19
159	Skyview Capital Limited	December	30-Apr-19
160	Smadac Securities Limited	December	30-Apr-19
161	Solid Rock Securities & Investment Plc	December	30-Apr-19
162	Spring Trust & Securities Limited	December	30-Apr-19
163	Springboard Trust & Investment Limited	December	30-Apr-19
164	Stanbic IBTC Stockbrokers Limited	December	30-Apr-19
165	Standard Union Securities Limited	December	30-Apr-19
166	TFS Securities & Investment Company Limited	December	30-Apr-19
167	The Bridge Securities Limited	December	30-Apr-19
168	Tiddo Securities Limited	December	30-Apr-19
169	Tomil Trust Limited	December	30-Apr-19
170 171	Topmost Securities Limited  Tower Securities & Investment Company Limited	December December	30-Apr-19
171	Tower Securities & Investment Company Limited Trade Link Securities Limited	December	30-Apr-19 30-Apr-19
173	Traders Trust & Investment Co. Limited	December	30-Apr-19 30-Apr-19
174	Transworld Investment Limited	December	30-Apr-19
175	Trust Yield Securities Limited	December	30-Apr-19
176	TrustBanc Capital Management Limited	December	30-Apr-19
177	Trusthouse Investment Limited	December	30-Apr-19
178	TRW Stockbrokers Limited	December	30-Apr-19
1,0	THE SCOOKSTOKETS EITHILLIA	December	30 Mpi 13

179	Tyndale Securities Limited	December	30-Apr-19
180	UIDC Securities Limited	December	30-Apr-19
181	Unex Capital Limited	December	30-Apr-19
182	Union Capital Markets Limited	December	30-Apr-19
183	United Capital Securities Limited	December	30-Apr-19
184	Valmon Securities Limited	December	30-Apr-19
185	Valueline Securities & Investment Limited	December	30-Apr-19
186	Vetiva Securities Limited	December	30-Apr-19
187	WCM Capital Limited	December	30-Apr-19
188	WSTC Financial Services Limited	December	30-Apr-19
189	Zion Stockbrokers & Securities Limited	December	30-Apr-19

	INACTIVE AND SUBMITTED			
S/N FIRM Year End Due D				
1	Gem Assets Management Limited	December	30-Apr-19	
2	Marimpex Finance & Investment Company Limited	December	30-Apr-19	

	ACTIVE AND NOT SUBMITTED			
S/N Firms Year End Due D				
1	Mayfield Investment Limited	December	30-Apr-19	

INACTIVE AND NOT SUBMITTED			
S/N	FIRM	Year End	Due Date
1	Adamawa Securities Limited	December	30-Apr-19
2	Adonai Stockbrokers Limited	December	30-Apr-19
3	Aims Asset Management Limited	December	30-Apr-19
4	Amyn Investment Limited	December	30-Apr-19
5	Cadington Securities Limited	December	30-Apr-19
6	CEB Securities Limited	December	30-Apr-19
7	Clearview Investments Company Limited	December	30-Apr-19
8	CLG Stockbrokers Limited	December	30-Apr-19
9	ECL Asset Management Limited	December	30-Apr-19
10	Finbank Securities & Assets Management Limited	December	30-Apr-19
11	GMT Securities & Asset Mangement Limited	December	30-Apr-19
12	Gombe Securities Limited	December	30-Apr-19
13	Horizon Stockbrokers Limited	December	30-Apr-19
14	International Standard Securities Limited	December	30-Apr-19
15	Investment Shark & Asset Mgt Ltd	December	30-Apr-19
16	ITIS Securities Limited	December	30-Apr-19
17	Kakawa Asset Management Limited	December	30-Apr-19
18	Lion Stockbrokers Limited	December	30-Apr-19
19	LMB Stockbrokers Limited	December	30-Apr-19

20	Mact Securities Limited	December	30-Apr-19
21	Maven Asset Management Limited	December	30-Apr-19
22	Midpoint Capital Limited	December	30-Apr-19
23	ML Securities Limited	December	30-Apr-19
24	Monument Sec & Finance Limited	December	30-Apr-19
25	Mutual Alliance Investment & Securities Limited	December	30-Apr-19
26	Profund Securities Limited	December	30-Apr-19
27	Quantum Securities Limited	December	30-Apr-19
28	Standard Alliance Capital Asset Mgt Limited	December	30-Apr-19
29	Supra Commercial Trust Company Limited	December	30-Apr-19





### FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 30 JUNE 2019

	ACTIVE AND SUBMITTED			
S/N	FIRMS	Year End	Due date	
1	Absa Securities Nigeria Limited(formerly Barclays Stockbroker	December	30-Jul-19	
2	Afrinvest Securities Limited	December	30-Jul-19	
3	Anchoria Investment & Securities Limited	December	30-Jul-19	
4	Apel Asset Limited	December	30-Jul-19	
5	Apt Securities & Funds Limited	December	30-Jul-19	
6	ARM Securities Limited	December	30-Jul-19	
7	Arthur Stevens Asset Management Limited	December	30-Jul-19	
8	Associated Asset Managers Limited	December	30-Jul-19	
9	Atlass Portfolio Limited	December	30-Jul-19	
10	Bauchi Investment Corp. Sec Limited	December	30-Jul-19	
11	Belfry Investment & Securities Limited	December	30-Jul-19	
12	Bestworth Assets & Trust Limited	December	30-Jul-19	
13	Calyx Securities Limited	December	30-Jul-19	
14	Camry Securities Limited	December	30-Jul-19	
15	Capital Asset Limited	December	30-Jul-19	
16	Capital Bancorp Plc	December	30-Jul-19	
17	Capital Express Securities Limited	December	30-Jul-19	
18	Capital Trust Brokers Limited	December	30-Jul-19	
19	Cardinal Stone Securities Ltd	December	30-Jul-19	
20	Cashcraft Securities Limited	December	30-Jul-19	
21	Cashville Investment & Securities Limited	December	30-Jul-19	
22	CDL Capital Markets Limited	December	30-Jul-19	
23	Centre Point Investment Limited	December	30-Jul-19	
24	Century Securities Limited	December	30-Jul-19	
25	Chapel Hill Denham Securities Limited	December	30-Jul-19	
26	Chartwell Securities Limited	December	30-Jul-19	
27	Citi Investment Capital Limited	December	30-Jul-19	
28	City Code Trust & Investment Company Limited	December	30-Jul-19	
29	Compass Investment & Securities Limited	December	30-Jul-19	
30	Cordros Securities Limited	December	30-Jul-19	
31	Core Securities Limited	December	30-Jul-19	
32	Coronation Securities Limited	December	30-Jul-19	
33	Cowry Securities Limited	December	30-Jul-19	
34	Crane Securities Limited	December	30-Jul-19	
35	Crossworld Securities Limited	December	30-Jul-19	
36	Crown Capital Limited	December	30-Jul-19	
37	CSL Stockbrokers Limited	December	30-Jul-19	

20	D 7 11 1 11 11 11	5 1	20     40
38	Deep Trust Investment Limited	December	30-Jul-19
39	Delords Securities Limited	December	30-Jul-19
40	Dominion Trust Limited	December	30-Jul-19
41	DSU Brokerage Services Limited	December	30-Jul-19
42	Dunbell Securities Limited	December	30-Jul-19
43	Dunn Loren Merrifield Securities Limited	December	30-Jul-19
44	Dynamic Portfolio Limited	December	30-Jul-19
45	EDC Securities Limited	December	30-Jul-19
46	Edgefield Capital Management Limited	December	30-Jul-19
47	EFG Hermes Nigeria Limited	December	30-Jul-19
48	El-Elyon Alliance and Securities Ltd	December	30-Jul-19
49	Elixir Securities Limited	December	30-Jul-19
50	Equity Capital Solutions Limited	December	30-Jul-19
51	Eurocomm Securities Limited	December	30-Jul-19
52	Express Portfolio Services Limited	December	30-Jul-19
53	Falcon Securities Limited	December	30-Jul-19
54	FBC Trust & Securities Limited	December	30-Jul-19
55	FBNQuest Securities Limited	December	30-Jul-19
56	FCSL Asset Management Company Limited	December	30-Jul-19
57	Fidelity Finance Company Limited	December	30-Jul-19
58	Financial Trust Company Limited	December	30-Jul-19
59	Finmal Finance Services Limited	December	30-Jul-19
60	First Integrated Capital Manangement Limited	December	30-Jul-19
61	FIS Securities Limited	December	30-Jul-19
62	Foresight Securities & Investment Limited	December	30-Jul-19
63	Forte Financial Limited	December	30-Jul-19
64	Forthright Securities & Investments Limited	December	30-Jul-19
65	Fortress Capital Limited	December	30-Jul-19
66	FSDH Securities Limited	December	30-Jul-19
67	FSL Securities Limited	December	30-Jul-19
68	Funds Matrix & Assets Mgt Limited	December	30-Jul-19
69	Fundvine Capital & Securities Limited	December	30-Jul-19
70	Futureview Securities Limited	December	30-Jul-19 30-Jul-19
70	Gidauniya Investment & Securities Limited	December	30-Jul-19 30-Jul-19
72	Global Asset Management (Nig) Limited	December	30-Jul-19 30-Jul-19
73	GlobalView Capital Limited	December	30-Jul-19 30-Jul-19
	Golden Securities Limited	December	
74			30-Jul-19
75 76	Greenwich Securities Limited	December December	30-Jul-19
76	Growth & Development Asset Management Limited		30-Jul-19
77	Gruene Capital Limited	December	30-Jul-19
78	GTI Securities Limited	December	30-Jul-19
79	Harmony Securities Limited	December	30-Jul-19
80	Heartbeat Investment Limited	December	30-Jul-19
81	Hedge Securities & Investment Limited	December	30-Jul-19
82	Helix Securities Limited	December	30-Jul-19
83	Heritage Capital Markets Limited	December	30-Jul-19
84	ICMG Securities Limited	December	30-Jul-19

85	Icon Stockbrokers Limited	December	30-Jul-19
86	Imperial Asset Managers Limited	December	30-Jul-19
87	Integrated Trust & Investment Limited	December	30-Jul-19 30-Jul-19
88	Interstate Securities Limited	December	30-Jul-19 30-Jul-19
89	Investment One Stockbrokers International Limited	December	30-Jul-19 30-Jul-19
90	Investors & Trust Company Limited	December	30-Jul-19 30-Jul-19
91	Kapital Care Trust & Securities Limited	December	30-Jul-19 30-Jul-19
92	Kedari Capital Limited	December	30-Jul-19 30-Jul-19
93	Kinley Securities Limited	December	30-Jul-19 30-Jul-19
94	Kofana Securities & Investment Limited	December	30-Jul-19
95	Lambeth Capital Limited	December	30-Jul-19
96	Lead Securities & Investment Limited	December	30-Jul-19
97	Lighthouse Asset Management Limited	December	30-Jul-19
98	Magnartis Finance & Investment Limited	December	30-Jul-19
99	Mainstreet Bank Securities Limited	December	30-Jul-19
100	Maxifund Investment & Securities Plc	December	30-Jul-19
101	MBC Securities Limited	December	30-Jul-19
102	MBL Financial Services Limited	December	30-Jul-19
103	Mega Equities Limited	December	30-Jul-19
104	Meristem Stockbrokers Limited	December	30-Jul-19
105	Midas Stockbrokers Limited	December	30-Jul-19
106	Milestone Capital Management Limited	December	30-Jul-19
107	Mission Securities Limited	December	30-Jul-19
108	Molten Trust Limited	December	30-Jul-19
109	Morgan Capital Securities Limited	December	30-Jul-19
110	Mountain Investment & Securities Limited	December	30-Jul-19
111	Network Capital Limited	December	30-Jul-19
112	Networth Securities & Finance Limited	December	30-Jul-19
113	Newdevco Investments & Securties Limited	December	30-Jul-19
114	Nigerian International Securities Ltd	December	30-Jul-19
115	Nigerian Stockbrokers Limited	December	30-Jul-19
116	Osborne Capital Markets Limited (Formerly Longterm Global	December	30-Jul-19
117	PAC Securities Limited	December	30-Jul-19
118	Peace Capital Markets Ltd	December	30-Jul-19
119	Pilot Securities Limited	December	30-Jul-19
120	Pinefields Investment Services Limited	December	30-Jul-19
121	PIPC Securities Limited	December	30-Jul-19
122	Pivot Capital Limited	December	30-Jul-19
123	Planet Capital Limited	December	30-Jul-19
124	Portfolio Advisers Limited	December	30-Jul-19
125	Premium Capital and Stockbrokers Limited	December	30-Jul-19
126	Primewealth Capital Limited	December	30-Jul-19
127	Prominent Securities Limited	December	30-Jul-19
128	PSI Securities Limited	December	30-Jul-19
129	Pyramid Securities Limited	December	30-Jul-19
130	Qualinvest Capital Limited	December	30-Jul-19
131	Quantum Zenith Securities & Investments Limited	December	30-Jul-19

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132	Rainbow Securities & Investment Company Limited	December	30-Jul-19
133	Readings Investment Limited	December	30-Jul-19
134	Regency Asset Management Limited	December	30-Jul-19
135	Rencap Securities (Nig) Limited	December	30-Jul-19
136	Resort Securities & Trust Limited	December	30-Jul-19
137	Reward Investment & Service Limited	December	30-Jul-19
138	RMB Nigeria Stockbrokers Limited	December	30-Jul-19
139	Rostrum Investment & Sec Limited	December	30-Jul-19
140	Rowet Capital Management Limited	December	30-Jul-19
141	Royal Crest Finance Limited	December	30-Jul-19
142	Royal Guaranty & Trust Limited	December	30-Jul-19
143	Royal Trust Securities Limited	December	30-Jul-19
144	Sankore Securities Limited (formerly Diamond)	December	30-Jul-19
145	Santrust Securities Limited	December	30-Jul-19
146	Securities Africa Financial Limited	December	30-Jul-19
147	Securities and Capital Management Company Limited	December	30-Jul-19
148	Security Swaps Limited	December	30-Jul-19
149	Shalom Investment and Financial Services Limited	December	30-Jul-19
150	Shelong Investments Limited	December	30-Jul-19
151	Sigma Securities Limited	December	30-Jul-19
152	Signet Investment & Securities Limited	December	30-Jul-19
153	Skyview Capital Limited	December	30-Jul-19
154	Smadac Securities Limited	December	30-Jul-19
155	Solid Rock Securities & Investment Plc	December	30-Jul-19
156	Spring Trust & Securities Limited	December	30-Jul-19
157	Springboard Trust & Investment Limited	December	30-Jul-19
158	Stanbic IBTC Stockbrokers Limited	December	30-Jul-19
159	Standard Union Securities Limited	December	30-Jul-19
160	Tellimer Capital Limited (formerly EFCP Limited)	December	30-Jul-19
161	TFS Securities & Investment Company Limited	December	30-Jul-19
162	The Bridge Securities Limited	December	30-Jul-19
163	Tiddo Securities Limited	December	30-Jul-19
164	Tomil Trust Limited	December	30-Jul-19
165	Topmost Securities Limited	December	30-Jul-19
166	Tower Securities & Investment Company Limited	December	30-Jul-19
167	Trade Link Securities Limited	December	30-Jul-19
168	Traders Trust & Investment Co. Limited	December	30-Jul-19
169	Transworld Investment Limited	December	30-Jul-19
170	Trust Yield Securities Limited	December	30-Jul-19
171	TrustBanc Capital Management Limited	December	30-Jul-19 30-Jul-19
172	Trusthouse Investment Limited	December	30-Jul-19 30-Jul-19
173	TRW Stockbrokers Limited	December	30-Jul-19 30-Jul-19
174	Tyndale Securities Limited	December	30-Jul-19 30-Jul-19
175	UIDC Securities Limited	December	30-Jul-19 30-Jul-19
176	Unex Capital Limited	December	30-Jul-19
177	Union Capital Markets Limited	December	30-Jul-19
178	United Capital Securities Limited	December	30-Jul-19

179	Valmon Securities Limited	December	30-Jul-19
180	Valueline Securities & Investment Limited	December	30-Jul-19
181	Vetiva Securities Limited	December	30-Jul-19
182	WCM Capital Limited	December	30-Jul-19
183	WSTC Financial Services Limited	December	30-Jul-19
184	Zion Stockbrokers & Securities Limited	December	30-Jul-19

INACTIVE AND SUBMITTED			
S/N	FIRM	Year End	Due Date
1	Financial & Analytics Capital Limited	December	30-Jul-19
2	Gem Assets Management Limited	December	30-Jul-19
3	Kundila Finance Services Limited	December	30-Jul-19
4	Marimpex Finance & Investment Company Limited	December	30-Jul-19
5	Nova Finance & Securities Limited	December	30-Jul-19

ACTIVE AND NOT SUBMITTED			
S/N	Firms	Year End	<b>Due Date</b>
1	Enterprise Stockbrokers Limited	December	30-Jul-19

INACTIVE AND NOT SUBMITTED			
S/N	FIRM	Year End	Due Date
1	Adamawa Securities Limited	December	30-Jul-19
2	Adonai Stockbrokers Limited	December	30-Jul-19
3	Aims Asset Management Limited	December	30-Jul-19
4	Amyn Investment Limited	December	30-Jul-19
5	Cadington Securities Limited	December	30-Jul-19
6	CEB Securities Limited	December	30-Jul-19
7	Clearview Investments Company Limited	December	30-Jul-19
8	CLG Stockbrokers Limited	December	30-Jul-19
9	ECL Asset Management Limited	December	30-Jul-19
10	First Stockbrokers Limited	December	30-Jul-19
11	GMT Securities & Asset Mangement Limited	December	30-Jul-19
12	Gombe Securities Limited	December	30-Jul-19
13	Horizon Stockbrokers Limited	December	30-Jul-19
14	International Standard Securities Limited	December	30-Jul-19
15	Investment Shark & Asset Mgt Ltd	December	30-Jul-19
16	ITIS Securities Limited	December	30-Jul-19
17	Kakawa Asset Management Limited	December	30-Jul-19
18	Lion Stockbrokers Limited	December	30-Jul-19
19	LMB Stockbrokers Limited	December	30-Jul-19
20	Mact Securities Limited	December	30-Jul-19
21	Maven Asset Management Limited	December	30-Jul-19
22	Mayfield Investment Limited	December	30-Jul-19

23	Midpoint Capital Limited	December	30-Jul-19
24	ML Securities Limited	December	30-Jul-19
25	Monument Sec & Finance Limited	December	30-Jul-19
26	Mutual Alliance Investment & Securities Limited	December	30-Jul-19
27	Profund Securities Limited	December	30-Jul-19
28	Quantum Securities Limited	December	30-Jul-19
29	Standard Alliance Capital Asset Mgt Limited	December	30-Jul-19
30	Supra Commercial Trust Company Limited	December	30-Jul-19





### FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 30 SEPTEMBER 2019

	ACTIVE AND SUBMITTED			
S/N	FIRMS	Year End	Due date	
1	Absa Securities Nigeria Limited(formerly Barclays Stockbroker	December	30-Oct-19	
2	Afrinvest Securities Limited	December	30-Oct-19	
3	Anchoria Investment & Securities Limited	December	30-Oct-19	
4	Apel Asset Limited	December	30-Oct-19	
5	Apt Securities & Funds Limited	December	30-Oct-19	
6	ARM Securities Limited	December	30-Oct-19	
7	Arthur Stevens Asset Management Limited	December	30-Oct-19	
8	Associated Asset Managers Limited	December	30-Oct-19	
9	Atlass Portfolio Limited	December	30-Oct-19	
10	Bauchi Investment Corp. Sec Limited	December	30-Oct-19	
11	Belfry Investment & Securities Limited	December	30-Oct-19	
12	Bestworth Assets & Trust Limited	December	30-Oct-19	
13	Calyx Securities Limited	December	30-Oct-19	
14	Camry Securities Limited	December	30-Oct-19	
15	Capital Asset Limited	December	30-Oct-19	
16	Capital Bancorp Plc	December	30-Oct-19	
17	Capital Express Securities Limited	December	30-Oct-19	
18	Capital Trust Brokers Limited	December	30-Oct-19	
19	Cardinal Stone Securities Ltd	December	30-Oct-19	
20	Cashcraft Securities Limited	December	30-Oct-19	
21	Cashville Investment & Securities Limited	December	30-Oct-19	
22	CDL Capital Markets Limited	December	30-Oct-19	
23	Centre Point Investment Limited	December	30-Oct-19	
24	Century Securities Limited	December	30-Oct-19	
25	Chapel Hill Denham Securities Limited	December	30-Oct-19	
26	Chartwell Securities Limited	December	30-Oct-19	
27	Citi Investment Capital Limited	December	30-Oct-19	
28	City Code Trust & Investment Company Limited	December	30-Oct-19	
29	Compass Investment & Securities Limited	December	30-Oct-19	
30	Cordros Securities Limited	December	30-Oct-19	
31	Core Securities Limited	December	30-Oct-19	
32	Coronation Securities Limited	December	30-Oct-19	
33	Cowry Securities Limited	December	30-Oct-19	
34	Crane Securities Limited	December	30-Oct-19	
35	Crossworld Securities Limited	December	30-Oct-19	
36	Crown Capital Limited	December	30-Oct-19	
37	CSL Stockbrokers Limited	December	30-Oct-19	

20	Doop Trust Investment Limited	Dosombar	20 Oct 10
38	Deep Trust Investment Limited Delords Securities Limited	December	30-Oct-19
39		December	30-Oct-19
40	Dominion Trust Limited	December	30-Oct-19
41	DSU Brokerage Services Limited	December	30-Oct-19
42	Dunbell Securities Limited	December	30-Oct-19
43	Dunn Loren Merrifield Securities Limited	December	30-Oct-19
44	Dynamic Portfolio Limited	December	30-Oct-19
45	EDC Securities Limited	December	30-Oct-19
46	Edgefield Capital Management Limited	December	30-Oct-19
47	EFG Hermes Nigeria Limited	December	30-Oct-19
48	El-Elyon Alliance and Securities Ltd	December	30-Oct-19
49	Elixir Securities Limited	December	30-Oct-19
50	Equity Capital Solutions Limited	December	30-Oct-19
51	Eurocomm Securities Limited	December	30-Oct-19
52	Express Portfolio Services Limited	December	30-Oct-19
53	Falcon Securities Limited	December	30-Oct-19
54	FBC Trust & Securities Limited	December	30-Oct-19
55	FBNQuest Securities Limited	December	30-Oct-19
56	FCSL Asset Management Company Limited	December	30-Oct-19
57	Fidelity Finance Company Limited	December	30-Oct-19
58	Financial Trust Company Limited	December	30-Oct-19
59	Finmal Finance Services Limited	December	30-Oct-19
60	First Integrated Capital Manangement Limited	December	30-Oct-19
61	FIS Securities Limited	December	30-Oct-19
62	Foresight Securities & Investment Limited	December	30-Oct-19
63	Forte Financial Limited	December	30-Oct-19
64	Forthright Securities & Investments Limited	December	30-Oct-19
65	Fortress Capital Limited	December	30-Oct-19
66	FSDH Securities Limited	December	30-Oct-19
67	FSL Securities Limited	December	30-Oct-19
68	Funds Matrix & Assets Mgt Limited	December	30-Oct-19
69	Fundvine Capital & Securities Limited	December	30-Oct-19
70	Futureview Securities Limited	December	30-Oct-19
71	Gidauniya Investment & Securities Limited	December	30-Oct-19
72	Global Asset Management (Nig) Limited	December	30-Oct-19
73	GlobalView Capital Limited	December	30-Oct-19
74	Golden Securities Limited	December	30-Oct-19
75	Greenwich Securities Limited	December	30-Oct-19
76	Growth & Development Asset Management Limited	December	30-Oct-19
77	Gruene Capital Limited	December	30-Oct-19
78	GTI Securities Limited	December	30-Oct-19
79	Harmony Securities Limited	December	30-Oct-19
80	Heartbeat Investment Limited	December	30-Oct-19
81	Hedge Securities & Investment Limited	December	30-Oct-19
82	Helix Securities Limited	December	30-Oct-19
83	Heritage Capital Markets Limited	December	30-Oct-19
84	ICMG Securities Limited	December	30-Oct-19

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85	Icon Stockbrokers Limited	December	30-Oct-19
86	Imperial Asset Managers Limited	December	30-Oct-19
87	Integrated Trust & Investment Limited	December	30-Oct-19
88	Interstate Securities Limited	December	30-Oct-19
89	Investment One Stockbrokers International Limited	December	30-Oct-19
90	Investors & Trust Company Limited	December	30-Oct-19
91	Kapital Care Trust & Securities Limited	December	30-Oct-19
92	Kedari Capital Limited	December	30-Oct-19
93	Kinley Securities Limited	December	30-Oct-19
94	Kofana Securities & Investment Limited	December	30-Oct-19
95	Lambeth Capital Limited	December	30-Oct-19
96	Lead Securities & Investment Limited	December	30-Oct-19
97	Lighthouse Asset Management Limited	December	30-Oct-19
98	Magnartis Finance & Investment Limited	December	30-Oct-19
99	Mainstreet Bank Securities Limited	December	30-Oct-19
100	Maxifund Investment & Securities Plc	December	30-Oct-19
101	MBC Securities Limited	December	30-Oct-19
102	Mega Equities Limited	December	30-Oct-19
103	Meristem Stockbrokers Limited	December	30-Oct-19
104	Midas Stockbrokers Limited	December	30-Oct-19
105	Milestone Capital Management Limited	December	30-Oct-19
106	Mission Securities Limited	December	30-Oct-19
107	Molten Trust Limited	December	30-Oct-19
108	Morgan Capital Securities Limited	December	30-Oct-19
109	Mountain Investment & Securities Limited	December	30-Oct-19
110	Network Capital Limited	December	30-Oct-19
111	Network Capital Limited  Network Securities & Finance Limited	December	30-Oct-19
112	Newdevco Investments & Securties Limited	December	30-Oct-19
113	Nigerian International Securities Ltd	December	30-Oct-19
	Ţ.	December	
114	Nigerian Stockbrokers Limited	_	30-Oct-19
115	Nova Finance & Securities Limited	December	30-Oct-19
116	Osborne Capital Markets Limited (Formerly Longterm Global	December	30-Oct-19
117	PAC Securities Limited	December	30-Oct-19
118	Peace Capital Markets Ltd	December	30-Oct-19
119	Pilot Securities Limited	December	30-Oct-19
120	Pinefields Investment Services Limited	December	30-Oct-19
121	PIPC Securities Limited	December	30-Oct-19
122	Pivot Capital Limited	December	30-Oct-19
123	Planet Capital Limited	December	30-Oct-19
124	Portfolio Advisers Limited	December	30-Oct-19
125	Premium Capital and Stockbrokers Limited	December	30-Oct-19
126	Primewealth Capital Limited	December	30-Oct-19
127	Prominent Securities Limited	December	30-Oct-19
128	PSI Securities Limited	December	30-Oct-19
129	Pyramid Securities Limited	December	30-Oct-19
130	Qualinvest Capital Limited	December	30-Oct-19
131	Quantum Zenith Securities & Investments Limited	December	30-Oct-19

132         Rainbow Securities & Investment Company Limited         December         30-Oct-19           133         Readings Investment Limited         December         30-Oct-19           134         Regency Asset Management Limited         December         30-Oct-19           136         Resort Securities & Trust Limited         December         30-Oct-19           137         Reward Investment & Service Limited         December         30-Oct-19           138         RMB Nigeria Stockbrokers Limited         December         30-Oct-19           139         Rostrum Investment & Sec Limited         December         30-Oct-19           140         Rowet Capital Management Limited         December         30-Oct-19           141         Royal Grest Finance Limited         December         30-Oct-19           142         Royal Trust Securities Limited         December         30-Oct-19           143         Royal Trust Securities Limited         December         30-Oct-19           144         Santrust Securities Limited         December         30-Oct-19           145         Securities Africa Financial Limited         December         30-Oct-19           146         Securities Africa Financial Limited         December         30-Oct-19           147	422	Battle Con Street Observation of Comment Product	D l	20.0.1.40
134         Regency Asset Management Limited         December         30-Oct-19           136         Resort Securities (Nig) Limited         December         30-Oct-19           137         Reward Investment & Service Limited         December         30-Oct-19           138         Resort Stockbrokers Limited         December         30-Oct-19           138         RMB Nigeria Stockbrokers Limited         December         30-Oct-19           139         Rostrum Investment & Sec Limited         December         30-Oct-19           140         Rowet Capital Management Limited         December         30-Oct-19           141         Royal Crest Finance Limited         December         30-Oct-19           142         Royal Granty & Trust Limited         December         30-Oct-19           143         Royal Trust Securities Limited         December         30-Oct-19           144         Santrust Securities Limited         December         30-Oct-19           145         Seurities Africa Financial Limited         December         30-Oct-19           146         Securities Africa Financial Services Limited         December         30-Oct-19           148         Securities Africa Financial Services Limited         December         30-Oct-19           149				
Rencap Securities (Nig) Limited   December   30-Oct-19				
Resort Securities & Trust Limited December 30-Oct-19 Reward Investment & Service Limited December 30-Oct-19 Rostrum Investment & Service Limited December 30-Oct-19 Rostrum Investment & Sec Limited December 30-Oct-19 Rowet Capital Management Limited December 30-Oct-19 Royal Crest Finance Limited December 30-Oct-19 Royal Guaranty & Trust Limited December 30-Oct-19 Sankore Securities Limited December 30-Oct-19 Sankore Securities Limited December 30-Oct-19 Securities Africa Financial Services Limited December 30-Oct-19 Securities Sand Capital Management Company Limited December 30-Oct-19 Shelong Investment and Financial Services Limited December 30-Oct-19 Shelong Investments Limited December 30-Oct-19 Sigma Securities Limited December 30-Oct-19 Sigma Securities Limited December 30-Oct-19 Sigma Securities Limited December 30-Oct-19 Solid Rock Securities Limited December 30-Oct-19 Solid Rock Securities Limited December 30-Oct-19 Spring Trust & Securities Limited December 30-Oct-19 Spring Trust & Investment Limited December 30-Oct-19 Spring Trust & Investment Limited December 30-Oct-19 Trist Stanbic IBTC Stockbrokers Limited December 30-Oct-19 Trist Stanbic IBTC Stockbrokers Limited December 30-Oct-19 Trist Stanbic IBTC Stockbrokers Limited December 30-Oct-19 Trist Stanbic Imited December 30-Oct-19 Trast Print Stanbic Limited December 30-Oct-19 Trast Yield Securities Limited December 30-Oct-19 Trast Yield Securities Limited December 30-Oct-19 Trust Yield Securities Limited December 30-Oct-19 Trust Yield Securities Limited December 30-Oct-19 Trust Stanc Capital Limited De				
137         Reward Investment & Service Limited         December         30-Oct-19           138         RMB Nigeria Stockbrokers Limited         December         30-Oct-19           139         Rostrum Investment & Sec Limited         December         30-Oct-19           140         Rowet Capital Management Limited         December         30-Oct-19           141         Royal Crest Finance Limited         December         30-Oct-19           142         Royal Guaranty & Trust Limited         December         30-Oct-19           143         Royal Trust Securities Limited         December         30-Oct-19           144         Sankore Securities Limited         December         30-Oct-19           145         Securities Africa Financial Limited         December         30-Oct-19           146         Securities Africa Financial Limited         December         30-Oct-19           148         Securities Africa Financial Limited         December         30-Oct-19           148         Securities Africa Financial Limited         December         30-Oct-19           149         Shalom Investment and Financial Services Limited         December         30-Oct-19           150         Shelong Investment Limited         December         30-Oct-19           151				
138         RMB Nigeria Stockbrokers Limited         December         30-Oct-19           139         Rostrum Investment & Sec Limited         December         30-Oct-19           140         Rowet Capital Management Limited         December         30-Oct-19           141         Royal Guaranty & Trust Limited         December         30-Oct-19           142         Royal Guaranty & Trust Limited         December         30-Oct-19           143         Rakore Securities Limited (formerly Diamond)         December         30-Oct-19           145         Santrust Securities Limited         December         30-Oct-19           146         Securities Africa Financial Limited         December         30-Oct-19           147         Securities And Capital Management Company Limited         December         30-Oct-19           148         Security Swaps Limited         December         30-Oct-19           149         Shalom Investment and Financial Services Limited         December         30-Oct-19           150         Signa Securities Limited         December         30-Oct-19           151         Signa Securities Limited         December         30-Oct-19           153         Skyriae Capital Limited         December         30-Oct-19           154				
Rostrum Investment & Sec Limited   December   30-Oct-19				
140         Rowal Crest Finance Limited         December         30-Oct-19           141         Royal Crest Finance Limited         December         30-Oct-19           142         Royal Grest Finance Limited         December         30-Oct-19           143         Royal Trust Securities Limited         December         30-Oct-19           144         Sankore Securities Limited (formerly Diamond)         December         30-Oct-19           145         Santrust Securities Limited         December         30-Oct-19           146         Securities Africa Financial Limited         December         30-Oct-19           147         Securities and Capital Management Company Limited         December         30-Oct-19           148         Security Swaps Limited         December         30-Oct-19           148         Securities Management Company Limited         December         30-Oct-19           159         Shalom Investment and Financial Services Limited         December         30-Oct-19           150         Shalom Investment and Financial Services Limited         December         30-Oct-19           150         Shelong Investment & Securities Limited         December         30-Oct-19           151         Signet Investment & Securities Limited         December         30-Oct-19		j		
141Royal Crest Finance LimitedDecember30-Oct-19142Royal Guaranty & Trust LimitedDecember30-Oct-19143Royal Trust Securities LimitedDecember30-Oct-19144Sankore Securities Limited (formerly Diamond)December30-Oct-19145Santrust Securities LimitedDecember30-Oct-19146Securities Africa Financial LimitedDecember30-Oct-19147Securities And Capital Management Company LimitedDecember30-Oct-19148Security Swaps LimitedDecember30-Oct-19149Shalom Investment and Financial Services LimitedDecember30-Oct-19150Shelong Investments LimitedDecember30-Oct-19151Signas Securities LimitedDecember30-Oct-19152Signet Investment & Securities LimitedDecember30-Oct-19153Skyview Capital LimitedDecember30-Oct-19154Smadac Securities LimitedDecember30-Oct-19155Solid Rock Securities & Investment PlcDecember30-Oct-19156Spring Trust & Securities LimitedDecember30-Oct-19157Springboard Trust & Investment LimitedDecember30-Oct-19158Stanbic IBTC Stockbrokers LimitedDecember30-Oct-19159Standard Union Securities LimitedDecember30-Oct-19160Tellimer Capital Limited (formerly EFCP Limited)December30-Oct-19161TFS Securities LimitedDecember				
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167Trade Link Securities LimitedDecember30-Oct-19168Traders Trust & Investment Co. LimitedDecember30-Oct-19169Transworld Investment LimitedDecember30-Oct-19170Trust Yield Securities LimitedDecember30-Oct-19171TrustBanc Capital Management LimitedDecember30-Oct-19172Trusthouse Investment LimitedDecember30-Oct-19173TRW Stockbrokers LimitedDecember30-Oct-19174Tyndale Securities LimitedDecember30-Oct-19175UIDC Securities LimitedDecember30-Oct-19176Unex Capital LimitedDecember30-Oct-19177Union Capital Markets LimitedDecember30-Oct-19	165	Topmost Securities Limited	December	30-Oct-19
168Traders Trust & Investment Co. LimitedDecember30-Oct-19169Transworld Investment LimitedDecember30-Oct-19170Trust Yield Securities LimitedDecember30-Oct-19171TrustBanc Capital Management LimitedDecember30-Oct-19172Trusthouse Investment LimitedDecember30-Oct-19173TRW Stockbrokers LimitedDecember30-Oct-19174Tyndale Securities LimitedDecember30-Oct-19175UIDC Securities LimitedDecember30-Oct-19176Unex Capital LimitedDecember30-Oct-19177Union Capital Markets LimitedDecember30-Oct-19	166	Tower Securities & Investment Company Limited	December	30-Oct-19
169Transworld Investment LimitedDecember30-Oct-19170Trust Yield Securities LimitedDecember30-Oct-19171TrustBanc Capital Management LimitedDecember30-Oct-19172Trusthouse Investment LimitedDecember30-Oct-19173TRW Stockbrokers LimitedDecember30-Oct-19174Tyndale Securities LimitedDecember30-Oct-19175UIDC Securities LimitedDecember30-Oct-19176Unex Capital LimitedDecember30-Oct-19177Union Capital Markets LimitedDecember30-Oct-19	167	Trade Link Securities Limited	December	30-Oct-19
170Trust Yield Securities LimitedDecember30-Oct-19171TrustBanc Capital Management LimitedDecember30-Oct-19172Trusthouse Investment LimitedDecember30-Oct-19173TRW Stockbrokers LimitedDecember30-Oct-19174Tyndale Securities LimitedDecember30-Oct-19175UIDC Securities LimitedDecember30-Oct-19176Unex Capital LimitedDecember30-Oct-19177Union Capital Markets LimitedDecember30-Oct-19	168	Traders Trust & Investment Co. Limited	December	30-Oct-19
171TrustBanc Capital Management LimitedDecember30-Oct-19172Trusthouse Investment LimitedDecember30-Oct-19173TRW Stockbrokers LimitedDecember30-Oct-19174Tyndale Securities LimitedDecember30-Oct-19175UIDC Securities LimitedDecember30-Oct-19176Unex Capital LimitedDecember30-Oct-19177Union Capital Markets LimitedDecember30-Oct-19	169	Transworld Investment Limited	December	30-Oct-19
172Trusthouse Investment LimitedDecember30-Oct-19173TRW Stockbrokers LimitedDecember30-Oct-19174Tyndale Securities LimitedDecember30-Oct-19175UIDC Securities LimitedDecember30-Oct-19176Unex Capital LimitedDecember30-Oct-19177Union Capital Markets LimitedDecember30-Oct-19	170	Trust Yield Securities Limited	December	30-Oct-19
173TRW Stockbrokers LimitedDecember30-Oct-19174Tyndale Securities LimitedDecember30-Oct-19175UIDC Securities LimitedDecember30-Oct-19176Unex Capital LimitedDecember30-Oct-19177Union Capital Markets LimitedDecember30-Oct-19	171	TrustBanc Capital Management Limited	December	30-Oct-19
174Tyndale Securities LimitedDecember30-Oct-19175UIDC Securities LimitedDecember30-Oct-19176Unex Capital LimitedDecember30-Oct-19177Union Capital Markets LimitedDecember30-Oct-19	172	Trusthouse Investment Limited	December	30-Oct-19
175UIDC Securities LimitedDecember30-Oct-19176Unex Capital LimitedDecember30-Oct-19177Union Capital Markets LimitedDecember30-Oct-19	173	TRW Stockbrokers Limited	December	30-Oct-19
176Unex Capital LimitedDecember30-Oct-19177Union Capital Markets LimitedDecember30-Oct-19	174	Tyndale Securities Limited	December	30-Oct-19
177 Union Capital Markets Limited December 30-Oct-19	175	UIDC Securities Limited	December	30-Oct-19
	176	Unex Capital Limited	December	30-Oct-19
178 United Capital Securities Limited December 30-Oct-19	177	Union Capital Markets Limited	December	30-Oct-19
	178	United Capital Securities Limited	December	30-Oct-19

179	Valmon Securities Limited	December	30-Oct-19
180	Valueline Securities & Investment Limited	December	30-Oct-19
181	Vetiva Securities Limited	December	30-Oct-19
182	WCM Capital Limited	December	30-Oct-19
183	WSTC Financial Services Limited	December	30-Oct-19
184	Zion Stockbrokers & Securities Limited	December	30-Oct-19

	INACTIVE AND SUBMITTED			
S/N	FIRM	Year End	Due Date	
1	Financial & Analytics Capital Limited	December	30-Oct-19	
2	Kundila Finance Services Limited	December	30-Oct-19	
3	MBL Financial Services Limited	December	30-Oct-19	
4	Marimpex Finance & Investment Company Limited	December	30-Oct-19	
5	Gem Assets Management Limited	December	30-Oct-19	

	ACTIVE AND NOT SUBMITTED			
S/N Firms Year End Due Date				

	INACTIVE AND NOT SUBMITTED			
S/N	FIRM	Year End	Due Date	
1	Adamawa Securities Limited	December	30-Oct-19	
2	Adonai Stockbrokers Limited	December	30-Oct-19	
3	Aims Asset Management Limited	December	30-Oct-19	
4	Amyn Investment Limited	December	30-Oct-19	
5	Cadington Securities Limited	December	30-Oct-19	
6	CEB Securities Limited	December	30-Oct-19	
7	Clearview Investments Company Limited	December	30-Oct-19	
8	CLG Stockbrokers Limited	December	30-Oct-19	
9	ECL Asset Management Limited	December	30-Oct-19	
10	Enterprise Stockbrokers Limited	December	30-Oct-19	
11	First Stockbrokers Limited	December	30-Oct-19	
12	GMT Securities & Asset Mangement Limited	December	30-Oct-19	
13	Gombe Securities Limited	December	30-Oct-19	
14	Horizon Stockbrokers Limited	December	30-Oct-19	
15	International Standard Securities Limited	December	30-Oct-19	
16	Investment Shark & Asset Mgt Ltd	December	30-Oct-19	
17	ITIS Securities Limited	December	30-Oct-19	
18	Kakawa Asset Management Limited	December	30-Oct-19	
19	Lion Stockbrokers Limited	December	30-Oct-19	
20	LMB Stockbrokers Limited	December	30-Oct-19	
21	Mact Securities Limited	December	30-Oct-19	
22	Maven Asset Management Limited	December	30-Oct-19	

23	Mayfield Investment Limited	December	30-Oct-19
24	Midpoint Capital Limited	December	30-Oct-19
25	ML Securities Limited	December	30-Oct-19
26	Monument Sec & Finance Limited	December	30-Oct-19
27	Mutual Alliance Investment & Securities Limited	December	30-Oct-19
28	Profund Securities Limited	December	30-Oct-19
29	Quantum Securities Limited	December	30-Oct-19
30	Standard Alliance Capital Asset Mgt Limited	December	30-Oct-19
31	Supra Commercial Trust Company Limited	December	30-Oct-19





#### FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 31 DECEMBER 2019

	ACTIVE AND SUBMISSION STATUS FOR THE QUARTER ENDED 31 DECEMBER 2019			
S/N	FIRMS	Year End	Due date	
1	Absa Securities Nigeria Limited	December	31-Jan-20	
2	Afrinvest Securities Limited	December	31-Jan-20	
3	Anchoria Investment & Securities Limited	December	31-Jan-20	
4	Apel Asset Limited	December	31-Jan-20	
5	Apt Securities & Funds Limited	December	31-Jan-20	
6	ARM Securities Limited	December	31-Jan-20	
7	Arthur Stevens Asset Management Limited	December	31-Jan-20	
8	Associated Asset Managers Limited	December	31-Jan-20	
9	Atlass Portfolio Limited	December	31-Jan-20	
10	AVA Securities Limited	December	31-Jan-20	
11	Bauchi Investment Corp. Sec Limited	December	31-Jan-20	
12	Belfry Investment & Securities Limited	December	31-Jan-20	
13	Bestworth Assets & Trust Limited	December	31-Jan-20	
14	Calyx Securities Limited	December	31-Jan-20	
15	Camry Securities Limited	December	31-Jan-20	
16	Capital Asset Limited	December	31-Jan-20	
17	Capital Bancorp Plc	December	31-Jan-20	
18	Capital Express Securities Limited	December	31-Jan-20	
19	Capital Trust Brokers Limited	December	31-Jan-20	
20	Cardinal Stone Securities Ltd	December	31-Jan-20	
21	Cashcraft Securities Limited	December	31-Jan-20	
22	Cashville Investment & Securities Limited	December	31-Jan-20	
23	CDL Capital Markets Limited	December	31-Jan-20	
24	Centre Point Investment Limited	December	31-Jan-20	
25	Century Securities Limited	December	31-Jan-20	
26	Chapel Hill Denham Securities Limited	December	31-Jan-20	
27	Chartwell Securities Limited	December	31-Jan-20	
28	Citi Investment Capital Limited	December	31-Jan-20	
29	City Code Trust & Investment Company Limited	December	31-Jan-20	
30	Compass Investment & Securities Limited	December	31-Jan-20	
31	Cordros Capital Limited	December	31-Jan-20	
32	Core Trust & Investment Limited	December	31-Jan-20	
33	Coronation Securities Limited	December	31-Jan-20	
34	Cowry Securities Limited	December	31-Jan-20	
35	Crane Securities Limited	December	31-Jan-20	
36	Crossworld Securities Limited	December	31-Jan-20	
37	Crown Capital Limited	December	31-Jan-20	

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38 CSL Stockbrokers Limited	December	31-Jan-20
39 Deep Trust Investment Limited	December	31-Jan-20
40 Delords Securities Limited	December	31-Jan-20
41 Dominion Trust Limited	December	31-Jan-20
42 DSU Brokerage Services Limited	December	31-Jan-20
43 Dunbell Securities Limited	December	31-Jan-20
44 Dunn Loren Merrifield Securities Limite		31-Jan-20
45 Dynamic Portfolio Limited	December	31-Jan-20
46 EDC Securities Limited	December	31-Jan-20
47 Edgefield Capital Management Limited	December	31-Jan-20
48 EFG Hermes Nigeria Limited	December	31-Jan-20
49 El-Elyon Alliance and Securities Ltd	December	31-Jan-20
50 Elixir Securities Limited	December	31-Jan-20
51 Equity Capital Solutions Limited	December	31-Jan-20
52 Eurocomm Securities Limited	December	31-Jan-20
53 Express Portfolio Services Limited	December	31-Jan-20
54 Falcon Securities Limited	December	31-Jan-20
55 FBC Trust & Securities Limited	December	31-Jan-20
56 FBNQuest Securities Limited	December	31-Jan-20
57 FCSL Asset Management Company Lim	ited December	31-Jan-20
58 Fidelity Finance Company Limited	December	31-Jan-20
59 Financial Trust Company Limited	December	31-Jan-20
60 Finmal Finance Services Limited	December	31-Jan-20
61 First Integrated Capital Manangement	Limited December	31-Jan-20
62 FIS Securities Limited	December	31-Jan-20
63 Foresight Securities & Investment Limit	ted December	31-Jan-20
64 Forte Financial Limited	December	31-Jan-20
65 Forthright Securities & Investments Lim	nited December	31-Jan-20
66 Fortress Capital Limited	December	31-Jan-20
67 FSDH Securities Limited	December	31-Jan-20
68 FSL Securities Limited	December	31-Jan-20
69 Funds Matrix & Assets Mgt Limited	December	31-Jan-20
70 Fundvine Capital & Securities Limited	December	31-Jan-20
71 Futureview Securities Limited	December	31-Jan-20
72 Gem Assets Management Limited	December	31-Jan-20
73 Gidauniya Investment & Securities Limi	ited December	31-Jan-20
74 Global Asset Management (Nig) Limited	d December	31-Jan-20
75 GlobalView Capital Limited	December	31-Jan-20
76 Golden Securities Limited	December	31-Jan-20
77 Greenwich Securities Limited	December	31-Jan-20
78 Growth & Development Aseet Manage	ment Ltd December	31-Jan-20
79 Gruene Capital Limited	December	31-Jan-20
80 GTI Securities Limited	December	31-Jan-20
81 Harmony Securities Limited	December	31-Jan-20
82 Heartbeat Investment Limited	December	31-Jan-20
83 Hedge Securities & Investment Limited	December	31-Jan-20
84 Heritage Capital Markets Limited	December	31-Jan-20

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85	ICMG securities Limited	December	31-Jan-20
86	Icon Stockbrokers Limited	December	31-Jan-20
87	Imperial Asset Managers Limited	December	31-Jan-20
88	Integrated Trust & Investment Limited	December	31-Jan-20
89	Interstate Securities Limited	December	31-Jan-20
90	Investment One Stockbrokers International Limited	December	31-Jan-20
91	Investors & Trust Company Limited	December	31-Jan-20
92	Kapital Care Trust & Securities Limited	December	31-Jan-20
93	Kedari Capital Limited	December	31-Jan-20
94	Kinley Securities Limited	December	31-Jan-20
95	Kofana Securities & Investment Limited	December	31-Jan-20
96	Lambeth Trust & Investment Company Limited	December	31-Jan-20
97	Lead Securities & Investment Limited	December	31-Jan-20
98	Lighthouse Asset Management Limited	December	31-Jan-20
99	Magnartis Finance & Investment Limited	December	31-Jan-20
100	Mainstreet Bank Securities Limited	December	31-Jan-20
101	Maxifund Investment & Securities Plc	December	31-Jan-20
102	MBC Securities Limited	December	31-Jan-20
103	Mega Equities Limited	December	31-Jan-20
104	Meristem Stockbrokers Limited	December	31-Jan-20
105	Midas Stockbrokers Limited	December	31-Jan-20
106	Mission Securities Limited	December	31-Jan-20
107	Molten Trust Limited	December	31-Jan-20
108	Morgan Capital Securities Limited	December	31-Jan-20
109	Mountain Investment & Securities Limited	December	31-Jan-20
110	Network Capital Limited	December	31-Jan-20
111	Networth Securities & Finance Limited	December	31-Jan-20
112	Newdevco Investments & Securties Limited	December	31-Jan-20
113	Nigerian International Securities Ltd	December	31-Jan-20
114	Nigerian Stockbrokers Limited	December	31-Jan-20
115	Nova Finance & Securities Limited	December	31-Jan-20
116	Osborne Capital Markets Limited	December	31-Jan-20
117	PAC Securities Limited	December	31-Jan-20
118	Peace Capital Markets Ltd	December	31-Jan-20
119	Pilot Securities Limited	December	31-Jan-20
120	Pinefields Investment Services Limited	December	31-Jan-20
121	PIPC Securities Limited	December	31-Jan-20
122	Pivot Capital Limited	December	31-Jan-20
123	Planet Capital Limited	December	31-Jan-20
124	Portfolio Advisers Limited	December	31-Jan-20
125	Primewealth Capital Limited	December	31-Jan-20
126	Prominent Securities Limited	December	31-Jan-20
127	PSI Securities Limited	December	31-Jan-20
128	Pyramid Securities Limited	December	31-Jan-20
129	Qualinvest Capital Limited	December	31-Jan-20
130	Quantum Zenith Securities & Investments Limited	December	31-Jan-20
131	Rainbow Securities & Investment Company Limited	December	31-Jan-20

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132	Readings Investment Limited	December	31-Jan-20
133	Regency Asset Management Limited	December	31-Jan-20
134	Rencap Securities (Nig) Limited	December	31-Jan-20
135	Resort Securities & Trust Limited	December	31-Jan-20
136	Reward Investment & Service Limited	December	31-Jan-20
137	RMB Nigeria Stockbrokers Limited	December	31-Jan-20
138	Rostrum Investment & Sec Limited	December	31-Jan-20
139	Rowet Capital Mgt Limited	December	31-Jan-20
140	Royal Crest Finance Limited	December	31-Jan-20
141	Royal Guaranty & Trust Limited	December	31-Jan-20
142	Sankore Securities Limited (formerly Diamond)	December	31-Jan-20
143	Santrust Securities Limited	December	31-Jan-20
144	Securities Africa Financial Limited	December	31-Jan-20
145	Securities and Capital Management Company Limited	December	31-Jan-20
146	Security Swaps Limited	December	31-Jan-20
147	SFC Securities Limited	December	31-Jan-20
148	Shalom Investment and Financial Services Limited	December	31-Jan-20
149	Shelong Investments Limited	December	31-Jan-20
150	Sigma Securities Limited	December	31-Jan-20
151	Signet Investment & Securities Limited	December	31-Jan-20
152	Skyview Capital Ltd	December	31-Jan-20
153	Smadac Securities Limited	December	31-Jan-20
154	Solid Rock Securities & Investment Plc	December	31-Jan-20
155	Spring Trust & Securities Limited	December	31-Jan-20
156	Springboard Trust & Investment Limited	December	31-Jan-20
157	Stanbic IBTC Stockbrokers Limited	December	31-Jan-20
158	Standard Union Securities Limited	December	31-Jan-20
159	Tellimer Capital Limited	December	31-Jan-20
160	The Bridge Securities Limited	December	31-Jan-20
161	Tiddo Securities Limited	December	31-Jan-20
162	Tomil Trust Limited	December	31-Jan-20
163	Topmost Securities Limited	December	31-Jan-20
164	Tower Securities & Investment Company Limited	December	31-Jan-20
165	Trade Link Securities Limited	December	31-Jan-20
166	Traders Trust & Investment Co. Limited	December	31-Jan-20
167	Transworld Investment Limited	December	31-Jan-20
168	Trust Yield Securities Limited	December	31-Jan-20
169	TrustBanc Capital Management Limited	December	31-Jan-20
170	Trusthouse Investment Limited	December	31-Jan-20
171	TRW Stockbrokers Limited	December	31-Jan-20
172	Tyndale Securities Limited	December	31-Jan-20
173	UIDC Securities Limited	December	31-Jan-20
174	Unex Capital Limited	December	31-Jan-20
175	Union Capital Markets Limited	December	31-Jan-20
176	United Capital Securities Limited	December	31-Jan-20
177	Valmon Securities Limited	December	31-Jan-20
178	Valueline Securities & Investment Limited	December	31-Jan-20
1/0	valuenne securities & investment Limiteu	December	31-Jaii-20

179	Vetiva Securities Limited	December	31-Jan-20
180	Woodland Capital Markets Plc	December	31-Jan-20
181	WSTC Securities Limited	December	31-Jan-20
182	Zion Stockbrokers & Securities Limited	December	31-Jan-20

	INACTIVE AND SUBMITTED		
S/N	FIRM	Year End	Due Date
1	Financial & Analytics Capital Limited	December	31-Jan-20
2	Kakawa Asset Management Limited	December	31-Jan-20
3	Kundila Finance Services Limited	December	31-Jan-20
4	Marimpex Finance & Investment Company Limited	December	31-Jan-20
5	MBL Financial Services Limited	December	31-Jan-20
6	Milestone Capital Management Limited	December	31-Jan-20
7	TFS Securities & Investment Company Limited	December	31-Jan-20

	ACTIVE AND NOT SUBMITTED			
S/N	Firms	Year End	Due Date	
1	Royal Trust Securities Limited	December	31-Jan-20	

	INACTIVE AND NOT SUBMITTED			
S/N	FIRM	Year End	Due Date	
1	Adamawa Securities Limited	December	31-Jan-20	
2	Adonai Stockbrokers Limited	December	31-Jan-20	
3	Aims Asset Management Limited	December	31-Jan-20	
4	Amyn Investment Limited	December	31-Jan-20	
5	Cadington Securities Limited	December	31-Jan-20	
6	CEB Securities Limited	December	31-Jan-20	
7	Clearview Investments Company Limited	December	31-Jan-20	
8	CLG Stockbrokers Limited	December	31-Jan-20	
9	ECL Asset Management Limited	December	31-Jan-20	
10	Enterprise Stockbrokers Limited	December	31-Jan-20	
11	First Stockbrokers Limited	December	31-Jan-20	
12	GMT Securities & Asset Mangement Limited	December	31-Jan-20	
13	Gombe Securities Limited	December	31-Jan-20	
14	Horizon Stockbrokers Limited	December	31-Jan-20	
15	International Standard Securities Limited	December	31-Jan-20	
16	Investment Shark & Asset Mgt Ltd	December	31-Jan-20	
17	ITIS Securities Limited	December	31-Jan-20	
18	Lion Stockbrokers Limited	December	31-Jan-20	
19	LMB Stockbrokers Limited	December	31-Jan-20	
20	Mact Securities Limited	December	31-Jan-20	
21	Maven Asset Management Limited	December	31-Jan-20	
22	Mayfield Investment Limited	December	31-Jan-20	
23	Midpoint Capital Limited	December	31-Jan-20	

24	ML Securities Limited	December	31-Jan-20
25	Monument Sec & Finance Limited	December	31-Jan-20
26	Mutual Alliance Investment & Securities Limited	December	31-Jan-20
27	Profund Securities Limited	December	31-Jan-20
28	Quantum Securities Limited	December	31-Jan-20
29	Standard Alliance Capital Asset Mgt Limited	December	31-Jan-20
30	Supra Commercial Trust Company Limited	December	31-Jan-20
31	Yuderb Investment and Securities Limited	December	31-Jan-20





#### FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 31 MARCH 2020

#### **ACTIVE AND SUBMITTED** S/N **FIRMS Year End** Due date 29-May-20 1 Absa Securities Nigeria Limited December 2 **Afrinvest Securities Limited** December 29-May-20 3 Anchoria Investment and Securities Limited December 29-May-20 December 4 **Apel Asset Limited** 29-May-20 5 Apt Securities and Funds Limited 29-May-20 December 6 **ARM Securities Limited** December 29-May-20 7 December 29-May-20 Arthur Stevens Asset Management Limited 8 **Associated Asset Managers Limited** December 29-May-20 Atlass Portfolio Limited 9 December 29-May-20 10 **AVA Securities Limited** December 29-May-20 11 Bauchi Investment Corp. Sec Limited December 29-May-20 12 Belfry Investment and Securities Limited December 29-May-20 13 **Bestworth Assets and Trust Limited** 29-May-20 December 14 Calyx Securities Limited December 29-May-20 15 Camry Securities Limited 29-May-20 December 16 Capital Asset Limited December 29-May-20 17 Capital Bancorp Plc December 29-May-20 18 Capital Express Securities Limited December 29-May-20 19 Capital Trust Brokers Limited December 29-May-20 20 Cardinal Stone Securities Ltd December 29-May-20 21 Cashcraft Securities Limited December 29-May-20 22 Cashville Investment and Securities Limited December 29-May-20 23 **CDL Capital Markets Limited** December 29-May-20 24 Centre Point Investment Limited December 29-May-20 25 Century Securities Limited December 29-May-20 December Chapel Hill Denham Securities Limited 29-May-20 26 27 **Chartwell Securities Limited** December 29-May-20 28 Citi Investment Capital Limited December 29-May-20 29 Compass Investment and Securities Limited December 29-May-20 30 **Cordros Securities Limited** December 29-May-20 31 Core Securities Limited December 29-May-20 32 Coronation Securities Limited December 29-May-20 33 **Cowry Securities Limited** 29-May-20 December 34 Crossworld Securities Limited December 29-May-20 35 Crown Capital Limited December 29-May-20 36 CSL Stockbrokers Limited December 29-May-20 37 Deep Trust Investment Limited 29-May-20 December

20	Dallanda Caranger and Caranger	D l	20.14. 20
38	Delords Securities Limited	December	29-May-20
39	Dominion Trust Limited	December	29-May-20
40	DSU Brokerage Services Limited	December	29-May-20
41	Dunbell Securities Limited	December	29-May-20
42	Dunn Loren Merrifield Securities Limited	December	29-May-20
43	Dynamic Portfolio Limited	December	29-May-20
44	EDC Securities Limited	December	29-May-20
45	Edgefield Capital Management Limited	December	29-May-20
46	EFG Hermes Nigeria Limited	December	29-May-20
47	El-Elyon Alliance and Securities Ltd	December	29-May-20
48	Elixir Securities Limited	December	29-May-20
49	Equity Capital Solutions Limited	December	29-May-20
50	Eurocomm Securities Limited	December	29-May-20
51	Express Portfolio Services Limited	December	29-May-20
52	Falcon Securities Limited	December	29-May-20
53	FBC Trust and Securities Limited	December	29-May-20
54	FBNQuest Securities Limited	December	29-May-20
55	FCSL Asset Management Company Limited	December	29-May-20
56	Fidelity Finance Company Limited	December	29-May-20
57	Financial Trust Company Limited	December	29-May-20
58	Finmal Finance Services Limited	December	29-May-20
59	First Integrated Capital Manangement Limited	December	29-May-20
60	FIS Securities Limited	December	29-May-20
61	Foresight Securities and Investment Limited	December	29-May-20
62	Forte Financial Limited	December	29-May-20
63	Forthright Securities and Investments Limited	December	29-May-20
64	Fortress Capital Limited	December	29-May-20
65	FSDH Securities Limited	December	29-May-20
66	FSL Securities Limited	December	29-May-20
67	Fundvine Capital and Securities Limited	December	29-May-20
68	Futureview Securities Limited	December	29-May-20
69	Gem Assets Management Limited	December	29-May-20
70	Gidauniya Investment and Securities Limited	December	29-May-20
71	Global Asset Management (Nig) Limited	December	29-May-20
72	GlobalView Capital Limited	December	29-May-20
73	Golden Securities Limited	December	29-May-20
74	Greenwich Securities Limited	December	29-May-20
75	Growth & Development Asset Management Limited	December	29-May-20
76	Gruene Capital Limited	December	29-May-20
77	GTI Securities Limited	December	29-May-20
78	Harmony Securities Limited	December	29-May-20
79	Heartbeat Investment Limited	December	29-May-20
80	Hedge Securities and Investment Limited	December	29-May-20
81	Heritage Capital Markets Limited	December	29-May-20
82	ICMG securities Limited	December	29-May-20
83	Icon Stockbrokers Limited	December	29-May-20
84	Imperial Asset Managers Limited	December	29-May-20
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85	Integrated Trust and Investment Limited	December	29-May-20
86	Interstate Securities Limited	December	29-May-20
87	Investment One Stockbrokers International Limited	December	29-May-20
88	Investors and Trust Company Limited	December	29-May-20
89	Kapital Care Trust and Securities Limited	December	29-May-20
90	Kedari Capital Limited	December	29-May-20
91	Kinley Securities Limited	December	29-May-20
92	Kofana Securities and Investment Limited	December	29-May-20
93	Lambeth Capital Limited	December	29-May-20
94	Lead Securities and Investment Limited	December	29-May-20
95	Lighthouse Asset Management Limited	December	29-May-20
96	Magnartis Finance and Investment Limited	December	29-May-20
97	Mainstreet Bank Securities Limited	December	29-May-20
98	Maxifund Investment and Securities Plc	December	29-May-20
99	MBC Securities Limited	December	29-May-20
100	Mega Equities Limited	December	29-May-20
101	Meristem Stockbrokers Limited	December	29-May-20
102	Midas Stockbrokers Limited	December	29-May-20
103	Mission Securities Limited	December	29-May-20
104	Molten Trust Limited	December	29-May-20
105	Morgan Capital Securities Limited	December	29-May-20
106	Mountain Investment and Securities Limited	December	29-May-20
107	Network Capital Limited	December	29-May-20
108	Networth Securities and Finance Limited	December	29-May-20
109	Newdevco Investments and Securties Limited	December	29-May-20
110	Nigerian International Securities Ltd	December	29-May-20
111	Nigerian Stockbrokers Limited	December	29-May-20
112	Nova Finance and Securities Limited	December	29-May-20
113	Osborne Capital Markets Limited	December	29-May-20
114	PAC Securities Limited	December	29-May-20
115	Peace Capital Markets Ltd	December	29-May-20
116	Pilot Securities Limited	December	29-May-20
117	Pinefields Investment Services Limited	December	29-May-20
118	PIPC Securities Limited	December	29-May-20
119	Pivot Capital Limited	December	29-May-20
120	Planet Capital Limited	December	29-May-20
121	Portfolio Advisers Limited	December	29-May-20
122	Premium Capital and Stockbrokers Limited	December	29-May-20
123	Primewealth Capital Limited	December	29-May-20
124	Prominent Securities Limited	December	29-May-20
125	PSI Securities Limited	December	29-May-20
126	PSL Capital Limited	December	29-May-20
127	Pyramid Securities Limited	December	29-May-20
128	Qualinvest Capital Limited	December	29-May-20
129	Quantum Zenith Securities & Investments Limited	December	29-May-20
130	Rainbow Securities and Investment Company Limited	December	29-May-20
131	Readings Investment Limited	December	29-May-20
	medamba mvedement Emilica	December	23 IVIUY 20

132 Regency Asset Management Limited         December         29-May-20           133 Rencap Securities (injig) Limited         December         29-May-20           134 Resort Securities and Trust Limited         December         29-May-20           135 Reward Investment and Service Limited         December         29-May-20           137 Rostrum Investment and Sec Limited         December         29-May-20           138 Rowet Capital Migt Limited         December         29-May-20           140 Royal Guaranty and Trust Limited         December         29-May-20           140 Royal Guaranty and Trust Limited         December         29-May-20           141 Sankore Securities Limited         December         29-May-20           143 Securities Africa Financial Limited         December         29-May-20           144 Securities Africa Financial Limited         December         29-May-20           145 Shalom Investment and Financial Services Limited         December         29-May-20           146 Shelong Investments Limited         December         29-May-20           147 Sigma Securities Limited         December         29-May-20           148 Signet Investment and Securities Limited         December         29-May-20           149 Skyview Capital Ltd         December         29-May-20           150				
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136         RMB Nigeria Stockbrokers Limited         December         29-May-20           137         Rostrum Investment and Sec Limited         December         29-May-20           138         Rowet Capital Mgt Limited         December         29-May-20           139         Royal Crest Finance Limited         December         29-May-20           140         Royal Guaranty and Trust Limited         December         29-May-20           141         Sankore Securities Limited         December         29-May-20           142         Santrust Securities Limited         December         29-May-20           143         Securities Africa Financial Limited         December         29-May-20           144         Sandom Investment and Financial Services Limited         December         29-May-20           145         Shalom Investment and Financial Services Limited         December         29-May-20           145         Shalom Investments Limited         December         29-May-20           146         Shelong Investments Limited         December         29-May-20           147         Sigma Securities Limited         December         29-May-20           148         Signet Investment and Securities Limited         December         29-May-20           150         <			December	29-May-20
Rostrum Investment and Sec Limited   December   29-May-20	135	Reward Investment and Service Limited	December	29-May-20
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160Topmost Securities LimitedDecember29-May-20161Tower Securities and Investment Company LimitedDecember29-May-20162Trade Link Securities LimitedDecember29-May-20163Traders Trust and Investment Co. LimitedDecember29-May-20164Transworld Investment LimitedDecember29-May-20165Trust Yield Securities LimitedDecember29-May-20166TrustBanc Capital Management LimitedDecember29-May-20167Trusthouse Investment LimitedDecember29-May-20168TRW Stockbrokers LimitedDecember29-May-20169Tyndale Securities LimitedDecember29-May-20170UIDC Securities LimitedDecember29-May-20171Unex Capital LimitedDecember29-May-20172United Capital Securities LimitedDecember29-May-20173United Capital Securities LimitedDecember29-May-20174Valmon Securities LimitedDecember29-May-20175Valueline Securities and Investment LimitedDecember29-May-20176Vetiva Securities LimitedDecember29-May-20177WCM Capital LimitedDecember29-May-20				•
Tower Securities and Investment Company Limited  December 29-May-20  162 Trade Link Securities Limited  December 29-May-20  163 Traders Trust and Investment Co. Limited  December 29-May-20  164 Transworld Investment Limited  December 29-May-20  165 Trust Yield Securities Limited  December 29-May-20  166 TrustBanc Capital Management Limited  December 29-May-20  167 Trusthouse Investment Limited  December 29-May-20  168 TRW Stockbrokers Limited  December 29-May-20  169 Tyndale Securities Limited  December 29-May-20  170 UIDC Securities Limited  December 29-May-20  171 Unex Capital Limited  December 29-May-20  172 Union Capital Markets Limited  December 29-May-20  173 United Capital Securities Limited  December 29-May-20  174 Valmon Securities Limited  December 29-May-20  175 Valueline Securities and Investment Limited  December 29-May-20  176 Vetiva Securities Limited  December 29-May-20  177 WCM Capital Limited  December 29-May-20  178 WCM Capital Limited  December 29-May-20  December 29-May-20				
162Trade Link Securities LimitedDecember29-May-20163Traders Trust and Investment Co. LimitedDecember29-May-20164Transworld Investment LimitedDecember29-May-20165Trust Yield Securities LimitedDecember29-May-20166TrustBanc Capital Management LimitedDecember29-May-20167Trusthouse Investment LimitedDecember29-May-20168TRW Stockbrokers LimitedDecember29-May-20169Tyndale Securities LimitedDecember29-May-20170UIDC Securities LimitedDecember29-May-20171Unex Capital LimitedDecember29-May-20172Union Capital Markets LimitedDecember29-May-20173United Capital Securities LimitedDecember29-May-20174Valueline Securities LimitedDecember29-May-20175Valueline Securities and Investment LimitedDecember29-May-20176Vetiva Securities LimitedDecember29-May-20177WCM Capital LimitedDecember29-May-20				,
163Traders Trust and Investment Co. LimitedDecember29-May-20164Transworld Investment LimitedDecember29-May-20165Trust Yield Securities LimitedDecember29-May-20166TrustBanc Capital Management LimitedDecember29-May-20167Trusthouse Investment LimitedDecember29-May-20168TRW Stockbrokers LimitedDecember29-May-20169Tyndale Securities LimitedDecember29-May-20170UIDC Securities LimitedDecember29-May-20171Unex Capital LimitedDecember29-May-20172Union Capital Markets LimitedDecember29-May-20173United Capital Securities LimitedDecember29-May-20174Valmon Securities LimitedDecember29-May-20175Valueline Securities and Investment LimitedDecember29-May-20176Vetiva Securities LimitedDecember29-May-20177WCM Capital LimitedDecember29-May-20				
164Transworld Investment LimitedDecember29-May-20165Trust Yield Securities LimitedDecember29-May-20166TrustBanc Capital Management LimitedDecember29-May-20167Trusthouse Investment LimitedDecember29-May-20168TRW Stockbrokers LimitedDecember29-May-20169Tyndale Securities LimitedDecember29-May-20170UIDC Securities LimitedDecember29-May-20171Unex Capital LimitedDecember29-May-20172Union Capital Markets LimitedDecember29-May-20173United Capital Securities LimitedDecember29-May-20174Valmon Securities LimitedDecember29-May-20175Valueline Securities and Investment LimitedDecember29-May-20176Vetiva Securities LimitedDecember29-May-20177WCM Capital LimitedDecember29-May-20				•
165Trust Yield Securities LimitedDecember29-May-20166TrustBanc Capital Management LimitedDecember29-May-20167Trusthouse Investment LimitedDecember29-May-20168TRW Stockbrokers LimitedDecember29-May-20169Tyndale Securities LimitedDecember29-May-20170UIDC Securities LimitedDecember29-May-20171Unex Capital LimitedDecember29-May-20172Union Capital Markets LimitedDecember29-May-20173United Capital Securities LimitedDecember29-May-20174Valmon Securities LimitedDecember29-May-20175Valueline Securities and Investment LimitedDecember29-May-20176Vetiva Securities LimitedDecember29-May-20177WCM Capital LimitedDecember29-May-20				
166TrustBanc Capital Management LimitedDecember29-May-20167Trusthouse Investment LimitedDecember29-May-20168TRW Stockbrokers LimitedDecember29-May-20169Tyndale Securities LimitedDecember29-May-20170UIDC Securities LimitedDecember29-May-20171Unex Capital LimitedDecember29-May-20172Union Capital Markets LimitedDecember29-May-20173United Capital Securities LimitedDecember29-May-20174Valmon Securities LimitedDecember29-May-20175Valueline Securities and Investment LimitedDecember29-May-20176Vetiva Securities LimitedDecember29-May-20177WCM Capital LimitedDecember29-May-20				
167Trusthouse Investment LimitedDecember29-May-20168TRW Stockbrokers LimitedDecember29-May-20169Tyndale Securities LimitedDecember29-May-20170UIDC Securities LimitedDecember29-May-20171Unex Capital LimitedDecember29-May-20172Union Capital Markets LimitedDecember29-May-20173United Capital Securities LimitedDecember29-May-20174Valmon Securities LimitedDecember29-May-20175Valueline Securities and Investment LimitedDecember29-May-20176Vetiva Securities LimitedDecember29-May-20177WCM Capital LimitedDecember29-May-20				•
168TRW Stockbrokers LimitedDecember29-May-20169Tyndale Securities LimitedDecember29-May-20170UIDC Securities LimitedDecember29-May-20171Unex Capital LimitedDecember29-May-20172Union Capital Markets LimitedDecember29-May-20173United Capital Securities LimitedDecember29-May-20174Valmon Securities LimitedDecember29-May-20175Valueline Securities and Investment LimitedDecember29-May-20176Vetiva Securities LimitedDecember29-May-20177WCM Capital LimitedDecember29-May-20	166			
169Tyndale Securities LimitedDecember29-May-20170UIDC Securities LimitedDecember29-May-20171Unex Capital LimitedDecember29-May-20172Union Capital Markets LimitedDecember29-May-20173United Capital Securities LimitedDecember29-May-20174Valmon Securities LimitedDecember29-May-20175Valueline Securities and Investment LimitedDecember29-May-20176Vetiva Securities LimitedDecember29-May-20177WCM Capital LimitedDecember29-May-20				,
170UIDC Securities LimitedDecember29-May-20171Unex Capital LimitedDecember29-May-20172Union Capital Markets LimitedDecember29-May-20173United Capital Securities LimitedDecember29-May-20174Valmon Securities LimitedDecember29-May-20175Valueline Securities and Investment LimitedDecember29-May-20176Vetiva Securities LimitedDecember29-May-20177WCM Capital LimitedDecember29-May-20	168		December	29-May-20
171Unex Capital LimitedDecember29-May-20172Union Capital Markets LimitedDecember29-May-20173United Capital Securities LimitedDecember29-May-20174Valmon Securities LimitedDecember29-May-20175Valueline Securities and Investment LimitedDecember29-May-20176Vetiva Securities LimitedDecember29-May-20177WCM Capital LimitedDecember29-May-20				29-May-20
172Union Capital Markets LimitedDecember29-May-20173United Capital Securities LimitedDecember29-May-20174Valmon Securities LimitedDecember29-May-20175Valueline Securities and Investment LimitedDecember29-May-20176Vetiva Securities LimitedDecember29-May-20177WCM Capital LimitedDecember29-May-20	170	UIDC Securities Limited	December	29-May-20
173United Capital Securities LimitedDecember29-May-20174Valmon Securities LimitedDecember29-May-20175Valueline Securities and Investment LimitedDecember29-May-20176Vetiva Securities LimitedDecember29-May-20177WCM Capital LimitedDecember29-May-20	171	Unex Capital Limited	December	29-May-20
174Valmon Securities LimitedDecember29-May-20175Valueline Securities and Investment LimitedDecember29-May-20176Vetiva Securities LimitedDecember29-May-20177WCM Capital LimitedDecember29-May-20	172	Union Capital Markets Limited	December	29-May-20
175Valueline Securities and Investment LimitedDecember29-May-20176Vetiva Securities LimitedDecember29-May-20177WCM Capital LimitedDecember29-May-20	173	United Capital Securities Limited	December	29-May-20
176Vetiva Securities LimitedDecember29-May-20177WCM Capital LimitedDecember29-May-20	174	Valmon Securities Limited	December	29-May-20
177 WCM Capital Limited December 29-May-20	175	Valueline Securities and Investment Limited	December	29-May-20
	176	Vetiva Securities Limited	December	29-May-20
	177	WCM Capital Limited	December	29-May-20
	178	WSTC Securities Limited	December	29-May-20

179	Yuderb Investment and Securities Limited	December	29-May-20
180	Zion Stockbrokers and Securities Limited	December	29-May-20

	INACTIVE AND SUBMITTED			
S/N	FIRM	Year End	Due Date	
1	Crane Securities Limited	December	29-May-20	
2	Financial and Analytics Capital Limited	December	29-May-20	
3	Marimpex Finance and Investment Company Limited	December	29-May-20	
4	Kundila Finance Services Limited	December	29-May-20	
5	Milestone Capital Management Limited	December	29-May-20	

	ACTIVE AND NOT SUBMITTED			
S/N	Firms	Year End	Due Date	
1	City Code Trust and Investment Company Limited	December	29-May-20	
2	Funds Matrix and Assets Mgt Limited	December	29-May-20	
3	Security Swaps Limited	December	29-May-20	

	INACTIVE AND NOT SUBMITTED			
S/N	FIRM	Year End	Due Date	
1	Adamawa Securities Limited	December	29-May-20	
2	Adonai Stockbrokers Limited	December	29-May-20	
3	Aims Asset Management Limited	December	29-May-20	
4	Amyn Investment Limited	December	29-May-20	
5	Cadington Securities Limited	December	29-May-20	
6	CEB Securities Limited	December	29-May-20	
7	Clearview Investments Company Limited	December	29-May-20	
8	CLG Stockbrokers Limited	December	29-May-20	
9	ECL Asset Management Limited	December	29-May-20	
10	Enterprise Stockbrokers Limited	December	29-May-20	
11	First Stockbrokers Limited	December	29-May-20	
12	GMT Securities and Asset Mangement Limited	December	29-May-20	
13	Gombe Securities Limited	December	29-May-20	
14	Horizon Stockbrokers Limited	December	29-May-20	
15	International Standard Securities Limited	December	29-May-20	
16	Investment Shark and Asset Mgt Ltd	December	29-May-20	
17	ITIS Securities Limited	December	29-May-20	
18	Lion Stockbrokers Limited	December	29-May-20	
19	LMB Stockbrokers Limited	December	29-May-20	
20	Mact Securities Limited	December	29-May-20	
21	Maven Asset Management Limited	December	29-May-20	
22	Mayfield Investment Limited	December	29-May-20	
23	MBL Financial Services Limited	December	29-May-20	

24	Midpoint Capital Limited	December	29-May-20
25	ML Securities Limited	December	29-May-20
26	Monument Sec and Finance Limited	December	29-May-20
27	Mutual Alliance Investment and Securities Limited	December	29-May-20
28	Profund Securities Limited	December	29-May-20
29	Quantum Securities Limited	December	29-May-20
30	Royal Trust Securities Limited	December	29-May-20
31	Standard Alliance Capital and Asset Management Limited	December	29-May-20
32	Supra Commercial Trust Company Limited	December	29-May-20
33	TFS Securities and Investment Company Limited	December	29-May-20





### FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 30 JUNE 2020

	ACTIVE AND SUBMITTED			
S/N	FIRMS	Year End	Due date	
1	Absa Securities Nigeria Limited	December	30-Jul-20	
2	Afrinvest Securities Limited	December	30-Jul-20	
3	Anchoria Investment and Securities Limited	December	30-Jul-20	
4	Apel Asset Limited	December	30-Jul-20	
5	Apt Securities and Funds Limited	December	30-Jul-20	
6	ARM Securities Limited	December	30-Jul-20	
7	Arthur Stevens Asset Management Limited	December	30-Jul-20	
8	Associated Asset Managers Limited	December	30-Jul-20	
9	Atlass Portfolio Limited	December	30-Jul-20	
10	AVA Securities Limited	December	30-Jul-20	
11	Bauchi Investment Corp. Sec Limited	December	30-Jul-20	
12	Belfry Investment and Securities Limited	December	30-Jul-20	
13	Bestworth Assets and Trust Limited	December	30-Jul-20	
14	Calyx Securities Limited	December	30-Jul-20	
15	Camry Securities Limited	December	30-Jul-20	
16	Capital Asset Limited	December	30-Jul-20	
17	Capital Bancorp Plc	December	30-Jul-20	
18	Capital Express Securities Limited	December	30-Jul-20	
19	Capital Trust Brokers Limited	December	30-Jul-20	
20	Cardinal Stone Securities Ltd	December	30-Jul-20	
21	Cashcraft Securities Limited	December	30-Jul-20	
22	Cashville Investment and Securities Limited	December	30-Jul-20	
23	CDL Capital Markets Limited	December	30-Jul-20	
24	Centre Point Investment Limited	December	30-Jul-20	
25	Century Securities Limited	December	30-Jul-20	
26	Chapel Hill Denham Securities Limited	December	30-Jul-20	
27	Chartwell Securities Limited	December	30-Jul-20	
28	Citi Investment Capital Limited	December	30-Jul-20	
29	Compass Investment and Securities Limited	December	30-Jul-20	
30	Cordros Securities Limited	December	30-Jul-20	
31	Core Securities Limited	December	30-Jul-20	
32	Coronation Securities Limited	December	30-Jul-20	
33	Cowry Securities Limited	December	30-Jul-20	
34	Crossworld Securities Limited	December	30-Jul-20	
35	Crown Capital Limited	December	30-Jul-20	
36	CSL Stockbrokers Limited	December	30-Jul-20	
37	Deep Trust Investment Limited	December	30-Jul-20	

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38	Delords Securities Limited	December	30-Jul-20
39	Dominion Trust Limited	December	30-Jul-20
40	DSU Brokerage Services Limited	December	30-Jul-20
41	Dunbell Securities Limited	December	30-Jul-20
42	Dunn Loren Merrifield Securities Limited	December	30-Jul-20
43	Dynamic Portfolio Limited	December	30-Jul-20
44	EDC Securities Limited	December	30-Jul-20
45	Edgefield Capital Management Limited	December	30-Jul-20
46	EFG Hermes Nigeria Limited	December	30-Jul-20
47	El-Elyon Alliance and Securities Ltd	December	30-Jul-20
48	Elixir Securities Limited	December	30-Jul-20
49	Equity Capital Solutions Limited	December	30-Jul-20
50	Eurocomm Securities Limited	December	30-Jul-20
51	Express Portfolio Services Limited	December	30-Jul-20
52	Falcon Securities Limited	December	30-Jul-20
53	FBC Trust and Securities Limited	December	30-Jul-20
54	FBNQuest Securities Limited	December	30-Jul-20
55	FCSL Asset Management Company Limited	December	30-Jul-20
56	Fidelity Finance Company Limited	December	30-Jul-20
57	Financial Trust Company Nigeria Limited	December	30-Jul-20
58	Finmal Finance Services Limited	December	30-Jul-20
59	First Integrated Capital Manangement Limited	December	30-Jul-20
60	FIS Securities Limited	December	30-Jul-20
61	Foresight Securities and Investment Limited	December	30-Jul-20
62	Forte Financial Limited	December	30-Jul-20
63	Forthright Securities and Investments Limited	December	30-Jul-20
64	Fortress Capital Limited	December	30-Jul-20
65	FSDH Capital Limited	December	30-Jul-20
66	FSL Securities Limited	December	30-Jul-20
67	Funds Matrix and Assets Mgt Limited	December	30-Jul-20
68	Fundvine Capital and Securities Limited	December	30-Jul-20
69	Futureview Securities Limited	December	30-Jul-20
70	Gem Assets Management Limited	December	30-Jul-20
71	Gidauniya Investment and Securities Limited	December	30-Jul-20
72	Global Asset Management (Nig) Limited	December	30-Jul-20
73	GlobalView Capital Limited	December	30-Jul-20
74	Golden Securities Limited	December	30-Jul-20 30-Jul-20
75	Greenwich Securities Limited	December	30-Jul-20 30-Jul-20
76	Growth & Development Asset Management Limited	December	30-Jul-20 30-Jul-20
77	Gruene Capital Limited	December	30-Jul-20 30-Jul-20
78	GTI Securities Limited	December	30-Jul-20 30-Jul-20
78		December	
	Harmony Securities Limited Heartbeat Investment Limited		30-Jul-20
80		December	30-Jul-20
81	Hedge Securities and Investment Limited	December	30-Jul-20
82	Heritage Capital Markets Limited	December	30-Jul-20
83	ICMG securities Limited	December	30-Jul-20
84	Icon Stockbrokers Limited	December	30-Jul-20

0.5		1 , ,	20 1 1 20
85	Imperial Asset Managers Limited	December	30-Jul-20
86	Integrated Trust and Investment Limited	December	30-Jul-20
87	Interstate Securities Limited	December	30-Jul-20
88	Investment One Stockbrokers International Limited	December	30-Jul-20
89	Investors and Trust Company Limited	December	30-Jul-20
90	Kapital Care Trust and Securities Limited	December	30-Jul-20
91	Kedari Capital Limited	December	30-Jul-20
92	Kinley Securities Limited	December	30-Jul-20
93	Kofana Securities and Investment Limited	December	30-Jul-20
94	Lambeth Capital Limited	December	30-Jul-20
95	Lead Securities and Investment Limited	December	30-Jul-20
96	Lighthouse Asset Management Limited	December	30-Jul-20
97	Magnartis Finance and Investment Limited	December	30-Jul-20
98	Marimpex Finance and Investment Company Limited	December	30-Jul-20
99	Maxifund Investment and Securities Plc	December	30-Jul-20
100	MBC Securities Limited	December	30-Jul-20
101	Mega Equities Limited	December	30-Jul-20
102	Meristem Stockbrokers Limited	December	30-Jul-20
103	Mission Securities Limited	December	30-Jul-20
104	Molten Trust Limited	December	30-Jul-20
105	Morgan Capital Securities Limited	December	30-Jul-20
106	Mountain Investment and Securities Limited	December	30-Jul-20
107	Network Capital Limited	December	30-Jul-20
108	Networth Securities and Finance Limited	December	30-Jul-20
109	Newdevco Investments and Securties Limited	December	30-Jul-20
110	Nigerian International Securities Ltd	December	30-Jul-20
111	Nigerian Stockbrokers Limited	December	30-Jul-20
112	Nova Finance and Securities Limited	December	30-Jul-20
113	Osborne Capital Markets Limited	December	30-Jul-20
114	PAC Securities Limited	December	30-Jul-20
115	Peace Capital Markets Ltd	December	30-Jul-20
116	Pilot Securities Limited	December	30-Jul-20
117	Pinefields Investment Services Limited	December	30-Jul-20
118	PIPC Securities Limited	December	30-Jul-20
119	Pivot Capital Limited	December	30-Jul-20
120	Planet Capital Limited	December	30-Jul-20
121	Portfolio Advisers Limited	December	30-Jul-20
122	Premium Capital and Stockbrokers Limited	December	30-Jul-20
123	Primewealth Capital Limited	December	30-Jul-20 30-Jul-20
124	Prominent Securities Limited	December	30-Jul-20 30-Jul-20
125	PSI Securities Limited	December	30-Jul-20 30-Jul-20
126	PSL Capital Limited	December	30-Jul-20 30-Jul-20
127	Pyramid Securities Limited	December	30-Jul-20 30-Jul-20
128	Qualinvest Capital Limited	December	30-Jul-20 30-Jul-20
129	Quantum Zenith Securities & Investments Limited	December	30-Jul-20
130	Rainbow Securities and Investment Company Limited	December	30-Jul-20
131	Readings Investment Limited	December	30-Jul-20

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132	Regency Asset Management Limited	December	30-Jul-20
133	Rencap Securities (Nig) Limited	December	30-Jul-20
134	Resort Securities and Trust Limited	December	30-Jul-20
135	Reward Investment and Service Limited	December	30-Jul-20
136	RMB Nigeria Stockbrokers Limited	December	30-Jul-20
137	Rostrum Investment and Sec Limited	December	30-Jul-20
138	Rowet Capital Mgt Limited	December	30-Jul-20
139	Royal Crest Finance Limited	December	30-Jul-20
140	Royal Guaranty and Trust Limited	December	30-Jul-20
141	Sankore Securities Limited	December	30-Jul-20
142	Santrust Securities Limited	December	30-Jul-20
143	Securities Africa Financial Limited	December	30-Jul-20
144	Securities and Capital Management Company Limited	December	30-Jul-20
145	Security Swaps Limited	December	30-Jul-20
146	Shalom Investment and Financial Services Limited	December	30-Jul-20
147	Shelong Investments Limited	December	30-Jul-20
148	Sigma Securities Limited	December	30-Jul-20
149	Signet Investment and Securities Limited	December	30-Jul-20
150	Skyview Capital Ltd	December	30-Jul-20
151	Smadac Securities Limited	December	30-Jul-20
152	Solid Rock Securities and Investment Plc	December	30-Jul-20
153	Spring Trust and Securities Limited	December	30-Jul-20
154	Springboard Trust and Investment Limited	December	30-Jul-20
155	Stanbic IBTC Stockbrokers Limited	December	30-Jul-20
156	Standard Union Securities Limited	December	30-Jul-20
157	Tellimer Capital Limited	December	30-Jul-20
158	The Bridge Securities Limited	December	30-Jul-20
159	Tiddo Securities Limited	December	30-Jul-20
160	Tomil Trust Limited	December	30-Jul-20 30-Jul-20
161	Topmost Securities Limited	December	30-Jul-20 30-Jul-20
	·		30-Jul-20 30-Jul-20
162	Tower Securities and Investment Company Limited  Trade Link Securities Limited	December	
163		December	30-Jul-20
164	Traders Trust and Investment Co. Limited	December	30-Jul-20
165	Transworld Investment Limited	December	30-Jul-20
166	Trust Yield Securities Limited	December	30-Jul-20
167	TrustBanc Capital Management Limited	December	30-Jul-20
168	Trusthouse Investment Limited	December	30-Jul-20
169	TRW Stockbrokers Limited	December	30-Jul-20
170	Tyndale Securities Limited	December	30-Jul-20
171	UCML Capital Limited	December	30-Jul-20
172	UIDC Securities Limited	December	30-Jul-20
173	Unex Capital Limited	December	30-Jul-20
174	United Capital Securities Limited	December	30-Jul-20
175	Valmon Securities Limited	December	30-Jul-20
176	Valueline Securities and Investment Limited	December	30-Jul-20
177	Vetiva Securities Limited	December	30-Jul-20
178	WCM Capital Limited	December	30-Jul-20

179	WSTC Securities Limited	December	30-Jul-20
180	Yuderb Investment and Securities Limited	December	30-Jul-20
181	Zion Stockbrokers and Securities Limited	December	30-Jul-20

	INACTIVE AND SUBMITTED			
S/N	FIRM	Year End	Due Date	
1	CLG Stockbrokers Limited	December	30-Jul-20	
2	Crane Securities Limited	December	30-Jul-20	
3	Financial and Analytics Capital Limited	December	30-Jul-20	
4	Kundila Finance Services Limited	December	30-Jul-20	
5	MBL Financial Services Limited	December	30-Jul-20	
6	Midas Stockbrokers Limited	December	30-Jul-20	
7	Milestone Capital Management Limited	December	30-Jul-20	
8	TFS Securities and Investment Company Limited	December	30-Jul-20	

	ACTIVE AND NOT SUBMITTED			
S/N	Firms	Year End	Due Date	
1	City Code Trust and Investment Company Limited	December	30-Jul-20	
2	Mainstreet Bank Securities Limited	December	30-Jul-20	

	INACTIVE AND NOT SUBMITTED			
S/N	FIRM	Year End	Due Date	
1	Adamawa Securities Limited	December	30-Jul-20	
2	Adonai Stockbrokers Limited	December	30-Jul-20	
3	Aims Asset Management Limited	December	30-Jul-20	
4	Amyn Investment Limited	December	30-Jul-20	
5	Cadington Securities Limited	December	30-Jul-20	
6	CEB Securities Limited	December	30-Jul-20	
7	Clearview Investments Company Limited	December	30-Jul-20	
8	ECL Asset Management Limited	December	30-Jul-20	
9	Enterprise Stockbrokers Limited	December	30-Jul-20	
10	First Stockbrokers Limited	December	30-Jul-20	
11	GMT Securities and Asset Mangement Limited	December	30-Jul-20	
12	Gombe Securities Limited	December	30-Jul-20	
13	Horizon Stockbrokers Limited	December	30-Jul-20	
14	International Standard Securities Limited	December	30-Jul-20	
15	Investment Shark and Asset Mgt Ltd	December	30-Jul-20	
16	ITIS Securities Limited	December	30-Jul-20	
17	Lion Stockbrokers Limited	December	30-Jul-20	
18	LMB Stockbrokers Limited	December	30-Jul-20	
19	Mact Securities Limited	December	30-Jul-20	
20	Maven Asset Management Limited	December	30-Jul-20	

21	Mayfield Investment Limited	December	30-Jul-20
22	Midpoint Capital Limited	December	30-Jul-20
23	ML Securities Limited	December	30-Jul-20
24	Monument Sec and Finance Limited	December	30-Jul-20
25	Mutual Alliance Investment and Securities Limited	December	30-Jul-20
26	Profund Securities Limited	December	30-Jul-20
27	Quantum Securities Limited	December	30-Jul-20
28	Royal Trust Securities Limited	December	30-Jul-20
29	Standard Alliance Capital and Asset Management Limited	December	30-Jul-20
30	Supra Commercial Trust Company Limited	December	30-Jul-20





### FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 30 SEPTEMBER 2020

	ACTIVE AND SUBMITTED			
S/N	FIRMS	Year End	Due date	
1	Absa Securities Nigeria Limited	December	30-Oct-20	
2	Afrinvest Securities Limited	December	30-Oct-20	
3	Anchoria Investment and Securities Limited	December	30-Oct-20	
4	Apel Asset Limited	December	30-Oct-20	
5	Apt Securities and Funds Limited	December	30-Oct-20	
6	ARM Securities Limited	December	30-Oct-20	
7	Arthur Stevens Asset Management Limited	December	30-Oct-20	
8	Associated Asset Managers Limited	December	30-Oct-20	
9	Atlass Portfolio Limited	December	30-Oct-20	
10	AVA Securities Limited	December	30-Oct-20	
11	Bauchi Investment Corp. Sec Limited	December	30-Oct-20	
12	Belfry Investment and Securities Limited	December	30-Oct-20	
13	Bestworth Assets and Trust Limited	December	30-Oct-20	
14	Calyx Securities Limited	December	30-Oct-20	
15	Camry Securities Limited	December	30-Oct-20	
16	Capital Asset Limited	December	30-Oct-20	
17	Capital Bancorp Plc	December	30-Oct-20	
18	Capital Express Securities Limited	December	30-Oct-20	
19	Capital Trust Brokers Limited	December	30-Oct-20	
20	Cardinal Stone Securities Ltd	December	30-Oct-20	
21	Cashcraft Securities Limited	December	30-Oct-20	
22	Cashville Investment and Securities Limited	December	30-Oct-20	
23	CDL Capital Markets Limited	December	30-Oct-20	
24	Centre Point Investment Limited	December	30-Oct-20	
25	Century Securities Limited	December	30-Oct-20	
26	Chapel Hill Denham Securities Limited	December	30-Oct-20	
27	Chartwell Securities Limited	December	30-Oct-20	
28	Citi Investment Capital Limited	December	30-Oct-20	
29	City Code Trust and Investment Company Limited	December	30-Oct-20	
30	Compass Investment and Securities Limited	December	30-Oct-20	
31	Cordros Securities Limited	December	30-Oct-20	
32	Core Securities Limited	December	30-Oct-20	
33	Coronation Securities Limited	December	30-Oct-20	
34	Cowry Securities Limited	December	30-Oct-20	
35	Crossworld Securities Limited	December	30-Oct-20	
36	Crown Capital Limited	December	30-Oct-20	
37	CSL Stockbrokers Limited	December	30-Oct-20	

39 Del 40 Do 41 DSI 42 Du 43 Du	rep Trust Investment Limited Flords Securities Limited Flords Trust Limited Flords Securities Limited Flords Securities Limited Flords Securities Limited Flords Securities Limited	December December December December	30-Oct-20 30-Oct-20 30-Oct-20
40 Do 41 DSI 42 Du 43 Du	ominion Trust Limited U Brokerage Services Limited unbell Securities Limited	December	
41 DSI 42 Du 43 Du	U Brokerage Services Limited Inbell Securities Limited		30-Oct-20
42 Du 43 Du	inbell Securities Limited	December	
43 Du			30-Oct-20
		December	30-Oct-20
44 Dyı	ınn Loren Merrifield Securities Limited	December	30-Oct-20
	namic Portfolio Limited	December	30-Oct-20
45 ED	C Securities Limited	December	30-Oct-20
46 Edg	gefield Capital Management Limited	December	30-Oct-20
47 EF0	G Hermes Nigeria Limited	December	30-Oct-20
48 El-	Elyon Alliance and Securities Ltd	December	30-Oct-20
49 Elix	xir Securities Limited	December	30-Oct-20
50 Equ	uity Capital Solutions Limited	December	30-Oct-20
	rocomm Securities Limited	December	30-Oct-20
	press Portfolio Services Limited	December	30-Oct-20
	Icon Securities Limited	December	30-Oct-20
	C Trust and Securities Limited	December	30-Oct-20
	NQuest Securities Limited	December	30-Oct-20
	SL Asset Management Company Limited	December	30-Oct-20
	delity Finance Company Limited	December	30-Oct-20
	nancial Trust Company Nigeria Limited	December	30-Oct-20
	nmal Finance Services Limited	December	30-Oct-20
	st Integrated Capital Manangement Limited	December	30-Oct-20
	Securities Limited	December	30-Oct-20
		December	
	resight Securities and Investment Limited		30-Oct-20
	rte Financial Limited	December	30-Oct-20
	rthright Securities and Investments Limited	December	30-Oct-20
	rtress Capital Limited	December	30-Oct-20
	DH Capital Limited	December	30-Oct-20
	L Securities Limited	December	30-Oct-20
	nds Matrix and Assets Mgt Limited	December	30-Oct-20
	ndvine Capital and Securities Limited	December	30-Oct-20
	tureview Securities Limited	December	30-Oct-20
	m Assets Management Limited	December	30-Oct-20
	dauniya Investment and Securities Limited	December	30-Oct-20
	obal Asset Management (Nig) Limited	December	30-Oct-20
	obalView Capital Limited	December	30-Oct-20
75 Go	olden Securities Limited	December	30-Oct-20
76 Gre	eenwich Securities Limited	December	30-Oct-20
77 Grd	owth & Development Asset Management Limited	December	30-Oct-20
78 Gru	uene Capital Limited	December	30-Oct-20
79 GT	T Securities Limited	December	30-Oct-20
80 Hai	rmony Securities Limited	December	30-Oct-20
81 He	artbeat Investment Limited	December	30-Oct-20
82 He	dge Securities and Investment Limited	December	30-Oct-20
	ritage Capital Markets Limited	December	30-Oct-20
	MG securities Limited	December	30-Oct-20

85	Icon Stockbrokers Limited	December	30-Oct-20
86	Imperial Asset Managers Limited	December	30-Oct-20
87	Integrated Trust and Investment Limited	December	30-Oct-20
88	Interstate Securities Limited	December	30-Oct-20
89	Investment One Stockbrokers International Limited	December	30-Oct-20
90	Investors and Trust Company Limited	December	30-Oct-20
91	Kapital Care Trust and Securities Limited	December	30-Oct-20
92	Kedari Capital Limited	December	30-Oct-20
93	Kinley Securities Limited	December	30-Oct-20
94	Kofana Securities and Investment Limited	December	30-Oct-20
95	Lambeth Capital Limited	December	30-Oct-20
96	Lead Securities and Investment Limited	December	30-Oct-20
97	Lighthouse Asset Management Limited	December	30-Oct-20
98	Magnartis Finance and Investment Limited	December	30-Oct-20
99	Mainstreet Bank Securities Limited	December	30-Oct-20
100	Marimpex Finance and Investment Company Limited	December	30-Oct-20
101	Maxifund Investment and Securities Plc	December	30-Oct-20
102	MBC Securities Limited	December	30-Oct-20
103	Mega Equities Limited	December	30-Oct-20
104	Meristem Stockbrokers Limited	December	30-Oct-20
105	Mission Securities Limited	December	30-Oct-20
106	Molten Trust Limited	December	30-Oct-20
107	Morgan Capital Securities Limited	December	30-Oct-20
108	Mountain Investment and Securities Limited	December	30-Oct-20
109	Network Capital Limited	December	30-Oct-20
110	Networth Securities and Finance Limited	December	30-Oct-20
111	Newdevco Investments and Securties Limited	December	30-Oct-20
112	Nigerian International Securities Ltd	December	30-Oct-20
113	Nigerian Stockbrokers Limited	December	30-Oct-20
114	Nova Finance and Securities Limited	December	30-Oct-20
115	Osborne Capital Markets Limited	December	30-Oct-20
116	PAC Securities Limited	December	30-Oct-20
117	Peace Capital Markets Ltd	December	30-Oct-20
118	Pilot Securities Limited	December	30-Oct-20
119	Pinefields Investment Services Limited	December	30-Oct-20
120	PIPC Securities Limited	December	30-Oct-20
121	Pivot Capital Limited	December	30-Oct-20
122	Planet Capital Limited	December	30-Oct-20
123	Portfolio Advisers Limited	December	30-Oct-20
124	Premium Capital and Stockbrokers Limited	December	30-Oct-20
125	Primewealth Capital Limited	December	30-Oct-20
126	Prominent Securities Limited	December	30-Oct-20
127	PSI Securities Limited	December	30-Oct-20
128	PSL Capital Limited	December	30-Oct-20
129	Pyramid Securities Limited	December	30-Oct-20
130	Qualinvest Capital Limited	December	30-Oct-20
131	Quantum Zenith Securities & Investments Limited	December	30-Oct-20

422	Institute Constitution of Constitution	D	20.0.1.20
132	Rainbow Securities and Investment Company Limited	December	30-Oct-20
133	Readings Investment Limited	December	30-Oct-20
134	Regency Asset Management Limited	December	30-Oct-20
135	Rencap Securities (Nig) Limited	December	30-Oct-20
136	Resort Securities and Trust Limited	December	30-Oct-20
137	Reward Investment and Service Limited	December	30-Oct-20
138	RMB Nigeria Stockbrokers Limited	December	30-Oct-20
139	Rostrum Investment and Sec Limited	December	30-Oct-20
140	Rowet Capital Mgt Limited	December	30-Oct-20
141	Royal Crest Finance Limited	December	30-Oct-20
142	Royal Guaranty and Trust Limited	December	30-Oct-20
143	Sankore Securities Limited	December	30-Oct-20
144	Santrust Securities Limited	December	30-Oct-20
145	Securities Africa Financial Limited	December	30-Oct-20
146	Securities and Capital Management Company Limited	December	30-Oct-20
147	Security Swaps Limited	December	30-Oct-20
148	Shalom Investment and Financial Services Limited	December	30-Oct-20
149	Shelong Investments Limited	December	30-Oct-20
150	Sigma Securities Limited	December	30-Oct-20
151	Signet Investment and Securities Limited	December	30-Oct-20
152	Skyview Capital Ltd	December	30-Oct-20
153	Smadac Securities Limited	December	30-Oct-20
154	Solid Rock Securities and Investment Plc	December	30-Oct-20
155	Spring Trust and Securities Limited	December	30-Oct-20
156	Springboard Trust and Investment Limited	December	30-Oct-20
157	Stanbic IBTC Stockbrokers Limited	December	30-Oct-20
158	Standard Union Securities Limited	December	30-Oct-20
159	StoneX Financial Nigeria Limited	December	30-Oct-20
160	The Bridge Securities Limited	December	30-Oct-20
161	Tiddo Securities Limited	December	30-Oct-20
	Tomil Trust Limited		
162 163	Topmost Securities Limited	December December	30-Oct-20
	'		30-Oct-20
164	Tower Securities and Investment Company Limited	December	30-Oct-20
165	Trade Link Securities Limited	December	30-Oct-20
166	Traders Trust and Investment Co. Limited	December	30-Oct-20
167	Transworld Investment Limited	December	30-Oct-20
168	Trust Yield Securities Limited	December	30-Oct-20
169	TrustBanc Capital Management Limited	December	30-Oct-20
170	Trusthouse Investment Limited	December	30-Oct-20
171	TRW Stockbrokers Limited	December	30-Oct-20
172	Tyndale Securities Limited	December	30-Oct-20
173	UCML Capital Limited	December	30-Oct-20
174	UIDC Securities Limited	December	30-Oct-20
175	Unex Capital Limited	December	30-Oct-20
176	United Capital Securities Limited	December	30-Oct-20
177	Valmon Securities Limited	December	30-Oct-20
178	Valueline Securities and Investment Limited	December	30-Oct-20

179	Vetiva Securities Limited	December	30-Oct-20
180	WCM Capital Limited	December	30-Oct-20
181	WSTC Securities Limited	December	30-Oct-20
182	Yuderb Investment and Securities Limited	December	30-Oct-20
183	Zion Stockbrokers and Securities Limited	December	30-Oct-20

	INACTIVE AND SUBMITTED			
S/N	FIRM	Year End	Due Date	
1	CLG Stockbrokers Limited	December	30-Oct-20	
2	Crane Securities Limited	December	30-Oct-20	
3	Financial and Analytics Capital Limited	December	30-Oct-20	
4	Kundila Finance Services Limited	December	30-Oct-20	
5	MBL Financial Services Limited	December	30-Oct-20	
6	Midas Stockbrokers Limited	December	30-Oct-20	
7	Milestone Capital Management Limited	December	30-Oct-20	
8	TFS Securities and Investment Company Limited	December	30-Oct-20	

	ACTIVE AND NOT SUBMITTED				
S/N	Firms	Year End	Due Date		

	INACTIVE AND NOT SUBMITTED			
S/N	FIRM	Year End	Due Date	
1	Adamawa Securities Limited	December	30-Oct-20	
2	Adonai Stockbrokers Limited	December	30-Oct-20	
3	Aims Asset Management Limited	December	30-Oct-20	
4	Amyn Investment Limited	December	30-Oct-20	
5	Cadington Securities Limited	December	30-Oct-20	
6	CEB Securities Limited	December	30-Oct-20	
7	Clearview Investments Company Limited	December	30-Oct-20	
8	ECL Asset Management Limited	December	30-Oct-20	
9	Enterprise Stockbrokers Limited	December	30-Oct-20	
10	First Stockbrokers Limited	December	30-Oct-20	
11	GMT Securities and Asset Mangement Limited	December	30-Oct-20	
12	Gombe Securities Limited	December	30-Oct-20	
13	Horizon Stockbrokers Limited	December	30-Oct-20	
14	International Standard Securities Limited	December	30-Oct-20	
15	Investment Shark and Asset Mgt Ltd	December	30-Oct-20	
16	ITIS Securities Limited	December	30-Oct-20	

17	Lion Stockbrokers Limited	December	30-Oct-20
18	LMB Stockbrokers Limited	December	30-Oct-20
19	Mact Securities Limited	December	30-Oct-20
20	Maven Asset Management Limited	December	30-Oct-20
21	Mayfield Investment Limited	December	30-Oct-20
22	Midpoint Capital Limited	December	30-Oct-20
23	ML Securities Limited	December	30-Oct-20
24	Monument Sec and Finance Limited	December	30-Oct-20
25	Mutual Alliance Investment and Securities Limited	December	30-Oct-20
26	Profund Securities Limited	December	30-Oct-20
27	Quantum Securities Limited	December	30-Oct-20
28	Royal Trust Securities Limited	December	30-Oct-20
29	Standard Alliance Capital and Asset Management Limited	December	30-Oct-20
30	Supra Commercial Trust Company Limited	December	30-Oct-20





#### FIRMS AND THEIR SUBMISSION STATUS FOR THE QUARTER ENDED 31 DECEMBER 2020

	ACTIVE AND SUBMITTED			
S/N	FIRMS	Year End	Due date	
1	Absa Securities Nigeria Limited	December	30-Jan-21	
2	Afrinvest Securities Limited	December	30-Jan-21	
3	Anchoria Investment and Securities Limited	December	30-Jan-21	
4	Apel Asset Limited	December	30-Jan-21	
5	Apt Securities and Funds Limited	December	30-Jan-21	
6	ARM Securities Limited	December	30-Jan-21	
7	Arthur Stevens Asset Management Limited	December	30-Jan-21	
8	Associated Asset Managers Limited	December	30-Jan-21	
9	Atlass Portfolio Limited	December	30-Jan-21	
10	AVA Securities Limited	December	30-Jan-21	
11	Bauchi Investment Corp. Sec Limited	December	30-Jan-21	
12	Belfry Investment and Securities Limited	December	30-Jan-21	
13	Bestworth Assets and Trust Limited	December	30-Jan-21	
14	Calyx Securities Limited	December	30-Jan-21	
15	Camry Securities Limited	December	30-Jan-21	
16	Capital Asset Limited	December	30-Jan-21	
17	Capital Bancorp Plc	December	30-Jan-21	
18	Capital Express Securities Limited	December	30-Jan-21	
19	Capital Trust Brokers Limited	December	30-Jan-21	
20	Cardinal Stone Securities Ltd	December	30-Jan-21	
21	Cashcraft Securities Limited	December	30-Jan-21	
22	Cashville Investment and Securities Limited	December	30-Jan-21	
23	CDL Capital Markets Limited	December	30-Jan-21	
24	Centre Point Investment Limited	December	30-Jan-21	
25	Century Securities Limited	December	30-Jan-21	
26	Chapel Hill Denham Securities Limited	December	30-Jan-21	
27	Chartwell Securities Limited	December	30-Jan-21	
28	Citi Investment Capital Limited	December	30-Jan-21	
29	City Code Trust and Investment Company Limited	December	30-Jan-21	
30	Compass Investment and Securities Limited	December	30-Jan-21	
31	Cordros Securities Limited	December	30-Jan-21	
32	Core Securities Limited	December	30-Jan-21	
33	Coronation Securities Limited	December	30-Jan-21	
34	Cowry Securities Limited	December	30-Jan-21	
35	Crossworld Securities Limited	December	30-Jan-21	
36	Crown Capital Limited	December	30-Jan-21	
37	CSL Stockbrokers Limited	December	30-Jan-21	

38	Deep Trust Investment Limited	December	30-Jan-21
39	Delords Securities Limited	December	30-Jan-21
40	DLM Securities Limited	December	30-Jan-21
41	Dominion Trust Limited	December	30-Jan-21
42		December	30-Jan-21
43	DSU Brokerage Services Limited  Dunbell Securities Limited	December	30-Jan-21
43	Dynamic Portfolio Limited	December	30-Jan-21
45	EDC Securities Limited	December	30-Jan-21 30-Jan-21
45		December	30-Jan-21
46	Edgefield Capital Management Limited  EFG Hermes Nigeria Limited	December	30-Jan-21 30-Jan-21
48	El-Elyon Alliance and Securities Ltd	December	30-Jan-21
49	Elixir Securities Limited	December	30-Jan-21
50	Equity Capital Solutions Limited	December	30-Jan-21
51	Eurocomm Securities Limited	December	30-Jan-21
52	Express Portfolio Services Limited	December	30-Jan-21
53	Falcon Securities Limited	December	30-Jan-21
54	FBC Trust and Securities Limited	December	30-Jan-21
55	FBNQuest Securities Limited	December	30-Jan-21
56	FCSL Asset Management Company Limited	December	30-Jan-21
57	Fidelity Finance Company Limited	December	30-Jan-21
58	Financial Trust Company Nigeria Limited	December	30-Jan-21
59	Finmal Finance Services Limited	December	30-Jan-21
60	First Integrated Capital Manangement Limited	December	30-Jan-21
61	FIS Securities Limited	December	30-Jan-21
62	Foresight Securities and Investment Limited	December	30-Jan-21
63	Forte Financial Limited	December	30-Jan-21
64	Forthright Securities and Investments Limited	December	30-Jan-21
65	Fortress Capital Limited	December	30-Jan-21
66	FSDH Capital Limited	December	30-Jan-21
67	FSL Securities Limited	December	30-Jan-21
68	Funds Matrix and Assets Mgt Limited	December	30-Jan-21
69	Fundvine Capital and Securities Limited	December	30-Jan-21
70	Futureview Securities Limited	December	30-Jan-21
71	Gem Assets Management Limited	December	30-Jan-21
72	Gidauniya Investment and Securities Limited	December	30-Jan-21
73	Global Asset Management (Nig) Limited	December	30-Jan-21
74	GlobalView Capital Limited	December	30-Jan-21
75	Golden Securities Limited	December	30-Jan-21
76	Greenwich Securities Limited	December	30-Jan-21
77	Growth & Development Asset Management Limited	December	30-Jan-21
78	Gruene Capital Limited	December	30-Jan-21
79	GTI Securities Limited	December	30-Jan-21
80	Harmony Securities Limited	December	30-Jan-21
81	Heartbeat Investment Limited	December	30-Jan-21
82	Hedge Securities and Investment Limited	December	30-Jan-21
83	Heritage Capital Markets Limited	December	30-Jan-21
84	ICMG securities Limited	December	30-Jan-21

85	Icon Stockbrokers Limited	December	30-Jan-21
86	Imperial Asset Managers Limited	December	30-Jan-21
87	Integrated Trust and Investment Limited	December	30-Jan-21
88	Interstate Securities Limited	December	30-Jan-21
89	Investment One Stockbrokers International Limited	December	30-Jan-21
90	Investors and Trust Company Limited	December	30-Jan-21
91	Kapital Care Trust and Securities Limited	December	30-Jan-21
92	Kedari Capital Limited	December	30-Jan-21
93	Kinley Securities Limited	December	30-Jan-21
94	Kofana Securities and Investment Limited	December	30-Jan-21
95	Lambeth Capital Limited	December	30-Jan-21
96	Lead Securities and Investment Limited	December	30-Jan-21
97	Lighthouse Asset Management Limited	December	30-Jan-21
98	Magnartis Finance and Investment Limited	December	30-Jan-21
99	Mainstreet Bank Securities Limited	December	30-Jan-21
100	Marimpex Finance and Investment Company Limited	December	30-Jan-21
101	Maxifund Investment and Securities Plc	December	30-Jan-21
102	MBC Securities Limited	December	30-Jan-21
103	Mega Equities Limited	December	30-Jan-21
104	Meristem Stockbrokers Limited	December	30-Jan-21
105	Mission Securities Limited	December	30-Jan-21
106	Molten Trust Limited	December	30-Jan-21
107	Morgan Capital Securities Limited	December	30-Jan-21
108	Mountain Investment and Securities Limited	December	30-Jan-21
109	Network Capital Limited	December	30-Jan-21
110	Networth Securities and Finance Limited	December	30-Jan-21
111	Newdevco Investments and Securties Limited	December	30-Jan-21
112	Nigerian International Securities Ltd	December	30-Jan-21
113	Nigerian Stockbrokers Limited	December	30-Jan-21
114	Nova Finance and Securities Limited	December	30-Jan-21
115	NOVAMBL Securities Limited	December	30-Jan-21
116	Osborne Capital Markets Limited	December	30-Jan-21
117	PAC Securities Limited	December	30-Jan-21
118	Peace Capital Markets Ltd	December	30-Jan-21
119	Pilot Securities Limited	December	30-Jan-21
120	Pinefields Investment Services Limited	December	30-Jan-21
121	PIPC Securities Limited	December	30-Jan-21
122	Pivot Capital Limited	December	30-Jan-21
123	Planet Capital Limited	December	30-Jan-21
124	Promium Capital and Stackbrokers Limited	December	30-Jan-21 30-Jan-21
125 126	Premium Capital and Stockbrokers Limited Primewealth Capital Limited	December December	30-Jan-21 30-Jan-21
125	Prominent Securities Limited	December	30-Jan-21 30-Jan-21
128	PSI Securities Limited	December	30-Jan-21
129	PSL Capital Limited	December	30-Jan-21
130	Pyramid Securities Limited	December	30-Jan-21
131	Qualinvest Capital Limited	December	30-Jan-21
191	Quality Cot Capital EllilitCa	December	JO Jun-ZI

132	Quantum Zenith Securities & Investments Limited	December	30-Jan-21
133	Rainbow Securities and Investment Company Limited	December	30-Jan-21
134	Readings Investment Limited	December	30-Jan-21
135	Regency Asset Management Limited	December	30-Jan-21
136	3 .	December	30-Jan-21
137	Rencap Securities (Nig) Limited Resort Securities and Trust Limited	December	30-Jan-21
138	Reward Investment and Service Limited	December	30-Jan-21
139		December	30-Jan-21
140	RMB Nigeria Stockbrokers Limited Rostrum Investment and Sec Limited	December	30-Jan-21
141	Rowet Capital Mgt Limited	December	30-Jan-21
142	Royal Crest Finance Limited	December	30-Jan-21
143	Royal Guaranty and Trust Limited	December	30-Jan-21
144	Sankore Securities Limited	December	30-Jan-21
145	Santrust Securities Limited	December	30-Jan-21
146	Securities Africa Financial Limited	December	30-Jan-21
147	Securities and Capital Management Company Limited	December	30-Jan-21
148	Security Swaps Limited	December	30-Jan-21
149	Shalom Investment and Financial Services Limited	December	30-Jan-21
150	Shelong Investments Limited	December	30-Jan-21
151	Sigma Securities Limited	December	30-Jan-21
152	Signet Investment and Securities Limited	December	30-Jan-21
153	Skyview Capital Ltd	December	30-Jan-21
154	Smadac Securities Limited	December	30-Jan-21
155	Solid Rock Securities and Investment Plc	December	30-Jan-21
156	Spring Trust and Securities Limited	December	30-Jan-21
157	Springboard Trust and Investment Limited	December	30-Jan-21
158	Stanbic IBTC Stockbrokers Limited	December	30-Jan-21
159	Standard Union Securities Limited	December	30-Jan-21
160	StoneX Financial Nigeria Limited	December	30-Jan-21
161	The Bridge Securities Limited	December	30-Jan-21
162	Tiddo Securities Limited	December	30-Jan-21
163	Tomil Trust Limited	December	30-Jan-21
164	Topmost Securities Limited	December	30-Jan-21
165	Tower Securities and Investment Company Limited	December	30-Jan-21
166	Trade Link Securities Limited	December	30-Jan-21
167	Traders Trust and Investment Co. Limited	December	30-Jan-21
168	Transworld Investment Limited	December	30-Jan-21
169	Trust Yield Securities Limited	December	30-Jan-21
170	TrustBanc Capital Management Limited	December	30-Jan-21
171	Trusthouse Investment Limited	December	30-Jan-21
172	TRW Stockbrokers Limited	December	30-Jan-21
173	Tyndale Securities Limited	December	30-Jan-21
174	UCML Capital Limited	December	30-Jan-21
175	UIDC Securities Limited	December	30-Jan-21
176	Unex Capital Limited	December	30-Jan-21
177	United Capital Securities Limited	December	30-Jan-21
178	Valmon Securities Limited	December	30-Jan-21

179	Valueline Securities and Investment Limited	December	30-Jan-21
180	Vetiva Securities Limited	December	30-Jan-21
181	WCM Capital Limited	December	30-Jan-21
182	WSTC Securities Limited	December	30-Jan-21
183	Zion Stockbrokers and Securities Limited	December	30-Jan-21

	INACTIVE AND SUBMITTED			
S/N	FIRM	Year End	Due Date	
1	Crane Securities Limited	December	30-Jan-21	
2	Financial and Analytics Capital Limited	December	30-Jan-21	
3	Kundila Finance Services Limited	December	30-Jan-21	
4	MBL Financial Services Limited	December	30-Jan-21	
5	Midas Stockbrokers Limited	December	30-Jan-21	
6	Milestone Capital Management Limited	December	30-Jan-21	
7	TFS Securities and Investment Company Limited	December	30-Jan-21	

	ACTIVE AND NOT SUBMITTED			
S/N	Firms	Year End	Due Date	

INACTIVE AND NOT SUBMITTED				
S/N	FIRM	Year End	Due Date	
1	Adamawa Securities Limited	December	30-Jan-21	
2	Adonai Stockbrokers Limited	December	30-Jan-21	
3	Amyn Investment Limited	December	30-Jan-21	
4	CEB Securities Limited	December	30-Jan-21	
5	Clearview Investments Company Limited	December	30-Jan-21	
6	CLG Stockbrokers Limited	December	30-Jan-21	
7	ECL Asset Management Limited	December	30-Jan-21	
8	Enterprise Stockbrokers Limited	December	30-Jan-21	
9	First Stockbrokers Limited	December	30-Jan-21	
10	GMT Securities and Asset Mangement Limited	December	30-Jan-21	
11	Gombe Securities Limited	December	30-Jan-21	
12	Horizon Stockbrokers Limited	December	30-Jan-21	
13	Investment Shark and Asset Mgt Ltd	December	30-Jan-21	
14	ITIS Securities Limited	December	30-Jan-21	
15	Maven Asset Management Limited	December	30-Jan-21	
16	Mayfield Investment Limited	December	30-Jan-21	
17	Midpoint Capital Limited	December	30-Jan-21	
18	ML Securities Limited	December	30-Jan-21	

19	Mutual Alliance Investment and Securities Limited	December	30-Jan-21
20	Quantum Securities Limited	December	30-Jan-21
21	Royal Trust Securities Limited	December	30-Jan-21
22	Standard Alliance Capital and Asset Management Limited	December	30-Jan-21
23	Supra Commercial Trust Company Limited	December	30-Jan-21